- 1 International Criminal Court
- 2 Appeals Chamber
- 3 Situation: Islamic Republic of Afghanistan
- 4 ICC-02/17
- 5 Presiding Judge Piotr Hofmański, Judge Howard Morrison, Judge Luz de Carmen
- 6 Ibáñez Carranza, Judge Solomy Balungi Bossa and Judge Kimberly Prost
- 7 Appeals Judgment Courtroom 1
- 8 Thursday, 5 March 2020
- 9 (The hearing starts in open session at 10.02 a.m.)
- 10 THE COURT USHER: [10:02:13] All rise.
- 11 The International Criminal Court is now in session.
- 12 Please be seated.
- 13 PRESIDING JUDGE HOFMANSKI: [10:02:53] Good morning.
- 14 Would the court officer please call the case.
- 15 THE COURT OFFICER: [10:03:02]
- 16 Good morning, Mr President and your Honours.
- 17 Situation in Islamic Republic of Afghanistan, situation reference ICC-02/17.
- 18 And for the record, we are in open session.
- 19 PRESIDING JUDGE HOFMANSKI: [10:03:15] Thank you very much.
- 20 My name is Piotr Hofmański, and I am the Presiding Judge in the appeal
- of the Prosecutor against the decision of Pre-Trial Chamber II of 12 April 2019 on the
- 22 authorisation of an investigation into the situation in the Islamic Republic of
- 23 Afghanistan. The other judges of the Appeals Chamber on this appeal are
- 24 Judge Howard Morrison, Judge Luz del Carmen Ibáñez Carranza,
- 25 Judge Solomy Balungi Bossa, and Judge Kimberly Prost.

- 1 May I ask the parties and participants to please introduce themselves for the record,
- 2 starting with the Office of the Prosecutor whose appeal we are deciding today.
- 3 MR GUARIGLIA: [10:04:03] Good morning, your Honours.
- 4 My name is Fabricio Guariglia, I am director of Prosecutions. Appearing with me
- 5 today are Ms Helen Brady, senior appeals counsel; Mr Matteo Costi, appeals counsel;
- 6 Mr Matt Cross, appeals counsel; and Mr Manoj Sachdeva, trial lawyer.
- 7 PRESIDING JUDGE HOFMANSKI: [10:04:19] Thank you very much.
- 8 Mr Guariglia.
- 9 And then I would ask the legal representative of the government of the Islamic
- 10 Republic of Afghanistan.
- 11 MR DIXON: [10:04:28] Good morning, Mr President, your Honours.
- 12 Rodney Dixon, counsel for the government of Afghanistan, instructed by and with
- 13 His Excellency Mohammad Azizi, the ambassador for the government of Afghanistan
- in The Hague, and Sanga Siddiqi, and assisted by Aidan Elias and Anne Coulon.
- 15 PRESIDING JUDGE HOFMANSKI: [10:04:53] Thank you very much.
- And legal representatives for victims, we have LRV1 and 2 in the courtroom.
- 17 MS KISWANSON: [10:05:02] Good morning, your Honours.
- 18 My name is Nada Kiswanson van Hooydonk, and I represent 82 Afghan victims on
- 19 behalf of LRV1.
- 20 PRESIDING JUDGE HOFMANSKI: [10:05:14] Thank you.
- 21 MS GALLAGHER: [10:05:15] Good morning, your Honours.
- 22 Katherine Gallagher from the Center for Constitutional Rights in New York,
- 23 representing Sharqawi Al Hajj and Guled Duran, two victims who remain detained in
- 24 Guantanamo without charge. And on behalf of the legal representatives for
- 25 Mr al-Asad, Mr Rabbani, Mr Al Nashiri and two others, I convey their regrets that

- 1 they cannot be here in Court today.
- 2 PRESIDING JUDGE HOFMANSKI: [10:05:47] Thank you very much.
- 3 I would like to ask Legal Representative of Victims OPCV, please.
- 4 MS MASSIDDA: [10:05:56] Good morning, your Honours. The office is appearing
- 5 today representing the general interests of the victims in the situation.
- 6 My name is Paolina Massidda, principal counsel. I am accompanied today by
- 7 Ms Sarah Pellet, counsel, and Ms Anna Bonini, legal officer.
- 8 PRESIDING JUDGE HOFMANSKI: [10:06:09] Thank you very much.
- 9 And last but not least is *amici curiae*, OPCD.
- 10 MR KEÏTA: [10:06:18] (Interpretation) Good morning, your Honour, your
- 11 excellencies. For the OPCD I am accompanied today by Madam Marie O'Leary,
- 12 counsel; Michael Herz, associate counsel; and I am myself Xavier-Jean Keïta, lead
- 13 counsel. Thank you.
- 14 PRESIDING JUDGE HOFMANSKI: [10:06:39] (Interpretation) Thank you, Mr Keïta.
- 15 (Speaks English) I shall now summarise the Appeals Chamber's judgment on
- 16 the Prosecutor's appeal. This summary is not part of the written judgment, which is
- 17 the only authentic account of the Appeals Chamber's ruling and reasons. The
- written judgment will be made available at the conclusion of this hearing.
- 19 By way of introduction and procedural background, the Appeals Chamber notes that
- 20 pursuant to Article 15 of the Statute, the Prosecutor may initiate an investigation
- 21 proprio motu without having received a referral from a State Party to the Rome Statute
- 22 or the Security Council of the United Nations.
- 23 However, in such a case, the investigation must be authorised by a Pre-Trial Chamber
- 24 before the Prosecutor can proceed.
- 25 On 20 November 2017, the Prosecutor filed a request for authorisation of an

1 investigation into crimes allegedly committed in the Islamic Republic of Afghanistan,

- 2 hereinafter Afghanistan, since 1 May 2003, as well as related crimes allegedly
- 3 committed in other State Parties since 1 July 2002. The request involved crimes
- 4 allegedly committed by, firstly:
- 5 the Taliban and affiliated groups of crimes against humanity and war crimes;
- 6 the Afghan National Security Forces for war crimes; and
- 7 the armed forces of the United States of America and its Central Intelligence Agency,
- 8 the CIA, for war crimes.
- 9 On 12 April 2019, Pre-Trial Chamber II rejected the Prosecutor's request and decided
- 10 not to authorise an investigation into the situation in Afghanistan on the basis that an
- investigation would not serve the interests of justice. The Pre-Trial Chamber
- 12 concluded that, I quote, "notwithstanding the fact that all the relevant requirements
- are met as regards both jurisdiction and admissibility, an investigation into the
- situation in Afghanistan would not serve the interests of justice". End quote.
- 15 On appeal, the Prosecutor raises two grounds, namely, that the Pre-Trial Chamber
- erred in law in seeking to make a positive determination that the initiation of an
- 17 investigation into the situation in Afghanistan was in the interests of justice. This is
- 18 the first ground of appeal. And further, or alternatively, that the Pre-Trial Chamber
- 19 abused its discretion in assessing the interests of justice. This is the second ground
- of appeal.
- 21 The Appeals Chamber, in addition to reviewing all the written submissions from
- 22 the Prosecutor, victims, and other participants, held a hearing for three days to hear
- oral arguments. During the hearing the Appeals Chamber issued an oral decision by
- 24 which it dismissed, Judge Ibáñez Carranza dissenting, the appeals brought by the
- 25 legal representatives of victims for lack of standing. The legal representatives were

1 nevertheless permitted to make observations at the hearing as participants. The full

- 2 reasons for the Appeals Chamber decision was filed separately yesterday and Judge
- 3 Ibáñez Carranza's further reasoning of her dissent will be filed later today.
- 4 Turning to the merits of the appeal, the Appeals Chamber recalls that under the first
- 5 ground of appeal the Prosecutor argues that the Pre-Trial Chamber erred in law when
- 6 it decided to determine, pursuant to Article 15(4) and Article 53(1)(c) whether the
- 7 initiation of an investigation would serve the interests of justice taking into
- 8 consideration the gravity of the alleged conduct, the potential victims' interests and
- 9 the likelihood that an investigation would be feasible and meaningful under the
- 10 relevant circumstances.
- 11 The Prosecutor argues that in the absence of any cause to doubt the Prosecutor's
- determination that there were no substantial reasons to believe that an investigation
- would not be in the interests of justice, she submits that the Pre-Trial Chamber should
- have agreed with her assessment under Article 53(1)(c) of the Statute and authorised
- 15 the investigation.
- 16 The Appeals Chamber notes that the Prosecutor's arguments under her first ground
- of appeal are predicated on the assumption that a Pre-Trial Chamber's decision
- pursuant to Article 15(4) of the Statute should take into account the interests of justice
- 19 factor of Article 53(1)(c) of the Statute, but that the manner in which the Pre-Trial
- 20 Chamber considered this factor in the present case was wrong.
- 21 In contrast, the victims and certain *amici curiae* argue that the Pre-Trial Chamber
- 22 should not have addressed the interests of justice at all. Therefore, the first issue for
- 23 the Appeals Chamber to determine is whether the interests of justice factor under
- 24 Article 53(1)(c) of the Statute should be assessed in determining whether there is
- 25 a reasonable basis to proceed with an investigation under Article 15(4) of the Statute.

- 1 The Appeals Chamber notes that, in the five decisions that the Pre-Trial Chambers
- 2 have issued to date authorising investigations under Article 15(4) of the Statute, they
- 3 have considered all the factors set out in Article 53(1) of the Statute, including, to
- 4 a certain extent, the Prosecutor's interests of justice assessment under Article 53(1)(c)
- 5 of the Statute. In the case at hand, and in similar vein, the Pre-Trial Chamber found
- 6 that it, I quote, "must consider, on the exclusive basis of the information made
- 7 available by the Prosecutor, whether the requirements set out in Article 53(1)(a) to (c)
- 8 are met". End quote.
- 9 For reasons more fully addressed in the written judgment, the Appeals Chamber
- 10 finds that the Pre-Trial Chamber erred in its interpretation of Article 15(4)
- of the Statute when it found itself bound to assess the factors under Article 53(1) of
- 12 the Statute.
- 13 The starting point for the Appeals Chamber's analysis is a consideration of the
- 14 function of Articles 15 and 53 of the Statute and the relationship between these
- provisions. During the drafting of the Rome Statute, these provisions were the
- subject of lengthy debate and the final text reflects a delicate balance regarding
- 17 the Prosecutor's discretionary power to initiate investigations and the extent to which
- judicial review of these powers would be permitted.
- 19 At the outset, the Appeals Chamber notes that Article 13 of the Statute prescribes
- 20 three circumstances in which the Court may exercise its jurisdiction with respect to
- 21 Article 5 crime. In the case of a State or Security Council referral of a situation to
- 22 the Court, Article 53(1) of the Statue places, in principle, an obligation
- 23 on the Prosecutor to open an investigation by providing that the Prosecutor shall
- 24 initiate an investigation unless he or she determines that there is no reasonable basis
- 25 to proceed.

- 1 Article 53(1) of the Statute thus reflects an expectation that the Prosecutor will
- 2 proceed to investigate referred situations, while allowing the Prosecutor not to
- 3 proceed in the limited circumstances set out in Article 53(1)(a) to (c) of the Statute.
- 4 A decision not to investigate -- to initiate an investigation under Article 53(1)
- of the Statute is subject to judicial control pursuant to Article 53(3) of the Statute.
- 6 In contrast, Article 15 of the Statute sets out the procedure for the triggering of an
- 7 investigation by the Prosecutor *proprio motu*, that is, on her own motion when
- 8 a situation has not been referred to her. Article 15 recognises the discretionary
- 9 nature of this power, providing in this context that it is for the Prosecutor to
- 10 determine whether there is a reasonable basis to initiate an investigation *proprio motu*.
- 11 If the Prosecutor concludes that there is no reasonable basis to proceed, Article 15(6)
- of the Statute requires her to inform those who provided the information of her
- 13 conclusion. The legal framework does not, however, foresee the judicial review
- 14 of the Prosecutor's conclusion.
- 15 In the view of the Appeals Chamber, this is consistent with the discretionary nature of
- the power accorded to the Prosecutor under Article 15 of the Statute. Indeed, it
- 17 would be contrary to the very concept to suggest that a duty to investigate could be
- imposed by the Pre-Trial Chamber in the absence of a request for authorisation of an
- 19 investigation by the Prosecutor. Therefore, under the procedure set out in Article 15
- of the Statute, the Pre-Trial Chamber has a role in respect of the Prosecutor's exercise
- 21 of discretionary power only if she determines that there is a basis to initiate an
- 22 investigation proprio motu.
- 23 The Appeals Chamber considers that the content and placement of Articles 15 and
- 24 53(1) of the Statute make it clear that these are separate provisions addressing the
- 25 initiation of an investigation by the Prosecutor in two distinct contexts. Article 15

of the Statute governs the initiation of a *proprio motu* investigation, while Article 53(1)

- 2 concerns situations which are referred to the Prosecutor by a State Party or the
- 3 Security Council.
- 4 For the purposes of authorising the *proprio motu* investigation the Appeals Chamber
- 5 notes that Article 15(4) of the Statute requires a Pre-Trial Chamber, at this early stage
- 6 of the proceeding, to only consider whether there is a reasonable factual basis to
- 7 proceed with an investigation, in the sense of whether crimes have been committed,
- 8 and whether a potential case or cases arising from such investigation appear to fall
- 9 within the Court's jurisdiction.
- 10 In this regard, the Appeals Chamber finds that Rule 48 of the Rules requires
- the Prosecutor to consider all the factors under Article 53(1) of the Statute, including
- 12 the interests of justice. At the same time, there is no equivalent rule that would
- import these considerations for the purposes of the Pre-Trial Chamber's
- 14 determination under Article 15(4) of the Statute.
- 15 The interests of justice factor set out in Article 53(1)(c) of the Statute, while part
- of the Prosecutor's consideration under Article 15(3) of the Statute is not part of the
- 17 Pre-Trial Chamber's decision under Article 15(4) of the Statute. Instead, the
- 18 Pre-Trial Chamber is required to reach its own determination under Article 15(4)
- of the Statute as to whether there is a reasonable basis to proceed with an
- 20 investigation. It is not called to review the Prosecutor's analysis of the factors under
- 21 Article 53(1)(a) to (c) of the Statute.
- 22 Accordingly, the Appeals Chamber finds that the Pre-Trial Chamber erred in
- 23 deciding that an investigation into the situation in Afghanistan at this stage would
- 24 not serve the interests of justice. It finds that the Pre-Trial Chamber's decision under
- 25 Article 15(4) of the Statute should have addressed only whether there is a reasonable

- 1 factual basis for the Prosecutor to proceed with an investigation, in the sense of
- 2 whether crimes have been committed, and whether the potential case or cases arising
- 3 from such investigation would appear to fall within the Court's jurisdiction.
- 4 Turning to the Prosecutor's second ground of appeal, the Prosecutor argues that,
- 5 when determining that the initiation of an investigation into the situation in
- 6 Afghanistan was not in the interests of justice, the Pre-Trial Chamber abused its
- 7 discretion by failing to seek additional information from the Prosecutor,
- 8 misapprehending the factors it took into account for its decision, taking account into
- 9 factors it should not have taken into account and failing to take sufficient account of
- 10 other relevant factors.
- 11 Having determined in relation to the Prosecutor's first ground of appeal that the
- 12 Pre-Trial Chamber erred in considering the interests of justice when deciding on
- 13 the Prosecutor's request for authorisation of an investigation, the Appeals Chamber
- sees no need to address the Prosecutor's second ground of appeal.
- 15 However, the interpretation given to the term interests of justice as it appears in
- Article 53(1)(c) of the Statute by the Pre-Trial Chamber has been the subject of
- 17 extensive submissions before the Appeals Chamber and has provoked much
- 18 commentary from the academic community and civil society. The concept of the
- 19 interests of justice is of significance under the Statute, particularly for the Prosecutor
- 20 who remains obliged to consider it in her assessment under Article 15(3) and 53(1) of
- 21 the Statute. For this reason, the Appeals Chamber is of the view that it is
- 22 appropriate to provide some observations on the Pre-Trial Chamber's approach to
- 23 this concept.
- 24 First, the Appeals Chamber underlines that Article 53(1) of the Statute is formulated
- 25 in the negative. The Prosecutor must consider whether there are reasons to believe

- that an investigation would not serve the interests of justice and need not
- 2 affirmatively determine that an investigation would be in the interests of justice, as
- 3 suggested by the Pre-Trial Chamber.
- 4 Second, the Appeals Chamber notes that the Pre-Trial Chamber's reasoning in
- 5 support of its conclusion regarding the interests of justice were cursory, speculative
- 6 and did not refer to information capable of supporting it.
- 7 Third, there is no indication that the Pre-Trial Chamber considered the gravity of the
- 8 crimes and the interests of victims as articulated by the victims themselves in
- 9 conducting this assessment. In these circumstances, the Appeals Chamber is of the
- 10 view that the Pre-Trial Chamber did not properly assess the interests of justice.
- 11 Having found that the Pre-Trial Chamber erred by considering the interests of justice
- which was the basis for its decision not to authorise an investigation, the
- 13 Appeals Chamber finds that this error materially affected the Pre-Trial Chamber's
- 14 decision.
- Rule 158(1) of the Rules directs the Appeals Chamber to either confirm, reverse or
- amend the decision appealed under Article 82(1)(d) of the Statute.
- 17 In considering whether the Appeals Chamber should reverse the appealed
- decision -- the decision and remand the matter to the Pre-Trial Chamber, the
- 19 Appeals Chamber notes that, in the appealed decision, the Pre-Trial Chamber found
- 20 that there is a reasonable basis to believe that the incidents underlying the request
- 21 occurred. Elsewhere, the Pre-Trial Chamber found that all the relevant requirements
- 22 are met as regards jurisdiction. Thus, based on the Prosecutor's request, the
- 23 Pre-Trial Chamber entered all the requisite findings under Article 15(4) of
- 24 the Statute -- that there is a reasonable factual basis to proceed with an investigation,
- 25 in the sense of whether crimes have been committed, and that potential case or cases

- 1 arising from such investigation appear to fall within the Court's jurisdiction.
- 2 These aspects of the Pre-Trial Chamber's decision have not been appealed. Given
- 3 these findings, if the matter were remanded to the Pre-Trial Chamber, it would have
- 4 no other recourse but to authorise the investigation. In these circumstances and in
- 5 the interests of judicial economy, the Appeals Chamber considers it appropriate to
- 6 amend the appealed decision and authorise the investigation based on the
- 7 aforementioned findings of the Pre-Trial Chamber.
- 8 The Appeals Chamber notes that in declining to authorise the investigation, the
- 9 Pre-Trial Chamber made statements relating to the scope of any potential
- 10 investigation, which, in the view of the Appeals Chamber, are based on legal error
- and incorrect understanding of its role under Article 15(4) of the Statute. These
- 12 concern the following issues:
- 13 First, whether the authorisation is limited to the incidents mentioned in the request
- 14 and those closely linked thereto; and whether certain acts committed outside
- 15 Afghanistan would amount to war crimes if the victims of these acts were captured
- 16 outside Afghanistan.
- 17 The Appeals Chamber will address these issues in turn.
- 18 In relation to the first issue, the Appeals Chamber notes that in support of her request
- 19 for authorisation of an investigation into the situation in Afghanistan, the Prosecutor
- 20 presented information relating to numerous incidents which, in her view, established
- 21 a reasonable basis that crimes under the jurisdiction of the Court have been
- 22 committed. The Prosecutor clarified, however, that she did not seek authorisation to
- 23 investigate only in respect of these alleged crimes, but that she should be able to
- conduct an investigation into any other alleged crimes that fall within the scope of
- 25 authorised situation.

- 1 The Pre-Trial Chamber, in contrast, underlines that, if it were to authorise an
- 2 investigation, the Prosecutor could only investigate incidents mentioned in the
- 3 request and authorised by the Chamber, as well as those comprised within the
- 4 authorisation's geographical, temporal, and contextual scope, or closely linked to it.
- 5 For reasons more fully elaborated upon in the actual judgment, the Appeals Chamber
- 6 considers that restricting the authorised investigation to the factual information
- 7 obtained during the preliminary examination would erroneously inhibit
- 8 the Prosecutor's truth-seeking function. Such a restriction is also unnecessary to
- 9 fulfil the purpose of Article 15(4) of the Statute in ensuring that the Prosecutor does
- 10 not embark on a frivolous or politically motivated investigation in that she remains
- 11 restricted in her investigation to the contours of the situation authorised by the
- 12 Pre-Trial Chamber. Therefore, the Appeals Chamber considers that authorisation of
- an investigation should not be restricted to the incidents specifically mentioned in
- 14 the Prosecutor's request and incidents that are closely linked to those incidents in the
- 15 manner described by the Pre-Trial Chamber.
- 16 In relation to the Afghanistan situation, the Appeals Chamber notes that
- 17 the Prosecutor presented information regarding the alleged large-scale commission of
- 18 multiple crimes against humanity and war crimes by various armed groups and
- 19 actors involved in the conflict, which began prior to the entry into force of the
- 20 Rome Statute on 17 July 2002 and continues to the present day. This information
- 21 was accepted by the Pre-Trial Chamber as providing a reasonable basis to believe that
- 22 the alleged events occurred and that they may constitute crimes within the
- 23 jurisdiction of the Court.
- 24 Given the scope of the information presented by the Prosecutor and accepted by the
- 25 Pre-Trial Chamber, the Appeals Chamber considers that the requirements of

1 Article 15(4) of the Statute would be met by granting the authorisation in the terms

- 2 requested by the Prosecutor, which sufficiently defines the parameters of the
- 3 situation.
- 4 In relation to the second issue, namely, whether certain acts committed outside
- 5 Afghanistan would amount to war crimes if the victims of these acts were captured
- 6 outside Afghanistan, the Appeals Chamber notes that in the Prosecutor's request she
- 7 provided information relating to alleged war crimes amounting to serious violations
- 8 of article 3 common to the four Geneva conventions, Common Article 3, of torture
- 9 and cruel treatment, outrages upon personal dignity, and rape and other forms of
- sexual violence, committed as part of a policy, by members of the CIA in a number of
- detention facilities in Afghanistan, as well as in detention facilities located on the
- 12 territory of other State Parties.
- 13 For the purpose of the request, the Prosecutor referred only to crimes allegedly
- 14 committed by the CIA on the territory of State Parties against individuals that she
- 15 considered to have a nexus to the armed conflict in Afghanistan.
- 16 The Appeals Chamber notes that the nexus requirement for war crimes is recognised
- in the Elements of Crimes. It has been observed that the function of the nexus
- 18 requirement is to differentiate war crimes, for example, the killing or rape of
- 19 a prisoner of war, from ordinary or common crimes under domestic law, such as the
- 20 common crime of murder and rape.
- 21 In the appealed decision, the Pre-Trial Chamber found that the alleged incidents
- 22 which the Prosecutor attributed to the CIA fell outside the Court's jurisdiction since
- 23 these are said to have occurred against persons captured elsewhere than Afghanistan.
- 24 The Pre-Trial Chamber considered that the acts in question lacked the nexus with an
- 25 internal armed conflict required to trigger the application of international

1 humanitarian law. The Pre-Trial Chamber supported its view by reference to the

- 2 chapeau of Common Article 3 stating that, I quote, "[b]oth the wording and the spirit
- 3 of Common Article 3 to the Geneva Conventions are univocal in confirming its
- 4 territorial scope within the borders of the State where the hostilities are actually
- 5 occurring". End quote.
- 6 While it is true that the chapeau of Common Article 3 refers to an armed conflict not
- 7 of an international character occurring in the territory of one of the high contracting
- 8 parties, this phrase does not have the function ascribed to it by the Pre-Trial Chamber,
- 9 namely, to limit the applicability of the provision to the State on the territory of which
- 10 the armed conflict occurs. Relating to the view of the Appeals Chamber, it simply
- describes the circumstances under which Common Article 3 applies, namely, there
- must be an armed conflict not of an international character in one of the State Parties
- 13 to the Geneva Convention.
- 14 This view finds support in the position of the International Committee of the
- 15 Red Cross, which suggests that this phrase does not have the effect of restricting the
- application of Common Article 3 to the territory of the State in which the armed
- 17 conflict occurs, but rather was aimed at ensuring that the provision would bind those
- 18 States that had ratified the Geneva Conventions. The ICRC indicated that the phrase
- 19 has lost its importance in practice, as any armed conflict not of an international
- 20 character cannot but take place on the territory of one of the Parties to the Convention
- 21 given the universal ratification of the Geneva Conventions. Indeed, all States
- 22 relevant to the allegations in question Afghanistan, Poland, Romania and Lithuania,
- 23 as well as the United States are parties to the four Geneva Conventions.
- 24 The remaining text of Common Article 3 also does not expressly limit the applicability
- of Common Article 3 to the territory of the State where the conflict occurs. To the

1 contrary, the minimum provisions set out in subparagraph 1 stipulate that those

- 2 falling under its protection, and I quote, "shall in all circumstances be treated
- 3 humanely and that certain acts against these persons shall remain prohibited at any
- 4 time and in any place whatsoever". End quote.
- 5 Therefore, contrary to the Pre-Trial Chamber's finding, the text of Common Article 3
- 6 read in its totality does not suggest that the requisite nexus with the armed conflict in
- 7 Afghanistan cannot exist if the criminal conduct occurred outside Afghanistan and
- 8 the victim was not captured in Afghanistan. Importantly, such a conclusion would
- 9 also be contrary to the purpose of Common Article 3, which is to provide minimum
- 10 guarantees in relation to armed conflicts.
- In sum, the Appeals Chamber considers that the Pre-Trial Chamber's finding
- 12 regarding the nexus requirement was incorrect. There is no reason to limit
- the Prosecutor's investigation in the manner envisaged by the Pre-Trial Chamber.
- 14 This is not to say that the Appeals Chamber has determined that any or all of the
- 15 incidents listed in Annex 2C to the Prosecutor's request would necessarily have the
- 16 requisite nexus to qualify as war crimes. When the relevant circumstances have
- been established in the course of an investigation into the situation as whole,
- 18 the Prosecutor will be in a position to evaluate the applicable law, the significance of
- 19 the fact that capture is alleged to have taken place outside Afghanistan and whether
- 20 one or more individual cases fall within the Court's jurisdiction.
- 21 In conclusion, the Appeals Chamber considers it appropriate to amend the appealed
- 22 decision to the effect that the Prosecutor is authorised to commence an investigation
- 23 in relation to alleged crimes committed on the territory of Afghanistan in the period
- since 1 May 2003, as well as other alleged crimes that have a nexus to the armed
- 25 conflict in Afghanistan and are sufficiently linked to the situation and were

- 1 committed on the territory of other State Parties in the period since 1 July 2002.
- 2 Judge Ibáñez Carranza appends a separate opinion to this judgment which reflects
- 3 her reasoning on the interpretation of Article 15 and its relationship with
- 4 Article 53(1)(c) of the Statute.
- 5 This concludes my summary of the judgment. I wish to thank the legal team of the
- 6 Appeals Chamber, the interpreters, court reporters, other Registry staff, and parties
- 7 and participants.
- 8 I now declare this session closed.
- 9 THE COURT USHER: [10:40:28] All rise.
- 10 (The hearing ends in open session at 10.40 a.m.)