

Annex A

List of Authorities

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A. Filings and Decisions in *The Prosecutor v. Bosco Ntaganda*, Case No. ICC-01/04-02/06

Short-title	Reference
Decision-309 or Confirmation Decision	Decision Pursuant to Article 61(7)(a) and (b) of the Rome Statute on the Charges of the Prosecutor Against Bosco Ntaganda, 9 June 2014, ICC-01/04-02/06-309 .
Decision on Independence or Decision-2326-Anx1	Annex 1 to the Notification of the Decision of the Plenary of Judges pursuant to article 40 of the Rome Statute, 22 March 2019, ICC-01/04-02/06-2326-Anx1 .
Defence-Request-2337-AnxB	Annex B to the Request for Reconsideration of the Decision of the Judges Concerning Judge Ozaki Pursuant to Article 40 of the Rome, 30 April 2019, ICC-01/04-02/06-2337-AnxB .
Decision-2346	Decision on the “Request for Reconsideration of the Decision of the Judges Concerning Judge Ozaki Pursuant to Article 40 of the Rome Statute”(ICC-01/04-02/06-2337) and the “Request for Reconsideration of ‘Decision concerning the “Request for disclosure concerning the Decision of the plenary of Judges on the judicial independence of Judge Ozaki”, the “Request for disclosure concerning the visit of the Registrar to Japan on 21 and 22 January 2019”’(Filing #2336), and for Additional Disclosure” (ICC-01/04-02/06-2339)and related requests, 14 May 2019, ICC-01/04-02/06-2346 .

Decision-2355-AnxI or Decision on Impartiality	Annex I - Red to the Notification of the Decision of the Plenary of Judges on the Defence Request for the Disqualification of Judge Kuniko Ozaki from the case of The Prosecutor v. Bosco Ntaganda, 20 June 2019, ICC-01/04-02/06-2355-AnxI-Red .
Notification-2338	Notification concerning Judge Kuniko Ozaki, 1 May 2019, ICC-01/04-02/06-2338 .
Prosecution-Response-2349	Prosecution Response to the Defence "Request for Disqualification of Judge Ozaki" (ICC-01/04-02/06-2347), 27 May 2019, ICC-01/04-02/06-2349 .
UDCC	Annex A to the Prosecution's Submission of an Updated Document Containing the Charges pursuant to ICC-01/04-02/06-450, 16 February 2015, ICC-01/04-02/06-458-AnxA .
PTB	Prosecution Pre-Trial Brief, 9 March 2015, ICC-01/04-02/06-503 .
TJ or Judgment	Judgment, 8 July 2019, ICC-01/04-02/06-2359 .

B. Other jurisprudence of this Court

Short-title	Reference
<i>Bemba-AJ-3636</i>	<i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Judgment on the appeal of Mr Jean-Pierre Bemba Gombo against Trial Chamber III's "Judgment pursuant to Article 74 of the Statute", 8 June 2018, ICC-01/05-01/08-3636-Red.
<i>Gbagbo-Goudé-Decision-142-AnxI</i>	Annex I to the Decision replacing two judges in the Appeals Chamber, 15 July 2015, ICC-02/11-01/15-142-AnxI.
<i>Lubanga-AJ-3121</i>	<i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeal of Mr Thomas Lubanga Dyilo against his conviction, 1 December 2014, ICC-01/04-01/06-3121-Red.
<i>Lubanga-Decision-2205</i>	<i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeals of Mr Lubanga Dyilo and the Prosecutor against the Decision of Trial Chamber I of 14 July 2009 entitled "Decision giving notice to the parties and participants that the legal characterization of the facts may be subject to change in accordance with Regulation 55(2) of the Regulations of the Court", 8 December 2009, ICC-01/04-01/06-2205.

C. Jurisprudence of other jurisdictions

(a) ICTY

Short-title	Reference
<i>ICTY-Mucić-Appeal-Brief</i>	<i>Prosecutor v. Mucić et al.</i> , Case No.IT-96-21-A, "Zdravko Mucić [aka Pavo] Brief on Appeal Against Conviction and Sentence", 2 July 1999 (Annex C).

ICTY-Mucić-Bureau-First-Decision	<i>Prosecutor v. Mucić and al.</i> , Case No. IT-96-21, "Decision of the Bureau on Motion on Judicial Independence", 4 September 1998.
ICTY-Mucić-Bureau-Second-Decision	<i>Prosecutor v. Mucić et al.</i> , Case No.IT-96-21-A, Decision of the Bureau on Motion to Disqualify Judges pursuant to Rule 15 or in the alternative that certain Judges recuse themselves, 25 October 1999.
ICTY-Mucić-AJ	<i>Prosecutor v. Zejnil Delalic, Zdravko Mucić (aka "PAVO"), Hazim Delić and Esad Landžo (aka "ZENGA")</i> , Case No. IT-96-21-A, Judgement, 20 February 2001.

(b) ICTR

Short-title	Reference
ICTR-Karempera-Appeal-Decision	<i>Karempera v. Prosecutor</i> , Reasons for Decision on Interlocutory Appeals regarding the Continuation of Proceedings with a Substitute Judge and on Nzirorera's Motion for Leave to Consider New Material, 22 October 2004,ICTR-98-44-AR15bis.2.

(c) ECtHR

Short-title	Reference
Campbell	ECtHR, Chamber, <i>Campbell and Fell v. UK</i> , "Judgment", 28 June 1984, Application no. 7819/77; 7878/77.
McGonnell	ECtHR, Third Section, <i>McGonnell v. UK</i> , "Judgment", 8 February 2000, Application no. 28488/95.
Pablaky	ECtHR, Fourth Section, <i>Pablaky v. Finland</i> , "Judgment", 22 September 2004, Application no. 47221/99.
Procola	ECtHR, Chamber, <i>Procola v. Luxembourg</i> , "Judgment", 28 September 1995, Application no. 14570/89.

Sramek	ECtHR, Plenary, <i>Sramek v. Austria</i> , "Judgment", 22 October 1984, Application no. 8790/79.
Whitfield	ECtHR, Fourth Section, <i>Whitfield and others v. UK</i> , "Judgment", 12 April 2005, Applications nos. 46387/99, 48906/99, 57410/00 and 57419/00.

(d) National authorities

Short-title	Reference
De Lange	South Africa, Constitutional Court, <i>De Lange v. Smuts</i> , 28 May 1998, 3 SA 785.
Canada, Canadian Charter	Canada Act 1982, 1982, came into force on April 17, 1982.
Canada, Judges Act	Judges Act (R.S.C, 1985, c. J-1)
Canada, Reference re. Remuneration of Judges	Canada, Supreme Court Judgments, <i>Reference re Remuneration of Judges of the Provincial Court (P.E.I.)</i> , [1997] 3 S.C.R. 3.
DRC, Statut des magistrats	Loi organique no. 06/020 du 10 octobre 2006 portant status des magistrats.
Uganda, Constitution	Constitution of the Republic of Uganda, 22 September 1995.
UK Guide to Judicial Conduct	Guide to Judicial Conduct, Courts and Tribunals Judiciary, March 2019.
Valente	Canada, Supreme Court, <i>Valente v. The Queen</i> , 19 December 1985, 2 SCR 673.

E. Academic works

Short-title	Reference
Schabas Commentary	W. A. Schabas, <i>The International Criminal Court: a commentary on the Rome Statute</i> , (Oxford University Press, 2nd ed., 2016) (Annex E).

F. Other sources

Short-title	Reference
Basic Principles on the Independence of Judiciary	United Nations, Office of the High Commissioner, <i>Basic Principles on the Independence of the Judiciary</i> , 1985.
Campeche Declaration	Declaration of Minimal Principles about Judiciaries and Judges' Independence in Latin America (Campeche Declaration), April 2008.
General Comment No.35	United Nations, Human rights Committee, <i>General Comment No. 35</i> , 16 December 2014, CCPR/C/GC/35.
ICC Code of Judicial Ethics	Code of Judicial Ethics, adoption and entry into force on 9 March 2005, ICC-BD/02-01/05.
ICL Report 1993	International Law Commission, <i>Report of the Commission to the General Assembly on the work of its forty-fifth session, 1993</i> , A/CN.4/SER.A/1993/Add.1 (Part 2).
ICL Report 1994	International Law Commission, <i>Report of the International Law Commission on the work of its forty-sixth session, 1994</i> , A/49/10.
ILO, Judgment	International Labour Organization, <i>Judgment</i> , No. 3359, 118 th Session, 9 July 2014.

<u>Singhvi Report</u>	United Nations, United Nations Economic and Social Council, <i>Final report by the Special Rapporteur, Mr. L.M. Singhvi</i> , 22 July 1985, E/CN.4/Sub.2/1985/18/Add.1.
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