

Annex : Table of designations and cited materials

ANNEX - DESIGNATIONS AND CITED MATERIALS

A. List of defined terms and acronyms

<u>Abbreviation</u>	<u>Full Name</u>
8 MONUC Daily Reports	DRC-OTP-2066-0380; DRC-OTP-0204-0236; DRC-OTP-0185-0843; DRC-OTP-1029-0579; DRC-OTP-0007-0314; DRC-OTP-0004-0372; DRC-OTP-1029-0465; and DRC-OTP-1029-0591.
CIAT	<i>Comité International d'Accompagnement de la Transition</i>
DRC	Democratic Republic of the Congo
FAPC	<i>Forces armées du peuple congolais</i>
First Operation	UPC/FPLC activities between 20 November 2002 and 6 December 2002 in relation to the Banyali-Kilo <i>collectivité</i> .
FNI	<i>Front des Nationalistes et Intégrationnistes</i>
FPLC	<i>Forces patriotiques pour la Libération du Congo</i>
FRPI	<i>Front de Résistance Patriotique de l'Ituri</i>
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Criminal Tribunal for the Former Yugoslavia
MONUC	<i>Mission de l'Organisation des Nations unies pour la stabilisation en République démocratique du Congo/ United Nations Organization Stabilization Missions in the Democratic Republic of the Congo.</i>
PUSIC	<i>Parti pour l'unité et la sauvegarde de l'intégrité du Congo</i>
Second Operation	UPC/FPLC activities in relation to the Walendu-Djatsi <i>collectivité</i> .
Six UN Reports	DRC-OTP-2102-1032; DRC-OTP-2102-1093; DRC-OTP-2102-1220; DRC-OTP-1247; DRC-OTP-1560; DRC-OTP-1535.
Statute	Rome Statute of the International Criminal Court, 17 July 1998, 2187 United Nations Treaty Series 38544.

<u>Abbreviation</u>	<u>Full Name</u>
Trial Chamber	Trial Chamber VI
UPC/RP	<i>Union des Patriotes Congolais pour la Reconciliation et la Paix</i>
Victims Group 1	Common Legal Representative of the former child soldiers
Victims Group 2	Common Legal Representative of the Victims of the attacks
Vienna Convention	Vienna Convention on the Law of Treaties, 23 May 1969, 1155 United Nations Treaty Series 18232 .

B. Materials from the Court

1. Pre-trial and trial proceedings in the Ntaganda case

<u>Designation</u>	<u>Full reference</u>
Addendum to the Registry Report	Annex 1 to Addendum to ‘‘Registry’s Report on Mr Bosco Ntaganda’s Solvency and Conduct While in Detention’’ (ICC-01/04-02/06-2367-Conf), ICC-01/04-02/06-2390-Conf-AnxI.
Conviction Decision	Judgment, 8 July 2019, ICC-01/04-02/06-2359 with public annex A (ICC-01/04-02/06-2359-AnxA), annex B (ICC-01/04-02/06-2359-AnxB) and annex C (ICC-01/04-02/06-2359-AnxC).
Decision on Prosecution’s first request on evidence	Trial Chamber VI, <i>The Prosecutor v. Bosco Ntaganda</i> , 19 February 2016, ICC-01/04-02/06-1181.
Decision on Prosecution’s request for admission of documentary evidence	Trial Chamber VI, <i>The Prosecutor v. Bosco Ntaganda</i> , 28 March 2017, ICC-01/04-02/06-1838.
Mr Ntaganda’s Response to Prosecutor and Victims’ Sentencing Submissions	Public Redacted Version of ‘‘Response on behalf of Mr. Ntaganda to Prosecution CLR1/CLR2 submissions on sentence’’, 8 October 2019, ICC-01/04-02/06-2438-Conf, 24 January 2020, ICC-01/04-02/06-2438-Red.
Mr Ntaganda’s Sentencing Submissions	Submissions on sentence on behalf of Mr Ntaganda, ICC-01/04-02/06-2424-Conf; public redacted version registered on 24 January 2020 (ICC-01/04-02/06-2424-Red).

<u>Designation</u>	<u>Full reference</u>
Prosecution Response	Trial Chamber VI, Public redacted version of “Response to ‘Submissions on sentence on behalf of Mr Ntaganda’ (ICC-01/04-02/06-2424-Conf)”, 8 October 2019, ICC-01/04-02/06-2437-Conf.
Registry Report	Registry’s Report on Mr Bosco Ntaganda’s Solvency and Conduct While in Detention, ICC-01/04-02/06-2367-Conf, with confidential annex, 11 July 2019, ICC-01/04-02/06-2367-Conf-Anx.
Rule 68(2)(b) Decision	Trial Chamber VI, Public redacted version of ‘Preliminary ruling on prior recorded testimony pursuant to Rule 68(2)(b) in relation to sentencing’, 23 August 2019, ICC-01/04-02/06-2385-Red.
Sentencing Decision	Sentencing Judgment, 7 November 2019, ICC-01/04-02/06-2442, with one public annex (ICC-01/04-02/06-2442-Anx).

2. *Transcripts in the Ntaganda case*

<u>Designation</u>	<u>Full reference</u>
T-59	Transcript of hearing, 29 January 2016, ICC-01/04-02/06-T-59-Red2-ENG.
T-63	Transcript of hearing, 4 February 2016, ICC-01/04-02/06-T-63-Red2-ENG.
T-99	Transcript of hearing, 9 June 2016, ICC-01/04-02/06-T-99-Red-ENG.
T-128	Transcript of hearing, 13 September 2016, ICC-01/04-02/06-T-128-ENG.
T-209	Transcript of hearing, 14 June 2017, ICC-01/04-02/06-T-209-Red-ENG.
T-211	Transcript of hearing, 15 June 2017, ICC-01/04-02/06-T-211-Red2-ENG.
T-213	Transcript of hearing, 15 August 2017, ICC-01/04-02/06-T-213-Red-ENG.

<u>Designation</u>	<u>Full reference</u>
T-221	Transcript of hearing, 10 July 2017, ICC-01/04-02/06-T-221-Red-ENG.
T-227	Transcript of hearing, 18 July 2017, ICC-01/04-02/06-T-227-Red2-ENG.
T-231	Transcript of hearing, 28 August 2017, ICC-01/04-02/06-T-231-Red2-ENG.
T-243	Transcript of hearing, 13 September 2017, ICC-01/04-02/06-T-243-Red-ENG.
T-244	Transcript of hearing, 14 September 2017, ICC-01/04-02/06-T-244-Red-ENG.
T-266	Transcript of hearing, 17 September 2019, ICC-01/04-02/06-T-266-Red-ENG.
T-267	Transcript of hearing, 18 September 2019, ICC-01/04-02/06-T-267-Red-ENG.
T-267-FRA	Transcript of hearing, 18 September 2019, ICC-01/04-02/06-T-267-Red-FRA.
T-272	Transcript of hearing, 14 October 2020, ICC-01/04-02/06-T-272-Red-ENG.

3. *Filings from the appeals proceedings in the Ntaganda case*

<u>Designation</u>	<u>Full reference</u>
Decision of 13 September 2019	Decision on request for admission of evidence related to sentencing from the bar table, 13 September 2019, ICC-01/04-02/06-2402.
Mr Ntaganda's Appeal Brief	Sentencing Appeal Brief, 10 February 2020, ICC-01/04-02/06-2468-Conf, with annex A (ICC-01/04-02/06-2468-AnxA), annex B (ICC-01/04-02/06-2468-AnxB), C (ICC-01/04-02/06-2468-Conf-AnxC), D (ICC-01/04-02/06-2468-Conf-AnxD), E (ICC-01/04-02/06-2468-Conf-AnxE); public redacted version registered on 8 April 2020 (ICC-01/04-02/06-2468-Red) and annex C (ICC-01/04-02/06-2468-AnxC-Red).

<u>Designation</u>	<u>Full reference</u>
<u>Mr Ntaganda's Appeal Brief – Part II</u>	Defence Appeal Brief - Part II, ICC-01/04-02/06-2465-Conf; a corrected version was notified on 30 June 2020, ICC-01/04-02/06-2465-Conf-Corr, with confidential annexes; a public redacted version was registered on 27 March 2020, followed by a further corrected version on 30 June 2020 (ICC-01/04-02/06-2465-Red-Corr), with a public <u>annex</u> (ICC-01/04-02/06-2465-Red-Corr-Anx).
<u>Mr Ntaganda's Notice of Appeal</u>	Notice of Appeal against Sentencing Judgment (ICC-01/04-02/06-2442), 9 December 2019, ICC-01/04-02/06-2448.
<u>Mr Ntaganda's Reply</u>	Defence reply to “Prosecution Response to ‘Sentencing Appeal Brief’”, 27 May 2020, ICC-01/04-02/06-2538, with <u>annex A</u> (ICC-01/04-02/06-2538-AnxA).
<u>Mr Ntaganda's Response to Observations of Victims</u>	Defence response to CLR1 and CLR2 Observations on Sentencing Appeal Brief, 2 June 2020, ICC-01/04-02/06-2541-Conf, with <u>annex A</u> (ICC-01/04-02/06-2541-AnxA) and annex B (ICC-01/04-02/06-2541-Conf-AnxB); public redacted version of the response registered on 22 June 2020, ICC-01/04-02/06-2541-Red and <u>annex B</u> (ICC-01/04-02/06-2541-AnxB-Red).
<u>Mr Ntaganda's Response to Prosecutor's Request on Sentencing Evidence</u>	Defence response to “Prosecution’s request for the admission of additional documentary evidence on sentencing”, 6 September 2019, ICC-01/04-02/06-2392.
<u>Observations of Victims Group 1</u>	Observations of the Common Legal Representative of the Former Child Soldiers on Mr Ntaganda’s Appeal against the Sentencing judgment, 15 May 2020, ICC-01/04-02/06-2531-Conf; public lesser redacted version registered on 12 February 2021, ICC-01/04-02/06-2531-Red2.
<u>Observations of Victims Group 2</u>	Observations of the Common Legal Representative of the Victims of the Attacks on the Defence Sentencing Appeal, 15 May 2020, ICC-01/04-02/06-2529-Conf; public redacted version registered on 28 May 2020, ICC-01/04-02/06-2529-Red.

<u>Designation</u>	<u>Full reference</u>
Prosecutor's Response	Prosecution response to "Sentencing Appeal Brief", 14 April 2020, ICC-01/04-02/06-2509-Conf, with annex A (ICC-01/04-02/06-2509-AnxA), annex B (ICC-01/04-02/06-2509-AnxB), annex C (ICC-01/04-02/06-2509-AnxC) and annex D (ICC-01/04-02/06-2509-AnxD); public redacted version of the response registered on 14 April 2020 (ICC-01/04-02/06-2509-Red).
Prosecutor's Sentencing Submissions	Public redacted version of "Submissions on Sentence", 30 September 2019, ICC-01/04-02/06-2425-Conf; public redacted version registered on 20 January 2020, ICC-01/04-02/06-2425-Red.

4. *Other jurisprudence from the Court*

<u>Designation</u>	<u>Full reference</u>
Al Mahdi Conviction and Sentencing Decision	Trial Chamber VIII, <i>The Prosecutor v. Ahmad Al Faqi Al Mahdi</i> , Judgement and Sentence, 27 September 2016, ICC-01/12-01/15-171.
Bemba Appeal Judgment	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Judgment on the appeal of Mr Jean-Pierre Bemba Gombo against Trial Chamber III's "Judgment pursuant to Article 74 of the Statute", 8 June 2018, ICC-01/05-01/08-3636-Red; confidential version notified same day.
Bemba et al. Appeal Judgment	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo et al.</i> , Judgment on the appeals of Mr Jean-Pierre Bemba Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala and Mr Narcisse Arido against the Decision of Trial Chamber VII entitled "Judgment pursuant to Article 74 of the Statute", 8 March 2018, ICC-01/05-01/13-2275-Red.
Bemba et al. Sentencing Appeal Judgment	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo et al.</i> , Judgment on the appeals of the Prosecutor, Mr Jean-Pierre Bemba Gombo, Mr Fidèle Babala Wandu and Mr Narcisse Arido against the decision of Trial Chamber VII entitled "Decision on Sentence pursuant to Article 76 of the Statute", 8 March 2018, ICC-01/05-01/13-2276-Red.
Bemba Sentencing Decision	Trial Chamber III, <i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Decision on Sentence pursuant to Article 76 of the Statute, 21 June 2016, ICC-01/05-01/08-3399 (with public annex I and annex II).

<u>Designation</u>	<u>Full reference</u>
<u>Ntaganda Conviction Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Bosco Ntaganda</i> , Judgment on the appeals of Mr Bosco Ntaganda and the Prosecutor against the decision of Trial Chamber VI of 8 July 2019 entitled ‘Judgment’, 30 March 2021, ICC-01/04-02/06-2666-Conf; the public redacted version was registered on the same day (ICC-01/04-02/16-2666-Red).
<u>Katanga Sentencing Decision</u>	Trial Chamber II, <i>The Prosecutor v. Germain Katanga</i> , Corrigendum of Translation to Decision on Sentence pursuant to article 76 of the Statute, 23 May 2014, ICC-01/04-01/07-3484-tENG-Corr.
<u>Kenyatta OA5 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Uhuru Muigai Kenyatta</i> , Judgment on the Prosecutor’s appeal against Trial Chamber V(B)’s “Decision on Prosecution’s application for a finding of non-compliance under Article 87(7) of the Statute, 19 August 2015, ICC-01/09-02/11-1032.
<u>Lubanga Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeal of Mr Thomas Lubanga Dyilo against his conviction, 1 December 2014, ICC-01/04-01/06-3121-Red.
<u>Lubanga Sentencing Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeals of the Prosecutor and Mr Thomas Lubanga Dyilo against the “Decision on Sentence pursuant to Article 76 of the Statute”, 1 December 2014, ICC-01/04-01/06-3122.
<u>Lubanga Sentencing Decision</u>	Trial Chamber I, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Decision on Sentence pursuant to Article 76 of the Statute, 10 July 2012, ICC-01/04-01/06-2901.
<u>Ngudjolo Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Mathieu Ngudjolo Chui</i> , Judgment on the Prosecutor’s appeal against the decision of Trial Chamber II entitled ‘Judgment pursuant to article 74 of the Statute’, 27 February 2015, ICC-01/04-02/12-271-Corr.
<u>Ntaganda OA5 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Bosco Ntaganda</i> , Judgment on the appeal of Mr Ntaganda against the ‘Second decision on the Defence’s challenge to the jurisdiction of the Court in respect of Counts 6 and 9’, 15 June 2017, ICC-01/04-02/06-1962.

C. Materials from other international tribunals and courts

<u>Designation</u>	<u>Full reference</u>
<u>Blagojević & Jokić Trial Judgment</u>	ICTY, Trial Chamber I, <i>The Prosecutor v. Vidoje Blagojević and Dragan Jokić</i> , Judgement, 17 January 2005, IT-02-60-T.
<u>Blagojević & Jokić Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Vidoje Blagojević and Dragan Jokić</i> , Judgement, 9 May 2007, IT-02-60-A.
<u>Čelebići Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Zejnil Delalić et al.</i> , Judgement, 20 February 2001, IT-96-21-A.
<u>Deronjić Sentencing Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Miroslav Deronjić</i> , Judgement on Sentencing Appeal, 20 July 2005, IT-02-61-A.
<u>D. Milošević Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Dragomir Milošević</i> , Judgement, 12 November 2009, IT-98-29/1-A.
<u>Karadžić Trial Judgment</u>	ICTY, Trial Chamber, <i>The Prosecutor v. Radovan Karadžić</i> , Public Redacted Version of Judgement Issued on 24 March 2016, 24 March 2016, IT-95-5/18-T.
<u>Karadžić Appeal Judgment</u>	MICT, Appeals Chamber, <i>The Prosecutor v. Radovan Karadžić</i> , Judgement, 20 March 2019, MICT-13-55-A.
<u>Kordić & Čerkez Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Dario Kordić and Mario Čerkez</i> , Judgement, 17 December 2004, IT-95-14/2-A.
<u>Krnojelac Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Milorad Krnojelac</i> , Judgement, 17 September 2003, IT-97-25-A.
<u>Krstić Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Radislav Krstić</i> , Judgement, 19 April 2004, IT-98-33-A.
<u>M. Nikolić Sentencing Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Momir Nikolić</i> , Judgement on sentencing appeal, 8 March 2006, IT-02-60/1-A.
<u>Nzabirinda Sentencing Judgment</u>	ICTR, Trial Chamber II, <i>The Prosecutor v. Joseph Nzabirinda</i> , Sentencing Judgement, 23 February 2007, ICTR-2001-77-T.
<u>Popović et al. Trial Judgment</u>	ICTY, Trial Chamber II, <i>The Prosecutor v. Vujadin Popović et al.</i> , Judgment Volume I, 10 June 2010, IT-05-88-T.
<u>Rugambarara Sentencing Judgment</u>	ICTR, Trial Chamber II, <i>The Prosecutor v. Juvénal Rugambarara</i> , Sentencing Judgement, 16 November 2007, ICTR-00-59-T.
<u>Serugendo Trial Judgment</u>	ICTR, Trial Chamber I, <i>The Prosecutor v. Joseph Serugendo</i> , Judgement and Sentence, 12 June 2006, ICTR-2005-84-I.

<u>Designation</u>	<u>Full reference</u>
Šešelj Urgent Order to the Dutch Authorities Regarding Health and Welfare of the Accused	ICTY, Trial Chamber, <i>The Prosecutor v. Vojislav Šešelj</i> , Urgent Order to the Dutch Authorities Regarding Health and Welfare of the Accused, 6 December 2006, IT-03-67-T.
Vasiljević Appeal Judgment	ICTY, Appeals Chamber, <i>The Prosecutor v. Mitar Vasiljević</i> , Judgement, 25 February 2004, IT-98-32-A.

D. Jurisprudence from national courts

<u>Designation</u>	<u>Full reference</u>
Bugmy v. The Queen	Australia, High Court of Australia, <i>William David Bugmy v. The Queen</i> , Order, [2013] HCA 37, 2 October 2013.
Porter v. McCollum	United States of America, Supreme Court of the United States, <i>George Porter, Jr. v. Bill McCollum, Attorney General of Florida et al.</i> , On petition for writ of certiorari to the United States Court of Appeals for the eleventh circuit, 08-10537, 30 November 2009.
R v Williams	Australia, Supreme Court of South Australia, <i>R v Williams</i> , Judgment of The Court of Criminal Appeal, [2018] SASCF 14, 1 March 2018.
R. v B.V.T.	Canada, Provincial Court of British Columbia, <i>Regina v. B.V.T.</i> , Reasons for sentence of the honourable regional administrative Judge M.J. Brecknell, 2016 BCPC 95, 18 April 2016.

E. Books and Articles

<u>Designation</u>	<u>Full reference</u>
Merriam-Webster Dictionary	
The Oxford Thesaurus	
Triffterer	O. Triffterer (ed.) <i>Commentary on the Rome Statute of the International Criminal Court: Observers' Notes, Article by Article</i> (2016).