

*Public*

## **Annex 2**

# **Glossary and List of Authorities**

## I. FILINGS IN CASE ICC-01/04-02/06

Short Title	Reference
Confirmation of Charges Decision	“Decision Pursuant to Article 61(7)(a) and (b) of the Rome Statute on the Charges of the Prosecutor Against Bosco Ntaganda” (Pre-Trial Chamber II), <a href="#">No. ICC-01/04-02/06-309</a> , 9 June 2014
Defence Corrected Final List of Witnesses	“Corrected version of ‘Defence Final Lists of Witnesses and Evidence’, 26 April 2017, ICC-01/04-02/06-1881-Conf”, <a href="#">No. ICC-01/04-02/06-1881-Conf-Corr</a> , 2 May 2017
Decision on Mr Ntaganda’s Testimony	“Decision on further matters related to the testimony of Mr Ntaganda” (Trial Chamber VI), <a href="#">No. ICC-01/04-02/06-1945</a> , 8 June 2017
CLR1 Closing Brief	“Closing brief on behalf of the Former Child Soldiers”, <a href="#">No. ICC-01/04-02/06-2276-Corr-Red</a> , 7 November 2018
Defence Closing Brief	“Public Redacted Version of Annex 1 to filing ICC-01/04-02/06-2298”, <a href="#">No. ICC-01/04-02/06-2298-Anx1-Corr-Red</a> , 8 November 2018
Annex F to Defence Closing Brief	“Annex F to filing ICC-01/04-02/06-2298”, <a href="#">No. ICC-01/04-02/06-2298-Conf-AnxF</a> , 2 July 2018
Judgment	“Judgment” (Trial Chamber VI), <a href="#">No. ICC-01/04-02/06-2359</a> , 8 July 2019
Notice of Appeal	“Mr. Ntaganda's Notice of Appeal against the Judgment pursuant to Article 74 of the Statute”, <a href="#">No. ICC-01/04-02/06-2396 A</a> , 9 September 2019
Sentencing Judgment	“Sentencing Judgment” (Trial Chamber VI), <a href="#">No. ICC-01/04-02/06-2442</a> , 7 November 2019
First Appeal Brief	“Defence Appeal Brief – Part I”, <a href="#">No. ICC-01/04-02/06-2443 A</a> , 11 November 2019
Prosecution First Response	“Prosecution Response to ‘Defence Appeal Brief – Part I’”, <a href="#">No. ICC-01/04-02/06-2464 A</a> , 27 January 2020
Sentencing Appeal Brief	“Public Redacted Version of ‘Sentencing Appeal Brief’, 10 February 2020, ICC- 01/04-02/06-2468-Conf”, <a href="#">No. ICC-01/04-02/06-2468-Red</a> , 9 April 2020
Second Appeal Brief	“Defence Appeal Brief – Part II”, <a href="#">No. ICC-01/04-02/06-2465-Conf A</a> , 31 January 2020
Prosecution Second Response	“Prosecution response to ‘Defence Appeal Brief – Part II’”, <a href="#">No. ICC-01/04-02/06-2500-Conf</a> , 3 April 2020

## II. TRANSCRIPTS IN CASE ICC-01/04-02/06

Short Title	Reference
T-47	Transcript, <a href="#">No. ICC-01/04-02/06-T-47-CONF-ENG CT</a> (Trial Chamber VI), 11 November 2015
T-48	Transcript, <a href="#">No. ICC-01/04-02/06-T-48-CONF-ENG CT</a> (Trial Chamber VI), 12 November 2015
T-58	Transcript, <a href="#">No. ICC-01/04-02/06-T-58-CONF-ENG CT2</a> (Trial Chamber VI), 28 January 2016
T-62	Transcript, <a href="#">No. ICC-01/04-02/06-T-62-CONF-ENG CT</a> (Trial Chamber VI), 3 February 2016
T-89	Transcript, <a href="#">No. ICC-01/04-02/06-T-89-CONF-ENG CT</a> (Trial Chamber VI), 25 April 2016
T-93	Transcript, <a href="#">No. ICC-01/04-02/06-T-93-CONF-ENG CT</a> (Trial Chamber VI), 2 May 2016
T-153	Transcript, <a href="#">No. ICC-01/04-02/06-T-153-CONF-ENG CT</a> (Trial Chamber VI), 8 November 2016
T-154	Transcript, <a href="#">No. ICC-01/04-02/06-T-154-CONF-ENG CT</a> (Trial Chamber VI), 9 November 2016
T-155	Transcript, <a href="#">No. ICC-01/04-02/06-T-155-CONF-ENG CT</a> (Trial Chamber VI), 10 November 2016
T-167	Transcript, <a href="#">No. ICC-01/04-02/06-T-167-CONF-ENG CT</a> (Trial Chamber VI), 29 November 2016
T-168	Transcript, <a href="#">No. ICC-01/04-02/06-T-168-CONF-ENG CT</a> (Trial Chamber VI), 30 November 2016
T-169	Transcript, <a href="#">No. ICC-01/04-02/06-T-169-CONF-ENG CT2</a> (Trial Chamber VI), 1 December 2016
T-197	Transcript, <a href="#">No. ICC-01/04-02/06-T-197-CONF-ENG CT2</a> (Trial Chamber VI), 16 February 2017
T-209	Transcript, <a href="#">No. ICC-01/04-02/06-T-209-CONF-ENG ET</a> (Trial Chamber VI), 14 June 2017
T-211	Transcript, <a href="#">No. ICC-01/04-02/06-T-211-CONF-ENG CT</a> (Trial Chamber VI), 15 June 2017
T-239	Transcript, <a href="#">No. ICC-01/04-02/06-T-239-CONF-ENG CT2</a> (Trial Chamber VI), 7 September 2017
T-246	Transcript, <a href="#">No. ICC-01/04-02/06-T-246-CONF-ENG CT2</a> (Trial Chamber VI), 18 September 2017
T-248	Transcript, <a href="#">No. ICC-01/04-02/06-T-248-CONF-ENG ET</a> (Trial Chamber VI), 22 September 2017

### III. OTHER ICC JURISPRUDENCE

Short Title	Reference
<i>Abu Garda</i> Confirmation of Charges Decision	“Decision on the Confirmation of Charges” (Pre-Trial Chamber I), <a href="#">No. ICC-02/05-02/09-243-Red</a> , 8 February 2010
<i>Banda &amp; Jerbo</i> Confirmation of Charges Corrigendum	“Corrigendum of the ‘Decision on the Confirmation of Charges’” (Pre-Trial Chamber I), <a href="#">No. ICC-02/05-03/09-121-Corr-Red</a> , 7 March 2011
<i>Bemba</i> Arrest Warrant Decision	“Decision on the Prosecutor’s Application for a Warrant of Arrest against Jean-Pierre Bemba Gombo” (Pre-Trial Chamber III), <a href="#">No. ICC-01/05-01/08-14-tENG</a> , 10 June 2008
<i>Bemba</i> Confirmation of Charges Decision	“Decision Pursuant to Article 61(7)(a) and (b) of the Rome Statute on the Charges of the Prosecutor Against Jean-Pierre Bemba Gombo” (Pre-Trial Chamber II), <a href="#">No. ICC-01/05-01/08-424</a> , 15 June 2009
<i>Bemba</i> Decision on Witness Evidence	“Public redacted version of ‘Decision on the “Defence application concerning Witness CAR-OTP-WWWW-0042’s evidence” of 10 October 2013’” (Trial Chamber III), <a href="#">No. ICC-01/05-01/08-2830-Red</a> , 16 October 2013
<i>Bemba et al.</i> Appeal Judgment	“Judgment on the appeals of Mr Jean-Pierre Bemba Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala Wandu and Mr Narcisse Arido against the decision of Trial Chamber VII entitled ‘Judgment pursuant to Article 74 of the Statute’” (Appeals Chamber), <a href="#">No. ICC-01/05-01/13-2275-Red A A2 A3 A4 A5</a> , 8 March 2018
<i>Bemba et al.</i> Trial Judgment	“Judgment pursuant to Article 74 of the Statute” (Trial Chamber VII), <a href="#">No. ICC-01/05-01/13-1989-Red</a> , 19 October 2016
<i>Bemba</i> Separate Opinion of Judge Eboe-Osui	“Concurring Separate Opinion of Judge Eboe-Osui” (Appeals Chamber), <a href="#">No. ICC-01/05-01/08-3636-Anx3 A</a> , 14 June 2018
<i>Bemba</i> Trial Judgment	“Judgment pursuant to Article 74 of the Statute” (Trial Chamber III), <a href="#">No. ICC-01/05-01/08-3343</a> , 21 March 2016
<i>Blé Goudé</i> Confirmation of Charges Decision	“Decision on the confirmation of charges against Charles Blé Goudé” (Pre-Trial Chamber I), <a href="#">No. ICC-02/11-02/11-186</a> , 11 December 2014
<i>Gbagbo</i> Confirmation of Charges Decision	“Decision on the confirmation of charges against Laurent Gbagbo” (Pre-Trial Chamber I), <a href="#">No. ICC-02/11-01/11-656-Red</a> , 12 June 2014
<i>Katanga &amp; Ngudjolo</i> Oral Decision	Transcript, <a href="#">No. ICC-01/04-01/07-T-190 WT</a> (Trial Chamber II), 5 December 2019
<i>Katanga</i> Confirmation of Charges Decision	“Decision on the confirmation of charges” (Pre-Trial Chamber I), <a href="#">No. ICC-01/04-01/07-717</a> , 30 September 2008

Short Title	Reference
<i>Katanga</i> Judgment	“Judgment pursuant to article 74 of the Statute” (Trial Chamber II), <a href="#">No. ICC-01/04-01/07-3436-tENG</a> , 7 March 2014
<i>Lubanga</i> Appeal Brief	“Mr Thomas Lubanga’s appellate brief against the 14 March 2012 Judgment pursuant to Article 74 of the Statute”, <a href="#">No. ICC-01/04-01/06-2948-Red-tENG A5</a> , 3 December 2012
<i>Lubanga</i> Appeal Judgment	“Public redacted Judgment on the appeal of Mr Thomas Lubanga Dyilo against his conviction” (Appeals Chamber), <a href="#">No. ICC-01/04-01/06-3121-Red A5</a> , 1 December 2014
<i>Lubanga</i> Appeal Variation Decision	“Decision and order in relation to the request of 23 December 2013 filed by Mr Thomas Lubanga Dyilo” (Appeals Chamber), <a href="#">No. ICC-01/04-01/06-3057-Corr A5 A6</a> , 13 January 2014
<i>Lubanga</i> Confirmation of Charges Decision	“Decision on the confirmation of charges” (Pre-Trial Chamber I), <a href="#">No. ICC-01/04-01/06-803-tEN</a> , 29 January 2007
<i>Lubanga</i> Oral Decision	Transcript, <a href="#">No. ICC-01/04-01/06-T-350-Red2-ENG CT3</a> (Trial Chamber I), 14 April 2011
<i>Lubanga</i> Trial Judgment	“Judgment pursuant to Article 74 of the Statute” (Trial Chamber I), <a href="#">No. ICC-01/04-01/06-2842</a> , 14 March 2012
<i>Ngudjolo</i> Appeal Judgment	“Judgment on the Prosecutor’s appeal against the decision of Trial Chamber II entitled ‘Judgment pursuant to article 74 of the Statute’” (Appeals Chamber), <a href="#">No. ICC-01/04-02/12-271-Corr</a> , 7 April 2015
<i>Ngudjolo</i> Trial Judgment	“Judgment pursuant to article 74 of the Statute” (Trial Chamber II), <a href="#">No. ICC-01/04-02/12-3-tENG</a> , 18 December 2012
<i>Ongwen</i> Confirmation of Charges Decision	“Decision on the confirmation of charges against Dominic Ongwen” (Pre-Trial Chamber II), <a href="#">No. ICC-02/04-01/15-422-Red</a> , 23 March 2016
<i>Yekatom &amp; Ngaïssona</i> Confirmation Decision	“Decision on the confirmation of charges against Alfred Yekatom and Patrice-Edouard Ngaïssona” (Pre-Trial Chamber II), <a href="#">No. ICC-01/14-01/18-403-Red</a> , 20 December 2019

#### IV. OTHER ICC DOCUMENTS

Short Title	Reference
Statute	<a href="#">Rome Statute of the International Criminal Court</a> , Document A/CONF.183/9 of 17 July 1998, amended
Regulations of the Court	<a href="#">Regulations of the Court</a> , Document ICC-BD/01-05-16, adopted by the judges of the Court on 26 May 2004, amended
Rules of Procedure and Evidence	<a href="#">ICC Rules of Procedure and Evidence</a> , Official Records of the Assembly of States Parties to the Rome Statute of the ICC, 1 <sup>st</sup> session, New York, 3-10 September 2002 (ICC-ASP/1/3 and Corr.1), as amended.

## V. JURISPRUDENCE OF OTHER JURISDICTIONS

Short Title	Reference
<i>Akayesu</i> Trial Judgment	<i>Prosecutor v. Akayesu</i> (ICTR-96-4-T), “ <a href="#">Judgement</a> ” (Chamber I), 2 September 1998
<i>Delalić</i> et al. Judgment	<i>Prosecutor v. Delalić et al.</i> (IT-96-21-T), “ <a href="#">Judgement</a> ” (Trial Chamber), 16 November 1998
<i>Gacumbitsi</i> Judgment	<i>Prosecutor v. Gacumbitsi</i> (ICTR-01-64), “ <a href="#">Judgment</a> ” (Trial Chamber III), 17 June 2004
<i>Habré</i> Trial Judgment	<i>Ministère Public c. Hissain Habré</i> , “ <a href="#">Jugement</a> ” (Extraordinary African Chambers), 30 May 2016
<i>Haradinaj</i> et al. Appeal Judgment	<i>Prosecutor v. Haradinaj et al.</i> (IT-04-84-A), “ <a href="#">Judgement</a> ” (Appeals Chamber), 19 July 2010
<i>Karadžić</i> Judgment	<i>Prosecutor v. Karadžić</i> (IT-95-5/18-T), “ <a href="#">Public Redacted Version of Judgement Issued on 24 March 2016</a> ” (Trial Chamber), 24 March 2016
<i>Katz</i>	<a href="#">United States v. Katz</a> (US Court of Appeals, Fifth Circuit), 178 F.3d 368
<i>Kunarac</i> Trial Judgment	<i>Prosecutor v. Kunarac et al.</i> (IT-96-23-T& IT-96-23/1-T), “ <a href="#">Judgement</a> ” (Trial Chamber), 22 February 2001
<i>Lanning</i>	<a href="#">HMTQ v. Aaron Robert Clayton Lanning</a> (Provincial Court of Alberta), 2012 ABPC 171
<i>Loring</i>	<a href="#">HMTQ v. Loring</a> (Supreme Court of British Columbia), 2001 BCSC 200
<i>Muhimana</i> Appeal Judgment	<i>Prosecutor v. Muhimana</i> (ICTR-95-1B-A), “ <a href="#">Judgement</a> ” (Appeals Chamber), 21 May 2007
<i>Muhimana</i> Judgment and Sentence	<i>Prosecutor v. Muhimana</i> (ICTR-95-1B-T), “ <a href="#">Judgement and Sentence</a> ” (Trial Chamber III), 28 April 2005
<i>Musema</i> Judgment and Sentence	<i>Prosecutor v. Musema</i> (ICTR-96-13-A), “ <a href="#">Judgement and Sentence</a> ” (Trial Chamber I), 27 January 2000
<i>Renzaho</i> Appeal Judgment	<i>Renzaho v. Prosecutor</i> (ICTR-97-31-A), “ <a href="#">Judgement</a> ” (Appeals Chamber), 1 April 2011
<i>Rutaganda</i> Appeal Judgment	<i>Rutaganda v. Prosecutor</i> (ICTR-96-3-A), “ <a href="#">Judgement</a> ” (Appeals Chamber), 26 May 2003
<i>Rutaganda</i> Judgment and Sentence	<i>Prosecutor v. Rutaganda</i> (ICTR-96-3-T), “ <a href="#">Judgement and Sentence</a> ” (Trial Chamber I), 6 December 1999
<i>Sesay</i> et al. Appeal Judgment	<i>Prosecutor v. Sesay et al.</i> (SCSL-04-15-A), “ <a href="#">Judgment</a> ” (Appeals Chamber), 26 October 2009
<i>Sesay</i> et al. Trial Judgment	<i>Prosecutor v. Sesay et al.</i> (SCSL-04-15-T), “ <a href="#">Judgement</a> ” (Trial Chamber I), 2 March 2009

Short Title	Reference
<i>Stakić</i> Judgment	<i>Prosecutor v. Stakić</i> (IT-97-24-T), “ <a href="#">Judgement</a> ” (Trial Chamber II), 31 July 2003
<i>Tadić</i> Opinion and Judgment	<i>Prosecutor v. Tadić</i> (IT-94-1-T), “ <a href="#">Opinion and Judgment</a> ” (Trial Chamber), 7 May 1997
<i>Taylor</i> Trial Judgment	<i>Prosecutor v. Taylor</i> (SCSL-03-01-T), “ <a href="#">Judgement</a> ” (Trial Chamber II), 30 May 2012

## VI. ACADEMIC WORKS

Short Title	Reference
Goldstone (2002)	GOLDSTONE (R.J.), “ <a href="#">Prosecuting Rape as a War Crime</a> ”, <i>Case Western Reserve Journal of International Law</i> , Vol. 34, Issue 3, 2002, pp. 277-285
Kiss (2018)	KISS (A.), “Indirect Perpetrator”, in DE HEMPTINNE (J.) <i>et al.</i> (Eds.), <i>Modes of Liability in International Criminal Law</i> , Cambridge University Press, 2019, pp. 30-57
Knoops (2017)	KNOOPS (G.-J. A.), <a href="#">Mens Rea at the International Criminal Court</a> , Brill, 2016, 255 pp.
McGlynn (2010)	MCGLYNN (C.), “Feminist activism and rape law reform in England and Wales: a Sisyphean struggle?”, in MCGLYNN (C.) and MUNRO (V. E.), <i>Rethinking Rape Law: International and Comparative Perspectives</i> , Routledge, London, pp. 139-153
Oosterveld (2014)	OOSTERVELD (V.), “ <a href="#">Procedural Steps Addressing Sexual and Gender-based Violence: the Legacy of the International Criminal Tribunal for Rwanda and its Application in the Special Court for Sierra Leone</a> ”, <i>Law Publications</i> , Western University, 2014, 14 pp.
Stahn (2015)	STAHN (C.), <i>The Law and Practice of the International Criminal Court</i> , Oxford University Press, 2015, 1 440 pp.
Weiner (2013)	WEINER (P.), “ <a href="#">The Evolving Jurisprudence of the Crime of Rape in International Criminal Law</a> ”, <i>Boston College Law Review</i> , Vol. 54, Issue 3, 2013, pp. 1207-1237
Yanev (2019)	YANEV (L.), “ <a href="#">On Common Plans and Excess Crimes: Fragmenting the Notion of Co-Perpetration in International Criminal Law</a> ”, <i>Leiden Journal of International Law</i> , Vol. 31, 2018, pp. 693-718

## VII. ABBREVIATIONS AND ACRONYMS

Short Title	Reference
Article	Article of the <a href="#">Rome Statute</a>
ICTR	International Criminal Tribunal for Rwanda
ICTR Rules	<a href="#">ICTR Rules of Procedure and Evidence</a>
ICTY	International Criminal Tribunal for the former Yugoslavia
ICTY Rules	<a href="#">ICTY Rules of Procedure and Evidence</a>
IRMCT	International Residual Mechanism for Criminal Tribunals
IRMCT Rules	<a href="#">IRMCT Rules of Procedure and Evidence</a>
SCSL	Special Court for Sierra Leone
UPC/FPLC	<i>Union des Patriotes Congolais / Forces Patriotiques pour la Libération du Congo</i>