

# PUBLIC ANNEX A

### ***Amicus Curiae Observations***

1. Appeals Chamber, *AMICUS BRIEF BY JUSTICE FRANCIS M. SSEKANDI*, ICC-02/04-01/15-1926, ([‘Doc 1926’](#)).
2. Appeals Chamber, *Amicus Curiae Observations on the issue of Sexual and Gender-based Crimes: Sexual Slavery & Forced Marriage*, ICC-02/04-01/15-1927, ([‘Doc 1927’](#)).
3. Appeals Chamber, *Amicus Curiae pursuant to Rule 103(1) of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1928, ([‘Doc 1928’](#)).
4. Appeals Chamber, *AMICUS CURIAE OBSERVATION*, ICC-02/04-01/15-1929, ([‘Doc 1929’](#)).
5. Appeals Chamber, *Amicus Curiae Observations Regarding the Relevance to this Case of the Convention on the Rights of Persons with Disabilities*, ICC-02/04-01/15-1930, ([‘Doc 1930’](#)).
6. Appeals Chamber, *Submission of amicus curiae observations by the National Institute of Military Justice (NIMJ)*, ICC-02/04-01/15-1931, ([‘Doc 1931’](#)).
7. Appeals Chamber, *Amici Curiae Observations on Duress and the Standards Applicable to Assessing Evidence of Sexual Violence*, ICC-02/04-01/15-1932, ([‘Doc 1932’](#)).
8. Appeals Chamber, *Amici Curiae Observations on Sexual – and Gender-Based Crimes, Particularly Forced Pregnancy, and on Standards of Proof Required for Sexual and Reproductive Violence Pursuant to Rule 103 of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1933, ([‘Doc 1933’](#)).
9. Appeals Chamber, *Amici Curiae Observations on Sexual- and Gender-Based Crimes, Particularly Sexual Slavery, and on Cumulative Convictions Pursuant to Rule 103 of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1934, ([‘Doc 1934’](#)).
10. Appeals Chamber, *Amici Curiae Brief on Forced Marriage*, ICC-02/04-01/15-1935, ([‘Doc 1935’](#)).
11. Appeals Chamber, *Submission of Amicus Curiae observations on the merits of the legal questions presented in the “Order inviting expressions of interest as amici curiae in judicial proceedings (pursuant to rule 103 of the Rules of Procedure and Evidence)” 25 October 2021 (ICC-02/04-01/15A)*, ICC-02/04-01/15-1936, ([‘Doc 1936’](#)).
12. Appeals Chamber, *OBSERVATIONS OF THE ASSOCIATION OF DEFENCE COUNSEL PRACTISING BEFORE THE INTERNATIONAL COURTS AND TRIBUNALS (ADC-ICT) AS AMICUS CURIAE REGARDING QUESTIONS POSED BY THE APPEAL CHAMBER IN PROSECUTION v. ONGWEN*, ICC-02/04-01/15-1937, ([‘Doc 1937’](#)).
13. Appeals Chamber, *Amici Curiae Observations on the Rome Statute’s definition of ‘forced pregnancy’ by Dr Rosemary Grey, Global Justice Center, Women’s Initiatives for Gender Justice and Amnesty International*, ICC-02/04-01/15-1938, ([‘Doc 1938’](#)).
14. Appeals Chamber, *Amicus Curiae Observations pursuant to Rule 103(1) of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1939, ([‘Doc 1939’](#)).
15. Appeals Chamber, *Amicus Curiae Observations by Public International Law & Policy Group*, ICC-02/04-01/15-1940, ([‘Doc 1940’](#)).
16. Appeals Chamber, *Amici curiae observations submitted by Prof. Bonita Meyersfeld and the Southern African Litigation Centre Trust pursuant to rule 103 of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1942, ([‘Doc 1941’](#)).

17. Appeals Chamber, *Amici curiae observations submitted by Prof. Bonita Meyerfeld and the Southern African Litigation Centre Trust pursuant to rule 103 of the Rules of Procedure and Evidence*, ICC-02/04-01/15-1941, ([‘Doc 1942’](#)).
18. Appeals Chamber, *Amici curiae observations on issues raised in the Appeals Chamber Order of 25 October 2021 inviting expressions of interest as amici curiae in judicial proceedings (pursuant to Rule 103 of the Rules of Procedure and Evidence)*, ICC-02/04-01/15-1943, ([‘Doc 1943’](#)).

### **Ongwen Filings, Decisions and Evidence**

1. Appeals Chamber, *Defence Appeal Brief Against the Convictions in the Judgment of 4 February 2012*, ICC-02/04-01/15-1866-Conf, ([‘Appeal Against Convictions’](#)).
2. Appeals Chamber, *Defence Document in Support of its Appeal against the Sentencing Decision*, ICC-02/04-01/15-1871, ([‘Appeal Against the Sentence’](#)).
3. Pre-Trial Chamber II, *Decision on the confirmation of charges against Dominic Ongwen*, ICC-02/04-01/15-422-Red, ([‘CoC Decision’](#)).
4. Pre-Trial Chamber II, *Warrant of Arrest for Dominic Ongwen*, 8 July 2005, ICC-02/04-01/15-6, ([‘Warrant of Arrest’](#)).
5. Richard Oto, *Internal Wrangles Within the LRA Comd.*, UGA-OTP-0255-0943, (*‘Internal Wrangles within the LRA’*).
6. Trial Chamber IX, *Defence Closing Brief*, ICC-02/04-01/15-1722-Corr-Red, ([‘Defence Closing Brief’](#)).
7. Trial Chamber IX, *Prosecution Closing Brief*, ICC-02/04-01/15-1719-Conf, ([‘Prosecution Closing Brief’](#)).
8. Trial Chamber IX, *Trial Judgment*, ICC-02/04-01/15-1762, ([‘Judgment’](#)).
9. Trial Chamber IX, *Sentence*, ICC-02/04-01/15-1819, ([‘Sentencing Judgment’](#)).
10. Trial Chamber IX, *Correct Version of ‘Defence Brief on Sentencing’*, filed on 1 April 2021, ICC-02/04-01/15-1809-Corr-Conf, ([‘Defence Sentencing Brief’](#)).
11. Pre-Trial Chamber II, *Defence Brief for the Confirmation of Charges Hearing*, ICC-02/04-01/15-404-Conf, ([‘Defence Confirmation Brief’](#)).
12. Trial Chamber IX, *Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute*, ICC-02/04-01/15-1423, ([‘Defence Filing 1423’](#)).
13. Trial Chamber IX, *Defence Reply to Prosecution and CLRV Responses on the Burden and Standard of Proof Applicable to Article 31(1)(a) and (d) of the Rome Statute*, ICC-02/04-01/15-1466, ([‘Defence Filing 1466’](#)).
14. UPDF Intelligence report, UGA-OTP-0255-0943.
15. Curriculum vitae of Professor Dr Ovuga, UGA-D26-0015-0856.
16. Curriculum vitae of Professor Dr Akena, UGA-D26-0015-0849.

### **Non-Ongwen ICC Submissions and Decisions**

1. Appeals Chamber, *Judgment on the appeals of Mr Jean-Pierre Bemba Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala and Mr*

*Narcisse Arido against the Decision of Trial Chamber VII entitled “Judgment pursuant to Article 74 of the Statute, ICC-01/05-01/13-2275-Red, ([‘Bemba et al Appeals Judgment’](#)).*

2. Trial Chamber I, *Decision on Sentence Pursuant to Article 76 of the Statute, ICC-01/04-01/06-2901, ([‘Lubanga Majority Decision’](#)).*
3. Trial Chamber X, *Public Redacted Version of “Annex to Registry Transmission of the Report of the Experts” dated 9 December 2020, ICC-01/12-01/18-1197-Conf-Anx, ICC-01/12-01/18-1197-Anx-Red, ([‘Al Hassan Report’](#)).*
4. Trial Chamber X, *Public redacted version of ‘Decision appointing experts for the purpose of a medical examination pursuant to Rule 135 of the Rules of Procedure and Evidence’, ICC-01/12-01/18-1006-Red, ([‘Al Hassan Decision’](#)).*
5. Pre-Trial Chamber I, *Decision on the confirmation of charges, [ICC-01/04-01/07-717](#).*

### **Ongwen Expert Reports**

1. Awich, Major Pollar, *Report as an Expert Witness at the Sentencing of Mr Ongwen Dominic at the International Criminal Court (ICC) at The Hague, UGA-D26-0015-1898, (‘Awich Sentencing Report’).*
2. Awich, Major Pollar, *The Enduring Impact of Being a Child Soldier, UGA-D26-0015-1022, (‘Awich Expert Report’).*
3. De Jong, Joop, *Psychiatric examination Pro Justitia regarding Mr Dominic Ongwen, UGA-D26-0015-0046, (‘de Jong Expert Report’).*
4. Mezey, Gillian, *Private and Confidential Psychiatric Report Dominic Ongwen, UGA-OTP-0280-0786, (‘Mezey Report’).*
5. Musisi, Seggane M., *Expert report on the interplay of Acholi culture with the traumas meted out to the Acholi people of Uganda by the Lord’s Resistance Army, LRA: The crimes, the harm suffered by victims, and the impact of the crimes on the victims, UGA-PCV-0003-0046, (‘Musisi Expert Report’).*
6. Ovuga, Emilio and Dickens Akena, *Psychiatric Report, UGA-D26-0015-0004, (‘Defence Expert Report #1’).*
7. Ovuga, Emilio and Dickens Akena, *Second Psychiatric Report, UGA-D26-0015-0948, (‘Defence Expert Report #2’).*
8. Ovuga, Emilio and Dickens Akena, *Rejoinder Rebuttal, UGA-D26-0015-1574, (‘Rejoinder Rebuttal’).*
9. Titeca, Kristof, *Growing in the cosmological space of the LRA, UGA-D26-0018-3901, (‘Titeca Expert Report’).*
10. Weierstall-Pust, Roland, *Forensic Report on the mental health status of Mr Dominic Ongwen, UGA-OTP-0280-0674, (‘Weierstall-Pust Report’).*
11. Weierstall-Pust, Roland, *expert opinion on the SECOND PSYCHIATRIC REPORT and its related testimonies by Emilio Ovuga and Dickens Akena in the case of Mr. Dominic ONGWEN YY, UGA-OTP-0287-0072, (‘Rebuttal Report’).*

### **Ongwen Trial Transcripts**

1. ICC-02/04-01/15-T-13-CONF-ENG, ([‘T-13’](#)).
2. ICC-02/04-01/15-T-26-ENG, ([‘T-26’](#)).

3. ICC-02/04-01/15-T-167-ENG, ([‘T-167’](#)).
4. ICC-02/04-01/15-T-168-ENG, ([‘T-168’](#)).
5. ICC-02/04-01/15-T-197-ENG, ([‘T-197’](#)).
6. ICC-02/04-01/15-T-203-ENG, ([‘T-203’](#)).
7. ICC-02/04-01/15-T-248-ENG, ([‘T-248’](#)).

### International Documents

1. United Nations, [Convention on the Rights of Persons with Disabilities](#), 13 December 2006, ([‘CRPD’](#)).
2. United Nations, [Convention on the Rights of the Child](#), General Assembly Resolution 44/25 of 20 November 1989, entered into force on 1 September 1990, ([‘CRC’](#)).

### Domestic Cases and Law

1. *Blockburger v. United States*, 284 U.S. 299, 52 S.Ct. 180, 76 L.Ed. 306 (1932), ([‘Blockburger’](#)).

### Academic Articles and Journals

1. Aggarwal, Neil Krishnan, “Culture, Communication, and DSM-5 Diagnostic Reliability,” *Journal of the National Medical Association*, Vol No. 2017, pp 1-3.
2. Ardila, Alfredo, “Cultural Values Underlying Psychometric Cognitive Testing,” *Neuropsychology Review*, Vol. 15, no. 4, December 2005, pp 185-195.
3. Boothby, Neil, “What happens when child soldiers grow up? The Mozambique case study,” *Intervention*, 2006, Volume 4, Number 3, ([‘Boothby’](#)).
4. Grover, Sonja C., *Child Soldier Victims of Genocidal Forcible Transfer, Exonerating Child Soldiers Charged with Grave Conflict-related International Crimes*, Springer 2012, ([‘Grover’](#)). Available in ICC Library, call number K 4725 .G76 2012.
5. Herman, Judith Lewis, *Complex PTSD: A Syndrome in Survivors of Prolonged and Repeated Trauma*, *Journal of Traumatic Stress*, Vol. 5, No. 3, 1992, UGA-D26-0015-1395, ([‘Herman’](#)).
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8. Pangalangan, Raphael Lorenzo, “Dominic Ongwen and the Rotten Social Background Defense: The Criminal Culpability of Child Soldiers Turned War Criminals,” 33 *Am. U. Int’l L. Rev.* 605 (2018), ([‘Pangalangan’](#)).
9. Triffterer/Ambos, *The Rome Statute of the International Criminal Court, A Commentary*, 3<sup>rd</sup> Edition, C.H. Beck-Hart-Nomos, 2016 and Schabas, William A., *The International Criminal Court: A Commentary on the Rome Statute* (2<sup>nd</sup> Edition), Oxford Commentaries on International Law, 2016, at Part 3: General Principles of Criminal Law, Article 26 Exclusion of Jurisdiction over persons under 18, ([‘Triffterer/Ambos’](#)).

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