- 1 International Criminal Court
- 2 Trial Chamber IX
- 3 Situation: Republic of Uganda
- 4 In the case of The Prosecutor v. Dominic Ongwen ICC-02/04-01/15
- 5 Presiding Judge Bertram Schmitt, Judge Péter Kovács and
- 6 Judge Raul Cano Pangalangan
- 7 Trial Hearing Courtroom 3
- 8 Friday, 22 November 2019
- 9 (The hearing starts in open session at 9.33 a.m.)
- 10 THE COURT USHER: [9:33:42] All rise.
- 11 The International Criminal Court is now in session.
- 12 Please be seated.
- 13 PRESIDING JUDGE SCHMITT: [9:34:08] Good morning, everyone.
- 14 Could the court officer please call the case.
- 15 THE COURT OFFICER: [9:34:17] Good morning, Mr President, your Honours.
- 16 The situation in the Republic of Uganda, in the case of The Prosecutor versus Dominic
- 17 Ongwen, case reference ICC-02/04-01/15.
- 18 And for the record, we are in open session.
- 19 PRESIDING JUDGE SCHMITT: [9:34:32] Thank you.
- 20 I ask for the appearances of the parties. Mr Gumpert for the Prosecution first.
- 21 MR GUMPERT: [9:34:38] (Microphone not activated)
- 22 PRESIDING JUDGE SCHMITT: [9:34:38] With microphone, please.
- 23 MR GUMPERT: [9:34:41] Let's start as we mean to go on.
- 24 Ben Gumpert for the Prosecution, with me Colleen Gilg, Colin Black, Yulia Nuzban,
- 25 Pubudu Sachithanandan, Kamran Choudhry, Jasmina Suljanovic, Grace Goh,

- 1 Nikila Kaushik, Hai Do Duc and Adesola Adeboyejo.
- 2 PRESIDING JUDGE SCHMITT: [9:34:59] Thank you.
- 3 And for the representatives of the victims, first Ms Massidda.
- 4 MS MASSIDDA: [9:35:03] Good morning, Mr President, your Honours.
- 5 Paolina Massidda for the Common Legal Representatives, with me today Orchlon
- 6 Narantsetseg, Caroline Walter and Ana Peña.
- 7 PRESIDING JUDGE SCHMITT: [9:35:13] Thank you.
- 8 And Ms Sehmi.
- 9 MS SEHMI: [9:35:15] Good morning, Mr President, your Honours. On behalf of
- 10 the Legal Representatives for Victims I am Anushka Sehmi with James Mawira.
- 11 PRESIDING JUDGE SCHMITT: [9:35:26] Thank you.
- 12 And for the Defence Mr Obhof in charge.
- 13 MR OBHOFF: [09:35:29] Good morning, your Honour, and thank you.
- 14 Today we have Beth Lyons, Tibor Bajnovic, Eniko Sandor, Krispus Charles Ayena
- 15 Odongo, Michael Rowse, Chief Charles Achaleke Taku, Roy Titus Ayena,
- 16 Gordon Kifudde, myself Thomas Obhof, and Dominic Ongwen is in court.
- 17 PRESIDING JUDGE SCHMITT: [9:35:44] Thank you.
- And we also note for the record that we have the experts, Dr Akena and Professor
- 19 Weierstall in the courtroom, and a very warm welcome again to our witness expert,
- 20 Professor Ovuga.
- 21 It is now turn of the Prosecution questioning of the witness.
- 22 Mr Gumpert, you have the floor.
- 23 PRESIDING JUDGE SCHMITT: [9:36:11] Yes, Mr Ovuga?
- 24 WITNESS: UGA-D26-P-0042 (On former oath)
- 25 (The witness speaks English)

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- 1 THE WITNESS: [9:36:17] Mr President, your Honours, I'm sorry for interrupting
- 2 the Prosecution.
- 3 When I reflected on a piece of information I provided yesterday, there was one where
- 4 I reversed the order of information in regards to multi-axial diagnoses, axis V. So I
- 5 would like to make a correction for the record.
- 6 Multi-axial diagnosis axis V refers to an evaluation how well an individual affected
- 7 with mental illness is able to function, despite the severity of their symptoms.
- 8 It is made up out of a group of several aspects of functioning in life, in domestic life,
- 9 general social life, occupation, and also the expression of symptoms. It is rated from
- zero at what point the individual is totally incapacitated, up to a hundred per cent, at
- which point the individual functions very, very well.
- 12 I reversed it -- I knew what, what the facts were, but unfortunately what came out
- 13 was the reverse. Sorry for that.
- 14 PRESIDING JUDGE SCHMITT: [9:38:16] No problem. Thank you for the
- 15 clarification.
- 16 Mr Gumpert, you may start now.
- 17 QUESTIONED BY MR GUMPERT:
- 18 Q. [9:38:34] Professor, yesterday you told us this, it's at page 47, line 2 of
- 19 the transcript for those who want to check. You said:
- 20 "You see, we have a primary difficulty here. The primary difficulty is that we do not
- 21 have corroborative sources of information, but if we had those sources, then, yes, one
- 22 [could] say dissociative disorders or experiences would have a significant impact on
- 23 his moral ability to decide to tell right from wrong."
- Now, for a forensic psychiatrist, corroborating, to use your word, the account given
- 25 by the accused person is important, isn't it?

- 1 A. [9:39:34] Yes.
- 2 Q. [9:39:34] One of the ways in which such a person's account of their symptoms
- 3 can be corroborated is by accounts from people who were close to them at the time of
- 4 the alleged crime; that's right, isn't it?
- 5 A. [9:39:54] Correct.
- 6 Q. [9:39:54] And that's why you and Dr Akena conducted in-depth interviews, as
- 7 you characterise them, between April and September of 2016 with four people who
- 8 had been contemporaries of Mr Ongwen in the LRA; that's right, isn't it?
- 9 A. [9:40:19] Correct.
- 10 Q. [9:40:19] And, Professor, I think today you have been given a bundle of
- documents which looks a bit like this in soft covers. Do you have it at hand?
- 12 A. [9:40:32] I have. But as I requested the Defence yesterday, I would rather not
- 13 have my attention and concentration distracted by having to keep looking back and
- 14 forth. So if you don't mind, you can draw my attention by reading it as you have
- 15 done. Thank you for accepting the request.
- 16 Q. [9:41:04] I can certainly do that, Professor.
- 17 For those of us who may want to look at it, the written summary of what those four
- persons said is in the Prosecution's binder at tab 9.
- 19 It's fair to say, isn't it, Professor, that nothing that any of those four persons said
- 20 corroborated Mr Ongwen's accounts to you of him operating as two distinct
- 21 personalities, one kindly and one vicious?
- 22 A. [9:41:42] That is -- that is correct. But, remember, we interviewed them before
- 23 we had contact with Mr Ongwen. It might have been different if the reverse was
- 24 done.
- 25 Q. [9:42:02] Well, I want to challenge you there, Professor. Dr Akena first saw

- 1 Mr Ongwen, if I have it right, in February of 2016.
- 2 A. [9:42:15] Correct.
- 3 Q. [9:42:17] These interviews were conducted between April and September 2016.
- 4 A. [9:42:23] Correct.
- 5 Q. [9:42:24] So, although you yourself may not have seen Mr Ongwen, Dr Akena
- 6 had done so, had already reported, and had discussed the content of that report with
- 7 you. That's correct, isn't it?
- 8 A. [9:42:41] Correct. And the challenge is?
- 9 Q. [9:42:49] Well, you were wrong. By the time these interviews were conducted,
- 10 you, or at least Dr Akena had already seen Mr Ongwen. That's the challenge. I
- 11 thought you'd accepted it.
- 12 A. [9:43:04] Let me clarify it. When Dr Akena saw or was seeing Mr Ongwen,
- 13 you are right, I was in constant or regular contact with him on Skype conversations,
- and I specifically requested him to look for symptoms of dissociation, symptoms of
- 15 OCD, and symptoms, of course, as everybody would have expected, of PTSD.
- 16 At that point, nothing much that I would say would also support our findings in the
- 17 first -- first report and second report came through. But I had to let the report stay as
- 18 it was.
- 19 So, since the probes that Dr Akena used with Mr Ongwen didn't yield any
- 20 improvement, when we saw those four people, one of who of course was seen by
- 21 Dr Akena alone -- we saw three people or four, I don't remember, but one of them
- 22 was seen by Dr Akena alone. We did look for evidence of OCD, PTSD, dissociation,
- 23 epilepsy, psychosis, all of the -- or, most of the conditions that were asked about by
- the Prosecution experts. And those witnesses couldn't tell us any.
- 25 Q. [9:45:42] Professor, we're getting a little muddled here, aren't we?

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- 1 The Prosecution experts hadn't reported at this time when you were first seeing the
- 2 patient, your client, had they?
- 3 A. [9:45:57] They had not, but I was just thinking ahead.
- 4 Q. [9:46:02] If I may, I'm going to try and deal with things in the order in which
- 5 they occurred.
- 6 A. [9:46:15] Okay.
- 7 Q. [9:46:16] In fact, in that report which Dr Akena produced and which you
- 8 approved, it's Defence binder tab 6, you needn't go there of course, Professor, there
- 9 was no diagnosis of dissociative disorder, was there?
- 10 A. [9:46:46] No.
- 11 Q. [9:46:46] It's fair to say, isn't it, that Mr Ongwen's accounts of his dissociating
- 12 have become more and more florid the longer you have been talking to him?
- 13 A. [9:47:01] That is correct.
- 14 Q. [9:47:04] And so the need for the corroborating material which you spoke of
- 15 yesterday becomes more acute when one has an inconsistent account from the client,
- 16 yes?
- 17 A. [9:47:33] I'm not sure I get you.
- 18 Q. [9:47:36] Well, if a patient tells you, "Doctor, I have been feeling sick and dizzy
- 19 for the last two weeks," and you prescribe some medicine or suggest rest or some
- 20 other treatment, and then the patient regularly comes back saying, "I still feel sick and
- 21 dizzy, Doctor," you have got a consistent account.
- 22 But if the patient comes and tells you, "I am still having problems but now I feel
- 23 a pain in my feet and I have got a ringing in my ears," and then on a third occasion
- 24 still other symptoms, to get some kind of corroboration for what the patient is
- 25 reporting becomes important, doesn't it, to get some kind of objective take on what

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- 1 the patient's problems are?
- 2 A. [9:48:44] Yes, corroborative evidence becomes acutely important. But I
- disagree with you in saying that what Mr Ongwen gave us would subsequent -- or on
- 4 subsequent occasions were inconsistent. They were not inconsistent. They were
- 5 what would be seen with any patient who has built trust in the interviewer. And
- 6 also of course using the interview, interviewing skills and methods available that the
- 7 patient has been able to -- has been unable to recall and remember significant other
- 8 symptoms that he or she suffers from.
- 9 Q. [9:49:53] Let me explain to you what I mean by inconsistent. I'm reading from
- tab 6, last four digits 1 -- sorry, 0155 in the top third of the page.
- 11 Dickens Akena reported:
- 12 "[...] no amnesia of the events that happened while in the LRA ranks."
- 13 That's inconsistent with what he tells you now, isn't it?
- 14 A. [9:50:23] Still it is not inconsistent, because loss of am -- sorry, memory for
- 15 events of a trauma and particularly repeated severe trauma is a well-known problem.
- 16 Individuals affected have difficulty recalling significant elements of their experiences
- 17 following trauma. And to enable them to remember, one needs time with them. So
- 18 his failing to elicit memory loss on the first occasion is not inconsistent.
- 19 Q. [9:51:14] He'd forgotten he'd forgotten, is that it?
- 20 A. [9:51:18] Who has forgotten?
- 21 Q. [9:51:20] Mr Ongwen?
- 22 A. [9:51:26] We are talking about Mr Ongwen, yes, but I am telling you and the
- 23 eminent judges that forgetfulness for the experiences of trauma and especially aspects
- of those trauma is a commonplace phenomenon.
- 25 Q. [9:51:54] Yes, and one --

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- 1 MS LYONS: [9:51:58] Just quickly. I just want to say, when the -- we are looking
- 2 and moving from tab to tab, Professor Ovuga has indicated that he's not doing that.
- 3 I think that the Prosecution should say what the source is of whatever he is referring
- 4 to, in fairness to Mr -- Professor Ovuga.
- 5 PRESIDING JUDGE SCHMITT: [9:52:20] I think in the abstract you are right, but
- 6 concretely Mr Gumpert has done it, I think, so --
- 7 MS LYONS: [9:52:30] (Microphone not activated)
- 8 PRESIDING JUDGE SCHMITT: [9:52:32] Yes, no, and of course --
- 9 MS LYONS: [9:52:33] I'm sorry --
- 10 PRESIDING JUDGE SCHMITT: [9:52:25] One after the other, please.
- 11 And Mr Ovuga is absolutely -- I find it okay that he does not want to flip back and
- 12 forth, but Mr Gumpert has already indicated -- you read it out to him what you want
- and you tell us where your reference is and then we proceed in this manner until the
- 14 end of the day, so to speak.
- 15 Please, Mr Gumpert.
- 16 MR GUMPERT: [9:52:53] Somewhat resentfully I might remark that's what I've been
- 17 doing, but ...
- 18 PRESIDING JUDGE SCHMITT: [9:53:00] But you have heard me (Overlapping
- 19 speakers)
- 20 MR GUMPERT: [9:53:02] I have. I have (Overlapping speakers)
- 21 PRESIDING JUDGE SCHMITT: [9:53:02] In the abstract of course it's okay, but
- 22 it's -- of course the witness has to know where you are and where you want to go with
- 23 him, that's clear, but you are doing that.
- 24 Please proceed in this way.
- 25 MR GUMPERT: [9:53:12]

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- 1 Q. [9:53:12] Professor, I think we're at odds about the meaning of inconsistency. I
- 2 know, because I have read your reports and I know that you are an eminent
- 3 psychiatrist, that it is a feature of people who have been in Mr Ongwen's position that
- 4 they have memory loss. I understand that.
- 5 The inconsistency is this: When he first met Dr Akena he told the doctor that he
- 6 didn't have any memory loss. Now he's telling you a different story. His account is
- 7 inconsistent, isn't it? It is internally inconsistent with itself.
- 8 A. [9:53:53] From legal point of view I get you. But from subjective clinical point
- 9 of view, as far as Mr Ongwen is concerned, there is no inconsistency and we helped
- 10 him to get out of the position of inability to recall to the ability to recall.
- And I told you and the house yesterday, that there was a moment I think in the
- second interview of our visit, or our visit, he reported to us that our questionings
- 13 helped him to recall many of the things he had forgotten. So I don't see where the
- 14 inconsistency is. We helped him from inability to remember to the ability to
- 15 remember.
- 16 PRESIDING JUDGE SCHMITT: [9:55:00] I think you can proceed, Mr Gumpert.
- 17 Move on, not proceed of course.
- 18 MR GUMPERT: [9:55:09] I understand. I'm done with the
- 19 difficulty -- (Overlapping speakers)
- 20 THE WITNESS: [9:55:11] With the inconsistency --
- 21 MR GUMPERT:
- 22 Q. [9:55:11] Well, I may come back to inconsistencies, but arguing about what
- 23 inconsistency means, I am done with that.
- So, Dr Akena told us on Tuesday, T-249, page 40, line 8, that you and he realised that
- 25 you needed to carry out a more thorough reviewing of potentially corroborating

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- 1 material than just the four persons you'd first spoken to, yes?
- 2 A. [9:55:38] Correct.
- 3 Q. [9:55:38] He said:
- 4 "We asked the Defence team to allow us to interview the people who lived with the
- 5 client. We asked for a lot of information. We get some. We don't get others."
- 6 Now that information never came to you, did it? The only people you ever had the
- 7 opportunity to get to know what they had to say about what it was like living with
- 8 Dominic Ongwen were those four people you first spoke to.
- 9 A. [9:56:10] Correct.
- 10 Q. [9:56:11] And your conclusions and your reports might have been substantially
- different had you had other corroborating or discrepant material?
- 12 A. [9:56:24] Correct.
- 13 THE INTERPRETER: [9:56:25] Your Honour, could the counsel and the witness
- allow for the space between the questioning.
- 15 MR GUMPERT: [9:56:30]
- 16 Q. (Overlapping speakers) people living around mentally ill people yesterday;
- 17 page 86, line 20.
- 18 A. [9:56:41] Sorry, can you repeat that, there was interference from the interpreters.
- 19 Q. [9:56:42] Yes.
- 20 THE INTERPRETER: [9:56:45] Message from interpretation: Your Honour, could
- 21 counsel and the witness --
- 22 MR GUMPERT:
- 23 Q. (Overlapping speakers)
- 24 A. [9:56:52] I'm hearing something else.
- 25 Q. [9:56:56] You're getting a background noise?

- 1 A. Yes.
- 2 Q. We'll just pause and see if that will be fixed.
- 3 PRESIDING JUDGE SCHMITT: [9:57:05] Obviously, there is an issue with the
- 4 interpretation that, perhaps, it's not Mr Ovuga, it's you that might be a little bit too
- 5 quick again. Yes.
- 6 MR GUMPERT: [9:57:14] Sorry.
- 7 PRESIDING JUDGE SCHMITT: [9:57:14] I'm only referring this to you. I did not
- 8 have the impression now, but -- and it is definitely not the expert here, as he has
- 9 already indicated at the start of his testimony.
- 10 MR GUMPERT: [9:57:29]
- 11 Q. [9:57:30] Professor, can I ask you to look at the box which the microphone is
- 12 attached to. Can you see a number on it?
- 13 A. [9:57:40] I see several numbers.
- 14 Q. [9:57:41] No. All right. This is too complicated.
- 15 PRESIDING JUDGE SCHMITT: [9:57:49] I think we simply proceed now and I don't
- 16 really see here an issue with the interpretation. I have not -- I think we had evidence
- 17 here was much quicker in succession, so -- but try to restrain yourself a little bit and
- 18 then we proceed from there.
- 19 MR GUMPERT: [9:58:12]
- 20 Q. [9:58:13] Can you hear me well now, Professor?
- 21 A. [9:58:16] Yes.
- 22 Q. [9:58:17] You told us a story yesterday about how when LRA fighters, I think
- 23 probably patients of yours who had returned from the bush felt an urge to go and
- 24 commit violence, kill, I think you said?
- 25 A. Mm-hmm.

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- 1 Q. [9:58:36] But before that happened, the people around them would notice that
- 2 the person, I think you used words that a person was behaving strangely and their
- 3 friends or their companions would tactfully tell them to take a nap and that way the
- 4 problem didn't come to fruition, yes?
- 5 A. [9:58:58] Correct.
- 6 O. [9:58:58] And Dr Akena told us that people around Mr Ongwen, when he was
- 7 suffering the effects of his mental illness would have noticed that something
- 8 was -- his word was something was amiss. You'd agree with that, wouldn't you?
- 9 A. [9:59:14] I heard him say so. Yes.
- 10 Q. [9:59:16] My question is whether you would agree with his suggestion that the
- 11 people around Mr Ongwen, when he was exhibiting the signs of the mental illnesses
- 12 which you have diagnosed, would notice that something was amiss?
- 13 A. [9:59:32] I do not agree hundred per cent. It is a yes and a no answer.
- 14 explain?
- 15 PRESIDING JUDGE SCHMITT: [9:59:45] Of course, you have the time to explain
- 16 that.
- 17 THE WITNESS: [9:59:48] I would agree with what you have just read, but I would
- 18 also not agree with what you have just read, because the people with whom
- Mr Ongwen lived in the bush, lived under the same hostile circumstances as 19
- 20 Mr Ongwen in this case.
- 21 The reports of people being tactfully told to go and rest were people who had
- 22 They were in their villages, and they lived with relatives, wives, returned home.
- 23 brothers, cousins, sisters, and these were the ones to whom the behaviour exhibited
- 24 by those people who gave me the accounts in therapy sessions would see as strange.
- 25 And the remedy, as lay people understood, was that somebody appears to be tired,

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- somebody seems to be troubled, that somebody should move away from distractions
- 2 and go and rest.
- 3 So that is why I say it is a yes and no answer.
- 4 Q. [10:01:30] But they had noticed that they were, to use your word, troubled?
- 5 A. [10:01:36] Yes, at home.
- 6 Q. [10:01:41] It would be fair to say, wouldn't it, from the long conversations which
- 7 you and Professor -- Doctor Akena had had with Mr Ongwen that he had made
- 8 a home in the LRA, he had his own household --
- 9 A. [10:02:03] Okay, okay.
- 10 Q. [10:02:04] -- didn't he? He had a number of women whom he regarded as his
- 11 wives. He had his children around him. He had long-term colleagues around him.
- 12 You know all that, don't you, Professor?
- 13 A. [10:02:16] I do. Let me clarify as follows:
- 14 Once again let me say the people who lived with him in the bush lived under
- 15 unnatural circumstances. Nevertheless, during one of our interviews, Mr Ongwen
- did tell us two things that I can say. One, that his well-known bravery in the
- battlefield or on the battlefield was more than just being a good soldier. He was told,
- 18 he says, him being a good soldier, brave soldier was more than just being a good
- 19 soldier, there was something wrong in the conduct of being a good, brave soldier.
- 20 Another occasion was, or another example is when he told us that whenever he
- 21 appeared to be isolating himself, people would know that there was something
- 22 wrong with him and they did communicate to him that they thought there was
- 23 something wrong with him.
- We may not have written it in the report, but there were so many things that we got
- 25 which we couldn't put in the report.

- 1 Q. [10:04:37] I'll leave that. This is all coming from Mr Ongwen, isn't it?
- 2 A. [10:04:46] Yes, yes.
- 3 Q. [10:04:47] All of it?
- 4 A. [10:04:48] Yes.
- 5 Q. [10:04:49] I want to tackle that question of this subjective account.
- 6 A. [10:04:54] Mm-hmm.
- 7 Q. [10:04:54] You've told us that the increasing detail, floridity of Mr Ongwen's
- 8 description of his mental state over his encounters with you is perfectly consistent
- 9 with him gaining trust and being able to talk to you. And you're looking at it, I
- 10 suggest, from the point of view of a treating psychiatrist. And you are ignoring the
- equal possibility, although you know it has been raised in these proceedings, that in
- 12 fact Mr Ongwen is not ill, that he is, to use Professor Mezey's word, faking bad,
- malingering, that he considers that a mental health defence, if I can use that word,
- may be a way of escaping criminal liability and that he is changing his story to you in
- order to provide you with the material you need to make the necessary diagnosis.
- 16 You are aware there is that issue in this case, aren't you?
- 17 A. [10:06:26] I am aware, and I did talk about it yesterday, Dr Akena talked about it
- 18 the other day. Let me clarify it as follows: To simply -- for a witness to come and
- 19 sit and accuse someone of faking without having had contact with that person was
- 20 not fair. And for that position to keep coming repeatedly is also not fair.
- 21 It is not fun for an individual to feel sad, to feel there, there is someone else similar to
- 22 him who is controlling his behaviour. It is not fun -- by fun meaning an enjoyable
- 23 experience -- for somebody to see his brain split in two or to see the world split in two,
- 24 the world on the left referring to bad things, the world on the right referring to good
- 25 heavenly things; that those sets of experiences are not fun for people to go through

- 1 with or to live with.
- 2 We are able to sit here, we are able to stand in this room and make those allegations
- 3 because we ourselves have not experienced the same experiences Mr Ongwen has
- 4 gone through.
- 5 I always tell my students, if someone says they have never known happiness, it is that
- 6 person who has not known happiness. And once we ourselves also have the chance,
- 7 unfortunate chance of getting through that experience, then we will see the unfairness
- 8 in which we have ridiculed their experiences.
- 9 Q. [10:09:18] No one in this court is ridiculing the experiences of a person who
- suffers from mental health, Professor. Be very clear about that, please.
- 11 You are describing the position from point of view of the therapeutic alliance which
- 12 you have made with Mr Ongwen, aren't you? You are proceeding from the basis
- 13 that he is mentally ill as opposed to taking a forensic evidence-based approach to
- 14 discovering what the explanation for what he has told you is. You have ab initio
- 15 from the start discounted the possibility of any malingering because you as his
- treating physician are the patient's ally. That's where we stand, isn't it, Professor?
- 17 A. [10:10:18] I'm not sure where the evidence is that makes you say that. Let me
- 18 explain what Dr Akena did explain two days ago or three days ago.
- 19 Interviewing has three purposes or there are three types of interviewing:
- 20 One is diagnostic interviewing and in diagnostic interviewing one looks for signs and
- 21 symptoms of whatever problem the individual has and differentials that go with
- 22 whatever that person goes through.
- 23 The second is, as you refer to, therapeutic interviewing, whereby as the physician
- 24 interviews an individual who has come for help one uses strategies, therapeutic
- 25 strategies to help the individual cope with their symptoms.

- 1 And the third one is what you repeatedly claim that we didn't do, and that is forensic
- 2 evaluation, in which case the interviewer explores the circumstances, the
- 3 surroundings, the events that surround a particular offence.
- 4 And it is not fair to keep saying that we didn't do that.
- 5 I wish there were video recordings of our interactions and they were shown to this
- 6 Court to show whether you are right or whether you are not right, to show whether
- 7 I am right or I am not right.
- 8 Q. [10:12:48] We may have a misunderstanding again, Professor. My suggestion
- 9 to you is that there are means of corroborating, means of taking a forensic approach
- 10 other than speaking to the client. For instance, ascertaining whether his words at the
- 11 time of the crimes he is accused of are available to be heard. Do you know whether
- 12 we can hear, whether you could have heard sound recordings of Mr Ongwen
- speaking at the time the crimes were being committed? Have you ascertained that?
- 14 A. [10:13:35] I have never -- I have never been given any transcripts. I have not
- been given any videos, except two. One which was a very short clip showing him in,
- in the UN peacekeeping compound, on the UN peacekeeping compound. The other
- 17 was I think in the UPDF compound.
- 18 But other than that, I would have difficulties in saying, and especially if it is sound
- 19 recordings, I would have difficulty in saying this belongs to Mr Ongwen, this belongs
- 20 to Dr Akena, this belongs to Professor X. I am not an expert there.
- 21 Q. [10:14:46] Professor, nobody is asking you to be an expert. You are not just
- 22 a passive observer here. You have agency, indeed, duties as a forensic expert, don't
- 23 you? Did you ask for any of this material?
- A. [10:15:10] Dr Akena told us, and I have no intention of disputing what he said,
- 25 that we made several attempts right from the time he came in February 26, he came

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- on his own. And I asked him several times and he gave me responses several times
- 2 during that visit. I wanted him to contact the treating physicians. I wanted him to
- 3 contact or be helped to contact other agencies in this facility, meaning this facility and
- 4 its detention centre. He was told in no uncertain terms on one occasion that "You are
- 5 a Defence witness. I am not going to give you material that belongs to the
- 6 Prosecution." And if you get -- if you were Dr Akena and you got that sort of
- 7 response, what else would you have done?
- 8 Q. [10:16:47] Well, it's not much good asking me questions, Professor. It works
- 9 the other way around.
- 10 I want to come back to this. You are an eminent professor of international standing,
- studied at the Karolinska, a self-confident and assertive person who could have said,
- if you had been following the proceedings, "I want access to the transcripts, the
- translations, the sound recordings of Mr Ongwen speaking at the time of the accused
- 14 crimes." But you didn't do it. Was that because you feared what the result might
- 15 be?
- 16 A. [10:17:35] Despite the response we got from the treating physician, we still went
- 17 ahead and asked for material. And somewhere in the course of the middle of our
- second visit, that was when a batch of clinical notes were brought, they were written
- in Dutch, so an interpreter was asked to come and sit with us and interpret to us.
- 20 And Dr Akena referred to that in his testimony on methodology. We did try our
- 21 best, but other than a few symptoms pointing to PTSD, depression, anxiety, and, on
- 22 one occasion, a mention about possible dissociation where --
- 23 Q. [10:18:59] Professor, I know it's discourteous to interrupt you, but I'm asking
- 24 about something completely different.
- 25 PRESIDING JUDGE SCHMITT: [10:19:05] Yes, but let me do that. Normally we

- don't interrupt the witness. I think there is a little bit of a misunderstanding.
- 2 I have understood, Mr Gumpert, that he does not talk about potential information
- 3 that could have been obtained from the detention centre. Perhaps you could again
- 4 clarify what you, what you are heading at so that we all and especially the witness,
- 5 knows what is expected from him in his answer.
- 6 At least I have understood you this way, that you are not referring to the information
- 7 that could have been obtained from the treating psychiatrist or psychologist in the
- 8 detention centre, or at least not going to focus on that.
- 9 MR GUMPERT: [10:19:53] I am going to read the latter part of the question I asked.
- 10 Q. [10:19:59] You could have said "I want access to the transcripts, the translations,
- the sound recordings of Mr Ongwen speaking at the time of the accused crimes."
- 12 You had the power and the agency, perhaps even the duty, as a forensic expert, to
- 13 gather that important evidence, but you didn't do it. Is that because you feared that
- 14 the content of that material might contradict what would be in the best interests of
- 15 your patient?
- 16 A. [10:20:46] We didn't fear. And to my best understanding, our having come
- 17 three times to this facility was impartial, as far as we were concerned, although we
- were assigned to work with the Defence. Our interest was to support the
- 19 Trial Chamber and the two parties involved without favouritism. So we didn't fear.
- 20 PRESIDING JUDGE SCHMITT: [10:21:40] Please move on, Mr Gumpert. I suggest,
- 21 at least.
- 22 MR GUMPERT: [10:21:52] Yes.
- 23 PRESIDING JUDGE SCHMITT: [10:21:52] You have asked several times the
- 24 question and I think we have an answer here. Please move on.
- 25 MR GUMPERT: [10:22:05]

- 1 Q. [10:22:05] Although you consider it to be unfair, you are aware that the issue,
- 2 one of the issues the Judges have to decide is whether Mr Ongwen is indeed mentally
- 3 ill and, more than that, whether those mental illnesses, if they exist, have certain
- 4 consequences; or, on the other hand, whether he may be exaggerating. You are
- 5 aware, even though you think it's unfair, that's an issue in the trial, aren't you?
- 6 A. [10:22:35] You are right.
- 7 Q. [10:22:36] As you have noted in your answer a moment ago, only one side, only
- 8 you and Dr Akena have actually been allowed access to Mr Ongwen. You know that,
- 9 don't you? He has refused to see the Prosecution doctors. You know that, don't
- 10 you, Professor?
- 11 A. [10:23:00] I don't know that.
- 12 Q. [10:23:03] It comes as news to you --
- 13 A. [10:23:05] Yes.
- 14 Q. [10:23:08] -- that your patient has refused to be examined?
- 15 A. [10:23:14] Yes, yes.
- 16 Q. [10:23:15] Very well. In light of the fact that there is an issue about this, this
- 17 faking or not faking, you could have taken steps, couldn't you? You could have
- used diagnostic tools, psychometric tools to establish a greater or lesser likelihood of
- 19 malingering.
- 20 A. [10:23:50] We could, but only yesterday I said we had limited time and we
- 21 needed to collect lots of other information and we didn't think it was economically
- 22 wise to waste time using a scale.
- 23 Q. [10:24:21] Professor, we heard from your colleague that between the two of you
- 24 you had between 15 and 18 sessions with Mr Ongwen lasting between two and three
- 25 hours each. I make that somewhere between 30 and 56 hours of face contact time.

- 1 Didn't you think, don't you think now, objectively, that a small portion of that time
- 2 might have been properly used to gain an objective insight into this issue of
- 3 malingering?
- 4 A. [10:25:10] Those 56 or so hours were divided between four separate visits and
- 5 each visit had its own purpose as far as we structured our data collection exercise.
- 6 So with each visit aimed at a particular purpose, still what I said remains valid. But
- 7 if, if the Prosecution insists, we have no problem in, in using a set of psychometric
- 8 tests, and maybe that might be useful to him and to this Court later, maybe.
- 9 PRESIDING JUDGE SCHMITT: [10:26:31] Ms Lyons, I think you want to speak.
- 10 MS LYONS: [10:26:33] Yes. I just -- I just wanted to speak on the, on the math issue.
- 11 I recall from Dr Akena's testimony he talked about 15 to 18 hours, so I am not sure
- where the 56 came from.
- 13 PRESIDING JUDGE SCHMITT: [10:26:47] No, no. It's -- I think I recall it was
- 14 sessions, and of course --
- 15 MS LYONS: [10:26:52] Okay.
- PRESIDING JUDGE SCHMITT: [10:26:53] -- it's not important if it was in the end 30
- or 35 hours or 50 hours, I think the expert has answered that already. We don't have
- 18 to dwell into that anymore.
- 19 You may proceed, Mr Gumpert.
- 20 MR GUMPERT: [10:27:11]
- 21 Q. [10:27:11] It would have been good for you too, wouldn't it, Professor, because
- 22 just like the Prosecution experts, you think that Mr Ongwen is not an accurate
- 23 reporter of his symptoms? You think he is faking good, don't you?
- 24 A. [10:27:27] He is not faking good. He wasn't faking good, he was telling us and
- 25 he did tell us his real experiences from the perspective of the three methodologies or

- 1 types of interviews that I outlined.
- 2 Q. [10:27:51] Well, let me remind you, Professor, you at -- this is Defence tab 7,
- 3 page 10, last four digits 0013, you record that he presented as a cheerful and
- 4 humorous individual who was emotionally tough, resilient and was able to withstand
- 5 challenges and life's adversities.
- 6 But you go on to say: "In our opinion, this outward presentation that Mr Ongwen
- 7 exhibited is deceptive and it covers up the intense internal emotional turmoil he
- 8 experiences daily."
- 9 Those are your words --
- 10 A. [10:28:43] Yes.
- 11 Q. [10:28:44] -- Professor.
- 12 A. [10:28:45] Yes.
- 13 Q. [10:28:46] So what I put to you a moment ago is right, isn't it? Your analysis,
- 14 for what it's worth, is that he is faking good. He is pretending to feel better than he
- 15 does. The use of a scale, a psychometric tool such as the one you have just accepted
- 16 could be useful would have helped to detect that as well, wouldn't it?
- 17 MS LYONS: [10:29:09] Objection. The witness speaks for himself. He has
- 18 explained his position and --
- 19 PRESIDING JUDGE SCHMITT: [10:29:15] No, no (Overlapping speakers).
- 20 MS LYONS: [10:29:17] -- and to characterise it is misrepresenting it.
- 21 PRESIDING JUDGE SCHMITT: [10:29:20] No, no, no. The witness can speak for
- 22 himself.
- 23 THE WITNESS: [10:29:23] Your Honour --
- 24 PRESIDING JUDGE SCHMITT: [10:29:24] And we have, we have a witness who is, I
- 25 think really we have experienced this the last two days is able to answer the

- 1 questions himself and speak for himself, and Mr Gumpert has read a part of the first
- 2 report I think to the witness. And I'm absolutely sure that he is able to answer the
- 3 question himself.
- 4 Mr Ovuga, please.
- 5 THE WITNESS: [10:29:48] Thank you, your Honours.
- 6 If I need help from the Defence, I will put my hand up.
- 7 PRESIDING JUDGE SCHMITT: [10:30:03] Actually, I think you have detected that
- 8 this was, let me put it this way, the content or the underlining thinking of my
- 9 intervention with Ms Lyons. Let me put it this way: You are strong enough to
- 10 answer yourself.
- 11 THE WITNESS: [10:30:22] Yes, Mr Gumpert, let me respond to your challenge this
- 12 way. I'm sorry I, I always take a long, a long roundabout way in answering.
- 13 In our mind -- let me backtrack. During my first visit to see him with Dr Akena, I
- 14 personally observed Mr Ongwen's mood change from day one to day four, and
- 15 finally day five. Days four and five were moments in which his mood was way
- 16 down, subdued. I wouldn't say depressed, but subdued.
- 17 On day one he was, as you read, cheerful, exuberant, active, lively. Dressed in
- 18 a necktie, I think blue shirt, navy blue trousers, very cheerful. Day two he was -- he
- 19 had changed shirt and necktie, but he was still active, cheerful. Day three, not so
- 20 much, and on that day he did not wear a necktie, if I remember well.
- 21 So what I am trying to describe here is, and what came to our mind was, does this
- 22 suspect have bipolar illness. Bipolar illness means a severe mental illness
- characterised on one hand with extreme happiness and elation, on the other extreme,
- 24 extreme sadness, unhappiness, loss of hope and feeling of helplessness. Could he be

25 having this?

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- 1 We looked for evidence of bipolar disorder and we couldn't find. And when we
- 2 couldn't find evidence of bipolar illness was when, in psychoanalytic terms,
- 3 psychodynamic terms, that was when we said, and given a narrative of how the
- 4 young children were trained to respond to loss, we said: Well, this apparent
- 5 happiness doesn't seem to be happiness. It is a manifestation of how he was trained
- 6 to cope with loss, to cope with suffering, to cope with adversity.
- 7 So that is the background to our writing what you have just read.
- 8 It does not in any way point to Mr Ongwen faking good.
- 9 Q. [10:34:33] I must challenge you there. Why did you use words like
- 10 "deceptive"?
- 11 A. [10:34:41] Deceptive was used -- I thought I explained. Deceptive was used to
- let the -- it was used to refer to an individual's, in this case Mr Ongwen's apparent
- 13 happiness which was actually not happiness. Him being happy was deceptively
- 14 false.
- 15 Q. [10:35:24] I asked Dr Akena on Tuesday, if you were to use a psychometric test
- such as the one you just offered to, which one would you use?
- 17 A. [10:35:52] One would be clinical. But clinical interviewing here would also
- mean and it would entail, to use your words, requesting the people in the detention
- 19 centre to observe him and make daily reports about his behaviour. That would be
- 20 the standard clinical method of assessing for possibility of deception.
- 21 But sometime in last year a group of authors tested, tested the use of a tool that they
- developed or modified to test for faking. Unfortunately, that test is computer based.
- I am not sure that -- maybe that will be done by the people at the detention centre,
- 24 because I, I would assume -- and mark the word I would assume -- that we would not
- 25 be allowed to use equipment that we are not privy to use.

- 1 PRESIDING JUDGE SCHMITT: [10:37:39] Mr Gumpert, I don't go so far to say
- 2 please move on. But you can consider to move on, I think.
- 3 MR GUMPERT: [10:37:45] And I'm going to.
- 4 Q. [10:37:47] I want to come to something much more concrete now, Professor.
- 5 We spoke earlier about medicine being evidence-based, you build up the picture from
- 6 building blocks and you arrive at a diagnosis, correct?
- 7 A. [10:38:09] Mm-hmm.
- 8 Q. [10:38:09] I want to look at the building blocks of the three diseases, dissociative
- 9 identity disorder, major depressive disorder and post-traumatic stress disorder, and
- 10 look at them in the light of the material which you never got a chance to see, the
- 11 material which is at page -- sorry, tab, I should say, 13 of the Defence bundle.
- 12 I'm afraid to say that I am going to have to ask you to do what I know you don't want
- 13 to do, and that is to look for a moment at this document. Thereafter I will read out to
- 14 you the details, but I want you to see the document.
- 15 A. [10:39:04] Say it again.
- 16 Q. [10:39:06] It's in yes, that's it tab 13. So there are yellow dividers.
- 17 A. [10:39:11] Yes, 13?
- 18 Q. [10:39:13] Thirteen, indeed.
- 19 A. [10:39:14] Yes. These are transcripts? Sorry, I can't see them well.
- 20 Q. [10:39:24] Well, you need to be able to, Professor.
- 21 PRESIDING JUDGE SCHMITT: [10:39:27] You said I think Defence. It's
- 22 Prosecution, it's the Prosecution binder.
- 23 MR GUMPERT: [10:39:31] Sorry. He is in the right one.
- 24 PRESIDING JUDGE SCHMITT: [10:39:33] No problem, no problem.
- 25 But I think, Mr Ovuga, you have the right bundle, so to speak. But please give it

- a try, if you can read it. It's relatively -- it's not so small, the type, I think you might
- 2 be able to do that.
- 3 THE WITNESS: [10:39:49] Unfortunately these are not reading glasses, that is why I
- 4 said I cannot --
- 5 PRESIDING JUDGE SCHMITT: [10:39:57] Then we help each other in that way, that,
- 6 Mr Gumpert, you read out to him what you want to refer to.
- 7 MR GUMPERT:
- 8 Q. [10:40:03] I will do that anyhow, but Professor, the -- I'm sorry, that sounded
- 9 discourteous, I apologise.
- 10 You have had that document since Friday, haven't you, last Friday?
- 11 A. [10:40:20] If you are referring to this as the transcripts --
- 12 Q. [10:40:23] I'm not. I'm just talking about that document, it's a document
- 13 the Prosecution created and provided a week ago so that you would have time to look
- 14 carefully at it. Have you done so?
- 15 A. [10:40:37] I have seen it for the first time on the desk today, this particular
- 16 folder.
- 17 Q. [10:40:47] Forgive me, Professor, that's not the question. Were you provided
- last Friday with a document which contained extracts from what 16 witnesses -- not,
- 19 not all of that --
- 20 A. [10:41:01] Mm-hmm.
- 21 Q. [10:41:02] Not that.
- 22 A. [10:41:02] Yes.
- Q. [10:41:04] A document which contained extracts from what 16 witnesses,
- 24 Defence and Prosecution, have said in the course of this trial on oath --
- 25 A. [10:41:09] Yes.

- 1 Q. [10:41:12] -- when they have given their evidence?
- 2 A. [10:41:17] Yes, that one, that is what I was going to say, that that is what I looked
- 3 at. But not as it appears in this.
- 4 PRESIDING JUDGE SCHMITT: [10:41:25] I think we can shorten this. I assume,
- 5 and perhaps either Mr Gumpert or Mr Ovuga are contradicting me, that simply this
- 6 bundle is sort of materialisation of the material Mr Ovuga has been looking into, or
- 7 not?
- 8 MR GUMPERT: [10:41:43] Just this one tab is not a materialisation, is exactly what
- 9 he had last Friday.
- 10 PRESIDING JUDGE SCHMITT: [10:41:50] I meant exactly what you meant. It's
- simply, this is a bundle and obviously has had it in another form, if you will,
- 12 electronic or whatsoever.
- So, he has said that he has read it. It's not, it's not important if he has read it in this
- bundle. It's important that he has read the content and the document as such. And
- 15 from that we proceed and you read out to him. And since he has read it, this also
- 16 facilitates your questioning, of course, because it will trigger memory in the witness.
- 17 MR GUMPERT: [10:42:23] Yes.
- 18 May we have the control of the floor so that we can display the diagnostic criteria of
- 19 dissociative identity disorder on the screen?
- 20 PRESIDING JUDGE SCHMITT: [10:42:45] What we have done also when you
- 21 questioned Dr Akena, yes, of course.
- 22 MR GUMPERT: [10:42:49] Thank you. For those who want to read it in full from
- 23 the original, the DSM diagnostic criteria are copied at Prosecution tab 11 in the same
- 24 bundle. But what is not yesterday appearing on the screen --
- 25 PRESIDING JUDGE SCHMITT: [10:43:11] But I think it will soon. Yes, it's already

l there. Okay.

1

- 2 Please wait just a second so that we have also the service for the witness, that he
- 3 also -- he knows it of course by heart, but nevertheless, sometimes it's also good if you
- 4 have this additional information.
- 5 MR GUMPERT: [10:43:37]
- 6 Q. [10:43:38] Professor, I want to look at the building blocks of dissociative identity
- 7 disorder. And just so you are aware, I will not be dealing with the criteria of this
- 8 disease which are common to all three.
- 9 So if we look at A, "Disruption of identity characterised by two or more distinct
- 10 personality states, which may be described in some cultures as an experience of
- 11 possession."
- 12 Now, you concluded that in Mr Ongwen's case, and I quote you from your second
- report at 0971, "These personalities were obvious ... to his colleagues who interpreted
- 14 his behaviour as being possessed by the spirit."
- 15 That was what Mr Ongwen told you, wasn't it?
- 16 Can you take us to any specific account from any of the people who knew
- 17 Mr Ongwen in the bush talking about him having two distinct personalities or about
- 18 him being possessed or is it all from Mr Ongwen's mouth, as far as you're concerned?
- 19 A. [10:44:59] Well, we had no opportunity to talk to any of his colleagues other
- 20 than the four, so what we wrote there was based on his accounts.
- 21 PRESIDING JUDGE SCHMITT: [10:45:17] We had, we had this several times. I
- 22 think it's clear what kind of material the expert witness has considered for the report,
- 23 together with Mr Akena.
- 24 MR GUMPERT:
- 25 Q. [10:45:26] I'm looking at extract 2, that's an extract from the testimony of

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- 1 Witness D-0027, so this is a Defence witness. He was abducted as a boy at about the
- 2 same time as Mr Ongwen. He knew him both before and after he became one of the
- 3 bigger commanders in the LRA. He recalled that Mr Ongwen did not change. He
- 4 actually mentioned that unchanging character twice.
- 5 If I go to the next extract, extract 3, again a witness called by the Defence, D-56, this
- 6 witness was under Mr Ongwen's command in the Oka battalion. He knew him well,
- 7 he thought that Mr Ongwen was a normal person and he never observed any change
- 8 in his personality.
- 9 Now, what those two witnesses testified about doesn't sound like a description of
- a man who is dissociating three times a week with his body being taken over by
- 11 a completely different personality, does it?
- 12 A. [10:46:45] You and I and everybody else in this room is aware that there is no
- 13 mention of any specific time during which those two witnesses interacted with
- 14 Mr Ongwen.
- 15 Q. [10:47:11] That's wrong, Professor. They have both given a clear account of
- when they were interacting with him and it included periods during the charged
- 17 period.
- 18 A. [10:47:22] The problem though is, multiple identity disorder, or any form of
- 19 dissociation, does not occur all the time every day.
- 20 You might wish to know or note that particularly during periods when Mr Ongwen
- 21 either was asked to go to the battlefield or he was under stress that was when he
- 22 would dissociate. Not all the time.
- 23 PRESIDING JUDGE SCHMITT: [10:48:19] Mr Gumpert, please wait a come of
- 24 seconds with your next question. I am only relaying the information that I get from
- 25 the interpreters. It's a little bit different than normally because I have not the

1 impression that it is so speedy, but the situation is as it is and we have to cope with it

- 2 today.
- 3 MR GUMPERT: [10:48:39]
- 4 Q. [10:48:41] Let's look at some Prosecution witnesses. They too were with him at
- 5 the relevant times.
- 6 Extract 9, P-142, one of his senior subordinates, he told the Judges: "I did not notice
- 7 anything ... strange."
- 8 P-205, also one of Mr Ongwen's subordinate officers at the relevant time, described
- 9 Mr Ongwen as nice, straightforward, caring, helpful.
- 10 These are the people who were fighting with him. These are the people who
- 11 were -- these are the people who were in combat situations with him. These were his
- 12 fellow fighters.
- 13 I will come to what his wives said in a moment, the women he regarded as his wives.
- 14 They would notice if he was dissociating, if he was becoming a completely different
- person three times a week, wouldn't they, when they're going into battle?
- 16 A. [10:50:01] You will recall yesterday me recounting what -- although we seem to
- 17 be disregarding what he says, you will remember me recounting what he said, that
- 18 him being it was probably this morning him being brave, a good fighter, was more
- 19 than just being a good fighter, a good soldier. Meaning there was something in
- 20 general that his subordinates and colleagues noticed about him compared to other
- 21 fellow fighters.
- 22 Let us remember also that these witnesses, both Defence and Prosecution, were lay
- 23 people, who under circumstances of combat or domestic life would not notice what
- 24 was wrong with their colleague; lay people. Even amongst doctors, those who have
- 25 not had the opportunity and privilege to train in mental health would not readily

- 1 recognise that someone is dissociating.
- 2 So let us interpret, I request that we interpret these passages read to me with caution.
- 3 Q. [10:52:22] Professor, the reason I asked you about the building blocks is because
- 4 you need to be an expert to make a diagnosis. But to notice, to use Dr Akena's
- 5 words, that something is amiss or that something is wrong, in the example that you
- 6 gave of the returned fighter, you don't need to be an expert, do you? The reason
- 7 why mental illness is so debilitating is because it impacts on ordinary life and it is
- 8 noticed, its terrible effects are noticed by the ordinary people who live around you.
- 9 That's right, isn't it?
- 10 A. [10:53:08] It is right, Mr Gumpert. But that becomes obvious when, as
- 11 Dr Akena says, someone suffers from a psychotic disorder, and he listed to us the
- 12 three well-known psychotic disorders that together form what is referred to as severe
- 13 mental illness.
- 14 Any other form of mental illness, including this one we are talking about, nobody
- would, nobody who is even a medical doctor, general medical doctor would not
- 16 recognise just on the face.
- 17 Q. [10:54:03] Doctor, I have to challenge you there. If you have two distinct
- personalities, one of which is nice, kind, reasonable, fair, the other of which is vicious,
- 19 violent and angry, and you are alternating between those personalities, as
- 20 Mr Ongwen told you he was as often as three times a week, ordinary people, even
- 21 lawyers, people who work in other fields, not doctors, are going to notice, aren't they?
- 22 It's only commonsense.
- 23 A. [10:54:40] It is not commonsense. And commonsense does not apply to
- 24 everybody.
- 25 I maintain that people who do not suffer from severe mental illness cope with their

- disability, to the extent that those around them will not notice that there is something
- 2 wrong. In most cases they will not notice.
- 3 Q. [10:55:21] So let's just try and understand the mechanism. Dominic's with his
- 4 soldiers and the women he regards as his wives. The other Dominic, the Dominic B,
- 5 the nasty, vicious, angry, violent one, comes upon him, but Dominic A is able, by
- 6 coping, to disguise to the outside world Dominic B's true personality and to pretend
- 7 still to be Dominic A. Is that what's happening?
- 8 A. [10:55:53] Yes.
- 9 Q. [10:55:56] Professor, I suggest that that is --
- 10 A. [10:55:59] Not correct.
- 11 Q. [10:56:00] -- a nonsense.
- 12 PRESIDING JUDGE SCHMITT: [10:56:02] I think on that, I think it would be a good
- opportunity now to have really a break, I would say. Until 11.30, we have a coffee
- 14 break. I think we should stop these nonsense things.
- 15 THE COURT USHER: [10:56:19] All rise.
- 16 (Recess taken at 10.56 a.m.)
- 17 (Upon resuming in open session at 11.32 a.m.)
- 18 THE COURT USHER: [11:32:16] All rise.
- 19 Please be seated.
- 20 PRESIDING JUDGE SCHMITT: [11:32:27] First of all, let there be light.
- 21 Let me --
- 22 MS LYONS: Okay.
- 23 PRESIDING JUDGE SCHMITT: [11:32:34] Please listen to me, Ms Lyons, and I think
- 24 you will stop then, you will not have to say anything.
- 25 Before we continue I would like to make a short remark.

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- 1 It might have been what we might have gone under in the general atmosphere of
- 2 departure before the break. If we have a different opinion in this courtroom, we say
- 3 "I have a different opinion. I disagree. I dispute." But we don't say it's nonsense
- 4 what the other person says. I just wanted to clarify that.
- 5 And I think we should give the witness expert the opportunity, perhaps. Please,
- 6 please don't talk about what is nonsense or not nonsense, you have heard what I have
- 7 said. But I think it might be -- I don't know, but it might well be that you want to
- 8 add something to what you said to make it more clear. I assume it, but perhaps I'm
- 9 wrong.
- 10 MS LYONS: [11:33:52] May I be heard briefly, because I think the Defence -- two
- 11 brief comments, your Honour. I listened to what you said. I want to say, share
- 12 with the Court and the parties and participants really two or three very brief
- 13 comments.
- 14 I'm reacting now because I honestly was in shock. Usually, as you know, I jump up,
- 15 right? Okay, but I was in shock because I didn't expect -- I didn't expect the use of
- the word "nonsense" to describe what had happened.
- 17 And from the Defence point of view, I'm obligated to put on the record that we
- 18 consider the characterisation by Mr Gumpert as disrespectful and patronising.
- 19 I also want to say that the client has a view of this. The events that Professor Ovuga
- was talking about were the client. He feels abused by this, he feels disrespected.
- 21 He can't speak now, but I'm speaking for him that the severity of and the existence of
- 22 mental health illness in the client, you can challenge it, you can dispute it, but you
- can't do it in a way that negates his -- the experiences. And it's disrespectful in
- 24 general to an attitude towards mental illness. It's a problem.
- 25 And I think that this Court is not about that. You have made it clear from your

- 1 position.
- 2 PRESIDING JUDGE SCHMITT: [11:35:14] Yes, so --
- 3 MS LYONS: [11:35:15] That's why I think I wanted to put that on the record that we
- 4 have to conduct ourselves -- or the Prosecution has to conduct itself differently, not
- 5 just for the witness's sake, but also for the client's sake. He is a human being, sitting
- 6 there listening to, you know, Dominic A and Dominic B and everything, and it's
- 7 difficult.
- 8 So I really feel that the Prosecution, from our point of view, was simply out of line to
- 9 make this characterisation and my team has asked me to put this on the record.
- 10 Thank you.
- 11 PRESIDING JUDGE SCHMITT: [11:35:44] Thank you, Ms Lyons.
- 12 But I think and I thought and I still think that the issue is solved with my remarks that
- 13 I made before you made yours, I think.
- 14 And also let's not forget, don't be too harsh. What we are dealing with are serious
- 15 matters and there is always tension in the courtroom and everybody here, except the
- Id Judges, have their interests, you have your client, the Prosecution has its interests,
- and it's absolutely normal that sometimes you get a little bit carried away. So we
- should, I think, now finish this. I think it's done. And Mr Gumpert may simply
- 19 proceed. And it's not that we recognise this as something that should last, I think it's
- 20 now solved and over and we proceed normally.
- 21 Please, Mr Gumpert, continue.
- 22 First the witness, I have forgotten that I gave the witness the floor. If you want, of
- course, if you want to clarify things or simply explain a little bit more, you have the
- 24 time that you need.
- 25 THE WITNESS: [11:36:57] Your Honours, your remarks and the remarks of the

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- 1 Defence seem to have put me in an awkward position, because what both of you have
- 2 said was what I was going to say. I have travelled for seven hours in the air, one
- 3 hour plus from the airport to here to provide support to all parties, as I said earlier.
- 4 I have come as a witness. I have come not as a suspect on trial. I have come with
- 5 full respect to everybody, but particularly the Judges, and therefore, to characterise
- 6 my views as nonsense is not fair. Let me use the word "not fair".
- 7 We should discuss, as your Honour has said, we should discuss as adults. Adults
- 8 have different views and adults have to learn to respect each other's views and
- 9 discuss as adults, and that is what I am requesting. I'm willing to cooperate, but I
- am not willing to have my answers rubbished as nonsense.
- 11 PRESIDING JUDGE SCHMITT: [11:38:49] I think, I think that's understandable, and
- 12 you have heard especially what I have said. And as I said, I think we can proceed
- 13 from here.
- 14 And let me put it this way, the Judges have a lot of experience in the courtroom, this
- 15 simply happens sometimes. But it's -- then it has to be addressed and then when it
- has been addressed adequately, respectfully, then the issue is solved and over.
- 17 And with this, we continue with the examination by Mr Gumpert.
- 18 MR GUMPERT: [11:39:22] Let me say that I apologise. I was out of order. If I was
- 19 seeking excuses, I would blame hypoglycaemia, but I've fixed that with a sugary
- 20 coffee.
- 21 Q. [11:39:38] Professor, one last point in respect of criterion A, which is on the
- screen in front of you. You have had the opportunity to look at extracts 6, 7 and 8 in
- 23 the document. Now these are three of the women whom Mr Ongwen regarded as
- 24 his wives, these are the women who were sharing his bed during the charged period,
- 25 these are people very close to him whom you would expect to notice if he was

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- 1 exhibiting two different personalities, aren't they?
- 2 A. [11:40:26] One would have expected them to have noticed something amiss, but
- 3 as I have repeatedly explained, it is difficult for someone who is dissociating to
- 4 exhibit signs and symptoms of or features of dissociation all the time, most of the time.
- 5 As laypeople, these women would normally not have noticed it, or if they noticed it,
- 6 they probably explained it, as you said earlier on, and it is on the screen, as an
- 7 experience of possession or as an effect of -- the aftereffects of battle activities, so they
- 8 would regard this as normal.
- 9 Q. [11:41:40] But, Professor, you're speculating there, aren't you? In fact, there is
- 10 no such evidence, nobody thought that he was possessed; that's right, isn't it?
- 11 A. [11:41:55] One would have to have an answer as to why they did not notice, and
- 12 speculations are explanatory models to understanding events around us.
- 13 Speculation is not abnormal.
- 14 PRESIDING JUDGE SCHMITT: [11:42:24] So obviously there is a little problem with
- 15 the English transcript, but since the witness speaks English, everybody else in the
- 16 courtroom speaks English, and obviously the translation functions so that the accused
- can follow, we simply continue and it may be corrected in the process.
- 18 I won't make a break here to fix this. Simply because there is a little bit of unrest
- 19 here, it might be noticed, and I don't like that, if it is noticed.
- 20 Please, Mr Gumpert.
- 21 MR GUMPERT:
- 22 Q. [11:42:58] I want to move briefly to B.
- Now, as we've seen, when you first -- or, when Dr Akena first met Mr Ongwen,
- 24 Dr Akena was satisfied that both his short-term and his long-term memory were --
- 25 A. [11:43:20] Yes, proceed. I am following you.

- 1 Q. [11:43:23] It's I who's distracted, Professor.
- 2 PRESIDING JUDGE SCHMITT: [11:43:26] If we have now any discussions about
- 3 this, so what's the problem, Professor Weierstall?
- 4 MR WEIERSTALL: [11:43:36] I'm sorry to interrupt. It's just that I thought that also
- 5 my task would be to report, or to give my comments on Monday, on Tuesday next
- 6 week, and the problem is that the real-time transcript is not working and it's very
- 7 hard to take any notes while listening to Professor Ovuga. And I don't want to quote
- 8 him in the wrong way, and that's the only issue I have. That's why I was
- 9 (Overlapping speakers)
- 10 PRESIDING JUDGE SCHMITT: [11:44:02] I understand.
- 11 Of course this is what I am always saying, because, for example, in Germany, we
- don't have a transcript at all. And we have a discussion now that it might be helpful,
- 13 because indeed, as you say, if you have to take notes and at the same time listen,
- 14 you are distracted. That's clear.
- 15 But isn't it -- I assume that this will be fixed in the course of this morning and then it
- is not lost, you can be provided with lost parts of the transcript by the Prosecution.
- 17 Or can't you?
- 18 MR GUMPERT: [11:44:31] No, I think I can reassure Professor Weierstall that, in our
- 19 past experience when this has happened, not very often, there comes a time when
- 20 suddenly you get five page -- the missing five pages. So although it may not be
- 21 instant, it's not lost forever.
- 22 PRESIDING JUDGE SCHMITT: [11:44:48] So you -- it -- the pages of the transcript
- are not lost and therefore you are not lost, let me put it this way.
- 24 And -- but that's interesting, because it's actually what you don't mind, but I'm
- 25 thinking aloud now, it's exactly what we are talking in Germany at the moment, yeah.

- 1 MR GUMPERT: [11:44:04] We too in England. Currently in England it is the
- 2 judge's job to make the court record.
- 3 PRESIDING JUDGE SCHMITT: [11:45:10] That's the same in Germany, and it's
- 4 much disputed. And I have now the privilege to work here in this environment
- 5 where I don't have to make notes. And I really appreciate it a lot that we have these
- 6 transcripts, because I, I have the comparison with the other system and how
- 7 distracted I have been when I had to take notes, which of course in themselves are
- 8 very subjective and perhaps not, not objective, not correct, and at the same time listen
- 9 to what an expert or a witness said.
- 10 But this is a little bit distracting, but why not sometimes talk about matters that are
- 11 not perhaps at the centre of the discussion that we have here normally in this
- 12 courtroom.
- 13 Mr Gumpert, please proceed.
- 14 MR GUMPERT: [11:45:54] Thank you.
- 15 Q. Professor, perhaps it's a point I've laboured too much. His first presentation to
- 16 you was good memory, no amnesia. The only contraindication is his developing
- story to you of what's going on inside his head. There is no objective corroboration
- 18 of that at all, is there?
- 19 A. I have also laboured a lot to say I agree with you. We sought to have
- 20 interviews with more people back home, but those persons were not availed to us to
- 21 interact with. The only people, as both of us have said, that we were able to
- 22 interview were the four, one of who was interviewed by my colleague.
- 23 Q. [11:47:10] And indeed those four I think were universal in describing him to you
- 24 as a good administrator?
- 25 A. [11:47:17] Yes.

- 1 Q. [11:467:19] People who are good administrators tend not to have faultily
- 2 memories, would you agree?
- 3 A. [11:47:25] These faulty memories were for personal events and all traumatic
- 4 events that are considered by the two diagnostic systems as not being comparable to
- 5 ordinary forgetfulness.
- 6 There is bio -- neurobiological basis for it. So they don't just forget, but the structural
- 7 biochemical and physiological changes that trauma imposed on their brains are the
- 8 ones responsible for their forgetfulness, which is more than ordinary forgetting.
- 9 So I accept that initially this was not possible for him to recall anything about himself,
- 10 but with subsequent interviews and interactions with him, he was able to tell us
- things that he could remember in succession, up to the point when we last saw him.
- 12 So it is not inconsistent.
- 13 Q. [11:48:52] Well, we have been there on the definition of inconsistency. My
- suggestion is: Being a good administrator is inconsistent with having recurrent gaps
- in recall of every day events.
- 16 I'll move on to major depressive disorder.
- 17 PRESIDING JUDGE SCHMITT: [11:49:10] This will be also put up on evidence
- 18 screen 2?
- 19 MR GUMPERT: [11:49:12] Yes, if that's useful.
- 20 PRESIDING JUDGE SCHMITT: [11:49:15] of course, of course, it's -- although, in the
- 21 meantime, even the Judges might, might know the definition, but it's of course good if
- 22 we have it here on the screen.
- 23 MR GUMPERT: [11:49:25] And I emphasise the -- I should say now, Prosecution
- tab 10 for the photocopy of the *ipsissima verba*.
- 25 PRESIDING JUDGE SCHMITT: [11:49:33] Yes, thank you.

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1 MR GUMPERT:

- 2 Q. [11:49:38] I want to take the first two of those together.
- 3 A person who is suffering from major depressive disorder is or has a depressed mood
- 4 most of the day, nearly every day, and is exhibiting markedly diminished interest or
- 5 pleasure in all, or almost all, activities most of the day, nearly every day.
- 6 Yes?
- 7 A. [11:50:10] Yes, according to the two diagnostic systems.
- 8 Q. [11:50:15] And you would agree -- sorry, that was probably too fast.
- 9 And you would agree that that's a -- that that's a diagnostic system arrived at by
- 10 consensus --
- 11 A. [11:50:22] Yes.
- 12 Q. [11:50:25] -- internationally?
- 13 A. [11:50:26] Yes.
- 14 Q. [11:50:28] And it's a view which you share?
- 15 A. [11:50:27] Yes.
- 16 Q. [11:50:30] This is a diagnostic system you yourself and your colleague have used
- in formulating your report?
- 18 A. [11:50:35] Yes.
- 19 Q. [11:50:40] I want to take the examples of some of the sworn evidence of
- 20 Mr Ongwen's contemporaries:
- 21 Extract 1, this is a Defence witness, D-26. He's a junior officer in another unit, he
- 22 knew Mr Ongwen but he wasn't serving under him, so one may think he's a little
- 23 more distant than some of the other people that I have spoken about.
- 24 But he repeatedly said that having known Mr Ongwen from youth up, even when he
- 25 became a commander, Mr Ongwen, he liked to joke and make fun with his juniors.

- 1 And if I take extract 4, that's D-75 --
- 2 PRESIDING JUDGE SCHMITT: [11:51:33] Perhaps it would -- of course, we would
- 3 find it anyway, but if you say where in the transcripts it is, it's easier then, then we
- 4 have it in this transcript and it's easier to follow, if this is possible. If it is, perhaps
- 5 Ms Gilg can help you here. So it makes the transcript in, for example, when I read it
- 6 later, easier for me also to find things.
- 7 MR GUMPERT: [11:51:55] Yes.
- 8 Q. So, T-225, page 5, for what I'm about to say.
- 9 D-75 served under Mr Ongwen for 10 years and he contrasted Mr Ongwen with other
- 10 commanders whom he characterised as brutal. Mr Ongwen was not that. And
- again, he said Mr Ongwen liked to play with junior soldiers and children, his
- 12 characteristic was that he liked to joke around.
- 13 And then one more, extract number 5, another Defence witness in fact, D-118. She
- was abducted as a young girl and assigned to the Sinia brigade, that's Mr Ongwen's
- 15 brigade. Later, during the charged period she was in a sickbay together with
- 16 Mr Ongwen when he was injured. The transcript reference T-216, page 31, she
- 17 remembers him as a kind and loving person who talked to everyone.
- 18 Now, that's a variety of people who knew him reasonably well or sometimes
- 19 extremely well and in different ways. They aren't describing a person who is
- showing a depressed mood most of the day nearly every day, who -- or, who's got
- 21 markedly diminished pleasure in life, are they?
- 22 A. [11:53:44] Can I now respond?
- 23 Q. [11:53:47] That's why I pause, Professor. Yes, please do.
- 24 A. [11:53:52] My colleague on Tuesday told us about masked depression. Masked
- 25 depression is a kind of depression which may be major, it may be minor, which is not

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- 1 exhibited with A1, A2 features and it does not ordinarily lead to dysfunction.
- 2 So an individual who is able to in quotes mask his or her inner feelings will appear
- 3 normal, he or she will function normally, and so there should be no surprise that his
- 4 associates could not tell that there was something amiss.
- 5 But something else to add is that these various people were describing Mr Ongwen
- 6 during the charged period when Mr Ongwen was one of the senior officers. Maybe
- 7 not at the top but he was one of the senior, and as a senior person he was obligated
- 8 to and he was expected to perform his duties to the best of his ability and to the
- 9 expectations of the system.
- 10 So again, there should be no surprise that people close to or in his -- under his control
- 11 could not tell the difference between what is normal and what is not normal.
- 12 I am not saying this because I am a Defence witness, I am saying this for the
- 13 consumption of everybody in this international courtroom. Let me make it clear that
- 14 I have no interest in saying things that will not be on benefit -- of benefit to the
- 15 Prosecution or to victims. In fact, Ongwen himself is a victim. From being a child,
- up to when he reached the time of 2002 up to now, given the history, given his
- 17 life-long experiences, he was forced into his situation against his will. He is a victim.
- 18 Q. [11:57:52] The kind of depression which you have just described, masked
- 19 depression, means that a person is able to function, you told us.
- 20 A. [11:58:04] Mm-hmm.
- 21 Q. [11:58:07] So with such a condition there would be no possibility of the
- 22 destruction of a person's capacity to understand what they were doing, for example?
- 23 A. [11:58:21] In his case yes, I answer -- sorry, the answer is yes, except when he
- 24 dissociates. After he has dissociated and he's during that period of dissociation, then
- 25 he will not be able to understand. But otherwise, under normal circumstances, he

- 1 understands.
- 2 Q. [11:58:46] But, Professor, I thought before the break you had told us that when
- 3 he was dissociating the kind part of his personality was able to control the unkind
- 4 part of his personality. That is what you said, isn't it?
- 5 A. [11:59:09] When dissociation -- okay, let me put it this way in clarification.
- 6 The severity of dissociation may vary from moment to moment. When the
- 7 dissociation is mild and he is able to -- one person is able to control the other person,
- 8 then that individual will be able to function and understand. But when the
- 9 dissociation has become worse, severe, then the other, in his case the rude, violent,
- 10 hostile person, takes over and the normal Mr A would not understand.
- 11 Q. [12:00:03] And at that point the people around him would being to notice, which
- 12 they didn't?
- 13 A. [12:00:07] They are lay people.
- Q. [12:00:09] Well, even lay people can tell the difference between somebody who's
- kind, generous, affectionate and somebody who is rude, violent and aggressive, can't
- 16 they, Professor?
- 17 A. [12:00:23] At the beginning of this session I recall saying that his fellow fighters
- 18 reported to him, and this is what he reported to us, that his being brave, courageous,
- 19 good fighter was more than just being a good soldier, which means they were able to
- 20 tell the difference. It is unfortunate that people that you have quoted were not able
- 21 to provide this information during their testimonies.
- 22 Q. [12:01:17] Well, they had every opportunity to provide all of their recollections,
- 23 Professor.
- 24 A. [12:01:25] Yes, yes.
- 25 Q. [12:01:25] Let me address what you have just said. Mr Ongwen has told you

- that other people noticed that his bravery, his qualities as a soldier had
- 2 an extraordinary, an over brave aspect to them.
- 3 A. [12:01:42] Mm.
- 4 Q. [12:01:43] Let me remind you of something which is to be read in the document
- 5 which you received last Friday.
- 6 I'm looking at extract number 3.
- 7 I'm hoping Mrs Gilg will be able to -- yes, I'm grateful.
- 8 So we are in transcript T-228 at page 48 and, later, transcript T-229 at pages 33 and 34.
- 9 PRESIDING JUDGE SCHMITT: [12:02:29] Please proceed.
- 10 MR GUMPERT: [12:02:31]
- 11 Q. [12:02:31] This is D-56, one of the witnesses called by the Defence. He was in
- the same battalion as Mr Ongwen for about six months in 2002 and he spoke at some
- 13 length about Mr Ongwen's qualities as a soldier and as a commander. He said that if
- 14 Mr Ongwen knew that something was going to bring problems for his soldiers he
- would not engage in it, and that's why his soldiers loved him.
- And he went on, he wouldn't just engage in something without being sure. And he
- said, if there's an order from a senior, he, Mr Ongwen, would sit down with his
- officers and they would assess. And if they feel that this order is not practicable or
- 19 feasible, Mr Ongwen would object to complying with it.
- 20 A. [12:03:39] I remember reading that, yes. Yes.
- 21 Q. [12:03:43] Yes. Now, that's not madcap bravery, is it? That is measured,
- 22 considered, highly skilled command whereby somebody who's given a tactical
- 23 objective discusses it with his subordinates and, if it's not feasible, has the courage to
- 24 tell the higher-ups that it can't be done.
- 25 A. [12:04:07] You are right. But if I may say but in my own assessment of the

- 1 various descriptions that you have read and which I accept I read, what you are really
- 2 doing is shooting the Prosecution in the foot because the Defence can argue that this
- 3 man who is on trial, apart from being a victim, he is not a vicious, evil-minded,
- 4 ill-mannered individual.
- 5 PRESIDING JUDGE SCHMITT: [12:05:07] Mr Witness, but that is something
- 6 different. I think where Mr Gumpert wanted to have your answer, on the backdrop
- 7 of your expertise. The Defence will argue, will argue in a certain way, I'm sure.
- 8 THE WITNESS: [12:05:25] Yes, I am sorry. I am sorry. But I did offer the answer
- 9 yes, but I said --
- 10 PRESIDING JUDGE SCHMITT: [12:05:33] And I have understood.
- 11 THE WITNESS: Yes.
- 12 PRESIDING JUDGE SCHMITT: But it was again a comment by me. Mr Gumpert.
- 13 MR GUMPERT: [12:05:36]
- 14 Q. [12:05:39] Let's look at A3; "Significant weight loss when not dieting or weight
- 15 gain".
- Now, you've observed that Mr Ongwen has put on a certain amount of weight in
- 17 detention?
- 18 A. [12:05:54] Yes.
- 19 Q. [12:05:55] There is no evidence at all of any weight variation during the charged
- 20 period, is there, that you're aware of?
- 21 A. [12:06:03] Mr President, I cannot give a comparative answer to that question,
- because during the charged period I had no interaction with Mr Ongwen. I even
- 23 didn't know how he looked like, so I don't think I can fairly make a comparative
- 24 description about his weight.
- 25 PRESIDING JUDGE SCHMITT: [12:06:39] I think you can move on, Mr Gumpert.

- 1 MR GUMPERT: [12:06:41] Yes.
- 2 Q. [12:06:42] I'm going to take criteria 4, 5 and 6 together:
- 3 Insomnia or hyperinsomnia, so sleeping too little or sleeping too much, nearly every
- 4 day. Psychomotor agitation or retardation nearly every day. Fatigue or loss of
- 5 energy nearly every day.
- 6 Now this Court has heard testimony from seven of the women whom Mr Ongwen
- 7 regarded as his wives, testimony from three of them is summarised in the table which
- 8 you have seen. You would expect the people living in Mr Ongwen's household,
- 9 sleeping in his bed to notice if he was exhibiting these characteristics, wouldn't you?
- 10 A. [12:07:30] I would, but I would explain it in a manner which I did previously.
- 11 Q. [12:07:47] I want to look at criterion A7, "Feelings of worthlessness or excessive
- 12 or inappropriate guilt".
- 13 And here, your Honours, I'm going to be looking at tabs 21 and 22 in the
- 14 Prosecution's binder.
- 15 Professor, it's okay, I will read to you and summarise. And if Ms Lyons thinks I'm
- not doing a fair job, she will stand up and tell me.
- 17 A. Thank you.
- 18 MS LYONS: [12:08:19] I just want to say something about tabs 21 and 22, now that
- 19 we have gotten to them, if I may.
- 20 First of all, I understand that, just in terms of form, tab 21 as well as tab 22 are
- 21 representations from a tape where Mr Ongwen's voice is alleged, if I'm correct. And
- 22 the Defence takes the position that Mr Ongwen is pleading not guilty and there has
- 23 been no finding of this Court as to whether it is in fact his voice.
- Now it's premature to have that finding, but in fairness to the expert, these should not
- 25 be presented as this is Mr Ongwen's voice.

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1 PRESIDING JUDGE SCHMITT: [12:09:23] Ms Lyons, you can be sure that I would

- 2 have addressed it if Mr Gumpert would not have made it clear what it is about. So
- 3 this has still to be found out, what's going on here, but as a supposition, so to speak,
- 4 he can put it to the witness and make clear that it's alleged, alleged that Mr Ongwen
- 5 has said this. Yes?
- 6 Mr Gumpert. And by the way, 22, I would really also appreciate if you read it
- 7 because the copies are not so brilliant that we have here.
- 8 MR GUMPERT: [12:09:55] Yes. And I'm sorry for that.
- 9 Q. [12:10:00] Now, tab 21, this is a transcript and the English translation of a man
- 10 whom two prosecution witnesses have identified as Mr Ongwen that's in dispute, as
- 11 you have heard speaking on the radio shortly after an attack by the LRA at Koch
- 12 Ongako in February 2004. So it's in the middle of the charged period. And I'm
- 13 going to read some of the things which the man whom it is alleged was Mr Ongwen
- 14 said:
- 15 "... some people were competing with me somehow then I went and showed them the
- greatness of God. ... then I beat them and chased them away, I scattered and even
- burnt all their defence. ... I chased all of them, I burnt all the defence. ... Here are the
- 18 things that were got."
- 19 And he then begins to list various weapons which it's being alleged have been seized,
- 20 have been looted, have been taken in the course of the fighting.
- 21 So that's what can be heard on the sound recording in February of 2004.
- Now if that is Mr Ongwen speaking, and it will be for the Judges to decide that, but if
- 23 it is, that's not a man who is suffering from an excess of worthlessness or guilt, is it?
- A. [12:11:50] Earlier I had indicated my doubts about the authenticity of audio
- 25 recordings. But that aside, you see, this criterion or criteria you have read out, they

- 1 are qualified by most of the time, almost every day, usually for the past two weeks.
- 2 There is another type of depression which is not there all the time, it is recurring. It
- 3 recurs from time to time.
- 4 And in addition to what I have said that some forms of depression may be masked, it
- 5 would still not be inconsistent that if the audio recording were due to that -- were
- 6 those of Mr Ongwen, I would still not be surprised that he would have had time to
- 7 say it, although he was probably suffering feelings of guilt or inappropriate -- sorry,
- 8 excessive guilt or worthlessness. But I'm not sure. I cannot give a firm position on
- 9 this because, as I said, I was not there during that alleged time. If I was there and I
- 10 was given the opportunity to examine him, I would have probably uncovered feelings
- of excessive or inappropriate guilt, feelings of worthlessness during that charged
- 12 period. So I'm sorry, here I'm being made to speculate, because, as I said, I had no
- 13 contact with him.
- 14 Q. [12:14:44] Professor, I don't ask you to speculate. I ask you to comment on the
- 15 sworn evidence or the technical evidence which this Court has received.
- 16 One more example. Two, in fact. These are messages which were intercepted by
- 17 the Ugandan internal security organisation in September of 2004 and --
- 18 PRESIDING JUDGE SCHMITT: [12:15:11] Ms Lyons, you can --
- 19 MS LYONS: [12:15:12] This is the same objection.
- 20 PRESIDING JUDGE SCHMITT: [12:15:13] Same procedure like the last time. Mr --
- 21 MS LYONS: [12:15:16] Same objection.
- 22 PRESIDING JUDGE SCHMITT: [12:15:20] Yes, same objection and (Overlapping
- 23 speakers)
- 24 MS LYONS: [12:15:17] The 22, and I can (Overlapping speakers)
- 25 PRESIDING JUDGE SCHMITT: It is sustained in anticipation, but only halfly

- 1 because I assume that Mr Gumpert will solve the problem by simply telling and
- 2 putting into perspective what we are talking about here and that it is disputed and so
- 3 on and so forth, same thing.
- 4 MS LYONS: [12:15:42] Thank you, your Honour.
- 5 MR GUMPERT: [12:15:43]
- 6 Q. [12:15:44] Professor, very briefly, the Ugandan security services were
- 7 monitoring LRA radio messages. You probably know that already.
- 8 A. [12:15:54] Mm.
- 9 Q. [12:15:55] And we have heard from a number of the operatives who were
- 10 conducting that monitoring operation, and they were keeping logbooks of the
- messages which they intercepted, and their evidence was that they had become
- 12 familiar with the voices of the LRA commanders through the course of their work
- 13 over months and years.
- 14 And in the course of their duties and this is disputed, the accuracy of their
- 15 identification, by the Defence they intercepted a radio message from a man whose
- 16 voice they believe, they identified as Dominic Ongwen's voice. Let me give you two
- examples, as I say, September 2004, within the charged period.
- 18 "Meanwhile Dominic told Okulu that his soldiers these days have a lot of morale.
- 19 He said very soon Okulu will hear his name on Radio Mega FM concerning his
- 20 deeds."
- 21 And then just six days later:
- 22 "Dominic said UPDF and civilians always keep singing that LRA should all come out
- of the bush if they don't want to get finished. He said all those are rubbish. He said
- 24 as they keep saying that he will organise more atrocities. He said he does not want
- 25 to hear such foolish talks at all."

- 1 If this is Mr Ongwen speaking, he is displaying the opposite, is he not, of what is
- 2 characteristic of a depressed person with feelings of worthlessness or inappropriate
- 3 guilt? He is boasting about his activities. That's the opposite of how you would
- 4 expect such a person to behave, isn't it?
- 5 A. [12:18:03] Let us remember that I talked about our suspicion that Mr Ongwen
- 6 could have been suffering or might have been suffering from bipolar illness. But
- 7 there was no evidence of bipolar illness when we examined him in 2016 and 2018.
- 8 However, the possibility that he has bipolar traits, not a disorder, but traits, those --
- 9 here, what I mean is that an individual has features consistent with being hyper,
- 10 high-performing individual, high morale, very tactful, very happy at one time, and
- 11 then at another time, he would be the opposite.
- 12 The problem with bipolar traits, it used to be called cyclothymic personality, is that
- usually it is the happier side of the individual that is evident most of the time, and it
- is possible and plausible that Mr Ongwen has had features of cyclothymic or bipolar
- 15 traits. So I would not be surprised if that was his voice and that was what he said he
- was doing and was planning to do, I would not be surprised.
- 17 Q. [12:20:22] (Microphone not activated)
- 18 MS LYONS: [12:20:24] A quick point on the last. I just want the record to reflect
- 19 that the characterisation that this reflected the person as boasting was
- 20 a characterisation from the Prosecution. That is not in any of the originals.
- 21 PRESIDING JUDGE SCHMITT: [12:20:40] Ms Lyons, please, you can really be sure
- 22 and certain that the Judges can distinguish between what is written down and
- 23 recorded and disputed in that case, on the one hand, and on the other hand, some
- 24 wording and formulation by the Prosecution in that case. So I think that it's not
- 25 necessary to remind, that of course we have recognised that this was the wording of

- 1 Mr Gumpert.
- 2 Please continue, Mr Gumpert.
- 3 MR GUMPERT: [12:21:09]
- 4 Q. [12:21:11] I want to consider criterion A8, "Diminished ability to think or
- 5 concentrate, or indecisiveness, nearly every day".
- 6 I want to invite attention to extract 9, this is P-142, transcript 73, page 16: He was
- 7 tough on the rules. He always wanted things done according to the schedule.
- 8 Extract 13, D-32: He was a skilled military commander who really knew how to look
- 9 after his troops. That's at T-201, page 5.
- 10 You yourself, relying upon what the four witnesses you did manage to speak to,
- 11 characterise their descriptions of him as diligent, fearless, but kind, likeable and being
- 12 a good administrator. Now those characteristics can't coincide with a person who
- 13 nearly every day is having difficulties thinking or making decisions, can it?
- 14 A. [12:22:41] You are right, but I have a worry. The worry is whether Mr Ongwen
- 15 indeed did not have features of bipolar disorder -- sorry, traits. I'm also worried as
- 16 to whether these witnesses were talking about Mr Ongwen during the charged
- 17 period.
- 18 So otherwise I agree with you, with your views, but as I said earlier, I think we need
- 19 to interpret those transcripts with caution.
- 20 PRESIDING JUDGE SCHMITT: [12:23:41] And this will be done by the Judges.
- 21 Also we will also have the task later on to put this in a time frame that might fit or not
- 22 fit.
- 23 Mr Gumpert, please proceed.
- 24 MR GUMPERT: [12:23:56]
- 25 Q. [12:23:56] Lastly I come to criterion 9, "Recurrent thoughts of death, recurrent

1 suicidal ideation".

- 2 Mr Ongwen has told you a great deal of his suicidal feelings, both in the bush
- 3 (Redacted). One of the four individuals you spoke to said that on one occasion
- 4 while he was still a sergeant, Mr Ongwen had told her that he wanted to kill himself,
- 5 and that on a second occasion, around 2009, she had heard the same thing indirectly.
- 6 But, Professor, you had the opportunity to study the extracts which the Prosecution
- 7 has provided to you and the extracts or the summaries of the material from the other
- 8 three persons you spoke to. If a person is so depressed that they are making serious
- 9 attempts to kill themselves, you would expect the people he sleeps with, the people
- who live in his household and the fighters he is commanding to notice, wouldn't you?
- 11 Particularly perhaps the latter, they are the people whose lives depend upon him in
- 12 combat.
- 13 A. [12:25:04] We are lucky that at least one confidente learnt about Mr Ongwen's
- suicidal or self-harm feelings directly from him. We are also lucky that one other
- 15 person indirectly got to know about it. So I would not -- I would not dismiss the
- 16 recurrent suicidal ideations that he told us because at least two people got to know
- 17 about it, even before we did.
- 18 Q. [12:25:59] It's just one actually, one person making two reports, Professor.
- 19 PRESIDING JUDGE SCHMITT: [12:26:05] But I think, Mr Gumpert, the witness has
- answered, and we have the evidence on the record and we have the reports, and we
- 21 know what he's referring to. You can continue.
- 22 MR GUMPERT: [12:26:15]
- 23 Q. [12:26:18] Last of the three illnesses which I have spoken of, post-traumatic
- 24 stress disorder.
- 25 And for those who want the DSM criteria repeated verbatim, that's at tab 12 of the

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- 1 Prosecution binder. But again we will use the screen.
- 2 Now, Professor, I accept that Mr Ongwen accepts criterion A. I accept that he has
- 3 had exposure to actual or threatened death, serious injury, or possibly even sexual
- 4 violence. I want to go straight to B, the presence after the traumatic events of
- 5 various features. And I'm going to take the first three together, recurrent
- 6 involuntary and intrusive memories, recurrent distressing dreams, dissociative
- 7 reactions in which the individual feels or acts as if the traumatic events were
- 8 recurring.
- 9 Again, if this was happening to him on a regular and serious basis, you would expect
- 10 the people around him to notice, wouldn't you, the people who live in his household,
- 11 the people who sleep in his bed?
- 12 A. [12:27:34] I would expect they would notice. But as I explained before, they
- 13 would regard what they notice as the consequences of his involvement in -- in the
- bush or bush activities. They would interpret this as spirit possession, signs of spirit
- possession, and they would expect that if only rituals could be conducted,
- 16 Mr Ongwen would be normal. But otherwise, I cannot say that they did not notice.
- 17 Q. [12:28:31] Professor, you would expect them to say things like rituals need to be
- 18 performed?
- 19 A. [12:28:38] Yes, yes.
- 20 Q. [12:28:39] When they didn't say that, when either I or the Defence or sometimes
- 21 even the Judges asked witnesses, "What was Mr Ongwen like?" when none of them
- said, "Oh, he was the kind of person who was so disturbed I thought rituals needed to
- 23 be carried out", that's an important piece of evidence, isn't it, for your forensic
- 24 consideration?
- 25 A. [12:29:03] I can assure you that Mr Ongwen himself reported his wish for rituals

- to be performed if he was given the chance to go back home. You might dispute this
- 2 because it is subjective, but I can assure you that in the course of our discussions
- 3 Mr Ongwen did tell us about this.
- 4 Q. [12:29:45] I want to ask you about B4 and B5 together, "Intense or prolonged
- 5 psychological distress at exposure to internal or external cues" and "marked
- 6 physiological reactions to internal or external cues".
- 7 Again, the people who lived around him and the people who served as soldiers under
- 8 him are going to notice these things, aren't they, Professor?
- 9 A. [12:30:14] They would, but my explanation on earlier occasions still holds.
- 10 Q. [12:30:25] Forgive me, I have forgotten what it was. What is the explanation
- for this feature of the disease which you have described not being observed by the
- 12 people closest to him?
- 13 A. [12:30:41] Signs of psychological distress would be things like self-isolation from
- others, keeping quiet, avoidance of reminders, avoidance of places that remind the
- individual of, of the traumatic events they went through.
- And marked physiological reactions including sweating, fearful appearance, tremors
- or visible tremulousness, being unable to rest or be at ease, which can be seen. Even
- when somebody's seated down they would not be seated as comfortable as, for
- 19 example, I am seated. They would not when they are standing, not stand at ease as
- 20 you are standing. They will be restless on their feet.
- 21 And again, as -- as a commanding officer, someone who is to give example of courage
- 22 to his soldiers, someone who is expected to give examples of hope to his family
- 23 members, despite these signs of psychological distress and physiological reactions,
- 24 that individual would mask them and perform so that those around him would not

25 notice.

- 1 PRESIDING JUDGE SCHMITT: [12:33:14] Please move on, Mr Gumpert.
- 2 MR GUMPERT: [12:33:17] Yes, thank you.
- 3 Q. [12:33:19] Can we move on to C. We are dealing here with persistent
- 4 avoidance, avoidance of or efforts to avoid distressing memories and thoughts related
- 5 to the traumatic events or avoidance of external reminders.
- 6 There's a contradiction here, isn't there, Professor? You suggest that Mr Ongwen
- 7 was compulsively attracted to battle and fighting and danger, so his own report of his
- 8 symptoms run flatly contrary to this notion of avoidance, don't they?
- 9 A. [12:34:11] Yes, you are right, you are perfectly right and that is why we on our
- 10 last visit, during our last visit, we explored for possibility of obsessive-compulsive
- disorder, which unfortunately we failed to reach at diagnosable level.
- 12 You also heard me, was it yesterday, suggesting to Professor Weierstall that he and
- 13 his group should explore the possibility that OCD and appetitive aggression might be
- 14 related.
- 15 So while you are -- you are completely right, it still does not negate the possibility that
- 16 he was -- he was attracted to battle. And in fact, one of his witnesses -- sorry, one of
- 17 his associates that we interviewed said he liked to fight, he was a good soldier. He
- 18 himself reported to us that his friends told him he had a spirit of fighting. Let us
- 19 here now remember that it is laypeople who are describing his behaviour in terms of
- 20 spirit influence, spirit of fighting. But otherwise, I fully agree with you.
- 21 Q. [12:36:36] We can move on to the second to last, I'm pleased to say, diagnostic
- 22 criterion: Negative alterations in cognitions and mood. And there are various
- 23 ways in which that might be -- yes, sorry, the slide was discrepant for
- 24 a moment -- various ways in which that may typically be exhibited: Inability to
- 25 remember an important aspect of traumatic events.

- 1 And I think we dealt with this earlier, didn't we, Professor?
- 2 A. [12:37:17] Yes.
- 3 Q. [12:37:18] That there's no contemporary corroboration for amnesia in the bush,
- 4 and indeed his own first account is flatly contrary. You agree with that, don't you,
- 5 that that's how it is?
- 6 A. [12:37:30] Mm-hmm.
- 7 Q. [12:37:31] "Persistent and exaggerated negative beliefs or expectations".
- 8 Again, Professor, you'd accept, wouldn't you, that the evidence -- you've made
- 9 a number of comments about how it might be interpreted, but at face value there's no
- 10 persistent exaggerated negative beliefs about a man who is transmitting radio
- 11 messages in the terms that we heard earlier?
- 12 MS LYONS: [12:37:57] Objection. Allegedly. I mean we -- I want the record to be
- 13 clear.
- 14 PRESIDING JUDGE SCHMITT: [12:38:02] Yes. Yes. Yeah.
- 15 MS LYONS: [12:38:03] I'm sure that Mr Gumpert understands what I'm saying and,
- please, just to make the record clear and make it fair to the witness so I don't have to
- 17 keep jumping up.
- 18 PRESIDING JUDGE SCHMITT: [12:38:13] So, yes, yes this -- I think two things:
- 19 First of all, you are right insofar that it is alleged. But we had this before and I think
- 20 this was 10 or 15 minutes ago, so it's relatively clear to everyone, and it is especially
- 21 clear to the Judges who are sitting here, which are, in this regard I think, the
- 22 important ones.
- 23 And we have a very intelligent expert here and, to him, it's also clear that he
- supposed to answer under the assumption that Mr Ongwen has said that but it might
- 25 not be true and it might have to be -- will have to be figured out somehow and is

- 1 disputed. I think this is clear.
- 2 So you can continue now.
- 3 MR GUMPERT: [12:38:56]
- 4 Q. [12:38:57] D3, "Persistent, distorted cognitions about the cause or consequences
- 5 of the traumatic event(s)".
- 6 Somebody who has got the wrong idea about what has caused something to happen,
- 7 particularly a military commander, is not going to be good at their job, are they?
- 8 A. [12:39:19] Put the question differently.
- 9 Q. [12:39:21] Yes, if I have -- if I think that the reason that I have arrived in this
- 10 courtroom a traumatic event, I can tell you is because a spaceship has landed on
- the roof and little green men have deposited me here on the floor of the courtroom,
- 12 I'm probably not going to be very effective in the work that I'm doing. Would you
- 13 agree, Doctor -- Professor?
- 14 A. [12:39:49] In the first place, if -- let me replace you with me. If I held that belief,
- 15 based on experience on the, on the roof of the top floor, most likely that sort of
- severity would force me to demonstrate it in my distorted behaviour. And of course
- my boss, the spirit in this case we are talking -- we know who we are talking about,
- 18 would not even consider twice making me a commander or a leader of a group.
- 19 PRESIDING JUDGE SCHMITT: And --
- 20 THE WITNESS: Are we talking the same -- different words but the same thing?
- 21 MR GUMPERT: [12:40:49] I think we couldn't be more in agreement, Professor.
- 22 PRESIDING JUDGE SCHMITT: [12:40:54] And, by the way, we might also not be
- 23 sure how adequate the analogy is. This could also be disputed.
- 24 Please continue.
- 25 MR GUMPERT: [12:41:04]

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1 Q. [12:41:04] I'm going to take D4: Persistent negative or emotional -- negative

- 2 emotional state; D5, markedly diminished interest in significant activities; D6, feeling
- 3 of detachment; D7, inability to experience positive emotions together, because we
- 4 have already looked at these as features of depressive disorder, major depressive
- 5 disorder, haven't we, Professor?
- 6 A. [12:41:29] Yes. You see, the problem is PTSD and major depressive disorder
- 7 co-exist, sometimes co-exist. Sometimes PTSD may be a consequence of depression
- 8 or vice versa. So it is not unusual for the different criteria that you have listed,
- 9 which are in front of me also, to be present in both of them.
- 10 And that is why Dr Akena laboured on Tuesday to explain that sometimes there may
- be a need to tell which one came first and which one followed, and, if there is a third
- one, why that third condition is the third one on the list.
- 13 In my exemplification I also said, sometimes, when two or three conditions co-exist,
- 14 yes, you put the one which developed first as the primary diagnosis and then you put
- 15 the others either as secondary diagnosis, or you still describe the first diagnosis and
- say "with features of" and "with features of".
- 17 So this is the issue of why different disorders may have common symptoms and signs
- and how we try and differentiate them.
- 19 PRESIDING JUDGE SCHMITT: [12:43:32] And, Mr Gumpert, you have already
- 20 addressed them in this other context.
- 21 MR GUMPERT: [12:43:37] I just want to draw one example of testimony given here
- 22 to your attention, in the context of this cluster of diagnostic criteria that we're looking
- 23 at.
- 24 It's extract number 12, and that is from T-64, page 87; this is P-264. He was
- 25 a member of the Sinia brigade and Ongwen, Mr Ongwen was his brigade

- 1 commander.
- 2 He said if Mr Ongwen was chosen to lead an operation, he always encouraged his
- 3 soldiers. Even a soldier who was frightened would be able to participate.
- 4 Now, in the context of this cluster of negative emotions, diminished interests, feelings
- 5 of detachment and estrangement, this emotional intelligence, rapport with frightened
- 6 subordinate soldiers is something which is exactly the opposite of what one would
- 7 expect of a person who is exhibiting these characteristics, isn't it?
- 8 A. [12:44:54] You are right. But let us remember that we don't always suffer from
- 9 disorders all the time. We may have them chronic -- chronically present, but they
- 10 may not be so severe as to impair our functionality.
- 11 Q. [12:45:23] And lastly, E, "Marked alterations in arousal and reactivity associated
- with the traumatic event(s)". And a number of typical behaviours.
- 13 We've covered the first, haven't we? Irritable behaviour, angry outbursts. You're
- 14 aware that the -- I think I can say the vast preponderance of the evidence is the
- opposite, that he was a jolly and cheerful man.
- 16 MS LYONS: [12:46:00] I heard -- I heard preponderance of the evidence.
- 17 This is a -- this is a legal conclusion, it doesn't belong in a question.
- 18 PRESIDING JUDGE SCHMITT: [12:46:05] It's not a legal conclusion, it's a --
- 19 MS LYONS: What is --
- 20 PRESIDING JUDGE SCHMITT: -- an assessment of the evidence by Mr Gumpert, if
- 21 you will.
- 22 MS LYONS: All right.
- 23 PRESIDING JUDGE SCHMITT: [12:46:12] Put your question to him and --
- 24 MR GUMPERT: [12:46:14]
- 25 Q. [12:46:14] You've read the extracts. By and large -- indeed, actually, uniformly

- they say he was jolly and gentle, don't they?
- 2 A. [12:46:24] Mm-hmm.
- 3 Q. [12:46:26] Reckless and self-destructive behaviour, E2. Again, those remarks
- 4 made by witnesses who were his subordinates about what a careful commander he
- 5 was, how he would refuse impossible or impractical orders, that's the opposite of
- 6 reckless or self-destructive behaviour, isn't it?
- 7 A. [12:46:48] We addressed the issue of recklessness and self-destructive behaviour
- 8 in our report.
- 9 And yesterday, also, I talked about his, what we would call reckless and
- self-destructive behaviour when he told us that he would often run towards the
- enemy, expecting that they would shoot to kill him. So that reckless or
- self -- self-destructive behaviour is or was present.
- 13 Q. [12:47:36] But, Professor, you understand what we're looking at here is whether
- 14 there is corroborative evidence for the long and detailed accounts which he gave you.
- 15 A. [12:47:48] We --
- 16 Q. [12:47:48] What we're looking at here, what I am asking you to help the Court
- 17 with, is not what Mr Ongwen told you, it's whether the other evidence to which you
- were denied access but which since last Friday you've been able to access supports
- 19 what he said or not. And it doesn't, does it?
- 20 A. [12:48:10] It does not. But as I explained before, lay people will not ordinarily
- 21 be able to see what the professional sees and what the professional has written for us
- 22 to see now on the screen.
- 23 PRESIDING JUDGE SCHMITT: [12:48:29] Please move on, Mr Gumpert.
- 24 MR GUMPERT: [12:48:31] Yes.
- 25 Q. [12:48:32] I can deal with 3 and 4, hypervigilance and exaggerated startle

1 response, together.

- 2 You record how now, or at least while he's in prison, Mr Ongwen was startled by the
- 3 fireworks in The Hague, thinking they were gunfire. But there's no evidence of any
- 4 similar behaviour while he was with the LRA during the charged period, from what
- 5 you have now been able to see, is there?
- 6 A. [12:49:04] If we consider his premonitions of an imminent attack on him and his
- 7 troops, and his response to that premonition, then -- but you might again challenge
- 8 and say there is no corroborative evidence, but these startled responses were there in
- 9 the bush.
- 10 Q. [12:49:44] Well, Professor, that's what he told you, isn't it?
- 11 A. [12:49:47] Yes.
- 12 Q. [12:49:48] Yes. Let's deal with the last two and then I am done with this
- 13 recitation of diagnostic criteria, which may be welcome to some in the Court.
- 14 Problems with concentration, sleep disturbance. In fact, we have looked at them
- under another heading, haven't we, both of them, under depressive disorder? And
- 16 you noted that there is sometimes an overlap of symptoms between different
- disorders, but you would agree with me, now having had the chance to look at the
- evidence given in this courtroom, that apart from his account to you there is no
- 19 objective support for any such symptoms?
- 20 A. [12:50:30] Mr Gumpert, I would agree with the Prosecution, but I would beg to
- 21 repeat what I repeated yesterday -- sorry, what I said yesterday, or this morning, that
- 22 the -- we have an obligation as medical interviewers to accept and report to those who
- 23 need the report what somebody has said, because what they have said is determined
- by their internal experiences, internal experiences that you and I cannot see and then
- 25 we have to hear. Sometimes we have to see. But unless somebody else sees along

- 1 with us, it becomes a problem which I say it should not be. Because the person
- 2 knows best how they feel, we do not know.
- 3 Q. [12:51:54] Professor, approximately how many domestic trials have you given
- 4 evidence in as an expert psychiatrist -- a forensic psychiatrist?
- 5 A. [12:52:08] Unfortunately, my field is adult psychiatry. In all the three countries,
- 6 Uganda, Kenya and South Africa, where I practiced, unfortunately there are no
- 7 organised child forensic psychiatry units.
- 8 I had opportunity as a forensic psychiatrist in Kenya, when I was asked to examine
- 9 and report on the mental state of an adolescent who killed his brother during an
- 10 epileptic fugue state. Fugue state here refers to it will now be under
- 11 dissociative an epileptic dissociative state during an episode of seizures. And he
- 12 killed his brother with a machete in the morning --
- 13 PRESIDING JUDGE SCHMITT: [12:53:42] Mr Witness, this is another case, so it is
- 14 not --
- 15 THE WITNESS: [12:53:43] Yes, I --
- 16 PRESIDING JUDGE SCHMITT: [12:53:44] -- it's not up to us and it's not -- it's only
- important to know that you have acted as a forensic psychiatrist, I think that was the
- 18 question by Mr Gumpert.
- 19 MR GUMPERT: [12:53:59]
- 20 Q. [12:53:59] I may have given the wrong impression, particularly in regard to my
- 21 earlier discourtesy and I apologise. I'm not challenging the fact that you've done lots
- of work in the courtroom, but that's right, isn't it? Dozens of trials, yes?
- 23 A. [12:54:08] Yes.
- Q. [12:54:09] Yes, all right. Now in those trials, let's take -- for example, the case
- 25 you were just talking about, although we don't need the details. Acting as a mental

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- 1 health expert, you would ask the person concerned, the accused, the defendant, what
- 2 they remember of the charged crimes. What they were thinking and feeling when
- 3 those crimes occurred, wouldn't you? Or whether they denied being present
- 4 altogether. You would want to get a clear picture of what the accused person was
- 5 saying about each of the crimes they were accused of, yes?
- 6 A. [12:54:53] What you have described is exactly what I did in this case, which I
- 7 talked about. And for your interest and in the interests of the Defence and the panel
- 8 of Judges, I also did a neuropsychological examination, that is, what we call
- 9 electroencephalography, that is, a tracing of the brain's electrical activity. I did --
- 10 Q. [12:55:35] You gave Mr Ongwen an EEG?
- 11 A. [12:55:39] Yeah -- no, not him. We are talking about -- I thought we are talking
- 12 about the example you --
- 13 PRESIDING JUDGE SCHMITT: [12:55:45] No, no, Mr Ovuga, we don't need the
- 14 specificities of another case --
- 15 THE WITNESS: [12:55:47] Okay.
- 16 PRESIDING JUDGE SCHMITT: -- the matters are I think quite clear.
- 17 THE WITNESS: [12:55:52] Okay.
- 18 PRESIDING JUDGE SCHMITT: [12:55:59] Yes. Given the time, do you
- 19 have already an idea how --
- 20 MR GUMPERT: [12:56:04] Definitely going to finish this afternoon. No question of
- 21 needing extra time --
- 22 PRESIDING JUDGE SCHMITT: [12:56:07] Okay. Good.
- 23 MR GUMPERT: [12:56:08] -- or so --
- 24 PRESIDING JUDGE SCHMITT: [12:56:09] And from the -- isn't it a good idea to
- 25 have now the break until 2.30? You just --

- 1 MR GUMPERT: [12:56:14] I was hoping --
- 2 PRESIDING JUDGE SCHMITT: [12:56:15] -- one question?
- 3 MR GUMPERT: [12:56:15] -- to take this --
- 4 PRESIDING JUDGE SCHMITT: [12:56:16] -- of course, please.
- 5 MR GUMPERT: [12:56:16] -- a bit further.
- 6 PRESIDING JUDGE SCHMITT: [12:56:17] Yes, okay. Then please tell me, but soon
- 7 we will have the break.
- 8 MR GUMPERT: [12:56:20]
- 9 Q. [12:56:20] So you've described your domestic practice in various countries, in
- 10 fact, it's important to understand what the client or what the accused person says
- about the individual crimes that he or she is accused of --
- 12 A. [12:56:36] Mm-hmm.
- 13 Q. [12:56:37] -- "I wasn't there; I might have been there, I can't remember; I was
- 14 there, but I was defending myself; I was there but I was under duress; yes, I did it and
- 15 I'm guilty." A spectrum of possibilities and you need to find out what the client's
- 16 saying, yes?
- 17 A. [12:56:55] That is exactly what we did, yes.
- 18 Q. [12:56:58] Mm-hmm?
- 19 A. [12:56:59] And the client describes what I described earlier, I think it was
- 20 yesterday, using the concept of distress -- sorry, duress, using the concept of altered
- 21 consciousness, using the concept of major depression and PTSD.
- 22 What he says, if you are interested in what he said concerning his role is that, yes, he
- 23 did stay in the bush from the ages of between eight and nine up to when he
- 24 surrendered. During that time, he faced a lot of challenges, but he was forced to go
- 25 to battle; although that being forced did not come through using the transcripts you

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- 1 have read. He participated in battle, but he also described having saved the lives of
- 2 many of his soldiers.
- 3 Q. [12:58:25] Professor, none of those things are the crimes he is charged with. Let
- 4 me give you an example of crimes that he is charged with. It's charges 50 to 57. He
- 5 is charged with --
- 6 PRESIDING JUDGE SCHMITT: [12:58:38] Ms Lyons?
- 7 MS LYONS: [12:58:39] I hope that Mr Gumpert is not going towards -- I don't know
- 8 the answer, but I hope that he's not moving towards admissions being entered into
- 9 this courtroom where the client is presumed innocent through this witness.
- 10 I don't know where he's going, but I cautiously raise this --
- 11 PRESIDING JUDGE SCHMITT: [12:59:00] I think he simply wants to know if the
- 12 expert has discussed, so to speak, the charges with the accused, I think.
- 13 Is it so simple or am I too simplistic?
- 14 MR GUMPERT: [12:59:15] No. No, there is no simplicity or simplisticity, your
- 15 Honours (Overlapping speakers)
- 16 PRESIDING JUDGE SCHMITT: [12:59:16] No, no, and we answer this at 2.30, if the
- 17 witness wants to answer it.
- 18 We will break now.
- 19 THE COURT USHER: [12:59:26] All rise.
- 20 (Recess taken at 12.59 p.m.)
- 21 (Upon resuming in open session at 2.32 p.m.)
- 22 THE COURT USHER: [14:32:18] All rise.
- 23 Please be seated.
- 24 PRESIDING JUDGE SCHMITT: [14:32:37] Mr Gumpert, please proceed.
- 25 MR GUMPERT: [14:32:44]

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- 1 Q. [14:32:56] Professor, just before the lunch break I was asking you about some
- 2 specific crimes with which Mr Ongwen is charged. I mentioned charges 50 to 57 by
- 3 way of example, really. Those are charges of rape, torture, forced marriage, sexual
- 4 enslavement and enslavement. And the victims are clearly set out in the Document
- 5 Containing the Charges as being seven individuals who have given testimony on
- 6 oath.
- 7 Now, I am not asking you to tell the Court anything that Mr Ongwen told you. The
- 8 question is quite different. Have you ever asked him about his state of mind and his
- 9 state of health at the time when he is alleged to have committed those crimes in
- 10 respect of those women?
- 11 A. [14:34:18] I am not sure if the alleged crimes were specifically linked to him with
- 12 the evidence you have, or it is a matter of asking me for my opinion as to
- 13 whether -- opinion and fact as to whether I asked him.
- 14 Q. [14:35:02] I don't think it's either of those things, Professor. Let me -- you're
- 15 familiar with the Document Containing the Charges against Mr Ongwen, yes?
- 16 Let me read to you in respect of just one of those individuals. This is a witness who
- 17 goes with the pseudonym P-227.
- 18 This is what the Document Containing the Charges says, she testified she had been
- 19 abducted by LRA fighters under Dominic Ongwen's command in approximately
- 20 April 2005. She was then placed in Dominic Ongwen's household where she
- 21 remained until her escape in December 2010, closely guarded and under the threat of
- being brutally beaten if she had attempted to escape. Soon after her abduction she
- 23 became Dominic Ongwen's so-called wife. Throughout her stay in Dominic
- 24 Ongwen's household she was repeatedly forced to have sex with him and forced to
- 25 perform domestic duties.

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- 1 So it couldn't be very much more specific, I would suggest, and my question is, using
- 2 those charges and that person as an example, have you ever asked him about his state
- 3 of health, his state of mind when those alleged crimes took place?
- 4 A. [14:36:41] The answer is yes. And if I may go on to make an explanation, the
- 5 explanation goes as follows:
- 6 Having enquired into his state of mind during the charged period and in relation to
- 7 the charges of sexual enslavery, let me use that word, to cover all the allegations, the
- 8 question was whether those charges -- what they meant to him.
- 9 His answer was, and it is in the reports, his answer was, if you have two women, one
- of them is given to you as a wife and the other you selected by yourself, you went
- through a process of courtship and engagement, it is the latter that you truly regard
- 12 as your wife. The other is not.
- 13 We didn't offer any explanation or supposition regarding his answer, what we did
- was simply to report it as it was given to us.
- But, yes, the answer to mental state, we enquired into his mental state for almost each
- of those years that he was in captivity.
- 17 Q. [14:38:55] Was it not important in your duty to help the Judges to provide
- details in your report about your assessment of his state of mind at the time of each
- 19 alleged crime?
- 20 A. [14:39:17] The problem and the challenge, if you were in my position, were that
- 21 here we would be referring to recall memory. And the facts of the recall memory, as
- 22 you have repeatedly challenged, would have been without corroboration. Because
- even if I said yes, the suspect was mentally ill, then the next question is: how would
- 24 a mentally ill person engage with a woman either given to him or him forcing the
- 25 woman on himself, and so on, so one question after another would follow.

- 1 The other was -- for your information, I am not accusing the group I was working
- 2 with or supporting.
- 3 The brief given to us was not sexual offences. The brief was given to us for
- 4 nonsexual offences. But otherwise we did delve in that area. So if your view is that
- 5 we didn't provide detailed information, you are right, but I have explained the
- 6 circumstances.
- 7 PRESIDING JUDGE SCHMITT: [14:41:16] I think you can move on, Mr Gumpert.
- 8 MR GUMPERT: [14:41:19]
- 9 Q. [14:41:22] Professor, in a document which was intended as a set of guidelines for
- 10 forensic psychiatrists, which you published back in October 1991, you said this --
- 11 PRESIDING JUDGE SCHMITT: [14:41:43] May we have the reference?
- 12 MR GUMPERT: [14:41:46] Yes, indeed.
- 13 PRESIDING JUDGE SCHMITT: [14:41:47] I think it must be in some of the binders.
- 14 MR GUMPERT: [14:41:50] Defence tab 15.
- 15 PRESIDING JUDGE SCHMITT: [14:41:53] Thank you.
- 16 MR GUMPERT: [14:41:56] Binder 2. It's at page 825, the last digits of the ERN are
- 17 1416.
- 18 MS LYONS: [14:42:27] Your Honours, a technical point. This item, I'm informed, it
- 19 was in the binder but it's not on the list of the evidence that was noticed to us by the
- 20 Prosecution.
- 21 PRESIDING JUDGE SCHMITT: [14:42:40] We had the same -- first, first question,
- 22 Mr Gumpert. Is this correct? I can't now verify.
- 23 MR GUMPERT: [14:42:50] Your Honour, yes.
- 24 PRESIDING JUDGE SCHMITT: [14:42:51] Yet, yet we had a similar occurrence --
- 25 MR GUMPERT: Yes.

- 1 PRESIDING JUDGE SCHMITT: -- one of these days. Please allow me not to recall
- 2 directly if it was Monday or Tuesday, but it's East Africa Medical Journal. So for the
- 3 sake of coherence here and consistence in the decisions of the Chamber, this is a
- 4 document that is publicly available and it seems to have been written by, yes, by
- 5 Mr Ovuga. And we had the same, I think, with Mr Akena. It's exactly the same
- 6 circumstance and the ruling is the same. It might be put to the witness on this
- 7 exceptional basis.
- 8 MS LYONS: [14:43:31] I have just been informed, because how quickly I forget, this
- 9 was an item last week, was one of the three items we tried to put on the list of
- 10 evidence, the Prosecution opposed it. So I'm looking for some consistency here. I
- mean, obviously, they have changed their mind, but we had wanted to have this on
- 12 the list of evidence and they said no. And it was -- the decision was -- there was a
- 13 negative decision from the Bench on this particular item.
- 14 PRESIDING JUDGE SCHMITT: [14:44:14] (Microphone not activated) We have
- indeed rejected it in ruling 1661.
- MR GUMPERT: [14:44:21] Despite which the Defence put it into the binder for
- 17 potential use in questioning the witness.
- 18 MS LYONS: [14:44:30] That's not correct. It was in the Defence -- as I explained,
- 19 there were two binders. Binder 2 specifically included all of the bibliography from
- 20 the doctors, all these articles I couldn't understand about epigenetics. And
- 21 everything was in there, the whole bunch of them, 30 of them. I don't know, 25
- 22 or 30.
- 23 The article by Professor Ovuga was one of those and, for completeness -- and it was
- really for the convenience of our witnesses.
- Now, I used two separate articles, we put them on our list of evidence, it was not this

- 1 particular article.
- 2 PRESIDING JUDGE SCHMITT: [14:45:07] So I have to, I have to say -- you might be
- 3 indulgent with me that I do not recall what we ruled upon already on thousands of
- 4 items, but indeed we have rejected it and so the objection is sustained, Ms Lyons,
- 5 because we have rejected it already. We don't reverse this. You can ask another
- 6 question.
- 7 You can draw any proposition out of it but not read it out and not use it.
- 8 MR GUMPERT: [14:45:43]
- 9 Q. [14:45:44] Your view is that it's important for forensic psychiatrists to establish
- 10 the presence of or lack of a relationship between the offence and an established
- diagnosis or psychiatric symptomology at the time of the particular crime, isn't it?
- 12 A. [14:46:11] Your Honour, I think the Prosecution is circumventing the sustenance
- of the objection that you have just made.
- 14 MR GUMPERT: [14:46:24] (Microphone not activated) this objection. I would say
- 15 I'm doing exactly what you directed me to.
- PRESIDING JUDGE SCHMITT: [14:46:30] No, no, Mr Witness, it's -- it's not up to
- 17 you, so to speak, to decide on objections.
- 18 You can always, you know, you can take this article as reference or simply formulate
- 19 a question which draws out some ideas of it in the abstract. So nobody, nobody can
- 20 complain against this and I thought that Mr Gumpert has made that.
- 21 If you have read it, read it out literally, which I can't now assess, the witness would
- even be right, I would say. But you can perhaps rephrase it in a manner that does
- 23 not exactly draw on the wording of the article. Otherwise, the meaning of the ruling
- 24 would be circumvented.
- 25 MR GUMPERT: [14:47:15]

- 1 Q. [14:47:17] You think it's important for forensic psychiatrists to be able to
- 2 establish, or otherwise, a link between any illness and the particular crime at that time
- 3 that crime is committed. You think that's important, don't you?
- 4 A. [14:47:38] It is.
- 5 Q. [14:47:39] But, as I understand it, you are ignoring -- forgive me, I withdraw that
- 6 word. You are not abiding by that precept in this case. You are looking at his
- 7 symptomology, at best, over a 30-month period, aren't you?
- 8 A. [14:48:06] I have already explained to you that the brief given to us, that is,
- 9 Dr Akena and myself, that we should not concern ourselves with sexual offences.
- 10 But as far as the other crimes are concerned, we made it explicit, both in the report
- and also through responses to questions in this courtroom, that some of the crimes,
- 12 particularly in the battlefield, might have been during periods of mental instability.
- 13 So it is not entirely correct that we did not use the standard, clinical standard that I
- 14 published.
- 15 Q. [14:49:41] Let's consider the crime of rape, despite the injunction which you
- 16 were given in preparing your report.
- 17 Rape is not going to be an event which is provoked by a premonition of combat by
- 18 the smell of gunpowder, is it?
- 19 A. [14:50:03] No.
- 20 Q. [14:50:06] So the --
- 21 A. [14:50:10] But --
- 22 Q. [14:50:12] I'm sorry, do go ahead.
- 23 A. [14:50:14] But the urge to sexually assault another person, whether a man or a
- 24 woman, might be the result of some form of mental derangement, such as personality
- 25 disorder.

- 1 Q. [14:50:38] It's also your opinion, as I understand it, that where there is a clear-cut
- 2 motive, that is something which will predispose against the likelihood of a crime
- 3 being committed as a result of mental disturbance, yes?
- 4 A. [14:51:00] You are right.
- 5 Q. [14:51:05] And rape generally has a clear motive, doesn't it, to gain gratification
- 6 by enforcing a person's power, usually a man's power, on another person by means of
- 7 penetrative sexual violence, yes?
- 8 A. [14:51:24] Yes. But I have already said that certain forms of mental disorder
- 9 may predispose -- let us not stick to males, it may predispose people of both sexes to
- sexually assaulting other individuals in order for them to experience what I would
- 11 refer to as perverted gratification.
- 12 Q. [14:52:18] But as a result of your instructions, you're not able to help the Court
- 13 with whether that would apply to the sexual crimes which Mr Ongwen is alleged to
- 14 have committed, correct?
- 15 A. [14:52:34] That is -- that is correct.
- 16 Q. [14:52:35] Let's turn to some of the examples of the crimes to which you were
- 17 directed. Again, I am not asking you for any answers which Mr Ongwen may have
- 18 given you. I'm asking you whether you asked the question. Did you ask him what
- 19 his state of mind, what his state of health was around the time of the attack on Odek?
- 20 A. [14:53:15] First, he had to say yes, he participated. And if the answer was no,
- 21 and I don't remember exactly from -- in which part of the report it is, if he said -- if he
- 22 told us he didn't participate, he didn't remember participating in the attack on Odek
- camp, then it became superfluous to go on to assess his mental state in order to
- 24 establish a link between that mental state and the attack.
- 25 He gave us specific examples in the DRC, in parts of northern Uganda, but I don't

- 1 remember hearing Odek. In Garamba. And in those -- in those instances, we, in
- 2 detail inquired into of course basing our findings on the recall memory in him we
- 3 inquired in -- those -- into the mental state of the accused.
- 4 Q. [14:54:49] I didn't quite understand the first part of your answer. You said:
- 5 "[...] I don't remember exactly from ..." [the] "part of the report it is ..."
- 6 Are you referring to one of your own reports?
- 7 A. [14:55:02] Yes, yes. Especially the second report.
- 8 Q. [14:55:06] Professor, to go through that line by line now would be tedious and
- 9 unnecessary.
- 10 PRESIDING JUDGE SCHMITT: [14:55:15] Because it is part of the testimony.
- 11 MR GUMPERT: [14:55:18] Indeed.
- 12 PRESIDING JUDGE SCHMITT: [14:55:19] I know I repeat myself, but sometimes it's
- 13 necessary.
- 14 MR GUMPERT: [14:55:26]
- 15 Q. [14:55:26] I don't believe the word Odek camp -- just Odek appears in the report.
- 16 Can I be clear, you specifically asked him about his participation or memory of an
- 17 attack on that camp, is that what you are saying?
- 18 A. [14:55:45] That is what I'm saying. What he, he told us was he did not and that
- is why it may not appear or it might not have appeared in our second report.
- 20 Q. [14:56:07] But as you've just pointed out, he is capable of recalling particular
- 21 attacks. You gave examples in your report at tab 8, the page is 0956 for future
- 22 reference, of his description of his participation in combat in 1999 in Sudan and
- around 2003 in the charged period at Ongako, yes?
- 24 A. [14:56:37] Mm-hmm.
- 25 Q. [14:56:42] Professor, would you agree with the proposition, as a guide to

- 1 forensic psychiatrists, that two of the features of a crime which make it unlikely to
- 2 have been committed by a person whose capacity to understand what he's doing has
- 3 been destroyed by mental illness, two features which make that unlikely are careful
- 4 planning and a detailed recall?
- 5 A. [14:57:13] Mm-hmm, you are right.
- 6 Q. [14:57:16] And you know, I imagine, that the case against Mr Ongwen in respect
- of a number of the crimes with which he is charged is not that he committed them
- 8 with his own hands, but that he planned and organised attacks on civilian camps
- 9 carried out by soldiers under his command. You understand that that's the nature of
- 10 the case against him?
- 11 A. [14:57:42] If that is the nature, yes, I agree with you.
- 12 Q. [14:57:49] So in terms of what is alleged against Mr Ongwen, we have a rather
- 13 different situation from the one about which Mr Ongwen told you. These crimes
- 14 were not committed in circumstances where combat came upon him suddenly, where
- 15 he received an early premonition. The crimes from which he seeks to be excused
- 16 from criminal liability by virtue of his mental health wouldn't have needed
- 17 premonition. What's alleged is that they occurred at times and places of his
- 18 choosing.
- 19 A. [14:58:29] Let me repeat what I said in the second session. The presence of a
- 20 mental disorder does not necessarily negate the ability of someone to execute
- 21 activities or functions that are given to him or her.
- 22 Out of -- you are referring me to two of the criteria which seem to point to him being
- criminally responsible which, as a witness, I'm not allowed to make any comment.
- 24 Those -- those two criteria are just two out of six. If I remember very well on that list,
- 25 there are six criteria and in my proposition, if I said any three out of the six,

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- 1 particularly including a link between a mental state and an activity or an offence are
- 2 positive, then the individual may not be considered responsible and culpable.
- 3 I'm -- I'm sorry, I'm using my words carefully because I'm not allowed to pronounce
- 4 myself.
- 5 PRESIDING JUDGE SCHMITT: [15:00:29] You fear the intervention by the Presiding
- 6 Judge here I think, because as I have already explained that of course the legal
- 7 conclusions have to be drawn, if any, by the Chamber.
- 8 Please proceed, Mr Gumpert. Or move on or whatsoever.
- 9 MR GUMPERT: [15:00:46] Let's -- sorry too fast.
- 10 Q. [15:00:50] Let's concentrate on that aspect of a detailed recall.
- 11 A. [15:00:54] Mm-hmm.
- 12 Q. [15:00:56] Something which you believe militates against rather than for the
- involvement of mental illness.
- 14 Are you aware that there are sound recordings of the voice of a man stated by a
- 15 number of witnesses to be Mr Ongwen reporting after the attacks on Odek camp and
- 16 Lukodi camp. Are you aware of that fact?
- 17 A. [15:01:28] Your Honour, the Prosecution asked that question before and I
- disputed it by saying I'm not quite certain that the identity of the person alleged to
- 19 have been the suspect was indeed the one, of the suspect. So on the basis of that,
- 20 I cannot give -- or I cannot pronounce myself.
- 21 PRESIDING JUDGE SCHMITT: [15:02:07] Please move on, Mr Gumpert.
- 22 MR GUMPERT: [15:02:10] Your Honour, I would wish to enable this witness to
- 23 become aware of that evidence. Of course, he cannot say who is speaking, that will
- 24 be for the Court to decide. But he should be able to say I respectfully
- 25 submit whether hearing what that person recalls or purports to recall of an attack

- 1 out of which a large number of charged crimes arise --
- 2 PRESIDING JUDGE SCHMITT: [15:02:36] You can ask him like you did before, but
- 3 we could of course go on -- I would not say forever, but for a long time with these
- 4 exercises. This time, yes, you can put it to him and put it in the abstract, if a
- 5 person -- what he would say about this.
- 6 MR GUMPERT: [15:02:52]
- 7 Q. [15:02:53] Let us imagine, Professor, that a person accused of planning and
- 8 organising an attack on an IDP camp at a place called Odek was reporting back to his
- 9 boss in these terms shortly thereafter.
- And your Honour, this is at tab 17, and the last three digits of the ERN are 0336.
- 11 That hypothetical person is reported to have said this:
- 12 "I am just back from beating some place."
- 13 And another hypothetical person says:
- 14 "Where did you beat?"
- 15 "Odek" replies the first person. [...] The Centre and, even the barracks, and whatever
- 16 else."
- 17 And the person to whom he's reporting says:
- "You also cleaned the backside of my mother right?"
- 19 And the person making the report says:
- 20 "Completely."
- 21 And a little later:
- 22 "Everything including the barracks was burnt down."
- Now, that is the kind of detailed recall in this hypothetical case which on your -- in
- 24 your opinion would militate against the involvement of mental illness in the
- commission of those acts, isn't it?

- 1 A. [15:04:39] Let me repeat my response. The presence of a mental disorder does
- 2 not necessarily militate against careful planning, against involvement, knowingly by
- 3 any hypothetical person. This because, as I explained earlier, is that a person of a
- 4 senior position, a person with high ranks, whether he or she is disturbed by
- 5 mental -- emotional and mental symptoms, which are regarded severe by a mental
- 6 health professional, that person would still continue to function in spite of his or her
- 7 distress and disability, mental disability.
- 8 So back to your question about the hypothetical person. Those recordings you have
- 9 read, I have heard about them, but I've not had the opportunity to read them.
- 10 Q. [15:06:36] Because you didn't ask for them or you asked for them and you
- 11 weren't given them?
- 12 A. [15:06:42] I wasn't given.
- 13 Q. [15:06:50] I want to refer you to just one more hypothetical statement.
- 14 PRESIDING JUDGE SCHMITT: [15:07:05] (Microphone not activated) One more.
- 15 MR GUMPERT: [15:07:06] One more, promise it will be just one more.
- 16 Q. [15:07:11] This is the transcript, it's at tab 20, your Honour. The last four
- 17 digits 0381.
- 18 This is the translation -- transcript and translation of a conversation over the radio
- 19 between two persons who are discussing the recent defection -- I'm sorry, I have the
- wrong tab. I apologise. It's tab 19 and the last four digits are 6947.
- 21 Let me start again, Professor. I apologise.
- 22 This is the transcript and translation of a radio conversation between two persons.
- 23 Hypothetically, we are going to say they are a person who is the commander of a unit
- 24 which has just committed an attack on a place called Lukodi, and his superior officer.
- 25 That's the hypothetical situation. And they are discussing the defection of a man

- who is alleged to have been a senior officer on the ground at that attack.
- 2 And the person who is being reported to says:
- 3 "... today he crosses over like he is who. ... Yet he is the one who killed, the person
- 4 who threw people in the fire was also him. I personally was looking for him. He is
- 5 bad mouthing our government ... I [will] find him, I will arrest him and imprison
- 6 him ... because ..." something which couldn't be heard "... does not allow for you to kill
- 7 young children."
- 8 PRESIDING JUDGE SCHMITT: [15:09:23] Is this the person, hypothetically,
- 9 allegedly, which Mr Ovuga has to (Overlapping speakers)
- 10 MR GUMPERT: [15:09:31] No. I am about to utter the words.
- 11 PRESIDING JUDGE SCHMITT: [15:09:34] Okay. So this was not clear to --
- 12 MS LYONS: [15:09:36] No, no, no, but I just want to -- in this hypothetical, I think it
- should be read to the witness, Mr Gumpert skipped the second line and -- with
- 14 the -- and he skipped the section, in parentheses, "[inaudible word]". I just think it
- 15 gives a full flavor as to what, what there is in this, in this section.
- 16 PRESIDING JUDGE SCHMITT: [15:10:00] I don't think that this is really a very
- 17 relevant issue, but you have mentioned it now, there was one inaudible word.
- 18 But you can proceed, Mr Gumpert. I think now you're coming to the person who
- 19 you -- where you want to ask the witness (Overlapping speakers)
- 20 MR GUMPERT: [15:10:18] The hypothetical --
- 21 PRESIDING JUDGE SCHMITT: [15:10:20] The hypothetical (Overlapping speakers)
- 22 MR GUMPERT: (Overlapping speakers)
- 23 PRESIDING JUDGE SCHMITT: (Overlapping speakers) Yes, yes, please. Please go
- 24 on.
- 25 MR GUMPERT: [15:10:23]

- 1 Q. [15:10:23] So this is what the person who it may be is suffering from a mental
- 2 illness said in response, and you need to know what it was in response to so I'm going
- 3 to go back one: "... [something] does not allow for you to kill young children."
- 4 And that person, the patient, the hypothetical patient, said:
- 5 "Uh, all of them. These people are the biggest wrong doers."
- 6 Now at that time the person who is making that utterance plainly has not had his
- 7 capacity to understand the unlawfulness of his actions or other people's actions
- 8 destroyed, has he?
- 9 A. [15:11:15] You are, you are reminding me to bring in the aspect of duress again.
- 10 You are surprised.
- 11 PRESIDING JUDGE SCHMITT: [15:11:40] No, it's not up to anyone to be surprised.
- 12 Simply complete your answer. You have the time, like you had the whole day.
- 13 THE WITNESS: [15:11:54] This hypothetical person was also acting under the
- 14 instructions of someone else more senior, more omnipotent than him or herself. So
- whether he or she had the full capacity to say no, the rules of the bush require that the
- answer "no" would not be entertained.
- 17 PRESIDING JUDGE SCHMITT: [15:12:40] I think you can move on, Mr Gumpert.
- 18 You don't want to, I see. I suggest that you move on.
- 19 MR GUMPERT: [15:12:46] I shall follow your guidance, your Honour. And indeed
- 20 I'm coming to my last section.
- 21 PRESIDING JUDGE SCHMITT: [15:12:55] Section means?
- 22 MR GUMPERT: [15:12:58] It means --
- 23 THE WITNESS: [15:13:01] End of the section?
- 24 PRESIDING JUDGE SCHMITT: [15:13:03] No, no, no, no. He is -- no. Please, now
- 25 you understand me, I don't mean a disrespect. He is threatening a section, so, you

- 1 know, he is announcing a section.
- 2 What does this mean in terms of time?
- 3 MR GUMPERT: [15:13:16] I can't vouch for the answers, but the questions are 18 in
- 4 number.
- 5 PRESIDING JUDGE SCHMITT: [15:13:23] That's quite a lot.
- 6 And I have already heard that Ms Lyons wants to have a redirect. How long will
- 7 that take?
- 8 MS LYONS: [15:13:31] I would say up to 30 minutes.
- 9 PRESIDING JUDGE SCHMITT: [15:13:40] (Microphone not activated) So --
- 10 MS LYONS: [15:13:42] Depends on how this goes for the next 18 questions.
- 11 PRESIDING JUDGE SCHMITT: [15:13:47] So let's simply continue for the moment.
- We could perhaps continue until half past 4, but I would not -- say not longer. Yes.
- 13 And then we will have to discuss how we continue. We can perhaps -- I think now
- 14 that we have a sort of a break, we can do it now perhaps so everybody -- the question:
- 15 Can you already say if you want to call, definitely, P-447 as rebuttal witness?
- 16 MR GUMPERT: [15:14:17] I regret to say that I can't.
- 17 PRESIDING JUDGE SCHMITT: [15:14:22] You can't?
- 18 MR GUMPERT: [15:14:23] No. At the conclusion of this testimony I will have the
- 19 opportunity, which I haven't had for the last few days, to speak to Professor
- 20 Weierstall.
- 21 PRESIDING JUDGE SCHMITT: [15:14:35] Okay. Okay. So then I have to readjust
- 22 myself. Good that I addressed it now then.
- 23 MR GUMPERT: [15:14:40] I think it's likely.
- 24 PRESIDING JUDGE SCHMITT: [15:14:42] Okay, okay.
- 25 So then Chamber, we have here as always -- I nearly say a consent we would not

- 1 necessarily need a written report in case you would call rebuttal witness. We are
- 2 great supporters of orality and immediacy.
- 3 The same would apply in case there would be a rejoinder by, potentially I'm going
- 4 to ask that now Mr Ovuga. So to give, to give you a perspective.
- 5 We would of course expect to get to know this as early as possible.
- 6 In case there is a rebuttal, we would like to start at 9.30 on Monday with Professor
- 7 Weierstall. Any objection? No?
- 8 MS LYONS: [15:15:37] No objection.
- 9 PRESIDING JUDGE SCHMITT: [15:15:38] I'm surprised.
- 10 MS LYONS: [15:15:39] Well, not yet. Well, let me finish.
- I'm not objecting to what you said, but I want to -- it presupposes certain things. I
- 12 have laboured over the decisions in terms of communication and the scheduling. All
- 13 right. I'm trying my best here. If there were a report, obviously the Defence -- the
- 14 initial deadline was 12 noon. And we need time to discuss it; we're permitted to do
- 15 that. And also Professor Ovuga, we wanted to know, would like to -- if he wants to
- 16 consult by phone with Professor -- Dr Akena, could he do that. Those are the -- we
- 17 have practical questions.
- 18 PRESIDING JUDGE SCHMITT: [15:16:17] Absolutely, I fully understand that.
- 19 Because of that I'm addressing it now.
- 20 And so then take it, Mr Gumpert, that we, that from the Chamber's perspective we
- 21 don't need anything written. We need, if you want a rebuttal, you want to call a
- 22 rebuttal witness, we will have the testimony in the courtroom and also the
- 23 questioning in the courtroom.
- 24 MR GUMPERT: [15:16:42] Can I say one thing? Of course --
- 25 PRESIDING JUDGE SCHMITT: Of course.

- 1 MR GUMPERT: -- your Honours will decide.
- 2 It was my intention and I had discussed this possibility with Professor Weierstall,
- 3 who can of course speak for himself if what I'm saying is wrong to anticipate to
- 4 disregard the last possible moment. And to provide the report, which we had
- 5 anticipated would be a written report, in the course of Saturday, by lunchtime, we
- 6 had hoped.
- 7 PRESIDING JUDGE SCHMITT: [15:17:11] I know. I know.
- 8 But of course this would have repercussions for the planning of next week, insofar
- 9 Ms Lyons is perfectly right. If there was a report -- I would -- will not -- the
- 10 Chamber would not simply prohibit, so to speak, that you provide us with a report,
- but in case there would be a report, we can of course not start on Monday, 9.30.
- 12 Yeah, that's perfectly clear.
- 13 MR GUMPERT: [15:17:36] That is why I had thought that if we provided it on the
- middle of -- we're going to have to work the weekend, the teams, that is clear.
- 15 PRESIDING JUDGE SCHMITT: [15:17:45] Yes, but that, that sometimes happens.
- 16 MR GUMPERT: That's reasonable enough.
- 17 PRESIDING JUDGE SCHMITT: [15:17:47] Also sometimes that is -- you don't -- I
- don't -- we get that in your -- with your (Overlapping speakers)
- 19 MR GUMPERT: (Overlapping speakers)
- 20 PRESIDING JUDGE SCHMITT: So then in that case, if you want to, you tell us. So
- 21 we have to think alternatively:
- 22 If you would provide us with a report -- if you would not provide us with a report,
- 23 9.30 on Monday. Yeah? That that seems to be clear.
- 24 If there was a report, then we can only start Monday afternoon at 2 o'clock, so
- 25 everybody has enough time to look into it. Yeah?

- 1 MS LYONS: [15:18:23] Now, on the issue of reports, and Chief Taku makes an
- 2 excellent point, do we -- can we get some idea from the Bench what they expect in
- 3 terms of length?
- 4 And I want to also raise the question of -- so we're all clear on the parameters of the
- 5 report, which I understood to encompass the two, according to the Prosecution in, I'm
- 6 using quotes, but the, quote, the diagnosis of dissociative amnesia and symptoms of
- 7 OCD. Those what I -- is what I understand from your previous rulings to be the
- 8 focus of the report, whether they are new or not, and a chance to respond.
- 9 PRESIDING JUDGE SCHMITT: [15:19:04] So I think this reinforces my impression
- 10 that I think we would all be better off if we had simply oral testimony here, frankly
- 11 speaking. Because if we're now talking about parameters and then we can -- my
- 12 colleagues are nodding. So I would simply, I would simply say we, we have a -- we
- take our time for an oral immediate testimony in the courtroom.
- 14 And Professor Weierstall, as well as Professor Ovuga, are capable, are outstanding
- 15 expect, they are capable to provide us on the spot with oral testimony.
- 16 It's also now -- and I would also assume that Professor Weierstall, I don't know if he
- would be happy, but it would perhaps relieve you from a burden if you wouldn't
- 18 have to write anything, yes?
- 19 Please, please, Professor Weierstall.
- 20 MR WEIERSTALL: [15:20:02] Sorry, first of all I want to apologise that I didn't rise
- 21 last time when I was saying something in court. It wasn't meant as an offence. I
- 22 just wasn't --
- 23 PRESIDING JUDGE SCHMITT: [15:20:08] Sometimes in Germany people don't rise,
- so don't be (Overlapping speakers)
- 25 MR WEIERSTALL: [15:20:13] (Overlapping speakers) I wasn't sure. I'm sorry. I

- 1 apologise.
- 2 PRESIDING JUDGE SCHMITT: [15:20:15] No, no, no, that's not a problem, of
- 3 course.
- 4 MR WEIERSTALL: [15:20:15] So the point I would like to make is that there are so
- 5 many points that need consideration, because I even do not want to present my
- 6 opinion in court right now. But I think, in my perspective, this requires a report
- 7 because we have to, in my opinion, refer to a number of scientific references in order
- 8 to provide a professional and profounded opinion in Court. Otherwise I think it's
- 9 another subjective discussion and I think it's not sufficient to make my point clear.
- 10 That's my -- I would rather say it's absolutely mandatory to provide a written report.
- 11 PRESIDING JUDGE SCHMITT: [15:21:00] And the parameters, Mr Gumpert?
- 12 MR GUMPERT: [15:21:04] It will all be material which arises from the report which
- 13 was served upon us after Professor Weierstall and his colleagues (Overlapping
- 14 speakers)
- 15 PRESIDING JUDGE SCHMITT: [15:21:15] Okay, I think that that's fair. That's fair,
- 16 I think.
- 17 MS LYONS: [15:21:19] The --
- 18 PRESIDING JUDGE SCHMITT: [15:21:22] It's about -- Ms Lyons, it's about the
- 19 report, the report that has been provided after the testimony of Professor Weierstall
- and the other experts of the Prosecution.
- 21 MS LYONS: [15:21:35] Right. But let me just refer -- my colleagues are faster at this
- 22 than I am at the moment, but let me refer you to 1623, we're at paragraph 16. That
- 23 was a decision on requests related to the testimony where it said the Chamber
- 24 anticipates this evidence, the rebuttal evidence, will concern only points and facts
- 25 previously not addressed by the Prosecution expert witness.

- 1 And I also should say for the record (Overlapping speakers)
- 2 PRESIDING JUDGE SCHMITT: [15:22:04] No, that -- that's clear. We will adhere to
- 3 that.
- 4 MS LYONS: [15:22:07] Okay. And also for the record that I'm not trying to
- 5 re-litigate it but want to make it very clear that it's still the position of the Defence
- 6 that for proper notice in terms of a rebuttal case, in this situation rebuttal evidence
- 7 from the Prosecution, there is a necessity for a written motion that goes through the
- 8 criteria, some of which you have addressed in your decision. But for proper notice,
- 9 so that we can fully understand and inform our client, we need to have a proper
- 10 motion. We're here, we're doing it now because we've been ordered. No problem.
- But I want to register that objection again, which we've litigated and we lost, but ...
- 12 PRESIDING JUDGE SCHMITT: [15:22:49] But never -- now I'm addressing Professor
- 13 Weierstall. Okay, then let it be like you said. But we don't want then to have to
- 14 read 50 or 100 pages, yes?
- 15 MR WEIERSTALL: [15:23:01] No, I also understand to make it as short and precise
- as possible. And I was also instructed only focusing on the new points that
- arise -- that arose during the hearings on the second report (Overlapping speakers)
- 18 PRESIDING JUDGE SCHMITT: [15:23:17] Good. Okay. Then now I'm
- 19 announcing how the planning for next week will -- under these auspices, so to speak,
- will be.
- 21 There will be a report then. We can only of course reconvene then on Monday
- 22 afternoon and start with the testimony of Professor Weierstall. We expect this
- 23 testimony to end on Tuesday.
- 24 We will then ask when Professor Weierstall has ended if the Defence wants a
- 25 rejoinder and who the rejoinder witness would be. We understand from your initial

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- 1 planning that it would perhaps be Professor Ovuga, yeah? Is this correct?
- 2 MS LYONS: [15:24:02] I'm checking the transcript here. I understand it's real-time
- 3 line 16, 17:
- 4 "I understand to make it as short" as ...
- 5 "I was also" I think this is Professor Weierstall "I was also instructed only focusing
- 6 on the new points that arise during the hearings."
- 7 So I think the distinction has to -- well, I mean it's your decision, but I think it's
- 8 unclear from this whether it can be on anything that was heard in the last week; or in
- 9 fact, based on the decision, it is anything that the two -- particularly, the two new
- 10 diagnoses (Overlapping speakers).
- 11 PRESIDING JUDGE SCHMITT: [15:24:43] You have also a little bit to trust the
- 12 Judges, that we know how far we extend the evidence here. And like always you
- can't regulate everything in the abstract here and there will be borderline questions
- and we are all here in the courtroom and can discuss this when it comes to fruition.
- 15 As I said, and I asked you so that we have an expectation, so you would have to be
- 16 clear then if you want to make a rejoinder. And again, we would not find it
- 17 necessary that in case it would be Professor Ovuga that he provides us with a report.
- 18 If so, then we would start Thursday afternoon at 2 o'clock with Professor Ovuga. So
- 19 it's a similar thing. Yes?
- 20 MS LYONS: [15:25:38] (Microphone not activated) We would like for
- 21 Professor Ovuga with permission of the Court if he chooses to consult with Dr Akena,
- 22 who cannot be present due to his other obligations, that he be permitted to do so, if,
- assuming there's a rejoinder.
- 24 PRESIDING JUDGE SCHMITT: [15:25:58] Yes --
- 25 MS LYONS: [15:25:58] Okay --

- 1 PRESIDING JUDGE SCHMITT: [15:25:59] Just -- no, no, that is allowed, so to speak.
- 2 There is no problem there.
- 3 MS LYONS: [15:26:01] Okay. And for me, I assume -- I haven't done a rebuttal case
- 4 recently but based on the principles of fairness in the Court, I assume I will have the
- 5 right to cross the witness and that is separate from the rejoinder?
- 6 PRESIDING JUDGE SCHMITT: [15:26:17] I think that is my understanding, yes.
- 7 MS LYONS: [15:26:19] Good.
- 8 PRESIDING JUDGE SCHMITT: [15:26:20] Yes. You know, it's out of fairness, of
- 9 course it's going back and forth now, but this is I think the nature of rebuttal and
- 10 rejoinder. Yes?
- 11 So I think it makes sense to repeat it so that everyone is clear; although it's on the
- 12 record already.
- 13 Report, hopefully, on Saturday, already will be available.
- 14 We then start Monday afternoon at 2 o'clock for a two-hour session. And we have
- 15 Tuesday, the whole day also for Professor Weierstall with potential extended hours.
- But we will have to finish the examination of Professor Weierstall, yes?
- 17 Meaning the rebuttal questioning by Mr Gumpert, I would assume, and your
- 18 additional questions, Ms Lyons.
- 19 Then you would tell us on Tuesday, Ms Lyons, or anyone from the Defence, if you
- 20 want the rejoinder. If there will be a report. And if there is a report, we reconvene
- 21 on Thursday afternoon at 2 o'clock and in the similar vein like with Professor
- Weierstall, with extended hours, if need be, finish on Friday.
- 23 MS LYONS: [15:27:41] Just one last housekeeping issue, your Honour. We would
- 24 ask that assuming that we get the report -- or the report is ready on Saturday and
- 25 both -- both the doctor and professor are still here, they are both here on those days,

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- that VWU be asked by the Court to bring them as soon as possible a copy of the -- a
- 2 printed copy of the report and/or an electronic copy.
- 3 PRESIDING JUDGE SCHMITT: [15:28:11] I think that is understood.
- 4 MS LYONS: [15:28:11] All right.
- 5 PRESIDING JUDGE SCHMITT: [15:28:12] That makes the most sense I think.
- 6 Because of -- because everybody has -- we are now trying at least to expedite the
- 7 proceedings a little bit, but it's a little bit back and forth, but I think it's a balanced
- 8 solution that we have here. Yes, but of course the Registry will be asked -- VWU to
- 9 provide Dr Akena and Professor Ovuga as soon as possible with a printed version
- 10 and an electronic version.
- 11 Any further questions in that regard? No.
- 12 MS LYONS: [15:28:49] One last thing. Another important issue, I have not
- 13 discussed with obviously because I haven't talked to him Professor Ovuga what he
- 14 has brought with him in terms of equipment or where he can work. I can say
- 15 for now -- I mean, where he can work, I don't have an answer to that. I think that
- problem needs to be solved with and/or resolved by VWU so that if he chooses to
- make a report, he is given a space to do that in working -- whatever he needs to do
- 18 technically.
- 19 PRESIDING JUDGE SCHMITT: [15:29:23] I agree with you, but you understand that
- 20 I will not be in charge to execute that.
- 21 MS LYONS: [15:29:30] The Registry.
- 22 PRESIDING JUDGE SCHMITT: [15:29:31] Yes, yes. But I have enough people here
- 23 sitting in the front, they have noticed it and I think it will be relayed to the Registry.
- 24 So for the audience, sometimes you have these housekeeping matters and you can
- also do this in private session, but it might perhaps be a little bit boring to hear that,

- 1 but you have to organise things during a court case, as you can see.
- 2 So we have interrupted Mr Gumpert -- or not really interrupted. We have simply
- 3 used a natural gap in your testimony so to speak, so you might continue. And we
- 4 would really appreciate it if we, under these circumstances, if we could finish the
- 5 testimony of Mr Ovuga for now.
- 6 MR GUMPERT: [15:30:22]
- 7 Q. [15:30:23] Professor, you told us that when you were first asked to act in this
- 8 case you declined. And aside from the language issue, which I think I've understood,
- 9 you were concerned about the emotional effect of your own family history. If I recall
- 10 correctly, a female relative sadly murdered and a cousin of yours abducted by the
- 11 LRA.
- 12 A. [15:30:41] Yes.
- 13 Q. [15:30:42] It would be fair to say, wouldn't it, that the emotion in this situation
- 14 works two ways: In addition to that aversion which you felt affected you, there is
- also a pull of sympathy towards Dominic Ongwen who was himself abducted. That
- 16 could have been your child or grandchild?
- 17 A. [15:31:11] Mm-hmm.
- 18 Q. [15:31:12] You referred I think in remarks you made before you actually came to
- 19 the witness box about the Hippocratic Oath which is sworn by Ugandan physicians.
- I won't be impertinent enough to refer you to the text, but for those who are not so
- 21 familiar with it as you will be, it's at tab 2 of the Prosecution bundle,
- 22 UGA-OTP-0287-0019.
- 23 Your duty to the patient in the Hippocratic oath requires you to apply for the benefit
- of the sick all measures which are required, doesn't it?
- 25 A. [15:32:03] Yes.

- 1 Q. [15:32:04] And also to have regard for your patients' family and economic
- 2 stability?
- 3 A. [15:32:14] Yes.
- 4 Q. [15:32:15] Now, as I understand it, the barest conditions you believe him now to
- 5 be suffering from would best be treated by therapy that would enable him to resume
- 6 life amongst his family in a domestic environment in Uganda and enable him to be
- 7 rehabilitated in society, am I correct?
- 8 A. [15:32:37] You are correct.
- 9 Q. [15:32:39] You understand that as a forensic expert as opposed to a treating
- 10 psychiatrist if you had come to the conclusion that at the time of the alleged offences
- 11 Mr Ongwen was not suffering from mental illness or his capacities were not
- destroyed at the time of the crimes, your duty would require you to say so?
- 13 A. [15:33:04] You are right, but I have pronounced myself in that regard saying
- certain actions that he participated in, particularly on the battlefield, might have been
- due to dissociation, depression, suicidal feelings and the complications of PTSD. So
- I'm not quite sure if, at the end of the day, I would say that those states did not
- 17 significantly impact on his mental capability.
- 18 Q. [15:33:58] But Professor, those are the conclusions which you have come to?
- 19 A. [15:34:01] Yes.
- 20 Q. [15:34:02] You couldn't know that they would be the conclusions at the time
- 21 when you started acting both as his treating physician and as a forensic expert with a
- 22 duty to the Court, could you?
- 23 A. [15:34:15] I didn't anticipate what I would find, you are right. I didn't
- 24 anticipate.
- 25 Q. [15:34:25] You'll recall that I referred your colleague, Dr Akena, to the ethics

- 1 guidelines for the practice of forensic psychiatry from the American Academy of
- 2 Psychiatry and Law. I want to quote from that document. It's tab 1. The last four
- 3 digits, 0015. And it's at page -- forgive me. Yes, it's at page 0017. I'm sorry. It's
- 4 at the foot of that page, it's also page number 3.
- 5 The authors of those guidelines say this:
- 6 "The forensic evaluation and the credibility of the practitioner may also be
- 7 undermined by conflicts inherent in the differing clinical and forensic roles. Treating
- 8 psychiatrists should therefore generally avoid acting as an expert witness for their
- 9 patients or performing evaluations of their patients for legal purposes."
- 10 You have done what is advised against in those guidelines, haven't you?
- 11 A. [15:35:56] As far as I understand, what I was supposed to do, I do not think I
- 12 acted against that guideline. What we tried to do was to get corroborative
- information which we were denied access to. So we limited ourselves to simply
- 14 assessing the mental state of Mr Ongwen during the charged period.
- 15 In addition what we did was to repeatedly make recommendations. Making
- 16 recommendations is not the same thing as participating in the care of somebody. We
- 17 made recommendations through the Defence and then those recommendations
- 18 followed their natural process.
- 19 If those recommendations were implemented, well and good. If they were not, then
- 20 we -- we leave it at that because we were not acting as treating physicians. You will
- see in their note -- in his note, clinical notes, that none of us had our signature put on
- 22 his clinical notes. None of us. The only time we saw the clinical notes was when
- 23 Mr Ongwen requested the clinical psychologist to come and meet with us. His
- 24 argument was that he was not being well understood so he wanted to be helped so
- 25 that he could be well understood by his treating team of physicians and

- 1 psychologists.
- 2 So I do not -- I do not agree that I acted against that specific guideline.
- 3 Q. [15:38:47] Dr Akena spoke of the therapeutic alliance which, as I understand it,
- 4 both he and you had formed with Mr Ongwen. That is a reasonable description of
- 5 the nature of your relationship, is it, therapeutic meaning healing, yes?
- 6 A. [15:39:10] This morning I talked about three types of interviewing: Diagnostic
- 7 forensic evaluation, and interviewing for the purpose of achieving some relief. That
- 8 type of interviewing takes place all the time between us with friends, with family,
- 9 with our superiors at work. It takes place all the time. So while the word
- 10 "therapeutic alliance" was used, it was used for lack of an appropriate synonym
- 11 possibly.
- 12 MR GUMPERT: [15:40:10] Your Honours, I have concluded my questioning.
- 13 PRESIDING JUDGE SCHMITT: [15:40:14] Thank you very much.
- 14 Ms Lyons.
- 15 MS LYONS: [15:40:18] Thank you.
- 16 QUESTIONED BY MS LYONS:
- 17 Q. [15:40:30] There's been -- there have been many questions to you,
- 18 Professor Ovuga, about corroboration and the question I want to ask is in addition to
- 19 the four people you interviewed or you collectively interviewed between you and
- 20 Dr Akena, did your work with ex-LRA soldiers, particularly child soldiers or any
- 21 information you had from your family or from your communities or any information
- 22 that you had about Mr Ongwen's life in the LRA, how the rules and regulations
- 23 operated, how spiritualism operated in that hostile environment, did any of this serve
- 24 as corroboration for your conclusions in your reports?
- 25 A. [15:41:36] That is a long question. Yes, I think earlier today I did provide an

- answer to that question which was put differently by Prosecution. I provided
- 2 examples even yesterday -- was it yesterday or -- I don't remember. I think it was
- 3 yesterday. Of how a man helplessly watched how his brother was killed and buried.
- 4 Child -- former child soldiers describing to me in clinical practice what they were
- 5 forced to do with each other, particularly, targeting those who did not follow the
- 6 rules.
- 7 So the evaluation of Mr Ongwen was conducted against the backdrop of other
- 8 sources of information which could, yes, serve as collaborative evidence.
- 9 Q. [15:43:26] Thank you. My next -- my next question is there was evidence in
- 10 this trial from a witness who testified in public Mr Kakanyero, transcript 193, that the
- parents of Mr Ongwen were killed -- that he was -- they were -- they were both killed.
- 12 You refer in I think your first report to his parents being killed by the LRA.
- 13 My question to you is this: What impact, if any, from your perspective did this have
- on Mr Ongwen and his mental state?
- 15 A. [15:44:25] The impact of course is of profound loss. Let me indulge, using the
- word of your Honour, Mr President, indulge in giving a long answer.
- 17 Mr Ongwen himself described how his father -- sorry, that he was informed that his
- 18 father was killed. He wasn't quite sure whether his mother was killed, to us, but that
- 19 she too was dead. And he said now -- before I go to the now. During his struggles
- 20 with his boss, his boss on one occasion gave the order for him to be killed. And his
- 21 sister, cousin sister, being a wife to his boss, intervened and it is in the report that
- 22 "Our parents are dead. There is no elder in our home. Ongwen is the person I'm
- looking forward to as the heir in my home. If you also kill him, then I will no
- 24 alternative but to leave you and remember when I leave you, I will depart with a
- 25 large section of the LRA." And that intervention then made Mr Kony to rescind his

- decision for the young man to be killed.
- 2 However, when the disobedience continued, for example, besides him refusing to kill
- 3 the negotiators, peace negotiators, somewhere in the thick of the Congo there was a
- 4 village, fishing village and he was ordered to go and eliminate that village. He
- 5 refused. And when the order came back to his boss, the boss was furious. He said,
- 6 okay, now I know that Ongwen is the number one in the LRA. And so he put
- 7 Mr Ongwen under his close supervision, personal supervision. Wherever he moved,
- 8 he moved with Mr Ongwen. And then finally he gave the order for Mr Ongwen to
- 9 be arrested with the intent to have him killed.
- 10 Needless to say is after that arrest, as corroborative evidence for transcripts which the
- 11 Prosecution read, those who were put in charge of keeping him in prison later came
- 12 and released him. He said, "No, I'm not going. I'm tired. I was waiting for this
- 13 chance. I want to be killed." They said "No. Please leave. Find your way out of
- 14 here." And that was how he eventually escaped.
- 15 So, in summary, the death of his parents led to a series of other personal losses and
- they affected him and they impacted heavily on his life.
- 17 PRESIDING JUDGE SCHMITT: [15:49:20] Ms Lyons, I did not stop you, but it could
- 18 have been disputed if this was a question that arose from the cross-examination, but --
- 19 MS LYONS: [15:49:34] Thank you, I heed your -- I heed your warning.
- 20 PRESIDING JUDGE SCHMITT: [15:49:39] Exactly. Let me put it this way, this
- 21 remark was made with regard to the close future.
- 22 MS LYONS: [15:49:45] Okay.
- 23 Q. [15:49:47] Now, during cross-examination you were -- we had a chart of witness
- 24 testimonies and the Prosecution read you a number of lines from different witnesses
- 25 who testified, some Prosecution, some Defence, and then asked you -- asked you

- 1 to -- presented a proposition, asked you to comment.
- 2 My question is about the methodology, which is: Can a layperson, based on one or
- 3 two conclusions, for example, in the testimony that you saw, that you were read and
- 4 that we saw, is that a sufficient basis to make a mental health diagnosis or to refute a
- 5 mental health diagnosis?
- 6 PRESIDING JUDGE SCHMITT: [15:50:47] I have the impression that this has been
- 7 asked and answered already.
- 8 MS LYONS: [15:50:51] Okay. May I ask the witness --
- 9 PRESIDING JUDGE SCHMITT: [15:50:55] Mr Ovuga might know it better, but I
- 10 think -- okay, you may -- but please shortly. I have the impression that we have
- 11 addressed this already.
- 12 THE WITNESS: [15:51:05] Yes, your Honour, it was asked repeated by the
- 13 Prosecution and I kept saying that a layperson cannot make informed opinion on the
- 14 mental state of someone that they live with because it requires the -- that recognition
- 15 requires prior full training, prior full training in how to recognize, in how to relate, in
- 16 how to assess and therefore come to a conclusion.
- 17 PRESIDING JUDGE SCHMITT: [15:51:51] So I'm relieved that I was right. It has
- 18 been asked and has been answered already.
- 19 MS LYONS: [15:51:57] (Microphone not activated)
- 20 PRESIDING JUDGE SCHMITT: [15:51:59] With microphone, yes.
- 21 MS LYONS: [15:52:01] I have two more questions, but I need to consult.
- 22 PRESIDING JUDGE SCHMITT: [15:52:06] Yes, please do that.
- 23 MS LYONS: [15:52:08] Thanks.
- 24 (Counsel confers)
- 25 MS LYONS: [15:53:27] Thank you, your Honour.

- 1 Q. [15:53:29] Now, Mr Gumpert brought up the question of Dominic and wives
- 2 and you gave a response of information he had given to you. Now, first of all, are
- 3 you aware of the rules and regulations that Kony made, that he decided in regard to
- 4 the issues of wives in the LRA?
- 5 A. [15:54:04] Yes. And to a large extent those religion -- sorry, those regulations, if
- 6 you carefully analyse them, you will find that they are in line with what the Acholi
- 7 culture functioned like in relation to that field. There are sections of the paper on
- 8 cen and orongo --
- 9 MR GUMPERT: [15:54:48] Your Honours, this is not psychiatry. This is cultural
- 10 comment, with respect (Overlapping speakers).
- 11 PRESIDING JUDGE SCHMITT: [15:54:54] yes, yes but --
- 12 MR GUMPERT: [15:54:54] (Overlapping speakers)
- 13 PRESIDING JUDGE SCHMITT: [15:54:54] Yes, yes, yes, but the question was if you
- 14 are -- so you are aware of it, I think.
- 15 THE WITNESS: [15:55:01] Yes, I was. But let me respond to the Prosecution this
- 16 way: Culture and psychiatry cannot be separated during this modern practice.
- 17 There is what we call cultural psychiatry, for example, which refers to the practice of
- 18 psychiatry taking into consideration sociocultural and economic aspects of people's
- 19 lives, both as individuals and as communities. So it is not out of line for me to have
- 20 said it.
- 21 But, yes, I have -- the answer is yes.
- 22 MS LYONS: [15:55:54]
- 23 Q. [15:55:55] Within the rules and regulations of the LRA, did you perceive as a
- 24 psychiatrist whether a person, Mr Ongwen and others similar to -- in his position, had
- 25 any choice about the rules and regulations concerning wives, for example?

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- 1 PRESIDING JUDGE SCHMITT: [15:56:22] No, but that -- this is, you can't -- indeed
- 2 we had a lot of evidence, we have asked witnesses that. I think that is not
- 3 (Overlapping speakers)
- 4 MS LYONS: [15:56:31] All right.
- 5 PRESIDING JUDGE SCHMITT: [15:56:33] That is not a question to the psychiatrist.
- 6 MS LYONS: [15:56:36] (Microphone not activated)
- 7 PRESIDING JUDGE SCHMITT: [15:56:38] You would have to rephrase it or you
- 8 have to withdraw the question.
- 9 (Counsel confers)
- 10 MS LYONS:
- 11 Q. [15:56:48] Let me ask you this --
- 12 PRESIDING JUDGE SCHMITT: [15:56:50] And also let me really, we have been
- 13 together for a long time here and we have heard a lot of evidence on these, as you put
- it, rules and regulations and what it might have been entailed to abide with or not to
- abide with, who has made them. I think that is not the question why we have this
- 16 eminent psychiatrist here in the courtroom.
- 17 MS LYONS: [15:57:12] You're correct, your Honour, and I apologise. But I would
- ask him, if I may, what impact the rules and regulations had on the mental health of
- our client, particularly during the charged period, if he hasn't answered that already.
- 20 PRESIDING JUDGE SCHMITT: [15:57:32] If this is possible to answer but this
- 21 (Overlapping speakers).
- 22 MS LYONS: [15:57:35] I don't know. If he can.
- 23 PRESIDING JUDGE SCHMITT: [15:57:37] This is, this is up to Professor Ovuga to
- answer that.
- 25 THE WITNESS: [15:57:45] The charged period is a few years after his abduction.

- 1 By that time, he had been indoctrinated sufficiently in order to abide by the
- 2 regulations and rules, so it didn't matter to him whether he should or he should not
- 3 abide. So the mental state -- what these rules had on his mental state were, can I say,
- 4 in the form of detachment, an "I don't care" attitude, "I don't care" feeling and it was
- 5 the practice, it was the norm within the system of the LRA in the bush. So as far as
- 6 the distribution of women or young girls to become wives is concerned, I think the
- 7 impact on his mental state was that of detachment and an attitude of "I don't care",
- 8 nothing matters.
- 9 MS LYONS: [15:59:20] Thank you.
- 10 So, your Honours, we now finish the redirect.
- 11 PRESIDING JUDGE SCHMITT: [15:59:29] Thank you very much.
- 12 And a special thank you to Professor Ovuga. These have been really two stressful
- days, long days, also for us, but I know -- I can imagine, I don't know, I can imagine
- that on the witness stand it is quite tough to sit for so many hours and answer
- 15 questions.
- 16 I wish everyone a nice weekend. We expect the report as soon as possible, let's
- 17 assume on Saturday. Then we have something to do during the weekend. And we
- 18 reconvene on Monday at 2 o'clock, as I have already indicated.
- 19 THE COURT USHER: [16:00:06] All rise.
- 20 (The hearing ends in open session at 4.00 p.m.)