

1 International Criminal Court  
2 Appeals Chamber  
3 Situation: Darfur, Sudan  
4 In the case of The Prosecutor v. Omar Hassan Ahmad Al-Bashir - ICC-02/05-01/09  
5 Presiding Judge Chile Eboe-Osuji, Judge Howard Morrison, Judge Piotr Hofmański,  
6 Judge Luz del Carmen Ibáñez Carranza, Judge Solomy Balungi Bossa  
7 Appeals Hearing - Courtroom 1  
8 Monday, 10 September 2018  
9 (The hearing starts in open session at 9.32 a.m.)  
10 THE COURT USHER: [9:32:50] All rise.  
11 The International Criminal Court is now in session.  
12 Please be seated.  
13 PRESIDING JUDGE EBOE-OSUJI: [9:33:18] Thank you very much.  
14 Court officer, please put the case on the record.  
15 THE COURT OFFICER: [9:33:30] Good morning, Mr President, your Honours.  
16 Situation in Darfur, Sudan, in the case of The Prosecutor versus Omar Hassan Ahmad  
17 Al-Bashir, case reference ICC-02/05-01/09 before Judge Chile Eboe-Osuji, presiding,  
18 Judge Howard Morrison to his right, Judge Piotr Hofmański to his left, Judge Luz del  
19 Carmen Ibáñez Carranza to the far right, Judge Solomy Balungi Bossa to the far left.  
20 And for the record, your Honour, we are in open session.  
21 PRESIDING JUDGE EBOE-OSUJI: [9:34:13] Thank you very much.  
22 We will take appearances for the record, beginning with the appellant's counsel.  
23 MR HMOUD: [9:34:30] Mr President, members of the Appeals Chamber, the  
24 Hashemite Kingdom of Jordan is grateful to the Chamber for the present hearing in  
25 its appeal against the decision of Pre-Trial Chamber II of 11 December 2017.

1 It's an honour for me to introduce Jordan's legal team for the present appeals  
2 proceedings. I am Mahmoud Hmoud, Ambassador of the Hashemite Kingdom of  
3 Jordan to the Republic of Singapore, and a member of the International Law  
4 Commission of the United Nations.

5 The other members of the Jordan team are Professor Sean Murphy of George  
6 Washington University to the far left, and he is also a member of the International  
7 Law Commission. And to my left, Sir Michael Wood, a member of the English Bar  
8 and a member of the International Law Commission. And behind me is Mr Alfredo  
9 Crosato Neumann from the Graduate Institute of International and Development  
10 Studies of Geneva and member of the Peruvian Bar. And Mr Amer Hadid from the  
11 Embassy of Jordan to The Netherlands. Thank you, Mr President.

12 PRESIDING JUDGE EBOE-OSUJI: [9:35:42] Thank you very much.

13 And then we'll take appearances from the respondent's counsel.

14 MS BRADY: [9:35:49] Good morning, your Honours. My name is Helen Brady.  
15 I'm the senior appeals counsel, the Prosecution. With me today is Mr Rod Rastan,  
16 legal advisor. And then to his left is Mr Matthew Cross, appeals counsel. And  
17 behind me Ms Priya Narayanan, Ms Nivedha Thiru, both appeals counsel. And our  
18 case manager, Ms Carmen Garcia Ramos. Thank you very much.

19 PRESIDING JUDGE EBOE-OSUJI: [9:36:17] Thank you very much.

20 And appearances from the counsel for African Union.

21 MS NEGM: [9:36:25] Good morning, your Honours.

22 On behalf of the African Union I would like to present myself first. My name is  
23 Namira Negm, I'm an Ambassador and the Legal Counsel of the African Union. In  
24 my team today is Professor Dire Tladi from the University of Pretoria, and he is a  
25 professor of international law and a member of the International Law Commission.

1 Still on his way to The Hague, will arrive today, my colleague Professor Charles  
2 Jalloh from the University of Florida, and he's also a member of the International Law  
3 Commission.

4 Behind me is Ms Lami Omale from my office, legal associate. And also Mr Sean Yau,  
5 assistant to us in this case.

6 I thank you.

7 PRESIDING JUDGE EBOE-OSUJI: [9:37:12] Mr Jalloh is not yet in the courtroom.

8 But you're saying he will be joining us? Thank you very much.

9 I will take appearances from counsel for the League of Arab States.

10 MR ABDELAZIZ: [9:37:30] Good morning, your Honour. It is my privilege and  
11 honour (microphone not activated)

12 THE INTERPRETER: Microphone please.

13 MR ABDELAZIZ: -- the League of Arab States. My name is Maged Abdelaziz.

14 Sorry.

15 Good morning, your Honours. It is my privilege and honour to be the only

16 representative representing the League of Arab States. My name is Maged

17 Abdelfatah Abdelaziz, I'm the Ambassador and the Permanent Observer of the

18 League of Arab States to the United Nations in New York.

19 PRESIDING JUDGE EBOE-OSUJI: [9:38:09] Thank you very much.

20 And then we will move to appearances from other friends of the Court, the legal  
21 scholars, taking it in turn as seated.

22 Can we have you please put your names on the record.

23 MR KREß: [9:38:33] Mr President, your Honours, my name is Claus Kreß, I'm a  
24 professor of law at the University of Cologne.

25 MR ROBINSON: [9:38:47] Mr President, my name is Darryl Robinson. I'm a

1 professor at Queen's University in Canada. I'm here representing a team of  
2 academics which includes Professor Robert Cryer, Professor Meg de Guzman,  
3 Professor Fannie Lafontaine, Professor Valerie Oosterveld, Professor Carsten Stahn,  
4 and myself.

5 Thank you.

6 PRESIDING JUDGE EBOE-OSUJI: [9:39:07] But for the record, you are the only one  
7 in the courtroom.

8 MR ROBINSON: [9:39:11] That's correct.

9 PRESIDING JUDGE EBOE-OSUJI: [9:39:12] Thank you very much.

10 MR NEWTON: [9:39:18] Good morning, Mr President, Honourable Bench. My  
11 name is Professor Mike Newton from Vanderbilt University Law School in Nashville,  
12 Tennessee.

13 PRESIDING JUDGE EBOE-OSUJI: [9:39:26] Thank you.

14 MR O'KEEFE: [9:39:31] Mr President, your Honours, my name is Roger O'Keefe. I  
15 am the professor of international law at Bocconi University in Milan, and honorary  
16 professor of law at University College of London, where until Monday and when I  
17 submitted my written submissions, I was professor of public international law.

18 PRESIDING JUDGE EBOE-OSUJI: [9:39:48] Any more appearances from?

19 MS LATTANZI: [9:39:55] (Interpretation) My name is Flavia Lattanzi and I am a  
20 professor at the LUISS University in Rome. I am teaching international public law  
21 there.

22 Thank you.

23 PRESIDING JUDGE EBOE-OSUJI: [9:40:10] Is there any more appearances to be  
24 made on the record?

25 We're done. Thank you very much.

1 The Appeals Chamber is convened to hear oral submissions in the appeal of the  
2 Hashemite Kingdom of Jordan, hereafter "Jordan", against the decision of Pre-Trial  
3 Chamber II dated 11 December 2017. In that decision, the Pre-Trial Chamber  
4 determined as follows:

5 One, that Jordan failed to comply with its obligation, under both the Rome Statute  
6 and UN Security Council Resolution 1593 (2005), to comply with a request by the  
7 Court for the arrest and surrender of President Omar Al-Bashir of Sudan and,  
8 consequently;

9 Two, that Jordan must be referred to the Assembly of States Parties to the Rome  
10 Statute, to which Jordan is a party, and to the UN Security Council.

11 The question at the heart of this appeal has troubled modern international law,  
12 especially, though not exclusively, as it is applied in this Court. This is the very first  
13 time that the Appeals Chamber has been called upon to consider that question.

14 The question, to put it rather broadly, but also specifically in a sense, is whether a  
15 State Party to the Rome Statute is justified in declining to execute the Court's request  
16 for cooperation, when the request involves arresting President Omar Al-Bashir of  
17 Sudan and surrendering him to the Court. In particular, is the State that declines  
18 that request justified in doing so, on the basis that President Omar Al-Bashir enjoys  
19 immunity as Head of State, which immunity that State is bound to respect as an  
20 international obligation that is in competition with that State's obligation to cooperate  
21 with the ICC?

22 I am bound, of course, to observe that the issue before us comes in the manner of the  
23 apparent procedural requirement that the Court is to obtain consent from a third State  
24 whose immunity would be violated by a State Party that is obligated under the Rome  
25 Statute to comply with the Court's request for cooperation. For obvious reasons,

1 however, that procedural requirement does not obscure the substantive inquiry into  
2 the subsistence or existence of any such obstacle of immunity in the first place.

3 In order to ensure that we have cast our thinking net as far and wide as is reasonably  
4 possible, we issued a call for expressions of interest to international organisations,  
5 specifically the United Nations, the African Union, the League of Arab States, the  
6 European Union, and the Organisation of American States, to enable us invite them to  
7 give us the benefit of their observations.

8 THE INTERPRETER: [9:43:40] Message from the booth. If the Presiding Judge  
9 could kindly slow down.

10 PRESIDING JUDGE EBOE-OSUJI: [9:43:44] That call for expression of interest was  
11 also extended to the world's legal scholars who have done research and writing in this  
12 subject area.

13 Because of their past, potential and general interest in the issue, we also invited  
14 expressions of interest from States Parties to the Rome Statute. And, most  
15 importantly, we invited the same from the Government of Sudan and President  
16 Al-Bashir, because of their own very specific interest in the matter.

17 Of the international organisations and States to whom the call had gone, only the  
18 African Union and the League of Arab States expressed interest in participating. We  
19 invited them to file written briefs, and they did. As we did with everyone who filed  
20 written submissions at our invitation, we further invited them to attend these oral  
21 hearings. They accepted, and counsel appearing on their behalf are with us today.  
22 We are grateful to everyone who responded to our invitation, and to all those who  
23 filed written submissions, and all of you who found time to join us.

24 Regrettably, due to logistical reasons, we could not accommodate all the legal  
25 scholars who had expressed interest in participating in the written and oral debates.

1 We have, however, selected a cross-section among them on the basis of seniority as  
2 scholars, demonstrated record of research and publication on the subject matter under  
3 consideration and uniqueness of their views.

4 We are confident that their participation will assist us in ensuring that we have left no  
5 stone unturned in the thinking process that is called for in our decision on this  
6 question.

7 Unfortunately, we have received no expression of interest in the appeal from either  
8 the government of Sudan or from President Al-Bashir. They were always welcome  
9 to participate in these proceedings had they expressed interest, exactly in the same  
10 way that we had been willing to welcome the participation of international  
11 organisations, States and persons directly or indirectly concerned in the matter.

12 And because of the particular interest that Sudan and President Al-Bashir have in this  
13 matter, we will be glad to welcome them amongst us at any time in the course of  
14 these hearings.

15 This Court belongs to the whole world. It is no less so for the people of Sudan.

16 That we are all convened here today bears true testament to that unavoidable reality.

17 Now, a minor housekeeping matter. It is truly impressive to know that all counsel in  
18 the courtroom are women and men of recognized distinction. Esteemed titles of  
19 academic and national merits bear proof to such distinction. It is often the case that  
20 we receive in this Court counsel from all over the world who have been similarly  
21 honoured in their home countries and their universities. However, the tradition in  
22 our courtroom, for those unfamiliar with it, is that we address all counsel by the  
23 uniform title of "Mr" or "Ms". It is no sign of disrespect. It is just a rule borne out of  
24 convenience.

25 Now to the substance of our proceedings. I must set the stage. I do so not only for

1 the benefit of anyone who may not be familiar with the matter before us or the  
2 context of it. I set the stage also because of particular features of the case that it is  
3 hoped will guide the submissions of counsel in order to give the proper depth and  
4 dimension to their debate.

5 On 31 March 2005, the Security Council of the United Nations adopted Resolution  
6 1593 (2005). By that resolution, the Security Council referred the situation in Darfur  
7 to the Prosecutor of this Court. The Security Council is entitled to do this pursuant  
8 to Article 13(b) of the Rome Statute. Ordinarily a treaty creates rights and  
9 obligations for only the States that are parties to it. But Article 13(b) of the Rome  
10 Statute provides for the possibility that the Security Council may refer a situation to  
11 the ICC Prosecutor pursuant to Chapter VII of the UN Charter, thereby bringing  
12 within the Court's jurisdiction a situation concerning a country that is not a State  
13 Party to the Rome Statute on condition that such a referral is made pursuant to  
14 Chapter VII of the UN Charter. It is recalled that Chapter VII of the Charter gives  
15 the Security Council the prerogative to maintain and manage international peace and  
16 security as well as contain threats to them.

17 So it comes as no surprise that the UN Security Council referred the situation to the  
18 ICC Prosecutor, after having determined, quote, "that the situation in Sudan  
19 continues to constitute a threat to international peace and security." That is one  
20 critical element of Resolution 1593 (2005).

21 Another critical element of the resolution is that the very first thing that the Security  
22 Council did in it was to take note of "the report of the International Commission of  
23 Inquiry on violations of international humanitarian law and human rights in Darfur."  
24 That five-person Commission was chaired by the late Antonia Cassese. The other  
25 four members of the commission were Mohamed Fayek, Hina Jilani, Dumisa

1 Ntsebeza and Theresa Striggner-Scott. We may conveniently refer to the  
2 commission by the shorthand name of "the Cassese Commission".  
3 It may of course be fitting to note in passing that Cassese was a most eminent  
4 luminary in the field of international criminal law. Among his many  
5 accomplishments, he was the first president of the International Criminal Tribunal for  
6 the former Yugoslavia and served at that court as a judge for many years.  
7 For present purposes, however, it is not necessary to conceive that value into the  
8 report of the Commission that he led.  
9 We must though advert our minds to certain key findings of that report, noting its  
10 proper place in Resolution 1593 (2005).  
11 So then what were some of those key findings of the Cassese report?  
12 We may begin by recalling that the Commission was set up pursuant to Security  
13 Council Resolution 1564 (2004), which requested the UN Secretary-General to set it up  
14 with the following terms of reference:  
15 (1) to investigate reports of violations of international humanitarian law and human  
16 rights law in Darfur by all parties;  
17 (2) to determine whether or not acts of genocide have occurred;  
18 (3) to identify the perpetrators of violations of international humanitarian law and  
19 human rights law in Darfur and;  
20 (4) to suggest means of ensuring that those responsible for those violations are held  
21 accountable.  
22 The Commission began its work on 25 October 2004. Three months later on 25  
23 January 2005, they rendered their report to the UN Secretary-General, who then was  
24 recently late Mr Kofi Annan, who promptly submitted the report to the Security  
25 Council.

1 So what did they find in those three months? The report included the following key  
2 findings.

3 First, as regards the first question indicated in their terms of reference, that is to say,  
4 "investigate reports of violations of international human rights law and humanitarian  
5 law", the Commission reported as follows, amongst other things: "Based on a  
6 thorough analysis of the information gathered in the course of its investigation, the  
7 Commission established that the Government of the Sudan and the Janjaweed are  
8 responsible for serious violations of international human rights and humanitarian law  
9 amounting to crimes under international law."

10 For particulars of that finding, the Commission continued as follows:

11 "In particular, the Commission found that government forces and militias conducted  
12 indiscriminate attacks, including killing of civilians, torture, enforced disappearances,  
13 destruction of villages, rape and other forms of sexual violence, pillaging and forced  
14 displacement, throughout Darfur. These acts were conducted on a widespread and  
15 systematic basis, and therefore may amount to crimes against humanity. The  
16 extensive destruction and displacement have resulted in a loss of livelihood and  
17 means of survival for countless women, men and children. In addition to the large  
18 scale attacks, many people have been arrested and detained, and many have been  
19 held incommunicado for prolonged periods and tortured. The vast majority of the  
20 victims of all of these violations happened from the Fur, Zaghawa, Massalit, Jebel  
21 Aranga and other so-called 'African tribes'."

22 The Commission also took care to reflect the government's side of this story. In that  
23 connection, the Commission reported as follows:

24 "In their discussions with the Commission, Government of Sudan officials stated that  
25 any attacks carried out by government armed forces in Darfur were for

1 counter-insurgency purposes and were conducted on the basis of military imperatives.  
2 However, it is clear from the Commission's findings that most attacks were  
3 deliberately and indiscriminately directed against civilians. Moreover even if rebels  
4 or persons supporting rebels were present in some of the villages - which the  
5 Commission considers likely in only a very small number of instances - the attackers  
6 did not take precautions to enable civilians to leave the villages or otherwise be  
7 shielded from attack. Even where rebels may have been present in villages, the  
8 impact of the attacks on civilians shows that the use of military forces was manifestly  
9 disproportionate to any threat posed by the rebels."

10 I have been requested to slow down for the interpreters and I shall do so.

11 That was an unquote.

12 I pause here to express the hope that these allegations of perpetration or complicity of  
13 the Government of Sudan or other officials in relation to these violations are to be  
14 kept in mind and addressed during the debate: Concerning whether the Security  
15 Council had or ought reasonably to have had in mind the immunity of officials of the  
16 Government of Sudan as Resolution 1593 (2005) was being drafted and adopted.

17 Now returning to the findings of the Commission, we will now consider what they  
18 found as regards the second question, which is whether or not, quote and unquote,  
19 "acts of genocide occurred".

20 I shall come to that matter presently, but it is more convenient to address at this  
21 juncture the findings of the commission as concerns their mandate to "identify the  
22 perpetrators of violations of international humanitarian law and human rights law in  
23 Darfur".

24 Here again I pause to observe that we must keep the Commission's findings in mind  
25 for purposes of the debate as to whether the Security Council had, or ought

1 reasonably to have had in mind immunity of officials of the Government of Sudan  
2 when the Security Council Resolution 1593 (2005) was being drafted and adopted.  
3 So what did the Commission find in relation to the identity of the suspects? It found  
4 as follows:

5 "Those identified as possibly responsible for the mentioned violations consist of  
6 individual perpetrators, including officials of the Government of Sudan, members of  
7 militia forces, members of rebel groups and certain foreign army officers acting in  
8 their personal capacity. Some government officials, as well as members of militia  
9 forces, have also been named as possibly responsible for joint criminal enterprise to  
10 commit international crimes. Others are identified for their possible involvement in  
11 planning and/or ordering the commission of international crimes or of aiding and  
12 abetting the perpetration of such crimes. The Commission also has identified a  
13 number of senior government officials and military commanders who may be  
14 responsible, under the notion of superior (or command) responsibility, for knowingly  
15 failing to prevent or repress the perpetration of crimes. Members of rebel groups are  
16 named as suspected of participating in a joint criminal enterprise to commit  
17 international crimes and as possibly responsible for knowingly failing to prevent or  
18 repress the perpetration of crimes committed by rebels."

19 Now, this should be a convenient place to return to the Commission's findings on the  
20 question of genocide. In that regard the Commission reported as follows:

21 "The Commission concluded that the Government of the Sudan had not pursued a  
22 policy of genocide."

23 Notably, that was a conclusion regarding the policy of genocide and about whether  
24 the government as such had pursued such a policy. It is to be recalled that the  
25 Commission's terms of reference had asked them whether acts of genocide had been

1 committed. And to that the Commission said as follows:

2 "Arguably, two elements of genocide might be deduced from the gross violations of  
3 human rights perpetrated by government forces and militias under their control.

4 These two elements are first the actus reus consisting of killing or causing serious  
5 bodily or mental harm, or deliberately inflicting conditions of life likely to bring about  
6 physical destruction; and, second, on the basis of a subjective standard, the existence  
7 of a protected group being targeted by the authors of criminal conduct."

8 Continuing, the Commission observed as follows:

9 "However, the crucial element of genocidal intent appears to be missing, at least as far  
10 as the central Government authorities are concerned. Generally speaking the policy  
11 of attacking, killing and forcibly displacing members of such tribes does not evince a  
12 specific intent to annihilate, in whole or in part, a group distinguished on racial,  
13 ethnic, national or religious grounds. Rather, it would seem that those who planned  
14 and organised attacks on villages pursued the intent to drive the victims from their  
15 homes, primarily for purposes of counter-insurgency warfare."

16 Having said all that, the Commission took care to register two important caveats to its  
17 findings on the question of genocide. First, the following:

18 "The Commission does recognise that in some instances individuals, including  
19 Government officials, may commit acts with genocidal intent. Whether this was the  
20 case in Darfur, however, is a determination that only a competent court can make on  
21 a case-by-case basis."

22 And then the Commission said this:

23 "The conclusion that no genocidal policy has been pursued and implemented in  
24 Darfur by the Government authorities, directly or through the militias under their  
25 control, should not be taken in any way as detracting from the gravity of the crimes

1 perpetrated in that region. International offences such as the crimes against  
2 humanity and war crimes that have been committed in Darfur may be no less serious  
3 and heinous than genocide."

4 Now, for purposes of our hearings, we must take care to put these findings on the  
5 question of genocide in their procedural legal context. That context is this:

6 On 12 July 2010, the Pre-Trial Chamber issued a decision on a second warrant of  
7 arrest against President Al-Bashir. The significance of that being a second warrant of  
8 arrest is this:

9 The crimes attaching to the first warrant of arrest, issued on 4 March 2009, were  
10 crimes against humanity and war crimes. The Pre-Trial Chamber had declined, on  
11 that occasion, to extend the warrant of arrest to the crime of genocide. The Pre-Trial  
12 Chamber's reasons for declining to do so was because the Prosecutor had sought to  
13 infer genocidal intent from the material submitted to the Pre-Trial Chamber for  
14 purposes of the arrest warrant. But the Pre-Trial Chamber was not persuaded that  
15 genocidal intent was the only reasonable inference that could be drawn from the  
16 materials in question. The Prosecutor appealed that decision. On appeals, the  
17 Appeals Chamber reversed the Pre-Trial Chamber on the point. The Appeals  
18 Chamber held as follows: For purposes of issuance of arrest warrant, as opposed to  
19 conviction on the charge, it was enough that the genocidal intent was one inference  
20 that could reasonably be drawn from the available evidence. It need not be the only  
21 inference, reasonable.

22 Thus, in their decision of 12 July 2010, the Pre-Trial Chamber felt guided by the  
23 Appeals Chamber decision and issued a warrant of arrest against President Al-Bashir  
24 for three modes of genocide: Genocide by killing; by causing serious bodily or  
25 mental harm; and by deliberately inflicting on each target group conditions of life

1 calculated to bring about the group's physical destruction.

2 Perhaps, the point is to say this, in respect of Cassese Commission report as concerns  
3 the question of genocide, the Pre-Trial Chamber has since issued an arrest warrant  
4 against President Bashir, on grounds that there are reasonable grounds to believe that  
5 he must stand trial for the crime of genocide.

6 Now, let us return to another important element of the Cassese Commission report.

7 Having found that violations of international humanitarian law and human rights  
8 law had occurred in Darfur, and having identified possible suspects of those  
9 violations, the Commission had to account for the final mandate given to it by the  
10 Security Council. The mandate was to, "suggest means of ensuring that those  
11 responsible for such violations are held accountable". In that regard, the  
12 Commission suggested as follows:

13 "The Commission strongly recommends that the Security Council immediately refer  
14 the situation of Darfur to the International Criminal Court pursuant to Article 13(b) of  
15 the ICC Statute. As repeatedly stated by the Security Council, the situation  
16 constitutes a threat to international peace and security. Moreover, as the  
17 Commission has confirmed, serious violations of international human rights law and  
18 humanitarian law by all parties are continuing. The prosecution by the ICC of  
19 persons allegedly responsible for the most serious crimes in Darfur would contribute  
20 to the restoration of peace in the region."

21 As a crucial consideration in making this recommendation, the Commission observed  
22 as follows, amongst other things:

23 "The Sudanese justice system is unable and unwilling to address the situation in  
24 Darfur. This system has been significantly weakened during the last decade.  
25 Respective laws that grant broad powers to the executive have undermined the

1 effectiveness of the judiciary, and many of the laws in force in Sudan today  
2 contravene basic human rights standards. Sudanese criminal laws do not  
3 adequately proscribe war crimes and crimes against humanity, such as those carried  
4 out in Darfur, and the Criminal Procedure Code contains provisions that prevent the  
5 effective prosecution of these acts. In addition, many victims informed the  
6 Commission that they had little confidence in the impartiality of the Sudanese justice  
7 system and its ability to bring to justice the perpetrators of serious crimes committed  
8 in Darfur. In any event, many have feared reprisals in the event that they resort to  
9 the national justice system. The measures taken so far by the Government to address  
10 the crisis have been both grossly inadequate and ineffective, which has contributed to  
11 the climate of almost total impunity for human rights violations in Darfur."  
12 Those are some of the crucial elements of the Cassese Commission report that the  
13 Security Council noted in Resolution 1593 (2005), when referring the situation in  
14 Darfur to the ICC Prosecutor.

15 And here we must stress that the reason we have reviewed the Cassese Commission  
16 report as we have done is simply, and purely, because all the relevant authorities are  
17 agreed that a report like that is part of what we must consider in the task of  
18 interpreting resolutions of the Security Council, such as Resolution 1593 (2005).

19 From the point of view of Security Council's exercise of Chapter VII powers to contain  
20 threats to international peace and security, in relation to Darfur, Resolution 1593 (2005)  
21 will dominate our debate and rightly so. But, it may be important to observe that  
22 Resolution 1593 (2005) marks the zenith of the trajectory. But the journey started  
23 earlier.

24 Notably, on 26 May 2004, the President of the Security Council made a statement on  
25 behalf of the Council.

1 On 30 July 2004, the Security Council adopted Resolution 1556 (2004), under Chapter  
2 VII powers, having determined that the situation in Sudan "constitutes a threat to  
3 international peace and security and to stability in the region".

4 On 18 September 2004, the Security Council adopted Resolution 1564 (2004), acting  
5 under Chapter VII powers, having determined that "the situation in Sudan constitutes  
6 a threat to international peace and security and to stability in the region".

7 And very significantly, as we have noted, it was pursuant to that resolution that the  
8 Cassese Commission was set up.

9 Following the Commission's report on 25 January 2005, crucial elements of which  
10 report we have already reviewed, the Security Council then adopted 1593 (2005), on  
11 31 March 2005.

12 During the debate on the adoption of the resolution, all members of the Security  
13 Council, even those abstaining from voting, agreed that the climate of impunity  
14 prevailed in Darfur region and it needed a joint response of international community  
15 in order to put an end to it.

16 Those are some of the backgrounds to our proceedings.

17 And, I stress, we scope them now, in the manner of vexing questions, posed up front,  
18 in the hope that counsel will take them in the stride of submissions, and help us  
19 resolve the important questions that these considerations raise in the context of the  
20 matter before us.

21 Turning now to the conduct of these proceedings, it is recalled that on 27 August 2018,  
22 the Appeals Chamber issued an order setting out some of the questions which it  
23 wishes to hear counsel address during their submissions. The order also indicated  
24 the schedule for the conduct of the proceedings. A revised order was subsequently  
25 issued on 30 August 2018, adjusting the days allotted for the hearing and time

1 allocated for submissions of counsel.

2 Counsel are urged to avoid mere repetition of arguments already made in their filings.

3 We have read them and will continue to do so. Counsel are to address the issues

4 outlined in the order, being guided by the questions therein set out. We leave it to

5 counsel to speak to those questions in the manner that is most convenient to them,

6 emphasising what they wish to emphasise, and they need not address every point

7 raised in the questions, using the questions as guides to what we want to hear.

8 To help us manage time and cover more ground, counsel are strongly encouraged to

9 refrain from going over grounds already covered by those who spoke earlier in

10 agreement; unless there is a substantial disagreement, in a way that requires more to

11 be said. When there is agreement, it will do simply to say so and move on to other

12 subjects.

13 Naturally, the issues are complex. There will, inevitably, be other questions arising

14 which the Judges will be asking either during the flow of the submissions or at any

15 other convenient moment.

16 May I also remind counsel that they are expected to complete their submissions

17 within the indicated time frame, regardless of questions from the Bench. It is all in

18 the nature of the exercise.

19 The court officer will monitor the time and will indicate to the party or participant

20 when it is about to expire; about five minutes to time.

21 Now, in order to avoid monotony of the voice, the Judges will take turns reciting onto

22 the record the set questions contained in the order of 27 August 2018.

23 Now, without further ado, I will call upon, I will call upon my colleague

24 Judge Morrison to put on record the first cluster of questions.

25 But I was just handed up a note that someone had entered the courtroom that needed

1 to be put on the record that will reflect the new addition.

2 MR MAGLIVERAS: [10:15:11] Mr President, my name is Konstantinos Magliveras,  
3 and I am appearing as an amicus curiae. Thank you.

4 PRESIDING JUDGE EBOE-OSUJI: [10:15:19] Thank you very much.

5 Now, during the appropriate time the Registrar will find him the proper attire for the  
6 courtroom. But for now, welcome. Thank you.

7 And Judge Morrison, over to you.

8 JUDGE MORRISON: [10:15:36] These questions are already known, but it's  
9 necessary to read them out so that they form part of this hearing's public record.

10 Group A questions: Applicable law and its interpretation and Head of State  
11 immunity under customary international law and conventional law.

12 Question (a): According to Article 31 of the Vienna Convention on the Law of  
13 Treaties, otherwise known as the Vienna Convention, the provisions of a treaty must  
14 be interpreted in the light of its context, including the preamble, and its object and  
15 purpose.

16 What is the significance of such a contextual interpretation of the Statute, in the light  
17 of its object and purpose as set out in its preamble, namely "to put an end to impunity  
18 for the perpetrators of [the most serious crimes of concern to the international  
19 community as a whole] and thus contribute to the prevention of such crimes", in the  
20 determination of the appeal?

21 (b): In interpreting the relevant provisions of the Statute, at what stage, if at all,  
22 should guidance be sought from customary international law, given the terms of  
23 Article 21(1) of the Statute?

24 (c): In deciding the issues on appeal, how should the Appeals Chamber ensure that  
25 Article 21(3) of the Statute is complied with?

- 1 (d): Article 2(3)(a) of the International Covenant on Civil and Political Rights  
2 stipulates that States must ensure that "any person whose rights or freedoms as herein  
3 recognised are violated shall have an effective remedy, notwithstanding that the  
4 violation has been committed by persons acting in an official capacity". What is its  
5 relevance, if any, vis-à-vis the position of the Hashemite Kingdom of Jordan  
6 regarding Mr Al-Bashir's alleged immunity?
- 7 (e): According to Article 64 of the Vienna Convention, jus cogens norms are  
8 "peremptory norms of general international law". Is the prohibition against  
9 committing international crimes, such as those allegedly committed by Mr Al-Bashir,  
10 including genocide by killing and the crimes against humanity of extermination,  
11 torture and rape, a jus cogens rule?
- 12 (f): Can a customary rule or conventional international law provision on immunities  
13 be superior to a jus cogens rule?
- 14 (g): What is the origin and nature of Head of State immunity in international law?  
15 Is it a right or a privilege, and what is the relevance of such a distinction, if any, to the  
16 case at hand?
- 17 (h): Are there any limits or restrictions to Head of State immunity and, if so, on  
18 which bases?
- 19 (i): Leaving aside the potential impact of the Statute and a referral to the Court from  
20 the United Nations Security Council, otherwise the Security Council, does a Head of  
21 State enjoy immunity from arrest by another State under customary international law  
22 when the arrest is sought by an International Criminal Court in respect of  
23 international crimes?
- 24 (j): When has sovereign immunity been pleaded, successfully or otherwise, before,  
25 or in relation to, an International Criminal Court?

1 (k): The International Court of Justice in the Arrest Warrant case refers to a potential  
2 exception to Head of State immunity under customary international law in respect of  
3 "criminal proceedings before certain international criminal courts, where they have  
4 jurisdiction". How should this be understood and what is its relevance, if any, to the  
5 case at hand?

6 (l): Are there consistent State practice and opinio juris requirements for the purposes  
7 of identifying a rule of customary international law in the area of Head of State  
8 immunity, where the arrest is being sought at the instance of an international criminal  
9 court? If so, is there sufficient State in this area to identify the existence of the rule of  
10 customary international law, that a Head of State enjoys immunity from arrest by  
11 another state when the arrest is sought by an international criminal court in respect of  
12 international crimes? Has there been any change in State practice or opinio juris in  
13 this regard since 1998, when the Statute was adopted?

14 (m): Is Article 98(1) of the Statute an indication of the existence of Head of State  
15 immunity under customary international law when the arrest is sought by the Court?  
16 Or does it concern such immunity that remains opposable in the circumstances,  
17 taking into account possible unavailability of immunity otherwise applicable  
18 according to customary rules or treaty provisions in international law?

19 (n): If it were to be found that customary international law recognises an exception  
20 to Head of State immunity if arrest is sought by the Court, what effect, if any, would  
21 this have on conventional international law immunities?

22 (o): How, if at all, should one balance Head of State immunity against responsibility  
23 for the international crimes allegedly committed by Mr Al-Bashir which amount to  
24 core violations of human rights?

25 (p): What is the impact, if any, of the Convention on the Prevention and Punishment

1 of the Crime of Genocide on Head of State immunity?

2 (q): What is the relevance, if any, of, and the applicable basis for, the abuse of rights  
3 principle and the maxim that no one may profit from his own wrongdoing to the case  
4 in hand?

5 PRESIDING JUDGE EBOE-OSUJI: [10:22:22] Thank you very much, Judge Morrison.  
6 Now, the first speaker in the matter would be the appellant, the Hashemite Kingdom  
7 of Jordan. And we know that perhaps each of these questions will require a Ph.D.  
8 thesis, but do your best in the 45 minutes you have.

9 Thank you.

10 MR HMOUD: [10:22:56] Mr President, members of the Chamber, I shall introduce  
11 Jordan's case in this appeal. Sir Michael Wood will then set our position on the first  
12 ground of appeal, and in doing so respond to questions from the Chamber under  
13 group A. As the Court is aware, this is an appeal from Pre-Trial Chamber II'S  
14 decision of 11 December 2017.

15 The facts underlying that decision will be addressed in greater detail in later parts of  
16 Jordan's presentation. For the present purposes, I note that Jordan received a  
17 communication from the Court's Registry stated 21 February 2017 concerning a  
18 possible visit to Jordan by Sudan's President Omar Al-Bashir, as part of the 28th  
19 Summit of the League of Arab States in March 2017.

20 That communication requested Jordan's assistance in arresting President Al-Bashir  
21 and surrendering him to the Court.

22 Jordan responded to the Court by note verbale on 24 March 2017 providing  
23 information as to the dates of the summit and the invitation that had been extended to  
24 representatives of Sudan to attend, while noting that there was no official  
25 confirmation that President Al-Bashir would be among the attendees.

1 On 28 March 2017, Jordan sent a further note verbale informing the Court that  
2 President Al-Bashir was expected to attend the summit. Moreover, Jordan indicated  
3 that it was consulting with the Court because of its belief that President Al-Bashir was  
4 immune from the exercise of Jordan's criminal jurisdiction. Having regard to Article  
5 98 of the Rome Statute, which Jordan did not regard as affected either by Article 27 of  
6 the Statute or by Security Council resolution 1593 of the year 2005, Jordan considered  
7 that President Al-Bashir enjoyed immunity from arrest in Jordan and further that  
8 such immunity had not been waived by Sudan.

9 Jordan received no response from the Court to this communication. Thereafter, on  
10 March 29, 2017, President Al-Bashir attended the Arab League Summit in Amman,  
11 and he departed Jordan's territory the next day.

12 In the months that followed, Jordan provided further information and explanations of  
13 Jordan's legal position explaining why we regarded President Al-Bashir, a sitting  
14 Head of State, a sitting Head of State, to be immune from arrest. This was done  
15 principally in notes verbale addressed to the Court on 30 June 2017 and 6 October  
16 2017.

17 Despite these explanations, the Pre-Trial Chamber decided in December 2017, without  
18 a hearing, that Jordan had failed to act in compliance with its obligations under the  
19 Rome Statute. The Pre-Trial Chamber found, by a vote of 2 to 1 majority, that Jordan  
20 failed to comply with its obligations under the Statute by not executing the Court's  
21 request for the arrest of Omar Al-Bashir and his surrender to the Court while he was  
22 on Jordan's territory on 29 March 2017.

23 The Chamber further decided, also by a majority, I quote, "that the matter of Jordan's  
24 non-compliance with the request for arrest and surrender of Omar Al-Bashir to the  
25 Court be referred, through the President of the Court in accordance with Regulation

1 109(4) of the Regulations of the Court, to the Assembly of States Parties of the Rome  
2 Statute and the United Nations Security Council".

3 Jordan maintains that the December 2017 decision entailed various errors of law and  
4 fact. On 21 February 2018, Jordan obtained leave from Pre-Trial Chamber to appeal  
5 the decision on three grounds. On 12 March 2018, Jordan filed its appeals brief, and  
6 on 3 April 2018 the Prosecution responded. As a part of this appeal, the Pre-Trial  
7 Chamber's decision on referral has been suspended.

8 Amicus briefs were then filed by eleven professors of international law, to which  
9 Jordan and the Prosecution responded on 16 July 2018. Amicus briefs were also filed  
10 by the African Union and the Arab League, to which Jordan and the Prosecution  
11 responded on 14 August 2018. The African Union and the League of Arab States,  
12 which together represent almost 70 States, agreed with Jordan's position regarding its  
13 three grounds of appeal, and rejected the Prosecution's contrary assertions.

14 In summary, our position regarding the appeal is as follows: With respect to the first  
15 ground of appeal, the Pre-Trial Chamber erred in its conclusions regarding the effects  
16 of the Rome Statute upon immunity of President Al-Bashir. These conclusions were  
17 that Article 27(2) of the Statute excludes the application of Article 98; that Article 98  
18 establishes no rights for States Parties; that Article 98(2) does not apply to the 1953  
19 Convention on the Privileges and Immunities of the Arab League; and that, even if  
20 Article 98 applied, it would provide no basis for Jordan not to comply with the  
21 Court's requests.

22 With respect to the second ground of appeal, the Chamber erred in concluding that  
23 Security Council resolution 1593 affected Jordan's obligations under customary  
24 international law to accord immunity to President Al-Bashir.

25 With respect to the third ground of appeal, even if the Chamber's decision of

1 December 2017 with respect to non-compliance was correct quod non, the Chamber  
2 acted on the basis of incorrect conclusions of fact and law, and further abused its  
3 discretion, in deciding to refer such non-compliance to the Assembly of States Parties  
4 and the Security Council.

5 Jordan wishes to stress that these grounds of appeal touch upon important questions  
6 that are at the core of the functioning of the Court and of international legal order.

7 They concern the arrest and surrender of a sitting Head of State that is not a party to  
8 the Rome Statute. They concern his immunity from foreign criminal jurisdiction of a  
9 State to which he has travelled to attend a summit meeting of the Arab League.

10 They concern the conflict-avoidance rules set forth in Article 98 of the Rome Statute.

11 They concern the effects of the referrals of Security Council under Article 13(b) and  
12 the proper interpretation of the Security Council resolutions. And, importantly, they  
13 concern the manifestly unfair circumstances in which a State Party to the Statute,  
14 Jordan, was referred to the Security Council and to the Assembly of States Parties for  
15 its alleged violation of the Statute.

16 Mr President, it is important to be clear at the outset what this appeal is about and  
17 what it is not about. As I indicated, Jordan appealed the December 2017 decision on  
18 three grounds, and three grounds only. Pre-Trial Chamber II granted Jordan leave  
19 to appeal on these three grounds and only on these three grounds. Again, when  
20 Jordan requested leave to appeal, the Prosecution attempted to reframe the issues so  
21 as to considerably broaden their scope, but the Pre-Trial Chamber declined to permit  
22 this. Jordan's appeals brief, its main written pleadings, focused exclusively on those  
23 three grounds, and so did the Prosecution's response.

24 Notwithstanding this, Mr President, we now see an evolution in the proceedings.

25 The Appeals Chamber issued on 29 March an order inviting all States, certain

1 international organisations and professors of international law to submit as amicus  
2 curiae, amici curiae. Some professors were given leave and as a result several have  
3 submitted observations, and this seems to have opened the door for a whole range of  
4 new arguments and theories to be put forward which, in Jordan's view, relate to  
5 issues that do not arise in this appeal from the December 2017 decision.

6 The Prosecution appears to have seized the opportunity to widen the appeal.  
7 Perhaps not entirely convinced of the arguments advanced in its response to Jordan's  
8 appeals brief, the Prosecution now seems to think that Appeals Chamber can consider  
9 all kinds of arguments, theories and even hypothesis that the Appeals Chamber -- that  
10 have nothing to do with the December 2017 decision.

11 They now say, for example, that there is an exception under customary international  
12 law to the immunity of a sitting Head of State. Alternatively, and contradicting  
13 themselves, they now say that immunity simply does not exist when the arrest and  
14 surrender of a sitting Head of State is sought by an international criminal court.

15 They shift gears further so as to say that there is an expressed removal of immunity in  
16 Security Council Resolution 1593, that there exists here a situation of abuse of rights,  
17 that Genocide Convention may have the effect of removing any applicable immunity  
18 and so on.

19 Taken together, Jordan counts at least six different hypotheses, six alternative  
20 arguments, advanced or supported by the Prosecution in order to maintain that  
21 Pre-Trial Chamber II came to the right conclusion in December 2017 decision.

22 The Prosecution's strategy seems to be put forward as many disparate arguments as  
23 possible. One reason for this might be that they are not convinced by Pre-Trial  
24 Chamber II's actual legal analysis, which says nothing about the implicit waiver,  
25 abuse of rights and so on.

1 Another reason may be to compel Jordan to respond to new and irrelevant arguments  
2 at a late stage of this appeal and to only do so orally and within a very limited period  
3 of time. Perhaps they hope to distract attention from the real issues that arise from  
4 December 2017 decision. The Prosecution's approach seems to be that legal process  
5 is irrelevant, that limited scope of this appeal is irrelevant, and that the only thing that  
6 matters is the result that somehow on some legal theory or hypothesis finds that  
7 Jordan should have arrested President Al-Bashir even if that legal theory has no  
8 connection whatsoever to the Pre-Trial Chamber II actual decision.

9 Mr President, in our view this is an abusive strategy, one that puts Jordan, the  
10 appellant party, the party whose rights and obligations are at stake, in a highly  
11 disadvantaged position in the present proceedings and we wish to place our  
12 discontent on the record.

13 Mr President, the Appeals Chamber will need to decide how to approach the three  
14 grounds of appeal now before you. Perhaps you will decide that three grounds are  
15 irrelevant and that the scope of these proceedings may be expanded well beyond the  
16 bounds of the decision below. Indeed, you may decide that there are no limits as  
17 regards to the arguments that can be put forward against Jordan, at whatever stage of  
18 the proceedings, and that the Chamber can make additional findings of fact and law  
19 not even pled before you.

20 Perhaps this is the way the Appeals Chamber wishes to proceed. The large number  
21 and wide range of questions addressed by the Chamber to all participants just a few  
22 days before the opening of this hearing suggests this may be so. But if this is the  
23 case, Jordan is of the respectful view that this is not an appellate proceeding, but  
24 something in the nature of an advisory opinion, one that has very little to do with  
25 Jordan.

1 Before I conclude, Mr President, I wish to stress four points.

2 First, Jordan is committed to the fight against impunity and the need to bring to  
3 justice those responsible for crimes within the jurisdiction of the Court. Jordan has  
4 been one of this Court's strongest supporters since the inception and remains so today.  
5 Its representatives have played an important role in the negotiation and  
6 implementation of the Rome Statute.

7 Second, the fight against impunity cannot be at the expense of fundamental rules and  
8 principles of international law aimed at securing and maintaining the proper  
9 functioning of States, sovereign equality and peaceful relations among States,  
10 including rules on immunity. To overlook such rules and principles would do more  
11 harm than good in the long term; the maintenance of peaceful relations among States  
12 is one of the essential elements for fostering State cooperation and preventing those  
13 crimes. These goals should not be seen as opposing, but rather complementing each  
14 other.

15 Third, in all respects Jordan deeply regrets that Pre-Trial Chamber's treatment of  
16 Jordan at the time it sought consultations with the Court, strongly disagrees with its  
17 December 2017 decision and is especially troubled by its decision to refer Jordan to  
18 the Security Council and the Assembly of States Parties.

19 Fourth, we believe that there are two options before you. The first is to reject some  
20 or all of the three grounds on appeal, in which case the Pre-Trial Chamber's findings  
21 of fact and law in this regard remain intact.

22 The second option is to uphold some or all of the three grounds of appeal, and it is  
23 our hope that you uphold all three. When engaging in this option, the Appeals  
24 Chamber can indicate its own views as to the correct law for the grounds that it  
25 upholds. As will be noted in the course of our presentation and as observers of the

1 Court have noted, previous decision of Pre-Trial Chambers on these matters have  
2 been replete with inconsistencies based on one legal theory and then another and yet  
3 then another. Such shifting theories have engendered considerable confusion and  
4 controversies among State Parties to the Rome Statute and harm the reputation of the  
5 Court. That confusion and controversy existed in March 2017 and exists today.  
6 Indeed, concern with the widely disparate Pre-Trial Chamber's theories has  
7 engendered calls for addressing the problem through other means, such as by  
8 requesting the Security Council to give an authoritative interpretation of its resolution  
9 or having the General Assembly seeking an advisory opinion from the International  
10 Court of Justice.

11 Indeed, it may be understandable if the Appeals Chamber wishes to establish today,  
12 as an eye to the future, a clear and well-grounded legal analysis addressing the  
13 obligations of States Parties in the circumstances that have arisen in this case. A  
14 decision from the Appeals Chamber based on sound legal reasoning may be viewed  
15 as critical for guiding States in the years to come based on the rules of international  
16 law and not on policy preferences. Yet our submission is that in doing so, in doing  
17 so, you should still uphold our three grounds of appeal which are focused on specific  
18 findings of fact and law by the Pre-Trial Chamber.

19 Mr President, members of the Chamber, that concludes my introductory statement.

20 With your permission, Sir Michael Wood will now address you on the first ground of  
21 appeal, group A questions.

22 Thank you.

23 PRESIDING JUDGE EBOE-OSUJI: [10:40:59] Thank you.

24 Please proceed.

25 MR WOOD: [10:41:18] Mr President, members of the Appeals Chamber, it is a great

1 honour to appear before you and to do so on behalf of Jordan.

2 Today I shall address Jordan's first ground of appeal. This turns on the correct  
3 interpretation of Article 27(2) and Article 98 of the Rome Statute and the effect of  
4 these provisions, if any, on the immunity of State officials from foreign criminal  
5 jurisdiction arising under customary and conventional international law when the  
6 arrest and surrender of such officials is sought by the Court.

7 In addressing this first ground of appeal, I shall also respond to some of the group A  
8 questions circulated by the Appeals Chamber a few days ago. But by no means all of  
9 these questions are, in our respectful view, relevant to this appeal.

10 On this and on the other grounds of appeal, Jordan has set out its position fully in its  
11 appeals brief, in its response to the observations submitted by professors of  
12 international law, and in its response to the observations of the African Union and the  
13 League of Arab States. As you yourself said this morning, we shall not seek to  
14 repeat all that we said there. We maintain that in full.

15 We were asked to respond to the Prosecution's response to our appeals brief in the  
16 course of this oral hearing, but in fact, we have already addressed many of their  
17 points in our written pleadings so far.

18 My statement will be as follows: First, I shall explain that the Pre-Trial Chamber II  
19 committed serious errors of law when interpreting Article 27(2) and Article 98 of the  
20 Statute. In doing so, I will say a few words about the proper approach to the  
21 interpretation of the Rome Statute and then I will address some remaining group A  
22 questions.

23 Mr President, members of the Chamber, Jordan's first ground of appeal turns on the  
24 proper interpretation of Article 98 of the Statute and whether it is affected in any  
25 respect by Article 27(2).

1 Our position can be summarised as follows. Article 98 of the Statute preserves the  
2 immunity of officials of both States Parties and non-party States from foreign criminal  
3 jurisdiction. When immunity applies, the Court is obliged to obtain a waiver by the  
4 State concerned before making a request for arrest and surrender.

5 As for Article 27(2), it concerns only immunity with respect to the exercise of the  
6 Court's own jurisdiction. This provision alone does not and cannot affect issues of  
7 immunities from foreign criminal jurisdiction. Article 98 cannot be written out of the  
8 Statute by reading into Article 27(2) what is not there, yet this is precisely what the  
9 Pre-Trial Chamber and indeed the Prosecution seek to do.

10 So the starting point for the analysis must begin with Part 9 of the Rome Statute,  
11 which concerns international cooperation and judicial assistance. This is the part of  
12 the Rome Statute that addresses the relationship of the Court with the States Parties;  
13 whereas, earlier parts of the Statute address the establishment and operation of the  
14 Court itself. Thus, Part 9 contains provisions that speak to the obligation of States  
15 Parties to cooperate with the Court, Article 86, and the manner in which the Court  
16 may request cooperation from them, Article 87, including a request for the arrest and  
17 surrender of a person, Articles 89 to 92. Among other things, Part 9 contemplates the  
18 possibility of the requested State seeking consultations with the Court in the event  
19 that it identifies "problems which may impede or prevent the execution of the  
20 request", Article 97.

21 Importantly, Article 98 addresses the situation when a requested State is being asked  
22 to arrest and surrender a person in contravention of obligations under international  
23 law with respect to a third State. You will find, members of the Chamber --

24 PRESIDING JUDGE EBOE-OSUJI: [10:46:07] One minute, please. Just so I

25 understand the train of submission, are you saying that we are not to look at Article

1 27 when we construe Article 98? Is that what you are saying?

2 MR WOOD: [10:46:27] Essentially, yes, Mr President. I will come on to Article 27  
3 and its non-relevance a little bit later in my submissions, if I may.

4 PRESIDING JUDGE EBOE-OSUJI: [10:46:36] Fair enough.

5 MR WOOD: [10:46:39] Members of the Chamber, you will find the text of Article 98  
6 at page 3 of the very slim judges' folders that I hope you have in front of you.

7 PRESIDING JUDGE EBOE-OSUJI: [10:46:49] Yes, we have received it, yes.

8 MR WOOD: [10:46:55] It's very short. Anyway, no doubt you know it by heart.

9 But I would like to emphasise it by reading Article 98. Article 98 says that:

10 "The Court may not proceed with a request for surrender or assistance which would

11 require the requested State to act inconsistently with its obligations under

12 international law with respect to the State or diplomatic immunity of a person or

13 property of a third State, unless the Court can first obtain the cooperation of that State

14 for the waiver of the immunity."

15 And then paragraph 2 of Article 98 reads, "The Court may not proceed with a request

16 for surrender which would require the requested State to act inconsistently with its

17 obligations under international agreements pursuant to which the consent of a

18 sending state is required to surrender a person of that State to the Court," again,

19 "unless the Court can first obtain the cooperation of the sending State for the giving of

20 consent for the surrender."

21 Article 98 is, in essence, a conflict-avoidance rule. It seeks to ensure that, when

22 requested by the Court to arrest and surrender a person, a State Party does not act

23 inconsistently with its other international obligations; in particular, with the rules of

24 international law concerning the immunities of States, their officials and property.

25 And for this purpose, Article 98 imposes a procedural obligation upon the Court, an

1 obligation to obtain a waiver of immunity or consent to surrender from the State  
2 concerned whenever immunity applies.

3 Question (m) in group A of the questions from the Chamber asks whether Article 98  
4 is an indication of the existence of Head of State immunity under customary  
5 international law when the arrest and surrender of a Head of State is sought by the  
6 Court.

7 Article 98 does indeed acknowledge the existence of immunities and other procedural  
8 bars that exist under customary and treaty law, which in some situations will  
9 preclude the arrest and surrender of person to the Court.

10 Mr President, in Jordan's view these provisions are clear and speak directly to the  
11 issue of the visit of the president of Sudan to Jordan on 29 March 2017. Jordan had  
12 obligations under customary international law to respect the immunity of Sudan's  
13 Head of State and under treaty law to respect the immunity of Sudan's  
14 representatives to the League of Arab States' 2017 summit. In light of those  
15 obligations, the Court was supposed, was obliged to first obtain a waiver of such  
16 immunity before proceeding with its request.

17 PRESIDING JUDGE EBOE-OSUJI: [10:50:02] Mr Wood, does that beg the question  
18 as to the existence of immunity? Or is that something that needs first to be  
19 established by looking at international law in its panoply?

20 MR WOOD: [10:50:16] Well, Mr President, I will be coming on to the question of  
21 Head of State immunity and the immunity under the League of Arab States' treaties a  
22 little later, but --

23 PRESIDING JUDGE EBOE-OSUJI: [10:50:32] Please proceed.

24 MR WOOD: [10:50:34] -- certainly, it is our view that there is immunity and that,  
25 therefore, the obligation on the Court under Article 98 was applicable.

1 I'll now turn to Article 27(2) very briefly. The Pre-Trial Chamber and the  
2 Prosecution essentially seek to dismiss Article 98, despite its express terms. They do  
3 so by turning to Part 3 of the Statute. But Part 3 says nothing about the cooperation  
4 of States Parties with the Court. Instead, it is entitled, "General Principles of  
5 Criminal Law." There they find Article 27(2), which they seize upon to override the  
6 carefully constructed provisions of Part 9, including Article 98.

7 In our submission, the terms of Article 27(2) are clear. It is at page 4 of the judges'  
8 folders. The provision relates exclusively to immunity with respect to the exercise of  
9 the Court's own jurisdiction. It reads, and I quote, "Immunities or special procedural  
10 rules which may attach to the official capacity of a person, whether under national or  
11 international law, shall not bar the Court" - the Court - "from exercising its jurisdiction  
12 over such a person."

13 I repeat, "Shall not bar the Court from exercising its jurisdiction." There is no word  
14 here about States exercising their jurisdiction. By contrast, Part 9 in various places  
15 expressly addresses the issue of procedures under national law.

16 In our submission, Article 27(2), interpreted in good faith in accordance with its  
17 ordinary meaning, is not concerned in any way with the immunity of State officials  
18 from foreign criminal jurisdiction, that is, from the criminal jurisdiction of other States,  
19 whether parties to the Statute or non-parties. That matter is regulated by Article 98  
20 of the Statute. In Jordan's view, no convincing reasons have been given to depart  
21 from the ordinary meaning of Articles 27(2) and 98 of the Statute.

22 Rather than accept the express terms of these Articles, the Pre-Trial Chamber  
23 concluded that Article 27(2) of the Statute, and I quote, "prevents States Parties from  
24 invoking immunity belonging to them when cooperation in the arrest and surrender  
25 of a person to the Court is provided by another State". It termed this the "horizontal

1 effect" of Article 27(2).  
2 And the Chamber concluded that Article 98(1), which concerns State and diplomatic  
3 immunity is, and I quote, "Without object in the scope of application of Article 27(2) ...  
4 No waiver is required as there is no immunity to be waived." That's the decision at  
5 paragraphs 33 and 34.  
6 Notably, this interpretation requires that Article 98 be read as only applying to  
7 obligations owed to non-party States, otherwise it would erase Article 98 entirely  
8 from the Statute. While normally Sudan would still benefit from Article 98 under  
9 the Pre-Trial Chamber's approach, the Pre-Trial Chamber then uses Security Council  
10 resolution 1593 to essentially transform Sudan into a fictitious status of a State Party,  
11 at which point they claim that Article 98 is no longer relevant.  
12 We've explained in our appeals brief why we consider these to be manifest errors of  
13 law, it's at paragraphs 7 to 39. In its response to Jordan's appeals brief, the  
14 Prosecution attempts to justify the Pre-Trial Chamber's finding in an even more  
15 obscure manner. They assert, for example, that "the vertical and horizontal effects of  
16 Article 27 are indivisible"; that "... the horizontal effect of Article 27 means that States  
17 Parties ... must, in their mutual relations, each respect that the other is likewise bound  
18 vertically by Article 27"; that "... the horizontal effect of Article 27 is the necessary  
19 corollary of its vertical effect"; and I quote again, and "... that these vertical and  
20 horizontal effects of Article 27 are inevitably intertwined".  
21 Mr President, members of the Court, such strained language is surely a clear sign of a  
22 weak case. But all these claims are unsupported. They do not follow from the text  
23 of the Rome Statute. As we explained in our written pleadings, to hold that Article  
24 27(2) excludes the immunity of State officials from foreign criminal jurisdiction would  
25 require two things. First, it requires an extraordinarily expansive interpretation of

1 27(2), which the text itself simply does not sustain.

2 Secondly, it requires an interpretation of the term "third State" in Article 98 that  
3 would be inconsistent with the way "third State" and "State not party" are employed  
4 throughout the rest of the Statute.

5 The Prosecution's response to Jordan's appeals brief is thoroughly unconvincing in  
6 the way it treats this question of third State. They suggest, for example, that the term  
7 can be interpreted differently depending on whether a particular situation concerns  
8 the immunity of officials or the immunity of premises and property. But no support  
9 is given for this in their arguments and there is no support in the text. And even  
10 more surprising, the Prosecution assert that it doesn't matter which interpretation of  
11 the term "third State" is correct. Ultimately, the main argument put forward by the  
12 Prosecution to justify departing from the ordinary meaning of the terms of Articles 27  
13 and 98 is that the Court depends on States' cooperation in order to exercise its  
14 jurisdiction. But that is a highly problematic argument because, if upheld by the  
15 Appeals Chamber, it would render the carefully negotiated terms of Part 9 of the  
16 Statute meaningless and would invite all kinds of expansive interpretations.

17 For example, the Court could refuse to allow a State Party under Article 94 to  
18 postpone the execution of a request because it would interfere with an ongoing  
19 national investigation, claiming that that would impede cooperation with the Court.

20 Mr President, another point is that --

21 PRESIDING JUDGE EBOE-OSUJI: [10:58:14] May I ask you while you are setting up  
22 your other point, just briefly, I'm still interested in the earlier question, whether we're  
23 to explore international law and its panoply for purposes of discerning whether  
24 immunity exists to begin with. But for now, you looked at Article 27, 27(2). 27(2), if  
25 I may look at it again, page 4 of your handout, it's a convenient page. Are you with

1 me?

2 MR WOOD: [10:58:49] Yes.

3 PRESIDING JUDGE EBOE-OSUJI: [10:58:50] Says, quote:

4 "Immunities or special procedural rules which may attach to the official capacity of a  
5 person, whether under national or international law" -- if we may stop there for a  
6 minute before we proceed, I want to know what it is saying.

7 Up until this point, whether under national law or international law, would it capture  
8 the question of whether or not immunity under customary international law exists at  
9 a national level for a visiting Head of State? Is that part of what is contemplated  
10 there?

11 MR WOOD: [10:59:41] Well, I think, Mr Chairman, the rules of international law on  
12 immunity exist at the level of international law, but obviously certainly in the United  
13 Kingdom and, no doubt, in many other countries, they're given effect to under  
14 national law and they're in a sense, therefore, incorporated or form part of, in England,  
15 the common law.

16 PRESIDING JUDGE EBOE-OSUJI: [11:00:06] So then that kind of immunity, if it  
17 exists, will come -- will be captured in this part of 27(2) that I have read up till now,  
18 that is "Immunities or special procedural rules which may attach to the official  
19 capacity of a person, whether under national or international law".

20 So that's the kind of thing we're looking for, isn't it? I want to know. When  
21 Jordan -- sorry, President Bashir goes to Jordan and says "I have immunity, don't  
22 touch me", can we look at 27(2) and say, well, that's actually what 27(2) is talking  
23 about? Or are we wrong? Is that the wrong way of looking at it?

24 MR WOOD: [11:01:00] Well, in my submission, our submission, that would be, with  
25 respect, wrong because 27, as I emphasised, is talking about the effect of these

1 immunities on the Court's exercise of its jurisdiction. It's not talking --

2 PRESIDING JUDGE EBOE-OSUJI: [11:01:18] What is 27(2) then contemplating when  
3 it talks about immunities under international law? What is 27(2) contemplating in  
4 that idea?

5 MR WOOD: [11:01:33] For example, if a State Party, let's say Sudan -- sorry, a State,  
6 let's say Sudan, were to invoke before this Court its own national law, that would be  
7 addressed by this paragraph. But what --

8 PRESIDING JUDGE EBOE-OSUJI: [11:01:48] What about international law? I'm  
9 talking about international law now.

10 MR WOOD: [11:01:52] If a State were to invoke either its own national law or  
11 international law before this Court as a reason for this Court not exercising a  
12 particular jurisdiction, say, demanding evidence as happened in the Blaškić case I  
13 think.

14 PRESIDING JUDGE EBOE-OSUJI: [11:02:09] All right.

15 MR WOOD: [11:02:10] Then that would -- that that's what it's aimed at in our view  
16 very clearly. It has no relevance to the question of immunities before domestic  
17 courts in domestic proceedings, which as we say and which I hope to explain is  
18 precisely what was at issue in the case, in this case.

19 PRESIDING JUDGE EBOE-OSUJI: [11:02:31] So you know where I am going with  
20 this. I have read 27(2) up until the part where I said that was contemplated. Now  
21 let's look at the other part. Is it possible then, just to cover the areas, or just for  
22 clarification - I understand what you are saying - what this means in fact. Is it  
23 possible that 27(2) is amenable to an interpretation in this way: That in national, in  
24 certain countries, Head of State or senior State official a la arrest warrant case go on a  
25 visit to a certain country, but in that country the invocation of immunity for that

1 person cannot be done in a way that bars the ICC from exercising its jurisdiction.

2 MR WOOD: [11:03:42] Well, that, Mr President, is certainly not our interpretation of  
3 this.

4 PRESIDING JUDGE EBOE-OSUJI: [11:03:48] But is it a reasonable way to look at it  
5 or totally unreasonable?

6 MR WOOD: [11:03:54] We would say that Article 27, being placed where it is in the  
7 Statute, with Article 98 there, and the plain language of Article 27 is concerned with  
8 the Court's exercise of its own jurisdiction, not with national courts' exercise of their  
9 jurisdiction when they are called upon, for example, to arrest and surrender. This  
10 applies when the person is before this Court, not at the stage when he or she is still  
11 before the national court. That is our submission.

12 PRESIDING JUDGE EBOE-OSUJI: [11:04:27] Thank you. Please proceed. I don't  
13 know if you have much time.

14 MR WOOD: [11:04:33] Mr Chairman, I'm conscious that I have relatively little time  
15 left. And I'm going to --

16 PRESIDING JUDGE EBOE-OSUJI: [11:04:43] One second, please.

17 THE COURT OFFICER: [11:04:46] Yes, so counsel has eight minutes, after which the  
18 five minute reminder will be set off.

19 PRESIDING JUDGE EBOE-OSUJI: [11:04:56] So you have eight more minutes in  
20 your time for this segment. Please proceed.

21 MR WOOD: [11:05:00] Well, I'll do my best, Mr Chairman, but I may have to skip  
22 some points.

23 It seems to us that the Prosecution's argument, and perhaps even the Pre-Trial  
24 Chamber's argument is essentially dictated by policy, the policy that at all costs  
25 persons should be surrendered to the Court.

1 We would submit that the Appeals Chamber should approach the matter strictly as a  
2 question of the interpretation and application of international law. It must begin  
3 with the fact that the Rome Statute is a treaty. As a treaty, it's to be interpreted in  
4 accordance with the rules set forth in the Vienna Convention. The general rule in  
5 paragraph 1 of Article 31 reads:

6 "A treaty shall be interpreted in good faith in accordance with the ordinary meaning  
7 to be given to the terms of the treaty in their context and in the light of its object and  
8 purpose."

9 In our view, a good faith interpretation of Article 98 inevitably leads to upholding the  
10 first ground of our appeal. And perhaps at this point I'll just address one or two of  
11 the questions in group A. In our view, question (a) invoked certain elements of this  
12 general rule of treaty interpretation, but did so selectively, while ignoring other  
13 elements and, most fundamentally, the ordinary meaning in terms of the treaty,  
14 which is starting point of all interpretation. The question also refers selectively to  
15 one preambular paragraph in the Rome Statute, while ignoring the others.

16 And on that I just say that of course the States Parties to Rome are motivated by their  
17 determination to put an end to impunity. But they have given effect to this through  
18 the operative provisions of the Statute and it is these operative provisions that must  
19 be applied by this Court. In addition, the preamble reaffirms the purposes and  
20 principles of the UN Charter, which includes sovereign equality, which itself is the  
21 origin of State immunities. And that's clear from the International Court's Germany  
22 v. Italy judgment.

23 Question (b) asks at what stage guidance should be sought by the Court from  
24 customarily international law in interpreting the Statute, given Article 21 of the  
25 Statute. As we understand it, Article 21 is an applicable law provision, it's not a rule

1 of interpretation.

2 And it applies that the Court shall - shall - apply in the first place the Statute,  
3 elements of crime, rules of procedure, and it is second, and only where appropriate,  
4 applicable treaties and principles and rules of international law. The key point there  
5 I think is that it can use these other matters in order to interpret the Statute, but not to  
6 amend the Statute.

7 PRESIDING JUDGE EBOE-OSUJI: [11:08:32] Counsel, I know -- why don't we do  
8 this, this is the time for morning break. You do have how many more minutes, court  
9 officer? You've got 10 more minutes, or you have 10 more minutes left on your time.  
10 We should be on morning break now. Why don't we do that? Then when we come  
11 you can do the rest of your submissions.

12 MR WOOD: [11:09:00] Yes, Mr President.

13 PRESIDING JUDGE EBOE-OSUJI: [11:09:01] So the Court will rise and we  
14 reconvene in 30 minutes.

15 THE COURT USHER: [11:09:06] All rise.

16 (Recess taken at 11.09 a.m.)

17 (Upon resuming in open session at 11.43 a.m.)

18 THE COURT USHER: [11:43:03] All rise.

19 Please be seated.

20 PRESIDING JUDGE EBOE-OSUJI: [11:43:21] Thank you very much. Welcome back,  
21 everyone.

22 Counsel, please proceed.

23 MR WOOD: [11:43:29] Mr President, members of the Court, I'd now like to speak  
24 briefly about the two types of immunity that are at the heart of this case, immunity of  
25 the Head of State under customary international law and the immunity of a

1 representative attending meetings of the Arab League.

2 Mr President, Pre-Trial Chamber II correctly recognised in its December 2017 decision  
3 that the president of Sudan enjoyed immunity *ratione personae* from Jordan's  
4 criminal jurisdiction under customary international law. It cited its own South  
5 Africa decision of July 2017, and you'll find this at page 5 of the judges' folders. I  
6 quote:

7 "Customary international law prevents the exercise of criminal jurisdiction by States  
8 against Heads of State of other States. ... The Chamber is unable to identify a role of  
9 customary international law that would exclude immunity for Heads of State when  
10 their arrest is sought for international crimes by another State, even when the arrest is  
11 sought on behalf of an international court, including, specifically, this Court".

12 That is the Pre-Trial Chamber's decision.

13 That responds, and in our submission responds correctly, to questions (g, (h) and (k)  
14 posed by the Appeals Chamber. Under customary international law, Head of State  
15 immunity from foreign criminal jurisdiction is not subject to any exceptions, and the  
16 International Court did not suggest otherwise in the Arrest Warrant case.

17 Mr President, that finding by the Pre-Trial Chamber is fundamental to the present  
18 case, and it is not on appeal. It is a correct statement of the customary law governing  
19 the immunity of heads of state from foreign criminal jurisdiction. Neither Jordan  
20 nor the Prosecution contested that finding in their initial written pleadings; both  
21 accepted it.

22 It is likewise clear that the president of Sudan, in addition to having immunity as a  
23 Head of State under customary law, also had immunity under treaty when he visited  
24 Jordan in March 2017, as Ambassador Hmoud has explained, under both the Pact of  
25 the League of Arab States and the 1953 Convention on the Privileges and Immunities

1 of the League. That was spelt out in our appeals brief and in the Arab League's brief.  
2 Jordan fully agrees with the League's position that the Pre-Trial Chamber's  
3 extraordinary failure to accept that Sudan was a party to the 1953 Convention  
4 constituted a serious error of fact, an error to which neither Jordan nor the Arab  
5 League bears any responsibility.

6 Mr President, in its response to the African Union and the Arab League, the  
7 Prosecution now appears to have shifted its position on the president of Sudan's  
8 entitlement to immunity, or at least hints at a change of position. It now suggests,  
9 and I quote, "the appeal could alternatively be dismissed because no immunity under  
10 international law is in any event applicable in this situation".

11 The Prosecution bases this on a remarkable new theory, namely that, in arresting the  
12 president of Sudan and surrendering him to court, Jordan would not have been  
13 exercising its own criminal jurisdiction, but rather the enforcement jurisdiction of the  
14 Court. The Prosecution suggests in this regard that a requested State is, and I quote,  
15 "nothing more than the Court's agent in executing the Court's arrest warrant".

16 Mr President, members of the Court, this is an extraordinary argument. In our view,  
17 nothing whatsoever in the Statute suggests that States Parties are merely agents or  
18 organs of the Court when they cooperate with it.

19 PRESIDING JUDGE EBOE-OSUJI: [11:47:53] What about Article 59, will somebody  
20 be addressing that? Article 59 of the Rome Statute, as well as perhaps 4(2) of the  
21 Rome Statute.

22 MR WOOD: [11:48:06] Sorry, I didn't catch the --

23 PRESIDING JUDGE EBOE-OSUJI: [11:48:08] What about Article 59 of the Rome  
24 Statute, perhaps together with Article 4(2) of the Rome Statute? Do they have any  
25 bearing on that issue?

1 MR WOOD: [11:48:29] Well, Mr Chairman, at first sight, Article 4(2) does not. But  
2 we could look at it more carefully, and perhaps during the question and answer  
3 session that we're going to have tomorrow, we could respond on these points.

4 PRESIDING JUDGE EBOE-OSUJI: [11:48:45] Fair enough. Fair enough. Please  
5 proceed. Sorry.

6 MR WOOD: [11:48:48] Article 59 I don't think detracts from the point I'm making,  
7 which is that a State is exercising its own jurisdiction when it engages in arrest and  
8 surrender. And we think that's very clear from other provisions of the Statute,  
9 which we could refer to perhaps tomorrow.

10 Indeed, if the Prosecution's new late theory were correct we wonder whether Article  
11 98 would have any purposes at all. Moreover, there is a very clear distinction in the  
12 Statute between a State's jurisdiction and that of the Court, complementarity and all  
13 that, but we'll come back to this at a later stage, if we may.

14 In the very few minutes, I think I perhaps have 5 minutes remaining.

15 THE COURT OFFICER: [11:49:47] Counsel has 5 minutes.

16 MR WOOD: [11:49:49] Five minutes. I'll just address a couple of the other  
17 questions.

18 In particular I'll address question (e), which refers to Article 54 of the Vienna  
19 Convention, one of the articles dealing with jus cogens. It provides that if a new jus  
20 cogens norm emerges, any existing treaty that conflicts with that norm it becomes  
21 void and terminates. But there is no treaty at issue in this case that conflicts with any  
22 such norm and we don't think the provisions about jus cogens are relevant.

23 The prohibitions of genocide and crimes against humanity may well be considered jus  
24 cogens norms, but the status of those prohibitions does not affect the immunities that  
25 operate to protect State officials from foreign criminal jurisdiction. That we say is

1 clear from the Arrest Warrant case, paragraph 60, and the Germany v. Italy case at  
2 paragraph 93.

3 Question (f) asks whether a customary rule or conventional international law  
4 provision on immunities can be superior to a jus cogens rule. But in our submission  
5 the question of a hierarchy of norms simply doesn't arise in this situation because the  
6 rules in question address quite different issues and are not in conflict. The  
7 International Court explained this very well in Germany v. Italy and we put  
8 paragraph 95 in the Judges' folders, and if I could just quickly refer you to that. The  
9 Court said, "To the extent that it is argued that no rule which is not of the status of jus  
10 cogens may be applied if to do so would hinder the enforcement of a jus cogens rule,  
11 even in the absence of a direct conflict, the Court sees no basis for such a proposition.  
12 A jus cogens rule is one from which no derogation is permitted but the rules which  
13 determine the scope and extent of jurisdiction and when that jurisdiction may be  
14 exercised do not derogate from those substantive rules which possess jus cogens  
15 status, nor is there anything inherent in the concept of jus cogens which would  
16 require their modification or would displace their application."

17 Mr President, members of the Chamber, I think I'll conclude at this point. I'm  
18 conscious that we haven't answered all the questions in group A, but we do have this  
19 session where if there are particular questions we'd be very happy to address you on  
20 them. So I thank the Chamber very much for its attention.

21 PRESIDING JUDGE EBOE-OSUJI: [11:52:52] I thank you very much. Now  
22 response submissions, 45 minutes.

23 MS BRADY: [11:53:06] Yes, your Honour. Mr Matthew Cross will be the first  
24 speaker on the group A part, and after that it will be Mr Rod Rastan.

25 PRESIDING JUDGE EBOE-OSUJI: [11:53:12] Thank you.

1 MR CROSS: [11:53:18] Good morning, your Honours.  
2 I will begin today by introducing the Prosecution's submissions, and will also address  
3 group A, questions (a) to (f) and question (o) concerning the applicable law. This  
4 should take about 15 to 20 minutes. My colleague Mr Rastan will then address the  
5 remainder of group A, concerning Head of State immunities as such.  
6 Your Honours, multiple Pre-Trial Chambers of this Court, some nine different judges,  
7 have consistently affirmed that Sudan, while not a State Party to the Statute, is  
8 nonetheless subject to the necessary obligations of the Statute, by the effect of UN  
9 Security Council resolution 1593. This includes the obligation to arrest and  
10 surrender to the Court Mr Al-Bashir, the president of Sudan, notwithstanding his  
11 official capacity.  
12 Despite the lengthy arguments in this appeal, and the many distinguished lawyers in  
13 this room, the question to be decided in this appeal we say is a simple one.  
14 If it is true that Sudan cannot rely on Mr Al-Bashir's official capacity to bar its own  
15 obligation to arrest and surrender him, does the Statute or international law permit  
16 Sudan to rely on that same official capacity to prevent an ICC State Party, in this case  
17 Jordan, from arresting and surrendering Mr Al-Bashir?  
18 In other words, if Sudan is barred from asserting any immunity vertically against the  
19 ICC, can it still assert that same immunity horizontally against an ICC State Party?  
20 And as your Honours know, we say that the answer is no.  
21 As your Honours are also aware, different benches have varied somewhat in  
22 explaining exactly why this is so. But something is not necessarily less true just  
23 because different people describe it differently. Context is everything in recognising  
24 when such differences matter, and when maybe they do not. And here we say pure  
25 common sense confirms the consistent legal findings of the Pre-Trial Chamber, even if

1 they are explained differently by different judges. And indeed, your Honours can  
2 even see in the decision on appeal where there is a difference between the majority  
3 and the minority in the reasoning, but they still reached, essentially, the same  
4 conclusion. If international law does not permit Sudan to assert its own immunity  
5 directly before this Court, then surely it cannot assert it indirectly before this Court.  
6 We also submit, as we have tried to explain all along in this appeal, that the legal  
7 explanation by the majority in the decision on appeal, similar to its approach in the  
8 South Africa decision, is perhaps the best explanation. By interpreting Article 27  
9 correctly, in our view, and recognising that Sudan is subject to that obligation in  
10 Article 27, it explains how the drafters of the Statute ensured that any immunities do  
11 not apply before this Court, even for Security Council referrals and either when a  
12 suspect is here in The Hague or when the Court is seeking the arrest and surrender of  
13 that suspect.

14 And we say that this approach in the decision is not only correct, but also prudent, for  
15 two reasons:

16 First, the majority's approach limits the principle which they applied to where it  
17 belongs, specifically, to States which have chosen to accept the Statute's obligations by  
18 ratification or under Article 12(3), and also to those very limited circumstances where  
19 the UN Security Council has acted under the Statute and the UN Charter to refer a  
20 situation to the Court.

21 The majority's approach also ensures the continued effectiveness of Article 98(1). It  
22 ensures that the Court always remains the master of questions of immunity. But on  
23 the other hand, also that the Court always respects the rights of States which are not  
24 subject to its obligations, and it does that by requiring the procedural process that is  
25 set out in Article 98(1).

1 Second, your Honours, the majority's approach is also prudent because it promotes  
2 judicial economy, making it unnecessary at this stage to rule on the exact contours of  
3 any immunities under international law. Instead, the majority assumes that such  
4 immunities may exist, for the sake of argument, and focuses on the accommodation  
5 made in the Statute for that very purpose.

6 And we say that there may be some wisdom in that approach. Accordingly, in  
7 answering your Honours' questions over the coming week, we are of course keen to  
8 assist as much as we can in this process, and so we will try and engage with all of the  
9 various issues that have been raised. But we do submit that the strength of the  
10 decision on appeal lay in recognising that this situation is far from unexpected. The  
11 Statute, and perhaps also the Security Council, already foresaw the circumstances in  
12 which we find ourselves, and indeed, given the purpose of this institution, it's hardly  
13 surprising that this is so.

14 Turning now to some specific questions. And broadly, these address; first, the  
15 applicable law; second, the relevance of human rights; and third, the relevance of jus  
16 cogens.

17 I shall begin first with questions (a) and (b), which ask about the correct procedure for  
18 this Court to interpret and apply the law.

19 And on this point, your Honours, I think largely we agree with the submissions of Mr  
20 Wood, which is that it is very well established that Article 21(1) requires the Court to  
21 apply in the first place the law of the Statute and only in the second place, and if  
22 appropriate, the principles and rules of international law more generally. And the  
23 Appeals Chamber has said that resort to these subsidiary sources may only be made  
24 when there exists a lacuna in the primary sources. And Your Honours can find that  
25 reference at A1 of the list we filed on Friday, which is filing 383.

1 This means, to answer question (b) directly, that this Appeals Chamber should seek  
2 guidance from customary law either if there is a lacuna in the Statute or if the Statute  
3 itself unambiguously makes reference to customary law, as in the Ntaganda appeal  
4 concerning Article 8. And that's also in reference A1.

5 For these reasons also, your Honours, it is essential to interpret the Statute by the  
6 correct method, following the approach of the Vienna Convention. And again on  
7 that I think we agree with Mr Wood, it is not our view that our arguments are based  
8 on policy. It is our view that our arguments are based on the Vienna Convention  
9 approach to interpreting all of the provisions of the Statute.

10 Your Honours can find references for this point at reference A2. These authorities  
11 also illustrate that the general rule of interpretation, Article 31(1), is pre-eminent.

12 And here perhaps I may not have understood, but we may have some difference with  
13 Mr Wood, who seemed to suggest that the Statute may be interpreted not least with  
14 reference to customary international law as part of the 31(1) rule of interpretation.

15 We would just note that under 31(3)(c) of the Vienna Convention, reference to other  
16 rules of international law perhaps shall be taken into account, but they have a lesser  
17 status than 31(1). 31(1) in its own terms just says the ordinary meaning of the text,  
18 the context and the object and purpose. And we would urge your Honours to start  
19 from that basis.

20 It is also well established that the three elements that I have just described must be  
21 considered jointly when interpreting a provision. So your Honours cannot and  
22 should not give more emphasis to the ordinary meaning than the context or more to  
23 the object and purpose than the ordinary meaning. The interpretive task is to  
24 consider those three elements as a whole.

25 To answer question (a), therefore, we say that the content of the preamble of the

1 Statute, which aspires, amongst other things, to end impunity, is highly relevant in  
2 interpreting the Statute's core provisions, including Article 27. And this is because  
3 the Statute not -- I beg your pardon, this is because the preamble not only illustrates  
4 the object and purpose of the Statute, but it also forms an indispensable part of the  
5 text of the Statute itself and thus is equally relevant to the contextual analysis.

6 And we say that's made clear by Article 31(2) of the Vienna Convention.

7 Before I move on I would like -- your Honour.

8 PRESIDING JUDGE EBOE-OSUJI: [12:03:24] Before you move on, you make it look  
9 so simple that, if I understand you, you expressed agreement with Mr Wood, and do I  
10 understand you to say there is no need to inquire into customary international law or  
11 not? That's what I'm trying to follow. Your thesis that there is no immunity which  
12 Sudan is entitled to assert in its own right cannot produce an immunity which other  
13 States are obligated to respect, if I understand you, you would tell us how you arrive  
14 at that conclusion that Sudan is not entitled to assert immunity in its own right and  
15 whether it can do that without also looking at customary international law.

16 Your Honour is absolutely correct that the premise of the Pre-Trial Chamber's  
17 majority's approach and also therefore the premise of our response is based on the  
18 idea that Sudan is subject to the obligations within the Statute, which we say comes  
19 from Resolution 1593, which Ms Brady will be addressing tomorrow.

20 PRESIDING JUDGE EBOE-OSUJI: [12:04:40] But Mr Wood does not agree, if I  
21 understand him correctly, that the answer lies in the Rome Statute.

22 MR CROSS: [12:04:46] That is where we have a point of difference.

23 PRESIDING JUDGE EBOE-OSUJI: [12:04:48] And that's why I was wondering  
24 whether you really agreed with Mr Wood as you asserted you did.

25 MR CROSS: [12:04:53] We agree in terms of the interpretive approach. So we agree

1 that your Honours should look first of all at the Statute and your Honours should  
2 look at the Statute through the lens of 31(1).  
3 If it turned out that your Honours, contrary to our preferred view but in your own  
4 view, may consider perhaps hypothetically that the Statute did not answer the  
5 question or left a lacuna, then of course consistent with 21, you can then go to look at  
6 customary international law. Our point is more a priority of arguments rather than  
7 to say that one necessarily excludes the other.  
8 And perhaps in that vein, your Honour, just to answer my learned friend's point,  
9 throughout its arguments in this case, obviously the Prosecution is not seeking to  
10 expand the scope of this appeal, but we are seeking to address the arguments that we  
11 have heard and it is in the nature of this particular set of legal issues that all the  
12 arguments are rather conditional on one another. So that if one fails, then that takes  
13 you to a second place and so on and so forth. And so we find ourselves in the area  
14 of customary international law even though we started in the area of treaty law.  
15 So moving on, I would just like to briefly address some particular applications of the  
16 interpretive argument to the two provisions of the Statute central to this appeal, and  
17 those are Articles 98(1) and 27.  
18 As we have said in our written submissions and we stress again, Article 98(1)  
19 establishes a procedural obligation for this Court to consider before proceeding with  
20 any request for arrest and surrender whether any relevant immunities are owed by  
21 the requested State to the third State, and the whole discussion in this appeal and the  
22 Pre-Trial Chamber discussions below show that this provision is actually serving its  
23 purpose.  
24 Two points follow from that:  
25 First, this procedural obligation is on the Court and it grants no particular right to the

1 requested State. And this follows not only from the plain terms of Article 98(1)  
2 which refers to the Court, but also from the broadest context and object and purpose.  
3 For example, we say this is confirmed by provisions such as Articles 89(1), 91(4) and  
4 97(c). I would be very happy to explain this a bit further during the question session,  
5 if I may, your Honours, but given the shortage of time, I'll move on for now.  
6 Second, the term "obligations" in Article 98(1) must give effect to its underlying  
7 rationale, which is to prevent, which is to prevent States Parties from being obliged by  
8 this Court to breach other international obligations, specifically to ensure that  
9 compliance by the requested State would not be a wrongful act occasioning its State  
10 responsibility to a third State.  
11 And this means that it is insufficient for the Court merely to determine that an  
12 immunity might in principle be engaged in a particular situation, but it also has to  
13 consider the other circumstances in that situation which might disapply that  
14 immunity, such as, for example, the consent of the third State, in this case Sudan.  
15 For these reasons, we say that when the Court makes its assessment under Article  
16 98(1), it must in practice always first consider whether the relevant third State itself  
17 bears any duty to comply with Article 27 of the Statute.  
18 And this brings us of course to the question of how Article 27 should be interpreted.  
19 On this we have three points:  
20 First, notwithstanding the question of customary law, which will be addressed by Mr  
21 Rastan in a moment, Article 27 is a treaty provision. As such, it must be  
22 autonomously interpreted like any other part of the Statute. And for the purpose of  
23 interpretation, it cannot simply be assumed that the content of Article 27(2) mirrors  
24 perhaps customary international law, especially not to limit its scope unless the  
25 Statute itself requires such a reading.

1 Second, Article 27(2) clearly applies, in our view, not only to what might be termed  
2 part 3 matters but also Part 9 matters. In other words, 27(2) not only bars a State  
3 from raising immunity when their officials appear before the Court as suspects, but  
4 also from raising immunity to avoid arresting and surrendering their own officials.  
5 And this reading is necessary to give effect to the basic object and purpose of the  
6 Statute and also perhaps for consistency with the first sentence of Article 27(1) as well  
7 as other parts of the Statute perhaps such as the preamble. But it is also confirmed,  
8 and this I think comes to your Honour Judge Eboe-Osuji's question to Mr Wood  
9 earlier by the specific reference in Article 27(2) to national law. Now, we say that  
10 this reference would be redundant and meaningless if Article 27(2) did not apply to  
11 Part 9 matters.

12 National law can of course never be opposable to this Court's jurisdiction once a  
13 suspect has appeared here before it. But by contrast States might well often seek to  
14 rely on national law as a bar to arrest and surrender of their own officials. And  
15 reference therefore to national law in Article 27(2) we suggest specifically implies the  
16 application to Part 9 cooperation.

17 Thirdly and finally, your Honours, Article 27(2) must also be read in context with  
18 provisions such as Article 86. I shall return to this topic later in the week when we  
19 discuss group C. But very shortly, this means that States are bound by Article 27(2)  
20 to not act inconsistently with their Article 27(2) obligations. Specifically, if a State  
21 cannot raise immunity for its own officials when it is the requested State under Article  
22 89, no more can it do so when another State Party is the requested State. This is  
23 another way of describing the so-called horizontal effect of Article 27(2) described  
24 both by the majority of the Pre-Trial Chamber and in our written submissions.  
25 Your Honour.

1 PRESIDING JUDGE EBOE-OSUJI: [12:11:46] But is a State free or not to say, I mean,  
2 the receiving State in the event of a visit, are they free to say, well, the visitor State,  
3 what your national law says is a matter for you, but as far as we are concerned,  
4 international law says we are to do something else which may not be consistent with  
5 your national law.

6 MR CROSS: [12:12:20] Your Honour, again, there may perhaps be a distinction here  
7 potentially between the national law of the State which is receiving the visitation and  
8 international law. If the receiving State has the obligation under this Statute under  
9 Article 89 to comply with a request from this Court by arresting and surrendering the  
10 visitor to that State, then that is their obligation, and if they breach that obligation as a  
11 matter of international law, they may well be responsible for that.

12 There may be a separate question if under their own national law their national law  
13 says that they are obliged to respect certain immunities of the visitor, but they can't  
14 raise the national law as a defence to international law in that circumstance.

15 PRESIDING JUDGE EBOE-OSUJI: [12:13:05] That's one part of the question. But I  
16 also have in mind a circumstance where the guest or host State in the event of a visit  
17 would say, well, international law as we understand it requires us to accord you  
18 Head of State immunity so we will do that regardless of what your national law says  
19 on the matter, to say that to the guest State as it were, is that something we need to  
20 think about?

21 MR CROSS: [12:13:42] Your Honour, I apologise, I'm just going to check that I  
22 understand the thrust of your question precisely.

23 In terms of the bilateral relations between the State receiving the visit from the third  
24 State, it's of course a question whether or not there may be a legal obligation, an  
25 international legal obligation between those two States.

1 The question that we have on the facts before us here as we understand it is that any  
2 such obligation which may be relevant precedes by some considerable time the  
3 obligation in the Rome Statute and that takes us to one legal scenario where we say  
4 absolutely we do not think that the obligation owed to this Court is in any way  
5 diminished by what came before. Indeed, we would say that that immunity is  
6 disapplied by virtue of Article 27 so on and so forth.

7 If the sequence of events were different, then it may be that there would be a slight  
8 nuance to that position, but that's not on the facts before us as we understand it.

9 PRESIDING JUDGE EBOE-OSUJI: [12:14:57] In either event, the answer does not  
10 depend on what the guest State said in their national law, is that your point?

11 MR CROSS: [12:15:10] Yes, that's correct, your Honour. I'm also aware that I'm in  
12 danger of doing Mr Rastan a bit of disservice by running over in terms of time. I  
13 have two more points I was going to make just on questions of human rights and on  
14 jus cogens. If I were to take another five minutes, would your Honours also give  
15 some time to Mr Rastan to make up for that? I guess he would need another half an  
16 hour after, so another 35 minutes for our submissions. I don't know where we stand  
17 on the time at the moment.

18 THE COURT OFFICER: [12:15:41] So counsel has 34 minutes in total remaining.

19 MR CROSS: [12:15:46] Marvelous. Then in that case, we'll go for 34 minutes and  
20 do our best.

21 PRESIDING JUDGE EBOE-OSUJI: [12:15:51] We wanted to keep to your time.

22 MR CROSS: [12:15:52] Thank you, your Honours. Turning then very quickly to the  
23 question of human rights in questions (c), (d) and also (o) of your order, very simply, I  
24 think we'd all agree that Article 21(3) also requires the Court to apply and interpret all  
25 the law under Article 21 consistent with internationally recognised human rights.

1 And in question (d), your Honours refer to a specific right, which is the right to an  
2 effective remedy, which is contained in Article 2(3)(a) of the ICCPR to which both  
3 Jordan and Sudan are State Parties.

4 And in that context, we would just very briefly refer your Honours to general  
5 comment 31 of the Human Rights Committee, for example. And there, the Human  
6 Rights Committee recognises the tension between the right to an effective remedy and  
7 immunities under international law especially, and they refer to this Court for  
8 conduct falling within Article 7 of this Court's Statute.

9 Now, the committee merely urged ICC States Parties and, I quote, "to assist each other  
10 to bring to justice" suspects of these crimes, and that's reference A3 in our list.

11 But they, importantly, do not go further than that. What they don't say is that any  
12 applicable immunities under international law are simply unenforceable in the  
13 interstate context. They simply say nothing.

14 And we would also refer your Honours to the case law of the African commission  
15 and the European court which is, on these issues, similarly nuanced. At most we can  
16 see they find that member States cannot lawfully grant immunities for gross  
17 violations of human rights beyond what is, quote, "strictly required by international  
18 law", and that's reference A4.

19 So if we come to question (o), your Honours asked how Head of State immunity  
20 might be balanced against gross violations of human rights. And in this case in our  
21 view this means, at the very least, simply giving due effect to Sudan's obligation  
22 under Article 27(2) and resolution 1593, which make clear that in this case immunity  
23 for Mr Al-Bashir is not strictly required by international law and therefore should not  
24 be applied.

25 These same principles may also potentially have informed the Security Council's

1 thinking on the matter back when it made resolution 1593 in the first place.

2 Now finally, your Honours, coming quickly to questions (e) and (f), which ask about  
3 the possible significance of jus cogens, I heard Mr Wood state earlier, and we agree,  
4 that the prohibitions of genocide and crimes against humanity are themselves jus  
5 cogens norms and there is an authority for that at A5.

6 Coming to question (f), which asks the significance of this fact, we also agree that that  
7 is a more complicated position and your Honours have been referred to the ICJ case  
8 in the jurisdictional immunities case, which makes the point that although jus cogens  
9 norms are superior to ordinary rules of customary law, it's a question of the scope of  
10 the jus cogens norm as to whether it necessarily conflicts with, for example, a rule of  
11 immunity.

12 And the ICJ seems to take the view that there is no necessary conflict. We would just  
13 very briefly refer your Honours to the academic discussion in reference A, that would  
14 be A7, where there is a variety of opinion, although, if I remember correctly, I think  
15 that comes before the ICJ case, but just to show that reasonable minds may still be  
16 debating this issue. And we refer to the question of jus cogens in our response to the  
17 AU and the Arab League simply to note that the law is perhaps somewhat uncertain  
18 on this point, but we do not go out on it ourselves because we say that actually in this  
19 case, Article 27 and resolution 1593 are sufficient for the Court's current purposes.

20 And on that, I think I really do need to give the floor to Mr Rastan at this point. I  
21 would be very happy to deal with any questions in the session tomorrow, if I may.

22 Thank you, your Honour.

23 PRESIDING JUDGE EBOE-OSUJI: [12:20:10] Mr Rastan.

24 MR RASTAN: [12:20:15] So your Honours, moving swiftly on, I will address the  
25 relevance of customary international law to the Prosecution's submissions and I don't

1 intend to take longer than 29 minutes possibly, but I may be a minute or two over.

2 I'm aware of the pace for the interpreters.

3 PRESIDING JUDGE EBOE-OSUJI: [12:20:32] You'll also be dealing with jus cogens?

4 MR RASTAN: [12:20:36] I'm going to address the cluster of questions on customary  
5 international law, so that's from question (g) onwards.

6 PRESIDING JUDGE EBOE-OSUJI: [12:20:42] Now, for counsel on all sides,  
7 including the academic counsel, I do not, in reading the materials, I did not see any  
8 reference by anyone to the Barcelona Traction case, and I would like you to look at  
9 that and submit on it at some point, whether what is said in paragraph 33 and 34 of  
10 the Barcelona Traction case, the second phase, has anything to do with our discussion.  
11 Please proceed, Mr Rastan.

12 MR RASTAN: [12:21:16] Yes, in relation to peremptory norms of international jus  
13 cogens and their erga omnes effects, I think we would rest on what we had said  
14 briefly, but we can develop it more generally in terms of --

15 PRESIDING JUDGE EBOE-OSUJI: [12:21:26] I'm speaking specifically about  
16 whether the Barcelona Traction case, paragraphs 33 and 34 helps us.

17 MR RASTAN: [12:21:36] Sure. We can come back to it in our next sessions.  
18 So if I can briefly recall our general approach to this appeal, and this is a point that  
19 Jordan also has emphasised, we say that the Appeals Chamber can confirm the  
20 correctness of the Pre-Trial Chamber's decision by examining the combined effect of  
21 two treaties, the Rome Statute and the UN Charter, and ordinarily, of course, we  
22 would have set out our substantive case first to you in our presentation of these  
23 hearings, but because of the ordering of the groups, you'll, in fact, hear our  
24 substantive submissions on that cluster of arguments tomorrow from Ms Brady.  
25 But given our reliance on the so-called Security Council route, we actually believe,

1 and this is the question you asked earlier, that there is no need to delve into  
2 customary international law. However, others, of course, have raised custom.  
3 They have raised custom either in opposition to the Security Council route to say that  
4 relevant immunities apply or, as an alternative to it, to say that relevant immunities  
5 do not apply. Thus, your Honours, we have only explored customary international  
6 law in response to the arguments put forward by others, and of course as Mr Cross  
7 said, there is nothing strange in disposing of an appeal in this manner.  
8 So what, naturally you may ask, is our position on customary international law?  
9 Well, we do not doubt the enduring relevance of the immunity of head of states  
10 before foreign domestic criminal jurisdiction. This is something I believe all in this  
11 courtroom agree with.  
12 However, we have come to question whether this rule is directly transferable to the  
13 ICC context. As is well-known, this customary rule derives from the principle of  
14 sovereign equality, which was conceived and applied in relation to the assertion of  
15 domestic criminal jurisdiction by the authorities of one State against the foreign  
16 sovereign.  
17 An ICC surrender process is, to our minds, a wholly different context. Indeed, the  
18 domestic execution of a warrant issued by an International Criminal Court or tribunal  
19 is, in fact, a relatively new phenomena arising from the 1990s onwards.  
20 We say that this new phenomena, the national execution of warrants for international  
21 courts materially falls outside of the parameters of the existing customary  
22 international law rule.  
23 And its rationale is also wholly different and causes no offence to the principle of  
24 sovereign equality.  
25 So given these distinctions, we say that customary international law on personal

1 immunities cannot simply be extended to the ICC surrender process by analogy. If  
2 immunity does also apply to an ICC surrender process, this must be proved  
3 empirically by evidence of sufficiently consistent and general State practice and  
4 supporting opinio juris. It cannot be merely inferred or deduced.

5 So your Honours may ask, so then, what's the position between, what's the difference  
6 between our position and the position of, say, Professor Kreß or the Pre-Trial  
7 Chambers in their decisions concerning Malawi or Chad?

8 Well, if we think of the customary international law arguments as a spectrum, we can  
9 say that at one end, there is the argument that's been put forward by, for example,  
10 Professor Kreß and relied upon by the Pre-Trial Chambers; that customary  
11 international law positively requires States to surrender sitting heads of states to the  
12 ICC irrespective of consent, and at the other end of the spectrum is the argument that  
13 customary international law prohibits the surrender by States of a sitting Head of  
14 State to the ICC, absent express consent.

15 And I understand that that consent Jordan accepts could be obviously expressed by  
16 being a party to the Statute or by waiving it or through the Security Council expressly  
17 providing for it.

18 So our position on this spectrum between those who say customary international  
19 positively requires you to surrender and the other end that says customary  
20 international law prohibits you from surrender, our position is somewhere in the  
21 middle, you could say, but slightly closer to Professor Kreß.

22 And I'll explain what I mean. What I mean is that while we agree with Professor  
23 Kreß that developments in international law appear to be moving in the direction he  
24 proposes, that we therefore see merit in the approach taken by the PTC in the  
25 decisions concerning Malawi and Chad, we are unsure that there is sufficiently settled

1 State practice and opinio juris to support it.

2 However, looking back at the other end of the spectrum, we also question the  
3 assertion that traditional interstate immunities apply to an ICC process because of  
4 that same divided State practice in opinio juris. But you may wonder, isn't the  
5 outcome the same? Don't we arrive at the same result as Professor Kreß?

6 Well, your Honours, I believe there are two key differences in our approach. First,  
7 whereas Professor Kreß and indeed Jordan must prove their assertion of the existence  
8 of relevant customary international law by providing evidence of settled State  
9 practice in opinio juris, we are in the reverse position, so to speak. We challenge the  
10 assertion made by both sides, by both sides saying, "Prove it. Give us the  
11 evidence" --

12 PRESIDING JUDGE EBOE-OSUJI: [12:27:21] What is your position on that? You  
13 stated -- you are trying to assert a middle course. You've set out the two extremes  
14 and you said your position is in the middle. Can you remind us exactly. I don't  
15 know whether you've said it already. I've been waiting for it. In a nutshell.

16 MR RASTAN: Yes.

17 PRESIDING JUDGE EBOE-OSUJI: So we can then follow your development of that.

18 MR RASTAN: [12:27:36] We believe that the state of customary international law  
19 on either side of the spectrum is not proven because there is neither State practice,  
20 sufficiently settled State practice, opinio juris, to support a positive rule requiring  
21 States to surrender under custom, nor a practice showing that the prohibition that  
22 applies at the interstate level is transferable to the ICC.

23 So we are saying that the relevant status of customary international law in relation to  
24 the unique context of an ICC surrender process, which is a relatively new phenomena,  
25 is unsettled. So, therefore, is it possible to come to any conclusion on the bearing of

1 customary international law on this precise context of a national execution of an ICC  
2 surrender?

3 PRESIDING JUDGE EBOE-OSUJI: [12:28:24] But Professor -- or rather, Mr Kreß will  
4 speak to his own submissions --

5 MR RASTAN: [12:28:31] Yes.

6 PRESIDING JUDGE EBOE-OSUJI: [12:28:32] -- in time, but I noted a reference to  
7 Nuremberg principle, specifically Nuremberg principle 3. Regardless of what he  
8 may have said eventually, does that also give you some position along the spectrum  
9 in the sense of saying possibly that Nuremberg principle 3 is customary international  
10 law, and if it is customary international law, it nullifies immunity. Is it a way to look  
11 at this?

12 MR RASTAN: [12:29:15] No, that's a good distinction. I may not want to break it  
13 down into too many different categories, but I think you can also consider looking at  
14 Article 21 in relation to the different sub-paragraphs obviously.

15 So Article 27(1) is, of course, a restatement of Nuremberg principle number 3. And  
16 as many academics have said, and your Honour has also said in his own writings,  
17 and I think the Office of Legal Affairs in preparation of the relevant memos triggering  
18 the debate of the ILC on jurisdictional immunities, on immunities of foreign heads of  
19 states, also made the point Article 27(1) represents a customary international or  
20 reflects customary international law. But, of course, this hearing is not about Article  
21 27(1). It's about Article 27(2). And it's in relation to that, well, we say the  
22 horizontal effect in terms of the meaning of the absence of immunity for how States  
23 are to give effect to arrest warrants, it's in relation to that process that we're engaging  
24 on questions of customary international law and trying in this group A submissions  
25 to identify what those customary international law provisions are, what that rule is,

1 and as the submissions I'm making, whether there is evidence of State practice and  
2 opinio juris to support it.

3 PRESIDING JUDGE EBOE-OSUJI: [12:30:30] But is it possible to look at Article 27(2)  
4 in the terms that it is a mechanism to operationalise, if will, what is stated in Article  
5 27(1) especially, especially given the distinction between immunity, material, you  
6 know, the material form of it and the personal form of it, that distinction.

7 MR RASTAN: [12:30:58] Yes, of course that can be made, but I think --

8 PRESIDING JUDGE EBOE-OSUJI: [12:31:00] Ratione materiae, ratione personae.

9 MR RASTAN: [12:31:02] I think that can be a basis for an argument, but we would  
10 then say that that's again a kind of deduction, that's a way of asserting customary  
11 international law by way of a legal reasoning, which as the ICJ has said, that  
12 customary international law is not identified by a process of deduction but by a  
13 process of induction by positive evidence of State practice, opinio juris. So it's to that  
14 extent we are being fair to the evidence before us and we are saying that we can't  
15 identify the relevant State practice opinio juris to settle this matter on the status of  
16 customary international law in relation to this matter.

17 So that's our first distinction, that we don't have to prove it, if you like, because both  
18 sides are asserting it in different ways. We are saying that we see that there is an  
19 absence of relevant evidence to support the assertion of customary international law.  
20 And then our second distinction in terms of how our position is different to other  
21 positions, well, whereas Professor Kreß and indeed Jordan posit customary  
22 international law as an alternative to the Security Council route, either to oppose it or  
23 to render it superfluous, we say the opposite. We rely on an analysis of customary  
24 international law to confirm the correctness of the Security Council route. And here  
25 I stress we are not changing our argument. We are analysing customary

1 international law to come back to our submissions.

2 For if customary international law is not made out in relation to a particular alleged  
3 rule, what happens is that you fall back on special international law, namely, the  
4 treaty relations that bind the relevant States, and in this context we say that is the  
5 combined effect of the Rome Statute and the UN Charter.

6 So to summarise these introductory remarks and give you an image, we would say,  
7 and excuse the turn of phrase, that in relation to the domestic execution of an ICC  
8 warrant, we are in a custom free zone. In other words, the traditional rules of  
9 customary international law which we of course acknowledge continue to apply to  
10 the domestic assertion of criminal jurisdiction against a foreign sovereign do not  
11 apply here simply because a rule of customary international law is not extendible to a  
12 different set of circumstances absent evidence of sufficiently consistent and settled  
13 State practice and *opinio juris* to support it.

14 Now, your Honours, our arguments might appear a little far-reaching, but they are in  
15 fact grounded in the uncontroversial case law of the International Court of Justice,  
16 repeated verbatim by other international courts and tribunals on the applicable  
17 standard of proof and burden of proof for identifying evidence of an existing  
18 customary rule or of a separate new rule which, if I may turn to now, will respond  
19 further to your questions (i), (h) and (l), which collectively deal with the questions of  
20 the requirements for identifying customary international law or whether there are the  
21 restrictions or limitations to such rules and the relevant scope of relevant customary  
22 immunities before international courts.

23 So the three points I wish to make in relation to this cluster of questions is the first  
24 that the tests for identifying the existence of customary law is, as is well-known,  
25 stringent, that the burden to establish the existence of customary law is on the litigant

1 asserting it, and third, that when looking for the purpose of this case at the actual  
2 evidence of State practice and opinio juris exemplified by such classic criteria as  
3 official statements, domestic judicial rulings, national legislation and so on, that there  
4 is sharp divergence.

5 Now I'm aware that we'll need to switch to evidence channel 2 because I wanted to  
6 bring up some of the citations on your screens for ease of reference. That's evidence  
7 channel 2. You'll find it next to your microphones for colleagues in the room.  
8 Now as your Honours know, the threshold to identify customary law is stringent and  
9 that classic formulation was set out -- is it appearing?

10 THE COURT OFFICER: [12:35:25] I'm sorry, the evidence channel 2 can be found on  
11 the console box. There is a button indicating evidence 2.

12 PRESIDING JUDGE EBOE-OSUJI: [12:35:32] We all have them now. Proceed.

13 MR RASTAN: [12:35:34] Right. I don't have a tremendous amount of citations, but  
14 I thought just to bring the key ones on the screen. And I've highlighted the parts that  
15 I'm going to cite to.

16 So of course this is not particularly new, it's well-known that the classic formulation  
17 set out by the ICJ in its 1969 judgment of North Sea Continental Shelf quoted on your  
18 screens and available at reference A8 requires State practice of being both, quote,  
19 "extensive and virtually uniform" and in relation to opinio juris, quote, "to show a  
20 general recognition that a rule of law or legal obligation is involved."

21 Now, the standard, as I mentioned earlier, has been recalled not just by the ICJ but by  
22 other international courts and tribunals, by regional courts, by national courts. And  
23 Mr Wood, in his capacity as the ILC special rapporteur indeed on this topic, has given  
24 ample such examples. And that's at reference A9 when he refers to how this  
25 standard has been applied by other international courts and regional courts and

1 domestic courts.

2 And Mr Wood has also noted that this phrase, this citation has been referred to by the  
3 ICJ in terms of the requirement of a general practice in similar phrases such as, quote,  
4 "a settled practice", quote, "very widespread and representative", "established and  
5 substantial", "uniform and widespread" or "constant and uniform". That's at  
6 reference A10.

7 And in the Nicaragua case at reference A11 and also on your screens, the ICJ observed  
8 that while State practice need not be perfect nor in absolute rigorous conformity it  
9 must in general be consistent.

10 And of course the ILC, with the benefit of State comments, has formally adopted on  
11 second reading similar wordings in its final conclusions on the topic which you will  
12 find at reference A12.

13 And likewise on opinio juris, the ICJ has held, as you can see at reference A13 and on  
14 your screens, that evidence of a settled practice must be accompanied by "evidence of  
15 a belief that this practice is rendered obligatory by the existence of a rule of law  
16 requiring it", which in this quote you'll see is also to be distinguished from extra-legal  
17 motives, purely extra-legal motives or mere habitual practice. And other ICJ cases,  
18 as well as Mr Wood's study and conclusion number 9 of the ILC also agree, and that's  
19 at reference A14.

20 Now, that's I think not in dispute. I only recall it because of the evidence issue we  
21 come to later.

22 And then the burden of proof, which I again just will mention very briefly is that it is,  
23 we say, on Jordan to show the existence of the relevant customary law as the ICJ held  
24 in the Asylum case at A15 and on your screens, I quote, "The party which relies on a  
25 custom must prove this custom is established in such a manner that it has become

1 binding on the other party."

2 There are other authorities making the same point at A16.

3 While obviously this Court is not the ICJ, we say that the approach remains sound.

4 Since Jordan challenges the conclusions of the Pre-Trial Chamber by reference to its

5 view on customary law, it is for Jordan, we say, to show the custom in question.

6 And indeed, this position is consistent with the general burden on litigants before this

7 Court to substantiate their arguments.

8 And then bearing in mind the standard of proof and the burden of proof, if we look

9 very briefly to the evidence of State practice on this matter, what we see are areas of

10 disagreement strongly held opposing views and different approaches in the national

11 legislation implementing the Rome Statute. We've identified various examples in

12 our filing number 377, that's our last filing, which I will briefly summarise.

13 Firstly there are examples of divided State practice and opinio juris that are evidenced

14 by the statements of a number of States, whether acting individually or collectively,

15 that have called on other State Parties hosting Mr Bashir to arrest and surrender him

16 to the Court. Or they have condemned failures to do so or stated that they

17 themselves would arrest Mr Bashir if he entered their territory. And you can find

18 reference to that at paragraph 22 of our filing number 377.

19 We can also look at the drafting history of Article 98(1). And in your question (m)

20 indeed your Honours specifically asked whether Article 98(1) can be taken as an

21 indication as to the existence of Head of State immunity when surrender is sought by

22 the Court or its opposability to an ICC surrender process. In other words, can the

23 very wording of Article 98 appear to acknowledge that customary international law

24 relative to Head of State immunity would be applicable potentially in an Article 98

25 context.

1 The answer we give is no, because the drafting history indicates that Article 98(1) was  
2 included not because of consensus on the scope or existence of immunities that might  
3 apply to an ICC surrender process, but precisely because States could not agree on  
4 this point when the issue was discussed late during the negotiations in Rome. The  
5 only agreement that States were able to reach on this matter was a procedural one,  
6 which is what we see today in Article 98(1), which leaves it to the Court to determine  
7 whether any immunity issues might apply, but formally takes no position on the issue.  
8 And you can find references to that drafting history at A17.

9 And then of course domestic court rulings have also been at variance, with the Courts  
10 of some States such as South Africa and Kenya stating that Mr Bashir should be  
11 surrendered to the ICC, while other courts such as in Uganda have stated the  
12 opposite. That's at reference A18.

13 And State practice and *opinio juris* is also divided in reaction to assurances reportedly  
14 sought by the Government of Sudan from States seeking to ensure that he will not be  
15 surrendered to the ICC upon entry to their territory. This has reportedly resulted in  
16 trips by Mr Bashir, whether for attendance at inter-governmental conferences or  
17 bilateral visits, being either not considered or cancelled by the Government of Sudan.  
18 And references can be found at A19.

19 Now of course while it's difficult to quantify a negative, assuming these examples are  
20 to some extent representative of a broader pattern, Sudan's concern to take these  
21 precautions and then the necessity of Mr Bashir not attending certain places again  
22 weighs against the idea that customary law immunities so clearly shield him from  
23 surrender to this Court.

24 And then the implementing legislation of a number of State Parties similarly  
25 contemplates the surrender of persons sought by the Court irrespective of official

1 capacity. Now while we note that a minority of such legislation, for example in the  
2 UK, Malta and Ireland, distinguish in this context between State Party nationals and  
3 non-party nationals, other States make no such distinction.

4 And then finally we observe the debates within the International Law Commission  
5 and the comments made by States in response to its reports, which have revealed  
6 divided opinion on the matter.

7 I can refer you to A20 and on your screens I'm bringing up, I believe this is the fifth  
8 report by the special rapporteur, Ms Hernandez, and in this report her task that she  
9 said herself was to identify the circumstances where immunity would not apply.

10 And she had initially proposed in subparagraph 3 an exceptional limitation of draft  
11 Article 7 in relation to, I quote, "any provision of a treaty that is binding on the forum  
12 State and the State of the official, under which the immunity would not be applicable",  
13 so this is the inter partes rule, if you like, and secondly, quote, the "obligation to  
14 cooperate with an international tribunal which, in each case, requires compliance by  
15 the forum State" which appears to represent a Security Council imposed rule.

16 Now, of course we recognise, and I see some reaction from the other side, of course  
17 we are alive to the fact that the draft Article 7, in particular its discussion on  
18 functional immunities, has been perhaps the most controversial aspect of the ILC's  
19 consideration of the topic. Of course we are aware that there is a danger that earlier  
20 reports of the ILC can be taken as representing its final views. And we know that  
21 the final views on this matter are pending.

22 However, the reason that we cited to draft Article 7 is not because of its  
23 conclusiveness but on the contrary its inconclusiveness. In particular, and this  
24 comes up now, according to ILC's record, I quote, on your screens "some members  
25 considered the without prejudice clause" this is subparagraph 3 we just looked at,

1 some members consider this "acceptable" while others, according to the ILC's reports,  
2 considered that the issue could be better examined by taking a broader perspective in  
3 the context of the procedural aspects of immunity which remain ongoing. That's  
4 reference A21, which is included in the last report. In other words, as this lively  
5 debate shows, these questions are nowhere near as clear cut as Jordan and some  
6 others would suggest.

7 So looking at the evidence then, State practice and opinio juris on the domestic  
8 execution of an ICC surrender request concerning a foreign Head of State is not, quote,  
9 "extensive and virtually uniform" or "undertaken with a sense of legal right or  
10 obligation".

11 Instead, the situation is actually similar to what the ICJ found in other cases, such as  
12 in the Fisheries case, on your screen and at reference A22, where the ICJ found  
13 discrepancy in the relevant State practice, such that the supposed rule had "not  
14 acquired the authority of a general rule of international law".

15 Or similarly, in the Asylum case, on your screens and at reference A23, the ICJ found  
16 the circumstances disclosed "so much uncertainty and contradiction", "so much  
17 fluctuation and discrepancy", and "considerations of political expediency", that it was  
18 impossible to discern "any constant and uniform usage accepted as law".

19 So in question (n) your Honours ask: What should happen if it were to be found that  
20 customary international law did not clearly extend to immunities opposable to this  
21 Court?

22 Well, we believe the answer has been given, by the ICJ again, in the Gulf of Maine  
23 case - that's reference A24 and on your screens - which recalled that where a  
24 customary rule is not made out, recourse must be made to "special" international law,  
25 i.e. The law in force between the parties, or treaty law. In that case it was the 1958

1 Convention on the Continental Shelf. In this case we say the Rome Statute and the  
2 UN Charter, exactly the focus of the Pre-Trial Chamber. And to the extent that the  
3 obligations under the 1953 Arab League Convention or the 1945 Pact are also relevant  
4 to this analysis, as will be emphasised by my colleagues in answering your questions  
5 in group B and C, we would say that Article 103 of the UN Charter would, in any  
6 event, take precedence given the necessary effect of resolution 1593, which would  
7 then bring into operation the provisions of the Rome Statute.

8 Now, your Honours in my last few minutes -- yes.

9 PRESIDING JUDGE EBOE-OSUJI: [12:47:30] Article 103 of the Charter, is it  
10 applicable to custom?

11 MR RASTAN: [12:47:38] The short answer is yes. We will deal with that in group B  
12 because it forms part of that set of issues, and Ms Brady will develop it further. But  
13 we find authority, including from the ILC, that Article 103 should also extend to  
14 custom. It's one of the conclusions of the ILC in its fragmentation study.

15 Now, we are aware of obviously possible counterarguments to our position and we  
16 wanted to address them head on.

17 One could be that the situation that we are describing as a new phenomena is  
18 nonetheless sufficiently comparable to the exercise of national criminal jurisdiction to  
19 make the customary international law rules applicable by analogy.

20 And secondly, what might be called a "pooled sovereignty" objection, that States  
21 cannot simply create international institutions in order to subvert or avoid interstate  
22 rules that would otherwise apply under custom.

23 Now turning to the first possible counterargument based upon analogy, as you can  
24 see on your screens, it is true of course that the Permanent Court of International  
25 Justice acknowledged in the well-known Lotus case the need for "examining

1 precedents offering a close analogy", which might help to identify a general principle  
2 that might be applicable. That's at reference A25. However, we say when looking  
3 at the evidence there is no close analogy in this case.

4 And here, your Honours, I would like to take up question G concerning the origin  
5 and nature of Head of State immunity and emphasise, as we noted earlier, it is of  
6 course common ground that customary international law rules on personal  
7 immunities derive from the principle of sovereign equality, which encapsulates of  
8 course the prohibition against the authorities of one State judging a foreign sovereign.  
9 The relevant immunity attaching to the Head of State in this regard is a privilege  
10 accorded to the person while in office, and not his or her own right, but in fact  
11 belongs to the State itself.

12 Now, by contrast to this rationale and this history and origin, this Court is not the  
13 jurisdiction of another State; it is supra-national both institutionally and in its  
14 application of relevant norms. That is also why, and in responding to your question  
15 (j), sovereign immunity has never been successfully pleaded before any international  
16 criminal court or tribunal. Indeed, to the contrary, in the Taylor case, as is  
17 well-known, at the Special Court for Sierra Leone, the Appeals Chamber expressly  
18 rejected such a plea. That's reference A26.

19 And we say the same distinction is brought out by the Arrest Warrant case. And  
20 here I would like to address question (k), where your Honours ask us how the ICJ's  
21 analysis should be understood.

22 Now we'll bring it up on the screen, but your Honours will recall that the ICJ  
23 emphasised in the much cited Article 61 of the Arrest Warrant case, that immunities  
24 under international law -- under law, sorry, I'm getting a tongue twister here -- that  
25 immunities under international law do not bar criminal prosecution in certain

1 circumstances. It went on to cite four such circumstances, and the fourth it gave as  
2 being criminal proceedings before international criminal courts where they have  
3 jurisdiction.

4 Now, of course, some commentators have lamented the imprecision of this holding,  
5 and of course it was not the central issue in dispute. Or they have suggested that  
6 this only refers to the ICC's adjudicative jurisdiction and not its enforcement  
7 jurisdiction. And I believe the Kingdom of Jordan made similar interpretations this  
8 morning of how this should be understood.

9 But we say it is important to look more closely and to also recall the context in which  
10 this particular statement is made. First, when examining the scope of personal  
11 immunities in that case, before national courts, the ICJ held that Belgium could  
12 neither assert its jurisdiction, by having its courts issue a warrant, nor seek to have it  
13 enforced.

14 Second, the whole *raison d'être* of the ICJ's discussion leading up to this statement is  
15 that personal immunities represent only a procedural bar. It is in this context that  
16 the ICJ clarified where the ordinary procedural limitations governing immunities  
17 would and would not apply, in order to emphasise the oft-repeated point, that  
18 immunity does not mean impunity, which is the point also emphasised again in the  
19 jurisdictional immunities case.

20 And thirdly, when looking at this statement, it is notable that the ICJ illustrates what  
21 it means not by citing to Article 27(1) of our Statute, which as we recall deals with the  
22 question of individual criminal responsibility, but by expressly citing to and quoting  
23 Article 27(2) --

24 THE COURT OFFICER: [12:52:35] Counsel has 5 minutes.

25 MR RASTAN: [12:52:37] -- which deals with immunities or special procedural rules

1 under national or international law which as the provision reads cannot operate as  
2 bar to the exercise of the Court's jurisdiction.

3 Thus, we believe that paragraph 61 of the Arrest Warrant judgment should be  
4 understood to set out the outer scope of procedural bars represented by the rule of  
5 customary international law on personal immunities. It says that before the ICC,  
6 where it has jurisdiction, neither national nor international law immunities may be  
7 pleaded against the exercise of the Court's jurisdiction over a State official. Dealing  
8 both with its adjudicative and enforcement jurisdiction. We say that the analogy to  
9 national practice on immunities is not close; it could hardly be farther.

10 And I can emphasise this point with one further observation that responds to  
11 something that Jordan, the Kingdom of Jordan made at the very start of its  
12 submissions, that it bears emphasising that the enforcement by States of an ICC  
13 warrant does not constitute the exercise of domestic criminal jurisdiction by that State.  
14 A surrendering State makes no assertion of its own criminal jurisdiction and nor does  
15 the Statute permit such an assertion: the warrant is issued by this Court under its own  
16 jurisdiction. And what a surrendering State does in executing the warrant is also  
17 restricted by the Statute. And this is Article 59, which your Honour set out earlier,  
18 and we had indeed addressed in our written submissions, which makes it clear that  
19 the competent authorities of the surrendering State may not, and I quote, "consider  
20 whether the warrant was properly issued".

21 Now, no such limitation would be permissible if the surrendering State was  
22 exercising its own jurisdiction, because it's an essential feature actually of the exercise  
23 of substantive jurisdiction that a court must be able to determine the lawfulness of the  
24 measure that it is ordering. So we say that by divesting the surrendering State of this  
25 essential authority, the Statute clarifies that the criminal jurisdiction in the matter

1 emanates solely from the Court, and not the surrendering State.

2 And we say that this distinction is not displaced by the fact that the implementing  
3 legislation of some States gives effect to the request that by requiring the competent  
4 judicial body to issue a domestic warrant in order to domesticate the ICC warrant.  
5 Even in that scenario, the national judge does not issue the warrant in the assertion of  
6 national criminal jurisdiction, but is executing the authority, empowered by domestic  
7 legislation, to oversee and implement the execution of the ICC warrant. And doing  
8 so does not engage the assertion of the State's own criminal jurisdiction since the  
9 substantive limitations set out in Article 59 continue to apply, as do the duty of State  
10 Parties to give them effect.

11 And in fact -- I apologise, your Honours, what I have said here jumps a little ahead to  
12 some of the questions from group B and question (o), which inquired into relevant  
13 role of the surrendering State. But since you raised the question just now I thought I  
14 would address it now.

15 So for these reasons, this Chamber should find that the customary rule concerning the  
16 assertion of domestic criminal jurisdiction over foreign State officials is too remote to  
17 offer a close analogy. Indeed, returning to the Gulf of Maine judgment, on your  
18 screens and at A28, the ICJ observed that customary law must be "tested by  
19 induction". This is the quote I referred to earlier. They say customary international  
20 law must be, quote, "tested by induction", end quote, i.e. by empirical evidence of  
21 relevant State practice and *opinio juris* and not by "deduction from preconceived  
22 ideas".

23 And then the ICJ went on in that same case to say that, in such circumstances, as  
24 you'll see the last highlighted part, a more useful course was to seek a more precise  
25 formulation of the fundamental norm at question, and the Appeals Chamber, if

1 necessary, can do just that.

2 PRESIDING JUDGE EBOE-OSUJI: [12:56:38] Now, when the Gulf of Maine's case  
3 says that customary international law is not to be deduced, so to speak, that  
4 necessarily speaks to the establishment or the existence of the rule of customary  
5 international law and not necessarily the application of that rule in specific  
6 circumstances once deduced so that - correct me if this is the way to understand it or  
7 not - once we establish the existence of a customary rule of international law, then its  
8 application can be a matter of deduction; is that one way of looking at it or not?

9 MR RASTAN: [12:57:33] Yes, but I think that's open to your Honours. But I think  
10 the point we're making is the process of identifying the existence of a relevant rule,  
11 which Jordan is asserting by nature of the interstate rule which they're saying is  
12 extendible to the ICC process, presumably by a process of saying that it's sufficiently  
13 comparable. There is a similar analogy. And by reference to the close analogy  
14 suggestion given in the Lotus case, we're saying well, that's not enough. You have to  
15 then test it and see if there is, in relation to that analogy, is it then supported by  
16 relevant State practice, *opinio juris*. And if it's not, if it's merely based by deduction  
17 and there is no induction, there is no empirical evidence, then that fails to meet the  
18 relevant standard according to the ICJ.

19 Then, as I say, they say that then we should turn to the fundamental norm if that  
20 customary rule has not been established. And in saying that we would say that the  
21 fundamental norm at play here is not the principle of sovereign equality on which  
22 personal immunities under international law rest, but the fundamental norm is rather  
23 the non-applicability of immunities or special procedural rules which may attach to  
24 the official capacity of a person whether under national or international law as a bar  
25 to the Court from exercising its jurisdiction over Mr Bashir.

1 Now, your Honours, on pooled sovereignty I have just 3 minutes of suggestions or  
2 notes to make if your Honours want to hear it now, I can wrap it up, or otherwise I  
3 can leave it for the question and answers.

4 THE COURT OFFICER: [12:59:01] Counsel has 1 minute.

5 MR RASTAN: [12:59:02] So in relation to pooled sovereignty -- and I'll try not to  
6 stress out the interpreters too much.

7 Now, some commentators have said that, for example, Professor Akande, Dapo  
8 Akande, with whose approach we generally agree, he has nonetheless raised concerns  
9 there could be an objection that any two or more states could simply create an  
10 international court simply as a means to circumvent customary rules on inter-State  
11 immunities. And that's at reference A29. And that that danger might be at play  
12 here when the Court is asserting its jurisdiction.

13 But in our view, the essential characteristics of the ICC are, yes, that it has been  
14 established by multiple States, but it is also structured in an independent fashion  
15 which ensures that it is more than just the instrumentality of a few of its members.  
16 Now, obviously, I'm not going to recite the drafting history of Rome Statute, but  
17 sufficient to recall that the efforts to create an international code of crimes and a  
18 permanent court to give effect to the Nuremberg principles, which you referred to  
19 earlier, were of course emphasised at the very first session of the General Assembly  
20 1946. They were recalled two years later in the adoption of the Genocide  
21 Convention where a mandate is given to the ILC to study the desirability and  
22 possibility of creating such a court. I think this is sufficient to make the point that  
23 this institution is not some side project of a few wily States, but has been a poor --

24 THE COURT OFFICER: Counsel's time is up.

25 MR RASTAN: [13:00:21] I'll finish the sentence -- but that this has been one of the

1 core objectives pursued by the United Nations over the course of its existence.

2 And we were just going to make the final point that of course we're also not bound by  
3 our parties, we're not an inter-governmental body, and the Judges of course act  
4 independently.

5 And on that, your Honours, I'm grateful for the time. And we haven't addressed (p)  
6 and (q), but we can take those up perhaps in the question and answers. Thank you.

7 PRESIDING JUDGE EBOE-OSUJI: [13:00:48] I thank you very much. As I also  
8 understand it, on the last day there may be opportunity for counsel to speak to other  
9 matters they would like to speak to.

10 MR RASTAN: [13:00:56] Sure.

11 PRESIDING JUDGE EBOE-OSUJI: [13:00:57] Now we will move next to the  
12 observations from the counsel for African Union.

13 MS NEGM: [13:01:09] Thank you, your Honour.

14 Honourable President, honourable members of the Court, it is my honour to represent  
15 the African Union before your esteemed Court in these important and historic  
16 proceedings based on our mandate by the Assembly of Heads of States and  
17 Governments of the African Union since 2009. I'll be presenting the opening  
18 remarks on behalf of the Union. Then Professor Tladi will address group A set of  
19 questions.

20 PRESIDING JUDGE EBOE-OSUJI: [13:01:39] Remember you have 25 minutes both.

21 MS NEGM: We know, your Honour.

22 PRESIDING JUDGE EBOE-OSUJI: [13:01:44] Thank you.

23 MS NEGM: [13:01:46] Mr President, members of the Court, these proceedings are  
24 historical for the African Union and the ICC for several reasons. First, the 20 years of  
25 the International Criminal Court, the Appeals Chamber will be deciding on one of the

1 most complex questions of international law to ever come before it, that is whether  
2 States Parties are obliged to arrest a sitting Head of State, notwithstanding customary  
3 international law.

4 Second, our acceptance of the Appeals Chamber's invitation to submit observations as  
5 *amicus curiae* signifies the beginning of a more constructive legal approach in the  
6 relationship between the African Union and the International Criminal Court.

7 As elaborated in paragraphs 4 to 10 of our written submission, the question is  
8 whether in dispensing the measure of justice the International Criminal Court will  
9 itself act within the confines of the established rules of international law on  
10 immunities.

11 It is beyond any doubt that these delicate issues bear broader relevance for all States  
12 Parties to the Rome Statute from all regions of the world. In fact, we are here to  
13 address the call by this Court for Jordan and African states to violate their obligations  
14 towards Sudan under customary international law by arresting and surrendering a  
15 sitting president.

16 The African Union, like the League of Arab States and other regional and  
17 international organisations, holds many summits of Heads of State. Hence, a ruling  
18 country to the existing law will give rise to diplomatic and other frictions with  
19 negative consequences, not only for States but also for the international community as  
20 a whole. The African Union reaffirms that the choice for this Chamber is obvious.  
21 The appropriate legal position is simple, no exceptions to the rule of immunities of  
22 heads of state.

23 As requested, the African Union will only respond to the questions raised by the  
24 Chamber. In that connection, Professor Tladi will address group A set of questions.  
25 Professor Jalloh, group B. I, together with Professor Tladi, will do group C.

1 Please now allow me to leave the floor to my colleague, Professor Tladi, to address  
2 the questions. Thank you.

3 PRESIDING JUDGE EBOE-OSUJI: [13:04:13] Thank you very much, Counsel.  
4 Proceed.

5 MR TLADI: [13:04:16] Honourable Presiding Judge, honourable Judges of the  
6 Chamber, I join Ambassador Dr Namira Negm in thanking you for the opportunity to  
7 present oral arguments on behalf of the African Union on this very important and  
8 historic matter.

9 The Rome Statute is a treaty system under international law. It is not a separate  
10 legal system with no connections to international law. It should be treated as an  
11 integral part of that system.

12 We believe the questions raised by the Chamber in group A set of questions go  
13 directly to this point.

14 As Ambassador Negm has intimated in her opening address, we will restrict  
15 ourselves to responding to the questions that have been posed by the Chamber on the  
16 understanding of course that the Chamber has acquainted itself well with our written  
17 submissions.

18 Now, counsel for the Prosecution has suggested that there are reasons for the  
19 differing reasons that have been offered by the Pre-Trial Chamber with respect to  
20 why there is a duty to arrest Mr Al-Bashir. With respect, the issue is that it is not just  
21 that the reasons are different. The reasons have been inconsistent and mutually  
22 exclusive, and we think that is something that the Appeals Chamber should take into  
23 account in assessing our responses to these questions.

24 Questions (a) and (b) concern the rule of interpretation. I wish to preface my remark  
25 by noting that the rules of interpretation to which the Chamber has referred apply not

1 only to the Rome Statute, they also apply to host country agreements which may  
2 include provisions on immunity --

3 PRESIDING JUDGE EBOE-OSUJI: [13:06:05] Mr Tladi.

4 MR TLADI: [13:06:07] Yes, sir.

5 PRESIDING JUDGE EBOE-OSUJI: [13:06:08] Is it unusual in a court of law, even a  
6 supreme court of some countries, that judges on it may answer a question come to the  
7 same answer ultimately using different reasoning, some of which may be inconsistent?  
8 Is there something surprising in that?

9 MR TLADI: [13:06:33] Yes, well, indeed, there is something very surprising in that.

10 It seems to suggest to us that the only common conclusion that the Chambers have  
11 been able to come to is that there is a duty to arrest. The reasons are so different and  
12 mutually inconsistent that it might suggest in fact that there is a policy objective and  
13 it's not an application of the law.

14 PRESIDING JUDGE EBOE-OSUJI: [13:06:54] Or perhaps a difficult question could  
15 explain that.

16 MR TLADI: [13:06:59] It might well be.

17 PRESIDING JUDGE EBOE-OSUJI: [13:07:01] Fair enough. Proceed.

18 MR TLADI: [13:07:02] So as I was saying, question (a) concerns rules of  
19 interpretation and these rules have to apply not only to the Rome Statute but also to  
20 host country agreements and in fact to the UN Security Council Resolution 1593.

21 Allow me at this point just in response to the remarks by the Presiding Judge to say  
22 that while the Cassese report might well constitute subsidiary means in the  
23 interpretation of UN Security Council resolution, that does not mean that its contents  
24 can be read into UN Security Council Resolution 1593. That said, of course, my  
25 co-counsel will address tomorrow the issue of UN Security Council Resolution 1593.

1 Now it is true that the object and purpose of the Rome Statute is inter alia to put an  
2 end to impunity and that that object and purpose is an integral part of the  
3 interpretation process according to the Vienna Convention on the Law of Treaties.  
4 That said, the rules under the Vienna Convention do not require that a treaty be  
5 interpreted only in light of its object and purpose. Rather, it requires that a treaty be  
6 interpreted in good faith, in accordance with the ordinary meaning of the words in  
7 the treaty and in light of the object and purpose.

8 As the International Law Commission noted in its 1966 draft articles and more  
9 recently during the 70th session, this is a single combined operation requiring an  
10 integrated approach.

11 The object and purpose cannot override good faith. The object and purpose cannot  
12 override the text. The object and purpose cannot override context. The object and  
13 purpose must in fact be seen as an integral part of a whole in this interpretation  
14 process.

15 Now, Mr Wood noted that the text of the Rome Statute, including the clear import,  
16 clear language of Articles 98 and Article 27, as well as the context, including the  
17 placement of Article 98 in contradistinction to the placement of Article 27, all point  
18 towards an interpretation that in fact respect Head of State immunity.

19 Other rules of international law as a means of interpretation under Article 31(3)(c)  
20 similarly point to an interpretation that respect Head of State immunity.

21 The object and purpose of the Rome Statute, including the fight against impunity,  
22 would from our perspective be better served by construing Article 27 concerning  
23 immunity from the ICC itself broadly as applying to both officials of States Parties  
24 and officials of non-States Parties.

25 Consistent with the distinction made by you, Presiding Judge, in the Ruto acquittal

1 case, this broad construction of the non-application would not apply to immunity  
2 from national jurisdiction.

3 Regarding the stage that customary international law should be considered in the  
4 light of Article 21(1) of the Statute, it does appear that the Statute lays a hierarchy of  
5 applicable rules with the Statute being at the apex or a first tier rule.

6 Nonetheless, it is our submission that customary international law should be  
7 considered at the stage of interpreting the Rome Statute itself as part of this first tier  
8 or apex rules.

9 PRESIDING JUDGE EBOE-OSUJI: [13:10:12] At what point, 27 or 98?

10 MR TLADI: [13:10:16] Could you say that again?

11 PRESIDING JUDGE EBOE-OSUJI: [13:10:17] I said at what point, at 27 or at 98?

12 MR TLADI: [13:10:20] Well, at all points, as long as we're interpreting, as long as the  
13 Court or counsel is interpreting the Rome Statute, customary international law must  
14 be taken into account and I wish to explain why that's the case.

15 First, the Statute itself incorporates the rules of customary international law into  
16 Article 98 so that the rules of customary international law relating to the immunity of  
17 Heads of State themselves are part of the Statute. So that's the first reason.

18 The second reason is that by virtue of Article 31(3)(c) of the Vienna Convention  
19 customary international law relating to immunity of Heads of State must be, not  
20 sometimes, must be, the Vienna Convention says they shall be taken into account.

21 Questions (c) to (f) roughly concern the relevance of the fact that the charges being  
22 faced by Mr Al-Bashir all concern serious and gross human rights violations and  
23 possibly violations of norms of jus cogens.

24 In our view, Article 2(3)(a) of the International Covenant on Civil and Political Rights  
25 is completely irrelevant to the matter before us.

1 It seems clear to us from the text and context of Article 2(3) that it refers in fact to civil  
2 remedies. This reading is also clear from paragraph 16 of general comment number  
3 31 of the Human Rights Committee with respect to that same particular provision.  
4 Moreover, the phrase "notwithstanding that the violation has been committed by a  
5 person acting in an official capacity" is also irrelevant here for two other reasons.  
6 First, as a treaty obligation, all that it does is it obligates the State Party concerned to  
7 ensure redress for violations occurring in its own territory. It is thus not applicable  
8 to the exercise of jurisdiction over officials of one State by another State. And more  
9 to the point it does not at all concern immunities.  
10 But even if it did concern the application of immunities, the reference to, and I quote,  
11 "persons acting in an official capacity" by definition refers to immunity *ratione*  
12 *materiae*, which is not at issue in these proceedings. What is at issue in these  
13 proceedings is immunity *ratione personae*.  
14 With respect to questions (d) and (e), it is the case, we agree with all that have spoken  
15 so far, that the prohibition of crimes against humanity and genocide constitute  
16 peremptory norms of general international human law, *jus cogens* as defined in  
17 Article 53.  
18 The key question, however, is not whether these norms constitute *jus cogens* norms,  
19 but rather what is the consequence of that status. The question could even be more  
20 pointedly and explicitly formatted as follows: Whether as a consequence of *jus*  
21 *cogens* normativity is the application of immunity.  
22 Now in 2017 during its 69th session, the International Law Commission adopted draft  
23 Article 7, which has been referred to by counsel for the Prosecution, that provision  
24 concerning immunity *ratione materiae*. Now while that provision caused large  
25 disagreements within the Commission and among States, there was universal

1 agreement, both within the Commission and among States, that even in respect of jus  
2 cogens crimes, there were no exceptions to immunity *ratione personae*. In its  
3 observations on the submissions of the African Union and the League of Arab States  
4 at paragraph 21, the Office of the Prosecutor suggests that the International Law  
5 Commission decided to defer for further study the question of whether this basic rule  
6 applies to the enforcement of an arrest warrant by an international tribunal. This is  
7 decidedly not the case. In 2017 the Commission rejected the special rapporteur's  
8 proposal for a without prejudice clause that was shown on the screen. It's not in the  
9 final text.

10 In 2018 the special rapporteur reintroduced the issue by offering a further study.

11 Now, the debate has not yet been concluded, but I can tell you that five members  
12 spoke on this and only one member supported that proposal.

13 In 2018, the -- I think I will skip this part to save time.

14 Now, the conclusion that there are no exceptions for *jus cogens* crime does not mean  
15 that a normal rule of customary international law would trump a *jus cogens* norm  
16 because, as the International Court of Justice noted in the *jurisdictional immunities*  
17 case which was quoted at length by Mr Wood, these two rules operate at different  
18 levels, so that in fact no conflict arises between them.

19 Members of the Chamber, questions (g) to (l) concern the rules relating to immunity  
20 in a general sense.

21 The main element of the question in (g) concerns whether immunity is a right or a  
22 privilege, and whether there is a distinction between the two. Indeed, there is a  
23 distinction between the two. A privilege is accorded and can be denied by the  
24 privilege grantor.

25 Not so for immunity, however, because it is a legal rule which operates between

1 States in terms of which they owe each other a duty to respect that legal rule.

2 As to the question in (h), it seems now universally accepted that there are no  
3 exceptions or restrictions or limitations to the immunity of Heads of State in general  
4 international law, and we were quite surprised by the arguments that were proffered  
5 by the Office of the Prosecutor.

6 PRESIDING JUDGE EBOE-OSUJI: [13:15:58] Mr Tladi, does jus cogens have an  
7 applied value or is it merely something in the nature of what one author in a different  
8 context described as a benediction-rooted law, you know, manifesting itself in beauty,  
9 in peace and absolute repose, emphasis there on "absolute repose". Is that what jus  
10 cogens is about? It may manifest itself in, you know, dolorous dignity and helpless  
11 melancholy and absolute repose. Is that where we are with jus cogens?

12 MR TLADI: Absolutely.

13 PRESIDING JUDGE EBOE-OSUJI: [13:16:49] Does it have an applied value? If so,  
14 what is it?

15 MR TLADI: [13:16:54] Yes, indeed. Jus cogens does in fact have a value beyond its  
16 beauty. So one value is that it invalidates inconsistent treaties or treaties that are in  
17 violation of jus cogens are invalidated.

18 PRESIDING JUDGE EBOE-OSUJI: [13:17:10] Only treaties?

19 MR TLADI: Another value --

20 PRESIDING JUDGE EBOE-OSUJI: Only treaties? Rules of customary international  
21 law as well?

22 MR TLADI: [13:17:15] No, no. So it invalidates treaties, it invalidates inconsistent  
23 customary national law. But if the customary international law rule is indeed  
24 inconsistent, and as we have shown by virtue of what the International Court of  
25 Justice stated in Germany v. Italy, in fact there is no inconsistency. It invalidates

1 inconsistent decisions by international organisations, including even UN Security  
2 Council resolution. It establishes a duty on States to cooperate in order to end  
3 violations. So there are in fact value. But that value does not extend to immunity  
4 because the rules on immunity are different. They don't apply in the same --

5 PRESIDING JUDGE EBOE-OSUJI: [13:17:57] Was Judge Awn Al-Khasawneh of the  
6 ICJ wrong, necessarily, in his views in the Arrest Warrant case, his dissenting opinion,  
7 where he clearly disagreed with that distinction that was made in paragraph 60 and  
8 61. I believe, if I recall, he described it in terms of artificial distinctions whose  
9 purpose was to circumvent an embarrassing question, that embarrassing question  
10 being whether immunity translates into impunity, he said. And I believe also Judges  
11 Higgins, Kooijmans and Buergenthal in their joint separate opinion expressed feelings  
12 of being less than sanguine about the discussion in paragraph 61 of the Arrest  
13 Warrant case. Was Judge Al-Khasawneh absolutely wrong in saying that distinction  
14 should be given short shrift?

15 MR TLADI: [13:19:20] I have very strong views on that, so I'm happy to share them  
16 with you. The views that I have expressed, and also expressed in the International  
17 Law Commission, was that at the time he was not wrong. But you have to  
18 remember what makes customary international - what makes customary international  
19 law is the practice of States. And, generally, that decision that you're referring to  
20 was issued in 2002. And since then States have consistently accepted the  
21 pronouncement from the judgment, from the majority judgment so that in fact the  
22 rule as far as international law is concerned, is expressed in the majority judgment  
23 which has since been now approved also in the ICJ decision in Germany v. Italy. So  
24 there is an evolution of international law.

25 PRESIDING JUDGE EBOE-OSUJI: [13:20:08] But the majority judgment - again, let

1 us know if we are getting the reading wrong - seemed to have suggested that before  
2 an international court properly exercising jurisdiction, there is no immunity, is that  
3 understanding incorrect?

4 MR TLADI: [13:20:32] Well, that's the question to which the Chamber posed in  
5 question (k), to which I will most certainly come to.

6 But before coming to that question, I do just wish to rebut the observations that have  
7 been made by the Office of the Prosecutor, that there is in fact on this particular issue  
8 no rule of customary international law. There are several sources, several sources  
9 that have in fact confirmed that there is a rule of customary international law under  
10 which a Head of State is completely and absolutely immune. The first one is the  
11 Arrest Warrant case and, of course, we will talk about this particular element that you  
12 raise, the work of the International Law Commission itself and the responses thereto  
13 by States. Even decisions of national courts, even decisions of national courts in  
14 relation to the matter of Mr Al-Bashir, we will refer to the Supreme Court of Appeal  
15 decision in Minister of Justice v. Salk. In fact, if you look at that decision, in its  
16 description of international law it comes to the conclusion that there is no exception  
17 under customary international law to Head of State immunity. It finds that there is a  
18 duty to arrest on the basis of something else, domestic law. But as far as  
19 international law is concerned it is absolute. And it considers the jus cogens  
20 argument, it considers all matter of arguments, and comes to the conclusion that there  
21 is no exception.

22 Even the Pre-Trial Chamber decisions too in the DRC case, South Africa case, and  
23 Jordan cases, all re-affirm this basic principle.

24 PRESIDING JUDGE EBOE-OSUJI: [13:22:08] The judge in -- one of the judges in the  
25 Constitutional Court disagrees, not in explicit terms, but saying that it was not

1 necessary for the Court to have gone into the question.

2 MR TLADI: [13:22:23] That's precisely. The judge did not disagree with the  
3 reasoning. The judge simply said why are you spending so much time on an issue  
4 that's not relevant? What is relevant is not the international law. What is relevant  
5 is the domestic law. So, essentially, I don't think that it's a disagreement. It seems  
6 to me that in fact it is an agreement with a general position that is expressed by the  
7 Court.

8 PRESIDING JUDGE EBOE-OSUJI: [13:22:43] So the views of the South African  
9 Constitutional Court on the matter would be considered obiter dictum or not.

10 MR TLADI: [13:22:52] Well, it wasn't an obiter dictum remark, because the Court  
11 had this question before it and the Court had to in fact respond to this question. So I  
12 don't think it was an arbiter dictum remark.

13 Now it is true that immunity has not been successfully pleaded before an  
14 international court. That's one of the questions that you raised. And by this we  
15 mean immunity has not been pleaded, successfully pleaded, to bar the exercise of an  
16 international criminal court of its jurisdiction. But the current matter is not about  
17 immunity before an international court; it is about immunity before foreign  
18 jurisdiction. And with respect to the latter, immunity *ratione personae* has been  
19 consistently pleaded before various courts.

20 Questions (i), (j), (k) and (l) all seek to enquire whether there is an exception from  
21 immunity from foreign criminal jurisdiction, leaving aside UN Security Council  
22 referral. The short answer, as I've said, is that no, there is no such exception.

23 Now, one part of question (j) asks whether immunity has ever been pleaded  
24 successfully in relation to proceedings before an international court, in other words,  
25 to prevent national authorities from enforcing an arrest warrant by an international

1 court.

2 Now, a simple answer to this is no, immunity has not been successfully pleaded in  
3 that respect. However, this is an oversimplification of a complex matter. It ignores  
4 the fact that immunity, in particular immunity *ratione personae* in relation to  
5 proceedings before international criminal courts has been pleaded in such few cases  
6 that no general conclusions can be drawn from them.

7 The only comparable situation involving immunity *ratione personae* of a person  
8 under an arrest warrant is the current situation involving Mr Al-Bashir. The  
9 decisions concerning this case of course cannot form authority, quite apart from the  
10 fact that they've been inconsistent and mutually exclusive, also because they are  
11 under appeal as we speak.

12 PRESIDING JUDGE EBOE-OSUJI: [13:24:42] Was there a case out of Kenya where  
13 the Court of Appeal pronounced on that? Was there a case, a recent judgment of the  
14 Court of Appeal of Kenya?

15 MR TLADI: [13:24:54] That's correct, I believe.

16 PRESIDING JUDGE EBOE-OSUJI: [13:24:55] That reached an opposite conclusion,  
17 that there is no immunity before Kenyan courts as a matter of customary international  
18 law.

19 MR TLADI: [13:25:04] Yes. But even that particular case was not about general  
20 international law. Even that particular case was not about general international law.  
21 It was an application of Kenyan domestic law. So as I was saying, there is another  
22 reason why the assumption - and I guess this goes also to the pleadings, the oral  
23 pleadings of the Office of the Prosecutor - there is another reason why the assumption  
24 that is implicit in question (j) is problematic. And by the way, this also applies to  
25 question (l). It in fact seeks to reverse the onus of proving a rule of customary

1 international law and exceptions thereto.

2 So let's take it step by step. There is a general rule of immunity from foreign  
3 criminal jurisdiction. This is not disputed. It was the first time that I heard it being  
4 disputed today. But there is a general rule.

5 An exception to this rule, including in connection with proceedings related to  
6 international criminal courts, must be proved by advancing State practice and not the  
7 other way around.

8 The question in (j) requires that the general rule must be proven in relation to specific  
9 circumstances, that is where an international criminal court is involved.

10 In other words, the general rule is that heads of state have immunity from foreign  
11 criminal jurisdiction. That's the general rule. Any allegation that, in respect of  
12 matters relating to international courts, there is no immunity from foreign criminal  
13 jurisdiction must be proved by advancing practice in that respect, and no practice is  
14 available.

15 With respect to question (k), which is the question you were asking me earlier, it is  
16 true that paragraph 61 of the Arrest Warrant case provides that prosecution before an  
17 international court is a pathway towards accountability for persons with immunity  
18 *ratione personae*.

19 But prosecution before an international criminal court addresses only the ability of the  
20 criminal court to exercise its jurisdiction. It's a bar before the court. It does not  
21 address the arrest and surrender by such a person by foreign officials because that's  
22 the exercise of foreign criminal jurisdiction.

23 PRESIDING JUDGE EBOE-OSUJI: [13:27:08] How does an international -- how does  
24 international law or an international institution -- or international law supplied  
25 through an institution, how is that given effect? Is it given effect through the

1 cooperation or assistance of States.

2 MR TLADI: [13:27:27] Yes.

3 PRESIDING JUDGE EBOE-OSUJI: [13:27:28] Or does international law have its own  
4 self-executing instruments or mechanism, as it were, that might have avoided --

5 MR TLADI: [13:27:38] Well, in this particular instance, and again, this goes to the  
6 latter groups of questions that will be responded to in group C. But I will respond to  
7 you very quickly and say that in the case of the Rome Statute of course there are  
8 specific provisions that deal with how that enforcement is supposed to take place.

9 But in that context there is also specific exclusions and exceptions, and these  
10 exclusions and exceptions are on Article 98, so that we cannot say that because there  
11 is a duty to cooperate international law itself will be ignored, because Article 98  
12 specifically provides a role for it. Article 98 specifically provides that in these kinds  
13 of instances there is no duty to cooperate.

14 PRESIDING JUDGE EBOE-OSUJI: [13:28:21] And that's what makes our discussion  
15 that complex, isn't it?

16 MR TLADI: [13:28:24] Exactly, precisely.

17 PRESIDING JUDGE EBOE-OSUJI: [13:28:27] Yes. Thank you.

18 MR TLADI: [13:28:28] I'm now going to skip to questions (o) to (q), because I notice  
19 that you have not been addressed on these questions at all.

20 Question (o) relates to balancing of heads of state immunity against responsibility.

21 As a legal matter, responsibility and immunity are different legal concepts.

22 Responsibility is a substantive concept that addresses the question whether an  
23 individual can be held guilty for an offence, whereas immunity, as the Office of the  
24 Prosecutor pointed out, is a procedural concept that prevents the exercise of  
25 jurisdiction where it is applicable.

1 So in our view there is no conflict between the two concepts, and therefore no need to  
2 actually arrive at a balance, because the immunity that is bestowed on an individual  
3 does not affect, at all, his legal responsibility.

4 Now this leads us to question (p) where the Court --

5 PRESIDING JUDGE EBOE-OSUJI: [13:29:21] Mr Tladi, you make arguments that  
6 provoke questions perhaps. I will come later on to some of them, but it may be  
7 better to pose some of them on the spot.

8 In Arrest Warrant case, Judge Koroma effectively distilled this distinction, didn't he,  
9 in a separate opinion where he said that immunity addresses -- sorry, immunity is a  
10 question of legal process, whereas jurisdiction is a matter of legal liability or whether  
11 there is freedom from legal liability, so they're not the same. So there is no conflict.

12 The question then becomes this: Does there come a point where in a criminal case  
13 you cannot get to legal liability without a legal process? Isn't it necessarily the case  
14 in a criminal case where there is a presumption of innocence, would you ever get to  
15 legal liability without the legal process? And if you exempt someone from the legal  
16 process long enough, would you ever get to their legal liability, that is to say, has  
17 responsibility effectively now been defeated?

18 MR TLADI: [13:30:56] Not in this case certainly, because again this case, this  
19 particular instance that we're dealing with does not concern again the question  
20 whether or not he's immune before this Court, right. So this Court establishes a  
21 particular rule in Article 27, and it says in this Court you may not plead immunity, all  
22 right. And our reading is this is a general rule that applies. But with respect to the  
23 enforcement by an individual State seeking to exercise foreign criminal jurisdiction,  
24 there is another rule, and that rule is in Article 98 and that rule prevents that.

25 Now, there are many ways in which that rule can be addressed. Some of these ways

1 I'm sure will be discussed tomorrow in Group B questions. But, for example, the  
2 Security Council could craft its resolution by creating and establishing an obligation  
3 on all States, right. So if you create explicitly an obligation on all States, that would  
4 address that issue.

5 But we cannot address the question by simply ignoring the legal rules, which it seems  
6 is what is happening.

7 So I was going to discuss question (p) with respect to the genocide case. And I'll just  
8 make a few quick points about the genocide case.

9 In our view, the genocide case does not apply in this matter. If you look at the two  
10 articles that have been referred to by Judge Brichambaut, Article 6 of the Genocide  
11 Convention provides for the prosecution of a person by a competent tribunal of the  
12 State in which the crimes have been committed - so in this case it would be Jordan, so  
13 no questions of immunity would arise - or an international penal tribunal whose  
14 jurisdiction is accepted by the parties.

15 So this provision only concerns a distribution of jurisdiction. It is not applicable in  
16 this matter, because the international court being referred to in Article 6 of the  
17 Genocide Convention is one whose jurisdiction is accepted by the contracting parties,  
18 which would include Sudan.

19 Sudan has not accepted the ICC and, therefore, it cannot be a competent international  
20 court in the context of Article 6 in this matter.

21 Secondly, contrary to Judge Perrin de Brichambaut, Article 4 of the genocide  
22 convention does not deal with immunities at all. Article 4 addresses this distinction  
23 that we have just spoken about concerning responsibility, right. So it deals with  
24 responsibility and not the question of immunity. So it's important that we don't  
25 inflate issues of immunity and issues of responsibility.

1 This I think is borne out by ICJ arrest warrant case at paragraph 60, where the Court  
2 says, while jurisdictional immunity is procedural in nature, criminal responsibility is  
3 a question of substantive law, and there are many other references that we can  
4 advance.

5 Finally, question (q) concerns the abuse-of-right argument raised by Professors  
6 Zimmermann and Neugebauer.

7 THE COURT OFFICER: [13:34:01] Counsel has five minutes.

8 MR TLADI: [13:34:03] Five minutes.

9 As interesting as this argument is, the doctrine of abuse of right is, with respect,  
10 inapplicable to this matter. It is useful to begin by recalling the caution of Sir Hersh  
11 Lauterpacht that the doctrine of abuse of power must be "wielded with",  
12 quote-unquote, "studied restraint".

13 More to the point, Sir Ian Brownlie observed that while it may be useful agent for  
14 progressive development, it did not exist in positive law.

15 Moreover, to the extent that it could be relied upon, it has only been relied upon in a  
16 bilateral context where the State purportedly abusing its right has done so to the  
17 detriment of the State claiming the abuse of right. Relying on it in this context  
18 would require States to act as enforcers of UN Security Council resolutions when they  
19 have not been mandated to do so by the UN Security Council itself.

20 In other words, the doctrine cannot be used to avoid an obligation owed to one State  
21 on account that the latter State has not complied with an obligation that is owed to  
22 another State or entity.

23 Mr President, honourable members of the Chambers, on this basis it is our submission  
24 that the customary international rule in terms of which heads of state are absolutely  
25 and without exception immune from foreign criminal jurisdiction applies even in the

1 context of ICC proceedings.

2 And I thank you very much.

3 PRESIDING JUDGE EBOE-OSUJI: [13:35:31] When we say foreign criminal  
4 jurisdictions, we don't mean jurisdiction of an international criminal court. We're  
5 talking about at the horizontal level; is that correct?

6 MR TLADI: [13:35:41] Precisely.

7 PRESIDING JUDGE EBOE-OSUJI: [13:35:42] Thank you very much. Thank you,  
8 Counsel, for the submissions.

9 Now, here we've arrived at the point where we must have lunch. So we will rise and  
10 come back at 3 o'clock, and at that time the counsel for the League of Arab States will  
11 address the Court.

12 We will now adjourn.

13 THE COURT USHER: [13:36:02] All rise.

14 (Recess taken at 1.36 p.m.)

15 (Upon resuming in open session at 3.08 p.m.)

16 THE COURT OFFICER: [15:08:04] All rise. Please be seated.

17 PRESIDING JUDGE EBOE-OSUJI: [15:08:29] Thank you very much and welcome  
18 back everyone.

19 So now we will take the submissions from the counsel of the League of Arab States.

20 MR ABDELAZIZ: [15:08:48] Mr President, members of the Appeals Chamber,  
21 thank you for the invitation to this hearing on this important case. It is my honour to  
22 present to you the views of the League of Arab States with regard to questions posed  
23 in group A.

24 As the Appeals Chamber is aware, the League submitted observations on 16  
25 July 2018, and it wishes to confirm to you today those observations and to extend on

1 them, bearing in mind the questions that have been asked by the Appeals Chamber.

2 At the outset, the League of Arab States wishes to reaffirm the importance that it

3 attaches to the issues raised in Jordan's appeal. As was indicated in our

4 observations, the League considers that the fight against impunity is of the highest

5 importance and that those responsible for heinous crimes must be brought to justice.

6 This goal, however, cannot be achieved at any cost. The fight against impunity must

7 take place within the framework of international law, including the rules that aim to

8 guarantee orderly relations between states.

9 The League wishes to call to your attention certain facts relevant to these proceedings.

10 First, the League of Arab States was founded in Cairo in 1945 with the adoption of the

11 Pact of the League of Arab States.

12 Second, although the League began with six member States, today it consists of 22

13 member States and five observer States, which straddle the continents of Africa and

14 Asia. Both Jordan and Sudan are member States of the League. Jordan became

15 a member state on 22 March 1945 and Sudan on 19 January 1956, immediately after its

16 independence.

17 Third, throughout its history, the League has served as an important forum for its

18 member states and observer States to coordinate their policy positions, but also to

19 deliberate on matters of common concern and to settle Arab disputes so as to limit

20 conflicts, including armed conflicts.

21 Thus, one of the key purposes of the League is to help maintain international peace

22 and security through dialogue among its member States. By way of example,

23 the League was very active in addressing the Iraqi invasion and occupation of Kuwait

24 in 1990-91, including the atrocities that were committed in the course of that conflict.

25 More recently, the League was very active in addressing conflict that arose in Libya in

1 2011. The League is also quite involved in addressing conflicts between its members  
2 and others. For example, at the Beirut summit in 2002, the League adopted the Arab  
3 Peace Initiative, which is a peace plan for the Arab-Israeli conflict that has been  
4 supported by the United Nations Security Council and by key states and partners  
5 involved in the peace process.

6 Fourth, the dialogue among member states of the League happens, in large part,  
7 through the holding of intergovernmental meetings and conferences. The League  
8 has an extensive range of meetings at the expert organ and ministerial levels, which  
9 then report for action at the annual summit of the League.

10 The summit gathers together the Heads of State and government of all members of  
11 the League to approve important decisions based on earlier meetings and to make  
12 plans for the upcoming year for the League, including in its relations to the United  
13 Nations. To date, the League has held 35 summits.

14 Fifth, the representatives attending League summits are protected by immunities that  
15 arise under three sources of law. One source of law is the pact of the League of Arab  
16 States, which provides in Article 14 that members of the council of the League shall  
17 enjoy in the exercise of their duties diplomatic privileges and immunities.

18 Another source of law is the 1953 convention on the privileges and immunities of the  
19 Arab League, which provides the representatives of member States to conferences  
20 convened by the League shall, while exercising their functions and during the journey  
21 to and from the place of meetings, enjoy certain privileges and immunities, including  
22 immunity from personal arrest or detention.

23 Both Jordan and Sudan are parties to the 1953 convention. Jordan deposited its  
24 instrument of accession on 12 December 1953, while Sudan did so on 30 October 1977.

25 I will return in greater detail to these sources of law later in my submission. The

1 third source of law with respect to immunity is customary international law. In  
2 the League's view, customary international law accords immunity to a Head of State  
3 from foreign criminal jurisdiction with no exceptions whatsoever.

4 Sixth, the overall purpose of the treaty-based immunities is to facilitate the exercise of  
5 functions of the League of Arab States in the territories of member states. Simply  
6 put, the representatives of the League's member States must be able to travel freely to  
7 the territory of the host State without fear of arrest and prosecution.

8 Indeed, as indicated in the 1953 convention, the purpose in according privileges and  
9 immunities under chapter 4 of that convention to representatives of member States is  
10 to safeguard the independent exercise of their functions in connection with  
11 the League. The immunity of Heads of State under customary international law is  
12 essential to safeguard friendly relations and intercourse between sovereign states.

13 Seventh, of the 22-member States of the League, five are also parties to the Rome  
14 Statute. When those five States became parties to the Rome Statute, they knew of the  
15 existence of their obligations with respect to immunities that are owed to other  
16 League member states and they knew that some League member States might not join  
17 the Rome Statute immediately.

18 Article 98 of the Rome Statute provided the basis for reconciling any possible conflict  
19 between League obligations relating to immunities and obligations owed under the  
20 Rome Statute.

21 Mr President, I turn now to legal issues specific to group A.

22 PRESIDING JUDGE EBOE-OSUJI: [15:17:21] Before you do, Counsel, do I  
23 understand the submissions so far to be to this effect: To the extent that there are  
24 immunity provisions for Heads of State travelling to Arab League meetings, that  
25 immunity needs to be respected for purposes of enabling the Arab League achieve its

1 purposes?

2 If that is the understanding, would it then be something of a special argument that  
3 does not mean immunity generally under customary international law, but immunity  
4 for purposes of enabling an international organisation to fulfil its functions?

5 MR ABDELAZIZ: [15:18:32] The respect for the immunity in the literature of the  
6 League of Arab States is not limiting supplying this immunity only for the purposes  
7 of the meeting of the League of Arab States. It's a general provision that does not  
8 delve into any specificities with regard to this particular issue.

9 Mr President, I turn now to legal issues specific to group A.

10 It is the League's position that Pre-Trial Chamber II's decision of December 2017 and  
11 its interpretation of the obligations of States Parties to the Rome Statute as regards to  
12 immunities of Heads of State under international law is fundamentally flawed and  
13 will have serious negative consequences for the proper functioning of the  
14 Arab League, including on the holding of its summit meetings. It also sets  
15 obligations of a member state under the League's legal instruments in direct conflict  
16 with obligations under the Rome Statute where such a member is a party to the  
17 statute.

18 As a general matter, the League has reviewed and fully agrees with the arguments set  
19 out in Jordan's appeal brief and is of the opinion that Pre-Trial Chamber II's decision  
20 should be set aside in its entirety. My comments today are intended to address  
21 further some issues which the League finds particularly relevant at this stage of the  
22 proceedings.

23 One of the questions of direct concern to the League is the present case in whether  
24 Jordan had an obligation to respect the immunity of President Al-Bashir under the  
25 1945 pact of the League and under the 1953 convention when he visited Jordan on 29

1 March 2017, in order to attend the 28th Arab League summit. Both treaties were in  
2 force as between Jordan and Sudan at the time of the visit of President Al-Bashir to  
3 Jordan. Pre-Trial Chamber II addressed the question of treaty-based immunity only  
4 with respect to the 1953 convention and its reasoning was brief.

5 The League considers that Pre-Trial Chamber II erred with respect to matters of fact  
6 and law. The following arguments are made by the League in its capacity as the  
7 depository of the 1953 convention, an institution often called upon to interpret and  
8 apply both treaties.

9 With respect to the Pre-Trial Chamber's error of fact, the League explained in its  
10 written observations that the Chamber was unable to conclude that Sudan was  
11 a party to the 1953 convention because of a faulty translation by the Registry of the  
12 Court, which did not include Sudan among the member States of the League that had  
13 deposited instruments of accession. This was, indeed, later acknowledged by the  
14 Chamber in its decision granting Jordan leave to appeal, but this does not change the  
15 fact that the Chamber had at its disposal all the material to come to the right  
16 conclusion, and in the League's view, Jordan should not have been prejudiced by the  
17 error for which it bore no responsibility. The Chamber's failure to determine that  
18 Sudan was a party to the 1953 convention constituted a serious error of fact.

19 The Chamber's failure to determine that Sudan was a party to the 1953 convention  
20 constituted a serious error of fact. With respect to the Pre-Trial Chamber's error of  
21 law, the League respectfully submits that the Chamber erred in not acknowledging  
22 that while President Al-Bashir was on Jordan territory for the League's summit,  
23 Jordan had an obligation to fully respect his immunities under both the pact of  
24 the League of Arab States and the 1953 convention, as well as under customary  
25 international law.

1 The pact of the League of Arab States creates certain organs for the exercise of  
2 the League's functions. Article 3 provides that the League shall have a council  
3 composed of the representatives of the member States. Each State shall have one  
4 vote regardless of the number of its representatives. When a Head of State leads  
5 a delegation to the council, he or she is a representative of his or her State to the  
6 council of the League.

7 The first paragraph of Article 14 of the pact concerning immunities reads as follows:

8 "The members of the Council of the League, the members of its Committees and such  
9 of its officials as shall be designated in internal organization, shall enjoy, in the  
10 exercise of their duties, diplomatic privileges and immunities."

11 In order to specify in greater detail the kind of privileges and immunities referred to  
12 in the pact and to define clearly the manner of their application, the council of  
13 the League adopted the 1953 convention. The overall purpose of the 1953  
14 convention is to facilitate the exercise of the League's function in the territories of  
15 member states.

16 While the purpose in according privileges and immunities under chapter 4 of the  
17 convention to representatives of member States of the League is to safeguard their  
18 independent exercise of their functions in connection with the League, among other  
19 things, the 1953 convention provides in Article 11 that, and I quote, "Representatives  
20 of Member States to the principal and subsidiary organs of the League of Arab States  
21 and to conferences convened by the League shall, while exercising their functions and  
22 during the journey to and from the place of meeting, enjoy the following privileges  
23 and immunities:

24 (a) Immunity from personal arrest or detention and from seizure of their personal  
25 effects ..."

1 Further, Article 14 of the 1953 convention provides that, "Privileges and immunities  
2 are accorded to the representatives of Member States, not for their personal benefit,  
3 but in order to safeguard their independent exercise of their functions in connection  
4 with the League.

5 Consequently, Member States must waive the immunity of their representatives in all  
6 cases where it appears that the immunity would impede the course of justice and if it  
7 can be waived without prejudice to the purpose for which the immunity is accorded."

8 The League regards these provisions as crystal clear. While on Jordanian territory  
9 for purposes of the 28th Arab League summit, President Al-Bashir acted on behalf of  
10 a member of the council of the League. As a representative of Sudan to the council of  
11 the League, he enjoyed immunity from arrest or detention by Jordan, both under  
12 Article 14 of the pact of the League and Article 11 of the 1953 convention.

13 The Chamber's error is material given that central arguments of Jordan that it was  
14 under a treaty obligation to accord immunity to President Al-Bashir during his visit to  
15 Jordan in March 2017. Another question of direct concern to the League in the  
16 present case is whether these obligations of Jordan or of any other member state of  
17 the league that is a party to the Rome Statute are somehow suspended by obligations  
18 under the Rome Statute. In the League's view, they are not.

19 The Prosecution contends that Article 27(2) of the Rome Statute imposes on States  
20 Parties, such as Jordan, an obligation that requires them to violate obligations owed to  
21 a non-party State, such as Sudan. In our view, underlying the Prosecution's  
22 argument is an erroneous and misguided approach to the interpretation of Article 27  
23 based on a hypothetical series of so-called vertical and horizontal relationships.

24 We have discussed in our written observations why we view this as erroneous and is  
25 not even consistent with the Pre-Trial Chamber's reasoning. The League is of the

1 opinion that Article 27(2) of the statute does not strip away the immunity of foreign  
2 state officials from criminal jurisdiction at the so-called horizontal level that is before  
3 the domestic courts of parties to the statute. This is clear from the text of the  
4 provision, which refers exclusively to immunity with respect to the Court's own  
5 jurisdiction.

6 Rather, Article 98 of the Rome Statute entitled, "Cooperation with respect to waiver of  
7 immunity and consent to surrender" clearly provides that if obligations with respect  
8 to immunities are owed by a state party to another state, then such immunities  
9 continue to operate in the absence of a waiver.

10 In short, Article 27 is making clear that no immunity exists once the defendant is  
11 before this Court, but Article 98 is expressly and clearly addressing the situation of  
12 how to handle immunities owed by a State Party to another State in the context of  
13 a request for an arrest and surrender to this Court.

14 Paragraph 1 of Article 98 says that this Court may not proceed with such a request if  
15 it would require the requested state to act inconsistently with its obligations under  
16 international law with respect to a foreign State official. That is exactly the position  
17 in which Jordan found itself in March 2017 in the context of the League summit.

18 Paragraph 2 of Article 98 says that, "The Court may not proceed with a request for  
19 surrender which would require the requested State to act inconsistently with its  
20 obligations under international agreements pursuant to which the consent of a  
21 sending State is required to surrender a person of that State to the Court ..."

22 That is exactly the position in which Jordan found itself in March 2017. It cannot be  
23 said that the text of Article 98 allows for impunity for the text itself contemplates the  
24 waiver of such immunity. It cannot be said that Article 98 frustrates the object on  
25 purpose of the Rome Statute for Article 98 is a part of the Rome Statute and it was

1 intentionally and purposefully drafted by States to address a particular issue of which  
2 they were aware of. It also cannot be said that Article 98 defeats fundamental norms  
3 of international law given that those norms include the avoidance of conflict between  
4 States, including armed conflicts, which is one of the reasons why the international  
5 law on immunity exists.

6 The Prosecution challenges this interpretation of the statute. First, it is said that this  
7 interpretation reads Articles 27 and 98 as being in opposition to one another.

8 The League considers that these two provisions are distinct and serve different  
9 purposes, just as Part 3 and Part 9 of the statute address different matters. Further,  
10 the league views these two articles as operating in harmony and not in conflict as we  
11 explained in our written observation.

12 As regards Article 98(2) of the Rome Statute, Pre-Trial Chamber II found that it does  
13 not apply to the 1953 convention because the latter does not refer to a sending state  
14 and does not establish or refer to a procedure for seeking and providing consent to  
15 surrender.

16 The Prosecution further argued in its response to Jordan's appeal, that Article 98(2)  
17 only applies to certain kinds of international agreements which would not include the  
18 1953 convention. The League finds such arguments wholly unconvincing. There is  
19 no reason to regard the ordinary meaning of the term international agreements in  
20 Article 98(2) as limited to status of forces agreements or to any other class of  
21 agreements. Another important category of international agreements clearly covered  
22 by the provision, for example, are those conferring privileges and immunities on  
23 persons connected with international organisations, including State representatives.

24 THE COURT OFFICER: [16:56:25] Counsel has five minutes.

25 MR ABDELAZIZ: [16:56:30] I'm almost through, almost one page.

1 PRESIDING JUDGE EBOE-OSUJI: [16:56:37] Before you continue, so that I  
2 understand the argument, is it your submission that the convention immunities of the  
3 Arab League and perhaps also the pact provisional immunities, I want to understand,  
4 are you saying that they belong where? In 98(1) or 98(2) or both?

5 MR ABDELAZIZ: [16:57:25] This is a typically legal question that I would like to  
6 consult upon. May I come back to your Honour with an answer tomorrow?

7 PRESIDING JUDGE EBOE-OSUJI: [16:57:41] Thank you very much. Please  
8 proceed.

9 MR ABDELAZIZ: [16:57:43] To adopt the Pre-Trial Chamber and Prosecution's  
10 excessively narrow interpretation of Article 98(2) is inconsistent with the text and  
11 object and purpose. The League wishes to confirm that when a State sends  
12 a representative to a meeting of the League, it is clearly acting as a sending state  
13 within the meaning of Article 98(2). In sum, the league is of the opinion that the  
14 Pre-Trial Chamber erred by finding that Article 98 of the statute does not preserve the  
15 treaty-based and customary international law immunity from national criminal  
16 jurisdiction of foreign State officials, including Heads of State or government not  
17 parties to the statute. It should have concluded that Article 98 does preserve such  
18 immunities and that the Court is obliged to obtain a waiver of immunity or consent to  
19 surrender from the foreign State concerned before making a request for arrest and  
20 surrender.

21 Even if the Appeals Chamber considers that Article 98 does not preserve the  
22 immunities of officials of States Parties to the statute by virtue of Article 27(2), those  
23 immunities are without doubt preserved with respect to non-party States, such as  
24 Sudan, including immunity for the foreign criminal jurisdiction of a State Party to the  
25 Rome Statute.

1 Mr President, honourable members of the Appeals Chamber, based on such errors, it  
2 is the League's contention that the first ground of appeal should be granted. And  
3 thank you for your attention.

4 PRESIDING JUDGE EBOE-OSUJI: [16:59:55] Thank you very much, Counsel.

5 We will now turn to the academic friends of the Court, amici curiae. One question  
6 that occurs in the course of the submission of counsel for the Arab League, which you  
7 may answer now, sir, if you wish, but later on anyone can also address it, will be this,  
8 regardless of where the correct answer lies on the legal question presented and  
9 regardless of the operation of Article 103 of the UN Charter, if it does apply in the  
10 end, is it something of a recommendation, as a matter of policy that where President  
11 Al-Bashir attends a conference of an international regional organisation, perhaps it  
12 may be a matter of policy for an arrest not to be sought. It is an open question;  
13 anyone can take it in time.

14 On that note, I will turn the microphone over to, I think Mr Kreß will speak next.

15 MR KREß: [17:01:53] Your Honours, many questions of group A refer to customary  
16 international law. Customary international law indeed provides the Appeals  
17 Chamber with the key for a legally correct decision. What is more, only customary  
18 international law provides the Chamber with the key for a legally correct decision,  
19 which is also in line with the fundamental principle of the equal enforcement of the  
20 law.

21 The Chamber should, therefore, not avoid the application of customary international  
22 law on grounds of judicial economy. To the contrary, the Appeals Chamber should  
23 find that Jordan would have acted consistent with its obligations under customary  
24 international law if it had executed the ICC's request to arrest and surrender  
25 President Al-Bashir.

1 In this statement, I wish to explain three points on the relevant customary law, which  
2 are key as the debate so far has shown. First, already at the entry into force of the  
3 ICC statute, the customary law immunity *ratione personae* of a sitting Head of State  
4 was subject to an exception for proceedings before certain international criminal  
5 courts, including the ICC.

6 Second, at the same moment in time, this international criminal court exception  
7 included the arrest and surrender of a sitting Head of State by a State Party upon the  
8 request by the ICC. Not, I should clarify in light of the Prosecution's earlier  
9 statement on my position, not as a customary obligation to arrest and surrender, but  
10 as the right to non-existence -- as a right to non-existence of immunity.

11 Let me begin by briefly recalling how the customary international criminal court  
12 exception to the immunity *ratione personae* has come into existence. This happened  
13 through the ordinary process of the formation of customary international law based  
14 on State practice and *opinio juris*.

15 Starting with Article 7 of the Charter of the Nuremberg Tribunal, none of the  
16 international legal texts which are relevant for the elimination of immunities for  
17 crimes under international law contains an exception for sitting heads of States.

18 In its reasons of 5 April 2016, in the case against Ruto and Sang, Judge Eboe-Osuji has  
19 demonstrated that this is no accident. Instead, as the Judge set out in some detail,  
20 the possibility of proceedings against such sitting state officials had explicitly been  
21 contemplated during the preparation of the Nuremberg and Tokyo trials.

22 It is, therefore, also no accident that the recognition of the customary international  
23 criminal court exception by the International Law Commission in 1996, again, does  
24 not contain an exception for sitting heads of States.

25 It is true that the relevant Article 7 of the 1996 ILC draft is formulated in terms of

1 substantive law, but it is also true that the commentary says explicitly that Article 7 is  
2 meant to also cover procedural immunities. This reflects the customary evolution.  
3 Remember, that Article 7 of the Nuremberg Charter was also formulated in terms of  
4 substantive law and this also did not prevent the Nuremberg tribunal from famously  
5 rejecting procedural immunities.

6 Let me recall the tribunal stated explicitly, I quote, "He who violates the laws of war  
7 cannot obtain immunity while acting in pursuance of the authority of the state ..."  
8 That the two paragraphs of Article 27 of the ICC Statute distinguish between  
9 substance and procedure is certainly a welcome advance in the clarity of legal  
10 drafting, but it is not a novelty regarding the content of customary international law.  
11 In its 1996 commentary, the ILC had limited the customary exception to immunity to,  
12 I quote, "appropriate proceedings". The ILC specified, and I quote again, "Judicial  
13 proceedings before an international criminal court would be the quintessential  
14 example of appropriate judicial proceedings in which an individual could not invoke  
15 any substantive or procedural immunity based on his official position to avoid  
16 prosecution and punishment."

17 This is the international criminal court exception. The ICJ should then  
18 authoritatively confirm precisely this exception in paragraph 61 of its judgment in the  
19 2002 arrest warrant case. In full conformity with a prior customary evolution,  
20 neither the ILC nor the ICJ limited the international criminal court exception to  
21 international criminal courts established by the Security Council. To the contrary,  
22 the ICJ explicitly included the ICC in this exception.

23 The international criminal proceedings against Slobodan Milošević and against  
24 Charles Taylor were both instituted against sitting Heads of States. I am not aware  
25 that States in any significant number would have protested against the institution of

1 these proceedings, and rightly so, the international proceedings against Milošević and  
2 Taylor were applications of an already existing rule of customary international law.  
3 Pre-Trial Chamber I of this Court confirmed this rule in its Malawi and Chad  
4 decisions. And the same finding was subsequently reached by Trial Chamber V(A)  
5 in the case against Ruto and Sang. That Chamber even called the customary  
6 international law codified in Article 27 of the ICC Statute, (a), I quote, "cardinal  
7 principle of modern international criminal law".  
8 This cardinal principle includes the arrest and surrender of a sitting Head of State at  
9 the request of the ICC. This is my second point. It's not a novel theory at all. I am  
10 making that point since 2008. Let me explain it. Arresting and surrendering a  
11 person at the request of the ICC is something fundamentally different from arresting  
12 and surrendering a person in the course of national criminal proceedings.  
13 If the ICC issues a legally binding request for arrest and surrender for proceedings  
14 before it, the ultimate legal justification for the execution of such arrest and surrender  
15 does not lie at the national level of the requested State. It resides at the international  
16 level. This is why Pre-Trial Chamber 1 in the Malawi and Chad decisions correctly  
17 stated that State Parties when complying with requests issued by the ICC act as the  
18 Court's instrument and do not on substance exercise their national criminal  
19 jurisdiction.  
20 Let me use the late Antonia Cassese's famous picture of the international criminal  
21 tribunal as a giant without limbs. To express this basic legal effect in other terms, a  
22 cooperating State Party is part of the limbs which enable the ICC to move properly.  
23 The cooperation limb of the International Criminal Court exception does therefore not  
24 conflict with the principle of sovereign equality. Once again, the State Party which  
25 executes a request issued by the Court does not exercise its sovereign national

1 criminal jurisdiction, but it assists the Court in exercising the Court's international  
2 criminal jurisdiction.

3 It is also often said that immunity *ratione personae* serves to ensure stable  
4 international relations, but that a Head of State who is responsible for horrendous  
5 crimes against entire civilian populations remains sitting in his or her office does  
6 certainly not constitute a stability which current international law is meant to protect.  
7 If it were otherwise, no international criminal court might ever proceed against a  
8 sitting Head of States. Thus, only possible procedural errors and political abuse  
9 leading to criminal proceedings against an innocent sitting Head of States endanger  
10 the stable international relations the immunities *ratione personae* are meant to  
11 protect.

12 But this danger does not provide a justification for immunity *ratione personae* in case  
13 a State Party is requested by this Court to arrest and surrender a sitting Head of State.  
14 Here again, it makes a fundamental difference whether a State exercises its national  
15 criminal jurisdiction over a sitting Head of State or whether a State assists the ICC in  
16 exercising this Court's international criminal jurisdiction.

17 Other than very unfortunately many national courts all over the globe, the ICC is  
18 shielded against the influence of national politics, and it works on the basis of  
19 internationally recognised human rights standards.

20 Let me now explain why Article 98(1) of the ICC Statute does not at all contradict the  
21 fact that the International Criminal Court exception includes the cooperation level.  
22 Reference has been made in that context to the unspecified reference in Article 98(1)  
23 to, I quote, "obligations under international law with respect to the State or diplomatic  
24 immunity of a person or property of a third State".

25 It has been argued that this would indicate or even require the application of

1 immunity *ratione personae* of a sitting head of a non-State Party, which indeed exists  
2 in national criminal proceedings, to the cooperation by a State Party with the ICC.

3 This claim, however, is based on a fundamental misunderstanding about the nature  
4 and the operation of Article 98(1) of the ICC Statute.

5 Article 98(1) does not affirm the inconsistency of any immunity obligation with the  
6 cooperation requests by the Court. It does no more than to acknowledge the  
7 possibility of such an inconsistency. And it entrusts the Court, I quote from the text,  
8 the Court may not with a procedural task to determine as the case may arise whether  
9 there is indeed an inconsistency.

10 It has also been said very often that Article 98(1) would become redundant if the  
11 immunity *ratione personae* of sitting heads of non-State Parties in national criminal  
12 proceedings would not bar this Court from requesting their arrest and surrender.

13 But this again completely misses the procedural function of Article 98(1). In fact,  
14 Article 98(1) cannot become redundant whatever the substantive result of its  
15 application will be.

16 Please consider carefully the consequences if it were otherwise. Article 98(1) would  
17 then have the potential to freeze forever the existence of immunity obstacles to the  
18 cooperation with the Court.

19 Let me make one final observation on the inclusion of the cooperation level in the  
20 International Criminal Court exception to the immunity *ratione personae*.

21 It has been said the State practice and *opinio juris* since the adoption of the ICC  
22 Statute was insufficient to prove this inclusion. But this is not the issue. In 2002,  
23 the issue was not to prove the formation of a new rule of customary international law.  
24 Instead, the issue was to delineate between the scope of application of an existing rule,  
25 the customary immunity *ratione personae* in national criminal proceeding and an

1 existing exception to it, the exception regarding international criminal courts.

2 I have just explained why the inclusion of the cooperation level in the International  
3 Criminal Court exception constituted the result of a proper delineation. This is the  
4 only nuance, it's an important nuance, with the Prosecution because I'm not  
5 suggesting that we are in a situation of a legal void.

6 The reasons set out so far are sufficient to explain why as from the entry into force of  
7 the ICC Statute the International Criminal Court exception included the cooperation  
8 level. But I wish to provide the Chamber also with a supporting instance of State  
9 practice and *opinio juris* which most directly relates to the cooperation level.

10 As mentioned, the ICTY in 1999 instituted international criminal proceedings against  
11 the sitting Head of State of the Federal Republic of Yugoslavia, Slobodan Milošević.

12 In that context, Judge Hunt decided to order all member States of the United Nations  
13 to arrest and surrender Slobodan Milošević if present on that territory.

14 I am not aware of any significant State protest against Judge Hunt's order. This is  
15 particularly instructive as the Federal Republic of Yugoslavia at the material time was  
16 not as such a UN member State and the question of State succession remained  
17 unresolved.

18 The cooperation order was thus made in full awareness of the possibility that  
19 Milošević was the sitting Head of State of a non-State Party of the treaty system in  
20 question.

21 This brings me to my third and last point. It is true that subsequent to the adoption  
22 of the ICC Statute, some States have taken the view that the International Criminal  
23 Court exception does not include the cooperation level. We have heard this today  
24 again. But it is also true that as of today this State practice and *opinio juris* is  
25 insufficiently consistent to justify the identification of a change of the customary

1 international criminal law exception so that it would no longer include the  
2 cooperation level.  
3 The Prosecution has just set out this inconsistency. In fact, even the practice and  
4 opinio juris of African States is far from consistent on that point. For example, the 16  
5 February 2018 judgment of the appeals court of Kenya stated that under customary  
6 international law - I repeat, under customary international law, because I understood  
7 my distinguished colleague Mr Tladi to State otherwise - it was legitimate for Kenya  
8 to disregard President Bashir's immunity and execute the ICC's request for  
9 cooperation by arresting him.

10 To summarise, customary international law provides the ICC with the limbs which  
11 enable it to move properly. In the case against President Bashir and beyond,  
12 customary international law therefore provides the Appeals Chamber with a legal  
13 avenue in order to decide this case in line with a fundamental principle of equal  
14 enforcement of international criminal law. Only the customary law avenue fully  
15 corresponds with the very idea of international criminal justice without neglecting  
16 any possible countervailing considerations of international law.

17 May the Appeals Chamber, this is my humble submission, therefore, proceed through  
18 this avenue. Thank you, sir.

19 PRESIDING JUDGE EBOE-OSUJI: [15:57:01] Thank you, Professor.

20 Now, you heard my earlier question about the advisability of the policy sort of  
21 position. Yes, of course, the law is what it is, but there are times when sensible  
22 application of policy can as a practical matter avoid some difficulties perhaps some  
23 may say for a good cause. The question then becomes this: In a context in which  
24 Mr Bashir travels to a country for purposes of an international organisation summit,  
25 like Arab League, African Union, perhaps the European Union and so on, would it be

1 something to think of as a matter of policy the OTP could refrain from proceeding for  
2 arrest warrant in those circumstances as opposed to other instances of visit to a State?

3 MR KREß: [15:58:12] Thank you for this question, Mr President. I have, of course,  
4 confined my submissions entirely to questions of international law. This is what I  
5 understand, I understood my mission to be. I am not ignoring that there might be  
6 situations where there is room for policy considerations. I as a scholar of  
7 international law am probably not the most suitable person to give advice on these  
8 issues but --

9 PRESIDING JUDGE EBOE-OSUJI: [15:58:44] You are a citizen of the world.

10 MR KREß: [15:58:47] I am.

11 PRESIDING JUDGE EBOE-OSUJI: [15:58:47] Beyond being a professor of  
12 international law.

13 MR KREß: [15:58:49] And in this capacity I would give one humble advice, that to  
14 deal with such policy concerns on the so to speak political basis on the way or in a  
15 pragmatic manner, but not by recognising a legal, far-reaching exception to  
16 cooperation by immunity law, which quite to the contrary takes away all political  
17 flexibility.

18 PRESIDING JUDGE EBOE-OSUJI: [15:59:18] Thank you very much.

19 Next on the line-up would be Ms Lattanzi, Professor Lattanzi.

20 MS LATTANZI: [15:59:31] (Interpretation) (Microphone not activated) I will  
21 resume. Sorry about that.

22 Mr President, thank you for giving me this opportunity to address the Court as  
23 *amicus curiae*. Your Honours, allow me to make one preliminary remark.

24 The opinions that I will be bringing to your attention are the result of collective work  
25 done by myself, and also by Professor Mirko Sossai, and Dr Alice Riccardi, with the

1 assistance of Flavia Pacella and Laura Di Gianfrancesco.

2 So my responses to the group A concerns, this Bench must deal with a number of  
3 complex legal issues that Pre-Trial Chambers have dealt with in a way that was not  
4 entirely linear, if I could put it that way, regarding the grounds for the exclusion of  
5 immunity of heads of state. And the results were always the same. These issues  
6 are very much a matter of debate amongst the friends of the Court.

7 But I believe, your Honours, that it is the Appeals Chamber that has this duty to recall,  
8 amongst these various opinions, to recall and not lose sight of the task that it has  
9 received under the terms of the Statute, namely, not to divide the rules up into little  
10 bits, but, rather, to interpret them in a coordinated and contextual fashion.

11 And this is quite clear to be seen from all the preparatory work, and this is also set up  
12 in the preamble, in particular the provision that is mentioned in question (a) amongst  
13 these rules. We must consider the provisions of Security Council resolution 1593.  
14 And as an applicable instrument in this particular case, must be interpreted in a  
15 fashion that considers the rules applicable of the Statute. By following such criteria  
16 for interpretation that are imposed as a fundamental by the Vienna Convention, the  
17 Bench can only come to the conclusion that impunity for the most serious crimes of  
18 concern to the entire international community cannot end and peace cannot be  
19 reached in Darfur unless those responsible, including the Sudanese Head of State, are  
20 put on trial. This is the conviction that lies underneath the provisions of the Rome  
21 Statute, and under the Security Council resolution, and these form the very basis of  
22 the report by the Cassese Commission and these are the fundamental principles that  
23 must guide the Bench in its decision.

24 Article 21(1) of the Statute codifies the general principle *lex specialis derogat generali*.  
25 So the Court must first apply all the provisions of the Statute, even if they may run

1 counter to customary standards that are not recognised as jus cogens standards, as is  
2 the case for the standard relating to personal immunity of heads of state, which does  
3 not touch upon the principle of sovereign -- of State sovereignty. This standard,  
4 which is important to guarantee ius representationis omnimodae, can be waived  
5 under Article 27. Secondly, as I was saying, this standard can be waived under  
6 Article 27.

7 Secondly, if necessary, and I stress this point - this is something that was touched  
8 upon a few moments ago by Jordan's counsel - the Court, if necessary, shall apply  
9 other standards of international law, including relevant customary standards at stake.  
10 Under Article 21(1)(c), the Court may also apply the general principles of law, such as  
11 abuse of law, and the Court must apply only the customary standards of jus cogens,  
12 in particular in accordance with paragraph 3 of Article 21, standards dealing with  
13 human rights.

14 Given that under Article 21(3) the Court must apply and interpret the law in a  
15 manner consistent with human rights, it is such that the Court must ensure that no  
16 perpetrator of serious human rights violations goes unpunished, no matter what that  
17 person's official function may be. Thus, Article 98(1) shall be interpreted by the  
18 Court with a view to ensuring justice, no matter what the person's official function,  
19 ensure justice for any person subject to a warrant of arrest issued by the Court for the  
20 crime of aggression, genocide, war crimes or crimes against humanity.

21 Furthermore, under Article 2(3)(a) of the International Covenant on Civil and Political  
22 Rights, the victims of the crimes that occurred in Darfur must at least have effective  
23 remedy and the truth must be established. And I will read out the provision,  
24 "notwithstanding that the violation has been committed by persons acting in an  
25 official capacity". Since that the Statute does not allow for trials in absentia, the truth

1 about the crimes in Darfur cannot be revealed unless the person who has been  
2 charged with responsibility is arrested and surrendered. All the states that are  
3 members to the Covenant, including the Sudan and Jordan, are obliged to cooperate  
4 with the Court to ensure that this fundamental right is respected, a fundamental right  
5 under the Covenant. Violation of this right would have to be observed by the  
6 Assembly of States Parties and/or by the Security Council because the convention  
7 would apply, particularly Article 21(3).

8 There is no doubt that the prohibition upon committing these crimes that Al-Bashir  
9 has been accused of are covered by the imperative standards of international law, as  
10 the ICJ has stated on many occasions. For example, may I mention the case of  
11 Armed Activities on the Territory of the Congo, a case before the ICJ. Furthermore,  
12 the ICTY has stated in relation to all international crimes under its jurisdiction,  
13 Kupreškić, Furundžija, Delalić and Krstić, the jus cogens nature of these prohibitions  
14 is also recognised by domestic jurisprudence and unanimously by doctrine. One in  
15 particular could look to the Pinochet case before the courts of the United Kingdom  
16 and the Bouterse case before Dutch courts.

17 The international provisions having to do with immunity of State officials, be they  
18 customary or conventional, cannot trump imperative standards because such  
19 immunity is not an expression of the principle of sovereignty. In fact, personal  
20 immunity are only an expression of States' interest in carrying out their usual bilateral  
21 and multilateral relations through the jus repraesentationis omnimoda attributed to  
22 heads of state of government and to foreign affairs ministers.

23 Thus, this right to immunity is for the State and not the individual person. The Head  
24 of State is merely the recipient of this right when the State calls upon this right, and  
25 this is quite clear from a reading of Article 14(1) of the Convention of the Arab League,

1 the 1953 convention, and I quote, "immunities are accorded to the representatives of  
2 member states not for their personal benefits".

3 So this is not even a privilege that a person could conceivably be entitled to, and even  
4 less a fundamental right as Jordan has -- as it has been claimed here.

5 Now, the International Court of Justice maintained in the Arrest Warrant of 11 April  
6 2000 case, paragraph 61, an exception to the personal immunity of heads of state and  
7 foreign affairs ministers can be established by rules found in the statutes of  
8 international or hybrid courts in relation to international criminal law. So this is  
9 application of *lex specialis derogat generali*, that is to say a conventional waiver of the  
10 usual standard having to do with immunity.

11 There are three other possibilities mentioned by the Court, renunciation by the State  
12 sending the person, and so on and so forth. The fact that a State may renounce such  
13 immunity, including the immunity granted to a Head of State, confirms that this is  
14 subordinate to the rules of *jus cogens*.

15 One must also stress that in the State practice and in jurisprudence, there is no sign of  
16 a distinction between personal immunity and between a representation of the  
17 interstate dealings direct or a representation of States in the activity of international  
18 organisations, which was the case concerning Mr Al-Bashir when he was present in  
19 Jordan. In both cases immunity can be limited or subjected to exceptions or can be  
20 renounced.

21 And once again, the 1953 convention confirms this, Article 14(2), "member States are  
22 under a duty to waive the immunity of its representatives in any case where the  
23 immunity would impede the course of justice". And this was indeed the case here.  
24 One part of the doctrine affirms that the Statute standards that provide an exception  
25 for immunity would confirm the customary standards that first emerged from the

1 Treaty of Versailles and the Nuremberg Statute. These authors limit this exception  
2 to proceedings before international criminal courts. According to the arguments  
3 presented a few moments ago by Professor Kreß, others would expand this exception  
4 to proceedings before State jurisdictions having to do with crimes of international  
5 law.

6 If there is an exception to customary law, the exception can only have an erga omnes  
7 effect. Under this rule a Head of State would benefit from immunity in relation to  
8 another. On the contrary, if we affirm that the exception to the personal immunity  
9 by a Head of State established by the IPC State as *lex specialis* in relation to the  
10 customary rule on immunity, the exception will have a limited subjective application,  
11 turning only to the States bound by the Statute.

12 It cannot be denied, particularly after adoption of the Rome Statute, that a certain  
13 trend has emerged in State practice and before the courts. This trend is moving in  
14 the direction of the forming of a customary rule regarding the *ratione materiae*  
15 exception for personal immunity, that it to say in relation to crimes of international  
16 law before international courts, and in a more prudent manner before domestic  
17 courts.

18 In fact, even though the grounds were different, the exception to the rule of immunity  
19 for heads of State before international courts has been applied for sitting heads of  
20 State. I won't repeat what has already been said by my learned colleague, Professor  
21 Kreß.

22 And this exception also, this exception was mentioned by the President of this  
23 particular Chamber, and was even applied in the case of the International Criminal  
24 Court in a number of cases having to do with a vice-president, Vice-President Ruto of  
25 Kenya. In those particular cases, reference was made to the statutory rule. The

1 Court, the International Court of Justice has taken this into account and spoke of the  
2 customary nature of this exception in relation to charges before international criminal  
3 courts, but they were neither negative nor positive. They left the question open.  
4 In any event, whether exists a customary law exception to the personal immunity of  
5 heads of States in respect of an arrest requested by the Court, an immunity  
6 established by a specific conventional norm in my opinion cannot derogate from the  
7 so-called customary law exception precisely because this exception arises out of the  
8 protection of fundamental humanitarian values. It is indeed these values that have  
9 led to the establishment of the ICC, particularly because domestic courts quite often  
10 leave unpunished particularly those who are most responsible.

11 With regard to domestic case law concerning personal immunity in relation to  
12 prosecution for crimes of an international nature before domestic courts, we note a  
13 few traces of confirmation of the customary rule. The exceptions, for example, in the  
14 case of the French Cassation in the Gaddafi case, but there was an implicit  
15 acknowledgement of this in that case.

16 This tendency also can be noticed when it comes to the inviolability of the execution  
17 of State property, which was rejected by Italian judges with a view to protect the  
18 fundamental rights of victims of war crimes to effective remedy and therefore to  
19 ensure that they kept their right to claim compensation from the responsible State.

20 An example at hand is from the Italian Constitutional Court which confirmed the  
21 constitutional basis for such an exception. The ICJ did not find sufficient internal  
22 jurisprudence to recognise the *ratione materiae* exception in matters of immunity. I  
23 believe that this current trend is not yet fully consolidated, not even in the years that  
24 have elapsed since the Yerodia judgment.

25 The conventional law standard still applies as the case says. However, we must note

1 that the statutes of the hybrid tribunals all contain such an exception. We have  
2 already stated that the exception has even been implemented and its customary  
3 nature has been acknowledged.

4 This trend was certainly boosted by the establishment of the International Criminal  
5 Court as a permanent institution which has universal geographical jurisdiction. I  
6 hope that this trend will be consolidated thanks to the growing number of States who  
7 ratify the Statute of the Court. I hope all the States of the world will do so.

8 Allow me to refer to a decision, your Honours, a decision of the Appeals Chamber  
9 recognising Al-Bashir's personal immunity. Such a decision would put an end to  
10 this trend which seeks to ensure the protection of the same core values for which the  
11 ICC was created.

12 Furthermore, that decision, such a decision would violate Article 27 of the applicable  
13 Statute under referral by the Security Council as well as its resolutions which call for  
14 full cooperation from Sudan and urge all other States to firmly provide such  
15 cooperation. Such a decision would also violate the convention on genocide when it  
16 comes to such prosecutions.

17 I therefore believe, your Honours, and I will stop there, I believe that the convention  
18 on genocide is also applicable by the Court, by your Chamber when it comes to  
19 matters pertaining to genocide.

20 However, let me quickly, with your leave, address one aspect which I believe is very  
21 important and which deals with the interpretation of Article 98(1), which establishes  
22 the power of the Court, not an obligation on the court. This has already been raised  
23 by a previous speaker this morning. It's not an obligation to consider norms which  
24 are not implicitly mentioned in the article as such in relation to immunity.

25 It is a power which exclusively gives such entitlement to the ICC. Pursuant to that

1 article, it would seem to me that Article 98(1) does not authorise the States to  
2 challenge the ICC when it comes to immunities under Article 27 in other contexts  
3 such as domestic or national jurisdictions.

4 Let me conclude by saying, therefore, that I believe that we must understand that  
5 there is a misunderstanding, confusion when it comes to interpreting the term  
6 "jurisdiction" under Article 27. Jurisdiction, all jurisdictions, whether they are  
7 international or domestic, are jurisdictions, whether they deal with commissions or  
8 execution. The ICC when it comes to arrest warrants and requests for arrest and  
9 surrender, the ICC exercises its jurisdiction so to speak of execution or  
10 implementation. It is therefore not an internal jurisdiction of the Court, per se, in the  
11 discharge of his duties. There is no other jurisdiction that will implement that. It is  
12 the ICC which will see to it that various requests for arrests and surrender are  
13 executed by external bodies, and the internal jurisdiction of the Court is not in any  
14 way involved in that matter.

15 I refer you to Article 59 to understand therefore that the jurisdiction internally for the  
16 ICC is not involved in the matter of immunity. Immunity only arises in terms of the  
17 rights of the accused person. And it must therefore verify, I don't have the time to  
18 quote Article 59, but I simply refer you to that article so that you can read it carefully  
19 yourself to understand that the internal jurisdiction of the Court will never take into  
20 account matters pertaining to arrest warrants and immunity in relation to the arrest  
21 and surrender of an individual.

22 Thank you, honourable Judges. Thank you.

23 PRESIDING JUDGE EBOE-OSUJI: [16:26:29] Thank you very much, Ms Lattanzi.

24 Now next we go to Mr Magliveras.

25 MR MAGLIVERAS: [16:26:47] Thank you, Mr President. Thank you, esteemed

1 members of the Appeals Chamber. I will start with the first question. As regards  
2 Article 31 of the Vienna Convention on the Law of Treaties, and given that the  
3 application of the Rome Statute has raised issues of importance which apparently  
4 were not envisaged by the drafters, the present appeal may legitimately be  
5 determined by interpreting the Rome Statute's relevant provisions in a way ensuring  
6 that the impunity of Mr Al-Bashir for the alleged perpetration of the most serious  
7 crimes to the international community is put to an end.

8 The Rome Statute is no exception to the maxim that treaties are living instruments  
9 and consequently the content ought to reflect at all times the each time prevailing  
10 circumstances. However, since the Rome Statute has not been amended to reflect  
11 developments, the most appropriate method to ensure that it does not stagnate, but  
12 maintains its dynamic nature is to apply Article 31 of the Vienna Convention in a very  
13 broad manner.

14 Turning to question (b), regarding seeking guidance from customary international  
15 law, as this is an overarching legal notion saved through many centuries, it arguably  
16 applies equally to the first and the second paragraphs of Article 21. Why the exact  
17 content of customary international law is not settled but debatable, continuously  
18 debatable, one would expect that your Court would not only seek guidance from it  
19 without any hesitation but actually develop it so as to close existing gaps in fighting  
20 impunity for the most serious crimes to the international community.

21 Turning to question (e), that jus cogens norms have a more general application than  
22 mere grounds for invalidating and lawful international treaties appears to be by now  
23 a well-accepted rule. And following the obiter dictum in the decision of the  
24 International Criminal Tribunal for the former Yugoslavia in Prosecutor versus Anto  
25 Furundžija, it would appear that they do have a direct impact on national law as well.

1 Why there is no central global authority to authoritatively determine which norms  
2 should be regarded as jus cogens, which as customary international law, et cetera?  
3 Why the writings of publicists might be biased and State practice political influenced?  
4 The 1996 Code of Crimes against Peace, Security of Mankind, adopted by the  
5 International Law Commission at its 48th session should be adequate proof that  
6 genocide, extermination, torture, and rape are prohibited as jus cogens.  
7 And given jus cogens' direct domestic effect, these international crimes, I will argue,  
8 constitute by necessary implication absolutely prohibited acts in Sudan as well.  
9 Therefore, no impunity should be granted to Mr Al-Bashir as a matter of domestic  
10 Sudanese law.

11 Turning to question (f) --

12 PRESIDING JUDGE EBOE-OSUJI: [16:31:25] Counsel, before you continue to  
13 question (f), you referred to the decision of Furundžija, Furundžija at the ICTY. Do  
14 you have a reference for us? If not, you can hand it up later.

15 MR MAGLIVERAS: [16:31:45] The reference, Your President, is IT-95-17/1, T-10 Trial  
16 Chamber, the judgment of 10 December 1998. And as to the obiter dictum, I was  
17 referring specifically to what the court said in paragraph 153 to paragraph 154 of the  
18 said judgment.

19 PRESIDING JUDGE EBOE-OSUJI: [16:32:29] Thank you very much.

20 MR MAGLIVERAS: [16:32:30] Now turning to question (f), there is also no central  
21 global authority to determine the hierarchy of the rules of international law, but  
22 presumably this is a matter falling within the rights of international courts, including  
23 this Court. And this because international law courts have to apply the rules of  
24 international law; they cannot do otherwise. Therefore, this Court should consider  
25 that it has the competence to rule specifically on the hierarchy between the most

1 serious crimes and other competent rules of international law.

2 It should do so by listening closely to the views and the aspirations of the  
3 international community as a whole because, at the end of the day, it is the  
4 international community which is the collective end user of the Court's services.

5 Regarding questions (d) and (o), according to the first paragraph of Article 27 of the  
6 constitution of the Sudan - this is the interim, so-called interim constitution of  
7 2005 - there exists a bill of rights as a covenant between the population of the Sudan  
8 and the government. All rights and international human rights instruments ratified  
9 by Sudan shall be an integral part of this bill of rights. This is stated in paragraph 3  
10 of Article 27.

11 Moreover, the legislation implementing the rights enshrined in the said bill of rights  
12 and, therefore, the rights contained in all ratified international instruments by the  
13 Sudan shall not derogate from them. Paragraph 4 of Article 27.

14 Sudan acceded to the International Covenant on Civil and Political Rights on 18  
15 March 1986. The provision in the bill of rights corresponding to Article 2(3)(a) of the  
16 International Covenant should be considered to be Article 35 of the constitution  
17 ensuring the right to litigation, and I quote, "The Constitution shall be guaranteed for  
18 all persons; no person shall be denied the right to resort to justice."

19 Regarding now the exception of acting in an official capacity, Article 60 of the  
20 constitution of the Sudan contains a limited presidential immunity and impeachment  
21 clause, in particular, the president of the Sudan can be charged before the  
22 constitutional court in case of its gross violation, which cannot but include breach of  
23 the bill of rights. And Article 61 of the Sudanese constitution stipulates that any  
24 person aggrieved by an act of the president, and specifically it mentions a breach of  
25 the bill of rights, may contest it before the constitutional court.

1 In conclusion, the constitution of the Sudan does not confer upon Mr Al-Bashir  
2 unlimited immunity. And considering that the crimes he's accused of constitute  
3 gross constitutional violations, the theoretical until today impeachment before the  
4 constitutional court should be regarded as an effective remedy in the meaning of  
5 Article 2(3)(a) of the International Covenant on Civil and Political Rights.

6 The above considerations also answer question (o). Head of State immunity has  
7 posteriority to the responsibility for international crimes amounting to core violations  
8 of fundamental human rights.

9 It is clear even from the Sudanese constitution, the provisions of which Jordan  
10 arguably failed to take into proper account, that Mr Al-Bashir may not invoke his  
11 official capacity to avoid prosecution at a domestic level, let alone prosecution at an  
12 international level by the competent transnational criminal court acting in pursuance  
13 of a Chapter VII resolution.

14 Moving to question (n), this question essentially asks if customary international law  
15 may legitimise overriding the terms of an international convention.

16 Since customary international law is ever evolving and developing, while a  
17 convention can be static, especially if there have been important developments which  
18 have not been catered for through its amendment, or interpretation, or State practice,  
19 et cetera, in theory it should be able to lead to the disapplication of specific treaty  
20 clauses.

21 At the end of the day, it all has to do with providing very convincing argumentation.

22 And in the present case, in this appeal, the invocation of the goal to end the disastrous  
23 consequences of impunity, the *raison d'être* of your court.

24 And if your court were to answer this question in the positive, but finds that the  
25 existing argumentation is inadequate or not well developed, it should not hesitate to

1 augment it and to take it to the next level.

2 If the Court's argumentation is convincing, it will be applauded and followed in many  
3 a year to come. If it is flawed, it will be ignored. But the real or perceived  
4 difficulties arising from the parallel existence of the fluid norms of customary  
5 international law and the settled norms of conventional international law have to be  
6 addressed by your court.

7 And finally, Mr President, with your permission, I would like to answer the question  
8 which you put orally. Now, your question was on the policy of not requesting the  
9 arrest of a Head of State attending the meeting of the highest organ of an international  
10 organisation in which this State participate as a member.

11 Mr President, I do not think this would be a valid reason for not requesting the arrest,  
12 unless extremely vital national interests of the State concerned were to be discussed at  
13 that very meeting. But this was not the case when President Al-Bashir attended the  
14 summit of the Council of the Arab League in Jordan last year. As is well-known, the  
15 Council of the Arab League meets twice every year, once at the level of Head of State  
16 and once at the level of foreign ministers.

17 Mr Al-Bashir has not attended many of council summits in the past, but this didn't  
18 mean that the Sudan was not considered to be participating in the meeting, because  
19 another person did represent the Sudan. So the foreign relations of Sudan were not  
20 in any way affected by the non-presence of its presidents.

21 Thank you.

22 PRESIDING JUDGE EBOE-OSUJI: [16:42:04] Thank you very much.

23 We will now go to the next speaker on the list, and that will be Mr Newton.

24 MR NEWTON: [16:42:19] (Microphone not activated) I rise with great respect for  
25 this Bench.

1 For the record, the slides to which I allude had been submitted into the record last  
2 week. They're not currently showing on slides. I've asked for them to be submitted  
3 to parties as well. These are public documents, public records.

4 The three things that we've directed to be transferred already were, one, a map of  
5 every single trip taken by President Bashir since the issuance of the first arrest  
6 warrant; two, an annual map, an annual breakdown of those trips by location and  
7 by -- including the cancellations; and then three, the full dataset which documents all  
8 of these things.

9 I rise with great respect for this Court and for the difficult issues that you have taken  
10 under your charge. These are indeed some of the most contentious and  
11 consequential issues of our day.

12 President Bashir took his first trip abroad within three weeks of the first issuance of  
13 the first arrest warrant.

14 These issues are far more nuanced than very broad issues of public international law  
15 and very broad issues of immunity at large, or even the intellectual issue. It's about  
16 the debates we've been talking about between sovereign immunity and the need to  
17 address, I would say, the imperative need to address the most serious crimes of  
18 concern to the international community.

19 Those are very well settled questions in the abstract.

20 This appeal addresses the very difficult balance in contradistinction to the ICTY  
21 jurisprudence and the ICTR jurisprudence, and even the Sierra Leone jurisprudence.

22 The very difficult balance of the question between a treaty adopted in  
23 contradistinction to pure Chapter VII authority under those tribunals, and this  
24 practice. In other words, the focus on the correct interpretation of Article 98 and  
25 Article 27 and Article 89(1) is most well taken.

1 To that end, your Honour, we began to notice very early on a discrepancy between  
2 the public reports of travel and the actual documentation of travel. And I just want  
3 to say for the record that I very much appreciate this Bench recognising the relevance  
4 of this data. We've provided the most comprehensive dataset in the entire world on  
5 these issues, which of course will be in the record and have been provided to the  
6 parties.

7 Of particular note in the first year after the issuance of the first arrest warrant, the  
8 president took 15 trips, 14 of which were for official purposes and one of which was  
9 for a religious purpose. We began to be very concerned that the data as being  
10 publicly collected and reported did not include many of those trips.

11 I particularly appreciate, because I speak with a voice of pragmatism, I'm not an  
12 advocate for either party, I speak on what the data shows. We can't talk about State  
13 practice unless we look at the data that shows, well, State practice. We should not  
14 talk about the correct interpretation of States to include non-States Parties and States  
15 Parties and in our data a large number of signatory States without also recognising  
16 that these are very difficult issues of the collision between treaty interpretation and  
17 the application of the Rome Statute and the application of the Chapter VII authority of  
18 the Security Council. In this case, as is very well known, Resolution 1593 conferred  
19 jurisdiction, conferred the situation, but did not expressly address the issue of  
20 immunity of a sitting Head of State. That's the fundamental problem in this case.

21 So we began to track the data. And even without these slides, your Honour, I want  
22 to take just a second to thank the team that has worked very, very hard on this data.  
23 As I said, it's the most comprehensive dataset in the world.

24 The data itself shows 173 documented trips in distinction to 113 reported by the  
25 official organs of the State. To date there have been 27 reports from the OTP to the

1 UN Security Council on these issues. So any argument that says that those reports  
2 provide sufficient basis for decision making in our view is inadequate because it does  
3 not reflect the correct data. The correct data shows 173 trips. The OTP data only  
4 shows about 65 per cent of those trips. Both of those categories include cancellations,  
5 and within our dataset we've taken great care to document the reasons for  
6 cancellation, which I will move into in a minute.

7 As I said, I speak with a voice of pragmatism. So let me read the numbers into the  
8 record for the sake of precision.

9 113 reported trips, including 23 cancelled trips. And I will say that analysis of the  
10 data shows that the most effective, the most predictable causal factor for the  
11 cancellation of trips is indeed, as has been speculated this morning and we've talked  
12 around this issue, but the data shows that the involvement of domestic courts has  
13 been in fact the single most important predictive factor in deterring trips or causing  
14 cancellations. In every case where a domestic court has taken seriously its  
15 responsibility to align domestic law and the international duties to arrest, the trips  
16 have either been cancelled or the larger summit has been called off. The data does  
17 not show whether that was because of overarching political factors or whether in fact  
18 the pending relevance of an arrest warrant was the causal factor.

19 The other thing we've done in the data, which is available to all the parties and it's  
20 available online in the mappingbashir.org website is we've also categorized the  
21 purposes of each trip. As I said, within the first year there were a multitude of trips,  
22 particularly including summits and other official discussions, whether on economic  
23 issues, other issues. Some were for State events, inaugurations, for example. The one  
24 State event most recently was to the Olympics with other heads of State there. Some  
25 were just for meetings, some were for peace events. And some were for personal

1 reasons. I alluded to the religious reason. There was also some physical health  
2 reasons, et cetera. All of this is in the data.

3 Let me summarise. 104 trips were planned to 14 non-State Parties, 93 of which were  
4 taken for an overall cancellation rate of 11 per cent.

5 There were 39 trips planned to 9 signatory states, 35 of which were taken for an  
6 overall cancellation rate of around 10 per cent.

7 Not surprisingly, the data shows 30 trips were planned to 10 different States Parties,  
8 22 of which were taken for a slightly larger cancellation rate to States Parties of 27 per  
9 cent. The cancellation rate to States Parties is approximately double that of the next  
10 category which is signatory States. That's what the data says.

11 We think it's particularly important to recognize that the reasons for this are unclear.  
12 We've done a great deal of in-depth analysis on the data. But one conclusion that is  
13 clear, and I say this with the most humility possible, the Mapping Bashir data really  
14 does seem to suggest that much of the State practice on non-arrest is in direct relation  
15 to President Al-Bashir's position as the Head of State.

16 In the macro question Head of State immunity in these macro questions and on this  
17 dataset after the issuance of these arrest warrants, the Head of State issue was a causal  
18 factor and a very key part of the conversation, a very key part of the political  
19 conversation, it was also in some instances a key part of the reason for cancellation.

20 The Head of State issue is a very central part of this case. Nor does our data suggest  
21 that that issue is as easily resolved as it might be in theory in the macro. States are  
22 having to wrestle with very, very hard questions. Do they maintain these issues?  
23 What is the relevance of Article 98(1), which speaks of the duties between States that  
24 would require the requested State to act in a way that might be violative of other  
25 duties vis-à-vis other States.

1 Article 89(1) of this Statute says that states, States Parties shall proceed with a request  
2 for arrest in all circumstances in accordance with the provisions of their domestic law.  
3 So the real battles of shaping domestic conformity with the larger duties flowing  
4 either from international treaties or from the broader scope of customary international  
5 law, of State immunity, this is causing States to really wrestle with these issues. That  
6 is true on the data, both for States Parties and for non-State Parties. The data shows  
7 that the Security Council Resolution 1593 in itself is insufficient to automatically  
8 assuage the fears of both States Parties and non-States Parties and signatory parties  
9 that these conflicting legal issues can be easily aligned.

10 The data shows that States are wrestling with this. Now, one way of addressing this,  
11 one of the slides deals with a number of trips to non-States Parties.

12 PRESIDING JUDGE EBOE-OSUJI: [16:52:47] Counsel, one minute.

13 MR NEWTON: [16:52:49] Yes, sir. I'm sorry.

14 PRESIDING JUDGE EBOE-OSUJI: [16:53:05] The data you're talking about, do they  
15 tell us anything about whether or not these States, the host States to those visits  
16 actually had their minds pointed to the question of arrest and whether there is opinio  
17 juris in their own minds about it? That's one question that I have. Or is it just  
18 plainly showing us where President Bashir had been and how many times he had  
19 been there?

20 Second question is, when I read your written matter, it seems you were saying that  
21 the majority of those visits were to non-States Parties to the ICC to the Rome Statute  
22 with the exception of perhaps two States or so, but correct me if I am wrong. If it is  
23 the case that the majority of the visits had been to non-States Parties, would that tell  
24 us anything about what they thought the interpretation of Article 98 would mean in  
25 the circumstances for purposes of immunity?

1 MR NEWTON: [16:54:37] Can I answer your questions in the reverse, your Honour?

2 PRESIDING JUDGE EBOE-OSUJI: [16:54:40] Yes, please.

3 MR NEWTON: [16:54:42] Well, in reverse, the data over trips to non-States Parties  
4 reflect that there has been very little discussion about the substantive, the precise  
5 substantive legal issues. In fact, where there is public data either in the form of  
6 statements from foreign ministries or in the form of statements at the very reports of  
7 the UN Security Council, there has been severe push-back that says we do not accept  
8 that this treaty automatically wipes away all sitting Head of State immunity for us as  
9 a non-State Party. In fact, the data shows, based on our analysis, that the most  
10 frequent analysis or the preference for non-States Parties has been more of a political  
11 nature. I'll read you for example the statement from the United States on June 5,  
12 2002: "For our part the United States has continued to oppose invitations, facilitation  
13 or support for travel". It's always phrased as a policy preference, as a political  
14 imperative and very little data to show that non-States Parties or States Parties who  
15 have allowed trips have been dissuaded by the mere fact that there is a Security  
16 Council resolution. They do not get into the details whether they're operating on the  
17 basis of Article 98, on the basis of their reciprocal duties, except for after the fact.  
18 The first part of your question was on the opinio juris.  
19 Thank you. I've been asked to slow down. That's true. I'm sorry, because I'm  
20 trying to summarise all of what graphically is on slides which will be distributed  
21 which causes me to speak a little faster. I apologise.

22 But the number of trips to States Parties, you're right, your Honour, more trips to  
23 non-States Parties and in fact more cancellations to States Parties but a significant  
24 number of trips to States Parties, which in turn begs the question, your question was  
25 about opinio juris, what is the binding legal effect, what can we take away from that

1 as a matter of opinio juris?

2 In fact, the majority of cancellations were not due to ICC pressures or politics or, in  
3 fact, the invocation. It's the minority of cancellations that are due solely to political  
4 pressure or illusions to the Security Council Resolution 1593. In fact, the majority of  
5 cancellations often times are just happenstance. So the opinio juris is mixed here  
6 except that in the opposite direction there are very strong statements from a number  
7 of members in the Security Council arguing that Head of State immunity remains in  
8 place. There are also a number of very strong statements from the foreign ministries  
9 predominantly of non-State Parties.

10 The real issue in this case though concerns the interface between Article 89, 89(1),  
11 which says that States Parties shall proceed with requests in accordance with their  
12 domestic law. It's well-known that Article 27 of the Vienna Convention on Law of  
13 Treaties says that States cannot invoke their domestic procedures to violate their  
14 international obligations.

15 And here we see States Parties struggling with that, some of which have made  
16 statements the data also shows almost a *lex specialis* argument that there is an  
17 overlapping duty to protect international peace and security, which clearly the  
18 Security Council has under Chapter VII, and a subordinate regional duty in the form  
19 of the African Union also to address regional peace and security.

20 So there are a number of State statements answering your question, sir, regarding  
21 opinio juris, of nation states saying that the local regional assessment of international  
22 peace and security, which shows that cancelling trips based on a refusal of immunity  
23 has a greater destabilising effect than the Security Council. We've interpreted that as  
24 a *lex specialis* agreement, which says that as a very clear matter, the States Parties  
25 where President Al-Bashir has travelled do not automatically concede that either an

1 Article 98(1) is irrelevant to the larger duties or that 89(1) can automatically be used in  
2 their domestic law to supersede larger international duties or even that just by virtue  
3 of the fact that 1593 was enacted under Chapter VII, that alone, without an express  
4 waiver of immunity from the Security Council, suffices for all these issues.  
5 Again, this is a very complicated contradistinction interface of treaty rights of States  
6 and mutual treaty rights and to include obviously the United Nations Charter, which  
7 is referenced by your subsequent questions about Article 103.  
8 The problem here is that the State practice shows not only a continuing pattern of  
9 practice, but after 2004, an accelerating pattern of practice. After 2004 -- or, I'm sorry,  
10 2014, President Al-Bashir has increased the amount of travel each year since the  
11 second arrest warrant, which is relevant to your question about the genocide  
12 convention. To the extent that the ICC arrest warrant expanded to include genocide,  
13 there is very little evidence of States saying, "Aha, now we have a corollary collateral  
14 obligation under the Genocide Convention which is additive that might serve as a  
15 domestic basis for our otherwise valid concerns with regard to Head of State  
16 immunity". In fact the data shows accelerating travel post-2014.  
17 In our data analysis, we give a lot of credit to the Court organs. In the early days,  
18 pre-2012-ish, the data was very spotty. Since 2014 the Court has done a much, much  
19 better job of accurately collecting the data.  
20 But as I speak, I will tell you that the posted data that we have provided to the parties,  
21 and the posted data that is now available on the Mapping Bashir website shows 173  
22 trips, as opposed to 113 reported by the Prosecutor or the Registry.  
23 The data clearly shows that Head of State immunity is a core concern and has not  
24 automatically served in either direction to eliminate travel on these issues.  
25 The political solutions lie beyond my submission. I told you, I'm not advocating as

1 an advocate, I'm telling you what the data says. And the data says that there is a  
2 residual concern among States based on their duties vis-à-vis other States, and that  
3 automatically the Security Council resolution has not dissuaded travel.  
4 Now, the Prosecution raised in its submission the totally valid suggestion, we may  
5 very well be conflating the issue before this case with the consequences. In fact, as  
6 we read the data its exactly the opposite. The established State practice is that  
7 President Bashir has travelled in an increasing manner since 2014, both to States  
8 Parties and to non-States Parties and to signatory states. That's State practice.  
9 The opinio juris as you allude to is mixed. The best we can do, and I have a  
10 document that I'm happy to provide, we've collected every single Security Council  
11 statement from every single one of the 27 times that the Prosecutor has made a report  
12 to the Security Council, we have that, to the extent that some of those statements do  
13 specifically and intentionally reflect opinio juris.  
14 Many others do not. They're on more general political grounds. But I'm happy to  
15 provide that document to the Court. It's a consolidated pdf of all of those 27 reports  
16 since the very first one.  
17 And as I say, that document backs up the places where there is opinio juris and in fact  
18 deliberate opinio juris, as opposed to general political statements or statements of  
19 expediency. And what you see is, honestly, the data is mixed in terms of specific  
20 opinio juris. The idea that the Chapter VII resolution automatically precludes travel  
21 because it imports an international obligation that supersedes all horizontal  
22 obligations is not reflected in the statements to the Security Council except for, I  
23 would estimate, maybe 25 to 30 per cent of those statements.  
24 An equal or greater number of statements specifically push in the opposite direction,  
25 that Head of State immunity, and therefore the right to comply with other pieces of

1 international law as envisioned by Article 98, or as envisioned by the alignment of  
2 domestic systems with their international obligations as envisioned by Article 89, that  
3 is very prominent in the Security Council debates. The bottom line is the data does  
4 not show that this is a simple, easy matter.

5 And I apologise, these slides, they're queued to come up. They have been provided  
6 to the parties as well as the full dataset.

7 PRESIDING JUDGE EBOE-OSUJI: [17:04:00] Thank you.

8 Before you sit, Counsel, and we will wrap it up at that, whether you need to speak to  
9 it now or later. At the beginning of your submissions you said that we are in a  
10 different situation from ICTY and ICTR would be. I believe that's probably a  
11 question on the list on this, but let me put it to you now if you can deal with it.  
12 I know you speak to something else, data. But to the extent you put that opener on  
13 the record, the question is this: Would the situation in which we are be really  
14 different if, hypothetically speaking, the Security Council had in passing resolution  
15 1593 simply established an ad hoc tribunal in the manner of ICTY and ICTR under  
16 Chapter VII, but then referred or, rather, touched or annexed, as was done in the  
17 ICTR resolution 1994, it was, and also possibly ICTY which actually annexed the  
18 report on the secretary general, which annexed the statute of the ICTY; what if 1593  
19 had created an ad hoc tribunal and had simply annexed the Rome Statute to it,  
20 adapted with necessary variation, would we be in a different position in that kind of  
21 scenario from where we are now? If not, what is the difference? If you can speak to  
22 it now, that's fine. If not, you can take it at another time.

23 MR NEWTON: [17:06:05] I can, your Honour, but I note for the record that I exceed  
24 my mandate, which was to speak directly to the data. I am happy to answer that  
25 question from my perspective, if you would like.

1 PRESIDING JUDGE EBOE-OSUJI: [17:06:15] Go on, please.

2 MR NEWTON: [17:06:16] I definitely think it's different, because if you go back to  
3 the Sierra Leone model, the Charles Taylor issue in terms of sitting Head of State  
4 immunity was resolved by reference to the fact that that body was created by virtue  
5 of Chapter VII authority.

6 PRESIDING JUDGE EBOE-OSUJI: [17:06:30] Sierra Leone, Sierra Leone was not,  
7 actually.

8 MR NEWTON: [17:06:33] It was a dual agreement. And if you go back to the  
9 jurisdictional findings in the Sierra Leone, the Charles Taylor case, it was that Chapter  
10 VII authority that created the ability to overcome sitting Head of State immunity.

11 The same thing was true with regard to the issues when immunity came up in the  
12 Yugoslavia context, and the important distinction I think is in the duty to cooperate.  
13 Article 25 of the Charter says that all nation states have the duty to accept and carry  
14 out the mandates of the Security Council under Chapter VII and is specifically tied to  
15 Chapter VII.

16 The problem in this context is that States Parties in Part 9 have assumed exactly that  
17 same duty but they've assumed it by virtue of the treaty ratification and by virtue of  
18 State consent.

19 In this context, had the Security Council done exactly what you propose, mandated  
20 full cooperation rather than urging cooperation of non-States Parties, or simply said,  
21 addressed very squarely the issue. I mean, part of the problem that you see when  
22 you read security -- and I'm conscious of the time, I'll be very brief. When you read  
23 the Security Council statements there is this implicit cry of both States and,  
24 particularly, the Prosecutor begging the Security Council to address this question, to  
25 clarify this issue, to mandate compliance with all the orders of the Court.

1 The Security Council has not done that, which leaves the lacuna of how do States,  
2 both States Parties and non-States Parties, reconcile what are really sui generis issues?  
3 These are brand new issues. For the first time in the field this interface between  
4 broad principles of non-immunity and broad principles of customary international  
5 law, which we all subscribe to, but here the fact that compliance is mandated as a  
6 treaty duty. Article 98(1) speaks of the duties owed to other States under larger  
7 bodies of international law.  
8 Article 89 speaks to the duty of all States Parties, not non-States Parties, but all States  
9 Parties to comply with the request, the orders of the Court in accordance with their  
10 domestic law.  
11 So to the extent that domestic law procedures around the world, even in States Parties,  
12 embed deference to other Heads of State, or deference for other forms of immunity,  
13 it's a barrier. States are then caught in an almost impossible quandary. And the  
14 Security Council absolutely, in my view, could have cut through all of that with  
15 clarity and definition.  
16 So far they've not shown the political will to do that.

17 PRESIDING JUDGE EBOE-OSUJI: [17:09:02] Thank you very much.  
18 We will adjourn now and return tomorrow.  
19 We will start at 9 o'clock for tomorrow's proceedings. The Court is adjourned for  
20 today.  
21 Thank you very much, everybody.

22 THE COURT USHER: [17:09:17] All rise.  
23 (The hearing ends in open session at 5.09 p.m.)