

Annex B: Table of designations and cited materials

ANNEX B - DESIGNATIONS AND CITED MATERIALS

A. List of defined terms and acronyms

Abbreviation	Full Name
1907 Hague Regulations	<i>Regulations concerning the Laws and Customs of War on Land</i> , annexed to Convention (IV) respecting the Laws and Customs of War on Land, 18 October 1907.
1954 Hague Convention	<i>Convention for the Protection of Cultural Property in the Event of Armed Conflict, The Hague</i> , 14 May 1954, 249 United Nations Treaty Series 3511.
1996 Draft Definition of Core Crimes	Preparatory Committee on the Establishment of an International Criminal Court, Annex: Definition of core crimes - Chairman's informal texts and compilation of proposals and suggestions with regard to the definition of core crimes, 11 April 1996, A/AC.249/CRP.9/Add.4
1996 Draft Definition of Core Crimes	Preparatory Committee on the Establishment of an International Criminal Court, Annex: Definition of core crimes - Chairman's informal texts and compilation of proposals and suggestions with regard to the definition of core crimes, 11 April 1996, A/AC.249/CRP.9/Add.4
1997 Draft Text of War Crimes	Preparatory Committee on the Establishment of an International Criminal Court, War Crimes: Draft Consolidated Text, 20 February 1997, A/AC.249/1997/WG.1/CRP.2
Additional Protocol I	<i>Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of International Armed Conflicts (Protocol I)</i> , 8 June 1977, 1125 United Nations Treaty Series 17512.
Additional Protocol II	<i>Protocol Additional to the Geneva Conventions of 12 August 1949, and relating to the Protection of Victims of Non-International Armed Conflicts (Protocol II)</i> , 8 June 1977, 1125 United Nations Treaty Series 17513.
APC	<i>Armée du Peuple Congolais</i>
<i>Bemba</i>	<i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , ICC-01/05-01/08.
CPI	<i>Commission de pacification de l'Ituri</i>
CTPR	Commission of Truth, Peace and Reconciliation

Abbreviation	Full Name
DRC	Democratic Republic of the Congo
ECtHR	European Court of Human Rights
Elements of Crimes	<i>The Elements of Crimes</i> , adopted by the Assembly of States Parties, 9 September 2002, ICC-ASP/1/3.
FAC	<i>Force d'arme congolaise</i>
FAPC	<i>Forces armées du peuple congolais</i>
FAR	<i>Forces Armées Rwandaises</i>
FAZ	<i>Forces Armées Zaïroises</i>
FDLR	<i>Forces démocratiques de libération du Rwanda</i>
FIPI	<i>Front pour l'intégration et la paix en Ituri</i>
First Geneva Convention	<i>Geneva Convention (I) for the Amelioration of the Condition of the Wounded and Sick in Armed Forces in the Field</i> , 12 August 1949, 75 United Nations Treaty Series 970.
FNI	<i>Front des Nationalistes et Intégrationnistes</i>
<i>Fofana and Kondewa</i>	SCSL, <i>The Prosecutor v. Moinina Fofana and Allieu Kondewa</i> , SCSL-04-14.
Fourth Geneva Convention	<i>Geneva Convention relative to the Protection of Civilian Persons in Time of War</i> , 12 August 1949, 75 United Nations Treaty Series 973.
FPDC	<i>Forces populaires pour la démocratie au Congo</i>
FPLC	<i>Forces patriotiques pour la Libération du Congo</i>
FRP	<i>Front pour la Réconciliation et la Paix</i>
FRPI	<i>Front de Résistance Patriotique de l'Ituri</i>
<i>Gbagbo and Blé Goudé</i>	<i>The Prosecutor v. Laurent Gbagbo and Charles Blé Goudé</i> , ICC-02/11-01/15.

Abbreviation	Full Name
ICCPR	<i>International Covenant on Civil and Political Rights</i> , 16 December 1966, 999 United Nations Treaty Series 14668.
ICRC	International Committee of the Red Cross
ICTR	International Criminal Tribunal for Rwanda
ICTR Rules	International Criminal Tribunal for Rwanda, Rules of Procedure and Evidence, U.N. Doc. ITR/3/REV.1 (1995), entered into force 29 June 1995.
ICTY	International Criminal Tribunal for the Former Yugoslavia
ICTY Rules	International Criminal Tribunal for the former Yugoslavia, Rules of Procedure and Evidence, U.N. Doc. IT/32/Rev.7 (1996), entered into force 14 March 1994, amendments adopted 8 January 1996.
<i>Katanga</i>	The Prosecutor v. Germain Katanga, ICC-01/04-01/07.
<i>Kunarac et al.</i>	ICTY, <i>The Prosecutor v. Kunarac et al.</i> , IT-96-23 & IT-96-23/1.
MONUC	<i>Mission de l'Organisation des Nations unies pour la stabilisation en République démocratique du Congo/ United Nations Organization Stabilization Missions in the Democratic Republic of the Congo.</i>
Pre-Trial Chamber	Pre-Trial Chamber II
RCD-K/ML	<i>Rassemblement Congolais pour la Démocratie-Kisangani/Mouvement de Libération</i>
SCSL	Special Court for Sierra Leone
Statute	Rome Statute of the International Criminal Court, 17 July 1998, 2187 United Nations Treaty Series 38544.
STL	Special Tribunal for Lebanon

Abbreviation	Full Name
STL Rules of Procedure and Evidence	Special Tribunal for Lebanon, Rules of Procedure and Evidence, adopted on 20 March 2009, last amended and corrected on 3 April 2017, STL-BD-2009-01-Rev.9.
Trial Chamber	Trial Chamber VI
Victims Group 1	Common Legal Representative of the former child soldiers
Victims Group 2	Common Legal Representative of the Victims of the attacks
Vienna Convention	Vienna Convention on the Law of Treaties, 23 May 1969, 1155 United Nations Treaty Series 18232.
VWU	Victims and Witnesses Unit

B. Materials from the Court

1. Pre-trial and trial proceedings in the Ntaganda case

Designation	Full reference
Annex A to Conviction Decision	Annex A to Judgment, 8 July 2019, ICC-01/04-02/06-2359-AnxA.
Confirmation Decision	Decision Pursuant to Article 61(7)(a) and (b) of the Rome Charges Statute on the of the Prosecutor Against Bosco Ntaganda, 9 June 2014, ICC-01/04-02/06-309.
Conviction Decision	Judgment, 8 July 2019, ICC-01/04-02/06-2359.
DCC	Document Containing the Charges, 10 January 2014, ICC-01/04-02/06-203-AnxA.
Decision 1914	Decision on Defence request to modify the schedule for the first two evidentiary blocks, 19 May 2017, ICC-01/04-02/06-1914.
Decision 1945	Decision on further matters related to the testimony of Mr Ntaganda, 8 June 2017, ICC-01/04-02/06-1945.
Decision on UDCC	Decision on the updated document containing the charges, 6 February 2015, ICC-01/04-02/06-450.
Mr Ntaganda's Closing Brief	Defence Closing Brief, 2 July 2018, ICC-01/04-02/06-2298-Conf-Anx1-Corr; public redacted version registered on 8 November 2018 (ICC-01/04-02/06-2298-Anx1-Corr-Red).
Mr Ntaganda's Reply to Prosecutor's Response to Closing Brief	Defence Reply to the Prosecution's Response to the Defence Closing Brief, 1 August 2018, ICC-01/04-02/06-2307-Conf-Corr; public redacted version was filed on 8 November 2018 (ICC-01/04-02/06-2307-Red-Corr).
P-0022 rule 68 Decision	Decision on the Prosecution application under Rule 68(2)(c) of the Rules for admission of prior recorded testimony of P-0022, P-0041 and P-0103, 20 November 2015, ICC-01/04-02/06-1029.

<u>Designation</u>	<u>Full reference</u>
P-0027 rule 68 Decision	Decision on Prosecution application under Rule 68(2)(c) of the Rules for admission of prior recorded testimony of Witness P-0027', 25 November 2016, ICC-01/04-02/06-1653.
Pre-Trial Brief	Prosecution's Pre-Trial Brief, 9 March 2015, ICC-01/04-02/06-503-Conf-AnxA; public redacted version was filed on 1 September 2015 (ICC-01/04-02/06-503-AnxA-Red2).
Prosecutor's Closing Brief	Prosecution's Closing Brief, 20 April 2018, ICC-01/04-02/06-2277-Conf-Anx1-Corr; public redacted version (ICC-01/04-02/06-2277-Anx1-Corr-Red).
Prosecutor's Response to Mr Ntaganda's Closing Brief	Prosecution's Response to the Defence Closing Brief, 17 July 2018, ICC-01/04-02/06-2306-Conf; public redacted version was filed on 7 November 2018 (ICC-01/04-02/06-2306-Red).
UDCC	Updated Document Containing the Charges, 16 February 2015, ICC-01/04-02/06-458-AnxA.
Article 64(6)(b) and 69(3) Decision	Decision on presentation of evidence pursuant to Articles 64(6)(b) and (d) and 69(3) of the Statute, 23 January 2018, ICC-01/04-02/06-2191.
Victims' Response to Mr Ntaganda's Closing Brief	Response to the Defence Closing Brief on behalf of the Former Child Soldiers, 17 July 2018, ICC-01/04-02/06-2304-Conf; public redacted version was filed on 7 November 2018 (ICC-01/04-02/06-2304-Red).

2. *Transcripts in the Ntaganda case*

<u>Designation</u>	<u>Full reference</u>
T-19	Transcript of 22 April 2015, ICC-01/04-02/06-T-19-ENG.
T-22	Transcript of 3 July 2015, ICC-01/04-02/06-T-22-Red-ENG.
T-24	Transcript of 3 September 2015, ICC-01/04-02/06-T-24-ENG.
T-28	Transcript of 18 September 2015, ICC-01/04-02/06-T-28-Red-ENG.
T-29	Transcript of 21 September 2015, ICC-01/04-02/06-T-29-Red-ENG.
T-31	Transcript of 24 September 2015, ICC-01/04-02/06-T-31-Red-ENG.
T-32	Transcript of 25 September 2015, ICC-01/04-02/06-T-32-Red2-ENG.
T-33	Transcript of 19 October 2015, ICC-01/04-02/06-T-33-Red2-ENG.
T-34	Transcript of 20 October 2015, ICC-01/04-02/06-T-34-

<u>Designation</u>	<u>Full reference</u>
	Red2-ENG.
T-35	Transcript of 21 October 2015, ICC-01/04-02/06-T-35-Red2-ENG.
T-36	Transcript of 22 October 2015, ICC-01/04-02/06-T-36-Red3-ENG.
T-37	Transcript of 23 October 2015, ICC-01/04-02/06-T-37-Red2-ENG.
T-40	Transcript of 28 October 2015, ICC-01/04-02/06-T-40-Red2-ENG.
T-41	Transcript of 30 October 2015, ICC-01/04-02/06-T-41-Red2-ENG.
T-46	Transcript of 10 November 2015, ICC-01/04-02/06-T-46-Red2-ENG.
T-47	Transcript of 11 November 2015, ICC-01/04-02/06-T-47-Red2-ENG.
T-48	Transcript of 12 November 2015, ICC-01/04-02/06-T-48-Red2-ENG.
T-50	Transcript of 16 November 2015, ICC-01/04-02/06-T-50-Red2-ENG.
T-51	Transcript of 17 November 2015, ICC-01/04-02/06-T-51-Red-ENG.
T-55	Transcript of 20 January 2016, ICC-01/04-02/06-T-55-Red2-ENG.
T-56	Transcript of 21 January 2016, ICC-01/04-02/06-T-56-Red2-ENG.
T-58	Transcript of 28 January 2016, ICC-01/04-02/06-T-58-Red2-ENG.
T-59	Transcript of 29 January 2016, ICC-01/04-02/06-T-59-Red2-ENG.
T-60	Transcript of 1 February 2016, ICC-01/04-02/06-T-60-Red2-ENG.
T-61	Transcript of 2 February 2016, ICC-01/04-02/06-T-61-Red2-ENG.
T-62	Transcript of 3 February 2016, ICC-01/04-02/06-T-62-Red2-ENG.
T-63	Transcript of 4 February 2016, ICC-01/04-02/06-T-63-Red2-ENG.
T-64	Transcript of 8 February 2016, ICC-01/04-02/06-T-64-

<u>Designation</u>	<u>Full reference</u>
	Red2-ENG.
T-65	Transcript of 10 February 2016, ICC-01/04-02/06-T-65-Red2-ENG.
T-66	Transcript of 11 February 2016, ICC-01/04-02/06-T-66-Red2-ENG.
T-67	Transcript of 12 February 2016, ICC-01/04-02/06-T-67-Red2-ENG.
T-70	Transcript of 23 February 2016, ICC-01/04-02/06-T-70-Red2-ENG.
T-71	Transcript of 24 February 2016, ICC-01/04-02/06-T-71-Red2-ENG.
T-72	Transcript of 25 February 2016, ICC-01/04-02/06-T-72-Red2-ENG.
T-74	Transcript of 29 February 2016, ICC-01/04-02/06-T-74-Red2-ENG.
T-78	Transcript of 11 April 2016, ICC-01/04-02/06-T-78-Red2-ENG.
T-79	Transcript of 12 April 2016, ICC-01/04-02/06-T-79-Red2-ENG.
T-80	Transcript of 13 April 2016, ICC-01/04-02/06-T-80-Red2-ENG.
T-81	Transcript of 14 April 2016, ICC-01/04-02/06-T-81-Red2-ENG.
T-82	Transcript of 15 April 2016, ICC-01/04-02/06-T-82-Red2-ENG.
T-89	Transcript of 25 April 2016, ICC-01/04-02/06-T-89-Red2-ENG.
T-90	Transcript of 26 April 2016, ICC-01/04-02/06-T-90-Red2-ENG.
T-92	Transcript of 29 April 2016, ICC-01/04-02/06-T-92-Red2-ENG.
T-93	Transcript of 2 May 2016, ICC-01/04-02/06-T-93-Red2-ENG.
T-102	Transcript of 15 June 2016, ICC-01/04-02/06-T-102-Red2-ENG.
T-105	Transcript of 20 June 2016, ICC-01/04-02/06-T-105-Red2-ENG.
T-120	Transcript of 13 July 2016, ICC-01/04-02/06-T-120-Red2-

<u>Designation</u>	<u>Full reference</u>
	ENG.
T-122	Transcript of 15 July 2016, ICC-01/04-02/06-T-122-Red2-ENG.
T-136	Transcript of 21 September 2016, ICC-01/04-02/06-T-136-Red2-ENG.
T-137	Transcript of 22 September 2016, ICC-01/04-02/06-T-137-Red2-ENG.
T-144	Transcript of 30 September 2016, ICC-01/04-02/06-T-144-Red2-ENG.
T-146	Transcript of 4 October 2016, ICC-01/04-02/06-T-146-Red2-ENG.
T-153	Transcript of 8 November 2016, ICC-01/04-02/06-T-153-Red2-ENG.
T-154	Transcript of 9 November 2016, ICC-01/04-02/06-T-154-Red2-ENG.
T-155	Transcript of 10 November 2016, ICC-01/04-02/06-T-155-Red2-ENG.
T-157	Transcript of 14 November 2016, ICC-01/04-02/06-T-157-Red2-ENG.
T-159	Transcript of 16 November 2016, ICC-01/04-02/06-T-159-Red2-ENG.
T-160	Transcript of 17 November 2016, ICC-01/04-02/06-T-160-Red2-ENG.
T-161	Transcript of 21 November 2016, ICC-01/04-02/06-T-161-Red2-ENG.
T-162	Transcript of 22 November 2016, ICC-01/04-02/06-T-162-Red2-ENG.
T-167	Transcript of 29 November 2016, ICC-01/04-02/06-T-167-Red2-ENG.
T-168	Transcript of 30 November 2016, ICC-01/04-02/06-T-168-Red2-ENG.
T-169	Transcript of 1 December 2016, ICC-01/04-02/06-T-169-Red2-ENG.
T-170	Transcript of 2 December 2016, ICC-01/04-02/06-T-170-Red2-ENG.
T-184	Transcript of 20 January 2017, ICC-01/04-02/06-T-184-Red-ENG.
T-185	Transcript of 23 January 2017, ICC-01/04-02/06-T-185-

<u>Designation</u>	<u>Full reference</u>
	Red2-ENG.
T-190	Transcript of 30 January 2017, ICC-01/04-02/06-T-190-Red-ENG.
T-191	Transcript of 3 February 2017, ICC-01/04-02/06-T-191-Red-ENG.
T-192	Transcript of 6 February 2017, ICC-01/04-02/06-T-192-Red-ENG.
T-196	Transcript of 10 February 2017, ICC-01/04-02/06-T-196-Red2-ENG.
T-206	Transcript of 29 May 2017, ICC-01/04-02/06-T-206-Red2-ENG.
T-209	Transcript of 14 June 2017, ICC-01/04-02/06-T-209-Red-ENG.
T-213	Transcript of 15 August 2017, ICC-01/04-02/06-T-213-Red-ENG.
T-214	Transcript of 28 June 2017, ICC-01/04-02/06-T-214-Red2-ENG.
T-215	Transcript of 29 June 2017, ICC-01/04-02/06-T-215-ENG.
T-216	Transcript of 30 June 2017, ICC-01/04-02/06-T-216-ENG.
T-217	Transcript of 3 July 2017, ICC-01/04-02/06-T-217-Red-ENG.
T-218	Transcript of 4 July 2017, ICC-01/04-02/06-T-218-Red2-ENG.
T-220	Transcript of 7 July 2017, ICC-01/04-02/06-T-220-Red-ENG.
T-222	Transcript of 11 July 2017, ICC-01/04-02/06-T-222-ENG.
T-223	Transcript of 12 July 2017, ICC-01/04-02/06-T-223-Red2-ENG.
T-226	Transcript of 17 July 2017, ICC-01/04-02/06-T-226-ENG.
T-227	Transcript of 18 July 2017, ICC-01/04-02/06-T-227-Red2-ENG.
T-228	Transcript of 19 July 2017, ICC-01/04-02/06-T-228-Red-ENG.
T-230	Transcript of 21 July 2017, ICC-01/04-02/06-T-230-ENG.
T-235	Transcript of 4 September 2017, ICC-01/04-02/06-T-235-

<u>Designation</u>	<u>Full reference</u>
	Red3-ENG.
T-237	Transcript of 5 September 2017, ICC-01/04-02/06-T-237-Red-ENG.
T-238	Transcript of 6 September 2017, ICC-01/04-02/06-T-238-Red2-ENG.
T-239	Transcript of 7 September 2017, ICC-01/04-02/06-T-239-Red2-ENG.
T-240	Transcript of 8 September 2017, ICC-01/04-02/06-T-240-ENG.
T-241	Transcript of 11 September 2017, ICC-01/04-02/06-T-241-Red-ENG.
T-242	Transcript of 12 September 2017, ICC-01/04-02/06-T-242-Red2-ENG.
T-244	Transcript of 14 September 2017, ICC-01/04-02/06-T-244-Red-ENG.
T-246	Transcript of 18 September 2017, ICC-01/04-02/06-T-246-Red2-ENG.
T-249	Transcript of 18 September 2017, ICC-01/04-02/06-T-249-Red-ENG.
T-252	Transcript of 27 November 2017, ICC-01/04-02/06-T-252-Red-ENG.
T-253	Transcript of 28 November 2017, ICC-01/04-02/06-T-253-Red-ENG.
T-254	Transcript of 29 November 2017, ICC-01/04-02/06-T-254-Red-ENG.
T-255	Transcript of 30 November 2017, ICC-01/04-02/06-T-255-Red2-ENG.
T-257	Transcript of 5 December 2017, ICC-01/04-02/06-T-257-Red2-ENG.
T-259	Transcript of 6 December 2017, ICC-01/04-02/06-T-259-Red2-ENG.
T-260	Transcript of 7 December 2017, ICC-01/04-02/06-T-260-Red2-ENG.
T-263	Transcript of 29 August 2018, ICC-01/04-02/06-T-263-Red2-ENG.
T-270	Transcript of 12 October 2020, ICC-01/04-02/06-T-270-ENG.
T-271	Transcript of 13 October 2020, ICC-01/04-02/06-T-271-

<u>Designation</u>	<u>Full reference</u>
	Red-ENG.
T-272	Transcript of 14 October 2020, ICC-01/04-02/06-T-272-Red-ENG.

3. *Filings from the appeals proceedings in the Ntaganda case*

<u>Designation</u>	<u>Full reference</u>
Mr Ntaganda's Appeal Brief – Part I	Defence Appeal Brief – Part I, 11 November 2019, ICC-01/04-02/06-2443, with annex A (ICC-01/04-02/06-2443-AnxA), annex B (ICC-01/04-02/06-2443-AnxB), annex C (ICC-01/04-02/06-2443-AnxC), annex D (ICC-01/04-02/06-2443-AnxD) and annex E (ICC-01/04-02/06-2443-AnxE).
Mr Ntaganda's Appeal Brief – Part II	Defence Appeal Brief - Part II, 27 March 2020, ICC-01/04-02/06-2465-Red-Corr. Defence Appeal Brief -Part II, 31 January 2020, ICC-01/04-02/06-2465-Conf-Corr, with annexes A (ICC-01/04-02/06-2465-AnxA0), B (ICC-01/04-02/06-2465-AnxB), C (ICC-01/04-02/06-2465-AnxC), D (ICC-01/04-02/06-2465-AnxD), E (ICC-01/04-02/06-2465-AnxE).
Mr Ntaganda's Notice of Appeal	Mr. Ntaganda's Notice of Appeal against the Judgment pursuant to Article 74 of the Statute, ICC-01/04-02/06-2359, 9 September 2019, ICC-01/04-02/06-2396.
Mr Ntaganda's Reply to Prosecutor's Response to Appeal – Part I	Defence reply to “Prosecution response to ‘Defence Appeal Brief - Part I’”, 27 January 2020, ICC-01/04-02/06-2464, ICC-01/04-02/06-2548-Conf (with Annexes A, B and C); public redacted version registered on 7 September 2020 (ICC-01/04-02/06-2548-Red).
Mr Ntaganda's Reply to Prosecutor's Response to Appeal – Part II	Defence reply to “Prosecution response to ‘Defence Appeal Brief - Part II’”, 3 April 2020, ICC-01/04-02/06-2500, 19 May 2020, ICC-01/04-02/06-2534-Conf (with Annexes A and B); public redacted version of the reply registered on 22 June 2020 (ICC-01/04-02/06-2534-Red).
Mr Ntaganda's Response to Observations of Victims Group 1 on Appeal – Part I	Defence response to “Observations of the Common Legal Representative of the Former Child Soldiers on Part I of Mr Ntaganda's Appeal against the Judgment pursuant to Article 74 of the Rome Statute”, 27 February 2020, ICC-01/04-02/06-2473, 16 March 2020, ICC-01/04-02/06-2490.
Mr Ntaganda's Response to Observations of Victims Group 2 on Prosecutor's Appeal	Defence Response to “Observations of the Common Legal Representative of the Victims of the Attacks on the Prosecution's Appeal against the Trial Judgment”, 8 January 2020, ICC-01/04-02/06-2452, 24 January 2020, ICC-01/04-02/06-2462 with Annex A (ICC-01/04-02/06-2462-AnxA).
Mr Ntaganda's Response to	Defence response to CLR1 and CLR2 Observations on

<u>Designation</u>	<u>Full reference</u>
<u>Observations of Victims on Appeal – Part II</u>	Defence Appeal Brief - Part II, 22 May 2020, ICC-01/04-02/06-2537-Conf-Corr (with Annex A); public redacted version of the response registered on 22 June 2020 (ICC-01/04-02/06-2537-Red-Corr).
<u>Mr Ntaganda’s Response to Prosecutor’s Appeal</u>	Defence Response to Prosecution Appeal Brief, 7 October 2019 (ICC-01/04-02/06-2432), 9 December 2019, ICC-01/04-02/06-2449, with <u>annex A</u> (ICC-01/04-02/06-2449-Anx A) and <u>annex B</u> (ICC-01/04-02/06-2449-AnxB).
<u>Observations of Victims Group 1 on Appeal – Part I</u>	Observations of the Common Legal Representative of the Former Child Soldiers on Part I of Mr Ntaganda’s Appeal against the Judgment pursuant to Article 74 of the Rome Statute, 27 February 2020, ICC-01/04-02/06-2473, with annex 1 (ICC-01/04-02/06-2473-AnxI) and annex 2 (ICC-01/04-02/06-2473-Anx2).
<u>Observations of Victims Group 1 on Appeal – Part II</u>	Observations of the Common Legal Representative of the Former Child Soldiers on Part II of Mr Ntaganda’s Appeal against the Judgment pursuant to Article 74 of the Rome Statute, 6 May 2020, ICC-01/04-02/06-2526-Conf; public redacted version registered on 27 May 2020 (ICC-01/04-02/06-2526-Red).
<u>Observations of Victims Group 2 on Appeal – Part II</u>	Observations of the Common Legal Representative of the Victims of the Attacks on the Defence Appeal Part II, 6 May 2020, ICC-01/04-02/06-2525-Conf; public redacted version registered on 28 May 2020 (ICC-01/04-02/06-2525-Red).
<u>Observations of Victims Group 2 on Prosecutor’s Appeal</u>	Observations of the Common Legal Representative of the Victims of the Attacks on the Prosecution’s Appeal against the Trial Judgment, 8 January 2020, ICC-01/04-02/06-2452.
<u>Mr Newton’s Observations</u>	Observations of Professor Michael A. Newton on the merits of the legal questions presented by the Appeals Chamber in the Case of The Prosecutor v. Bosco Ntaganda, 17 September 2020, ICC-01/04-02/06-2584.
<u>Mr O’Keefe’s Observations</u>	Observations by Professor Roger O’Keefe, pursuant to rule 103 of the Rules of Procedure and Evidence, on the merits of the legal questions presented in ‘Order inviting expressions of interest as amici curiae in judicial proceedings (pursuant to rule103, 18 September 2020, ICC-01/04-02/06-2585.
<u>Ms Gamarra’s Observations</u>	Amicus Curiae Observations Pursuant to Rule 103 Of The Rules of Procedure and Evidence on the Merits of the Legal Questions Presented in the Appeal of the Prosecutor against the Judgment of Trial Chamber VI of 8 July 2019 (ICC-01/04-02/06-2359), 18 September 2020, ICC-01/04-02/06-2586.
<u>ALMA’s Observations</u>	Observations by ALMA – Association for the Promotion of IHL in the Case of The Prosecutor v. Bosco Ntaganda, 18 September 2020, ICC-01/04-02/06-2587.
<u>Antiquities Coalition, Blue</u>	Amicus Curiae Observations Pursuant to Rule 103 of the

<u>Designation</u>	<u>Full reference</u>
Shield International and Genocide Watch's Observations	Rules of Procedure and Evidence on Behalf of the Antiquities Coalition, Blue Shield International and Genocide Watch, 18 September 2020, ICC-01/04-02/06-2588.
Mr Corn <i>et al.</i>'s Observations	Submission of Observations to the Appeals Chamber Pursuant to Rule 103, 18 September 2020, ICC-01/04-02/06-2589.
Ms Levina's and Ms Vaid's Observations	Observations of Ms Polina Levina and Ms Kaveri Vaid on the merits of the legal questions presented by the Appeals Chamber in the Case of The Prosecutor v. Bosco Ntaganda, 18 September 2020, ICC-01/04-02/06-2590.
Ms Jachec-Neale's Observations	Amicus Curiae observations pursuant to rule 103 of the Rules of Procedure and Evidence, 18 September 2020, ICC-01/04-02/06-2591.
Mr Clancy's and Mr Kearney's Observations	Amicus Curiae Submission Pursuant to Rule 103 of the Rules of Procedure and Evidence, 18 September 2020, ICC-01/04-02/06-2592. Corrected Version of "Amicus Curiae Submission Pursuant to Rule 103 of the Rules of Procedure and Evidence" (18 September 2020), dated 21 September 2020 and registered on 22 September 2020, ICC-01/04-02/06-2592-Corr.
Ms Bagott's Observations	Observations of Peta-Louise Bagott, pursuant to rule 103 of the Rules of Procedure and Evidence, on the merits of the legal questions presented in 'Order inviting expressions of interest as amici curiae in judicial proceedings (pursuant to rule 103, 18 September 2020, ICC-01/04-02/06-2593.
Public International Law and Policy Group's Observations	Amicus Curiae Observations by Public International Law & Policy Group, 18 September 2020, ICC-01/04-02/06-2594.
Mr Heyns <i>et al.</i> Expression of Interest as <i>Amici Curiae</i>	Expression of Interest as Amici Curiae in Judicial Proceedings, 12 August 2020, ICC-01/04-02/06-2557.
Mr Heyns <i>et al.</i>'s Observations	Amicus Curiae Observations Pursuant to Rule 103 of the Rules of Procedure and Evidence on Behalf of Prof. Christof Heyns, Prof. Stuart Casey-Maslen, Dr Thomas Probert & Mr Fikire Tinsae Birhane (Centre for Human Rights, University of Pretoria), 6 October 2020, ICC-01/04-02/06-2604.
Prosecutor's Appeal Brief	Prosecution Appeal Brief, 7 October 2019, ICC-01/04-02/06-2432, with annex A (ICC-01/04-02/06-2432-AnxA).
Prosecutor's Notice of Appeal	Prosecution notice of appeal, 9 September 2019, ICC-01/04-02/06-2395.
Prosecutor's Response to Appeal – Part I	Prosecution Response to "Defence Appeal Brief – Part I", 27 January 2020, ICC-01/04-02/06-2464, with annex A (ICC-01/04-02/06-2464-AnxA) and annex B (ICC-01/04-02/06-2464-Conf-AnxB).
Prosecutor's Response to Appeal – Part II	Prosecution response to "Defence Appeal Brief - Part II", 3 April 2020, ICC-01/04-02/06-2500-Conf, with Annexes A (ICC-01/04-02/06-2500-Conf-AnxA), B (ICC-01/04-02/06-

<u>Designation</u>	<u>Full reference</u>
	2500-Conf-AnxB), C (ICC-01/04-02/06-2500-Conf-AnxC); public redacted version was filed on 14 April 2020 and registered on 2020 (ICC-01/04-02/06-2500-Red).
<u>Prosecutor’s Response to Observations of Victims Group 2 on Prosecutor’s Appeal</u>	Prosecution Response to Observations of the Legal Representatives of Victims (Attack Victims) concerning Prosecution Appeal Brief, 24 January 2020, ICC-01/04-02/06-2463.
<u>Prosecutor’s Response to Observations of Victims on Appeal – Part II</u>	Prosecution Response to “Observations of the Common Legal Representative of the Victims of the Attacks on the Defence Appeal Part II”, 22 May 2020, ICC-01/04-02/06-2536 (pursuant to the Appeals Chamber’s order of 1 June 2020 (ICC-01/04-02/06-2540), the response has been reclassified as public).

4. *Other jurisprudence from the Court*

<u>Designation</u>	<u>Full reference</u>
<u>Bemba Conviction Decision</u>	Trial Chamber III, <i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Judgment pursuant to Article 74 of the Statute, 21 March 2016, ICC-01/05-01/08-3343.
<u>Bemba Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Judgment on the appeal of Mr Jean-Pierre Bemba Gombo against Trial Chamber III’s “Judgment pursuant to Article 74 of the Statute”, 8 June 2018, ICC-01/05-01/08-3636-Red (A); confidential version notified same day (ICC-01/05-01/08-3636-Conf).
<u>Bemba Dissenting Opinion to Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo</i> , Dissenting Opinion of Judge Sanji Mmasenono Monageng and Judge Piotr Hofmański, 8 June 2018, ICC-01/05-01/08-3636-Anx1-Red (A); confidential version notified same day (ICC-01/05-01/08-3636-Conf-Anx1).
<u>Bemba et al. Conviction Decision</u>	Trial Chamber VII, <i>The Prosecutor v. Jean-Pierre Bemba Gombo et al.</i> , Judgment pursuant to Article 74 of the Statute, 19 October 2016, ICC-01/05-01/13-1989-Red.
<u>Bemba et al. Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Jean-Pierre Bemba Gombo et al.</i> , Judgment on the appeals of Mr Jean-Pierre Bemba Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala and Mr Narcisse Arido against the Decision of Trial Chamber VII entitled “Judgment pursuant to Article 74 of the Statute”, 8 March 2018, ICC-01/05-01/13-2275-Red (A A2 A3 A4 A5).

<u>Designation</u>	<u>Full reference</u>
<u>Gbagbo OA5 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Laurent Gbagbo</i> , Judgment on the appeal of the Prosecutor against the decision of Pre-Trial Chamber I of 3 June 2013 entitled ‘Decision adjourning the hearing on the confirmation of charges pursuant to article 61(7)(c)(i) of the Rome Statute’, 16 December 2013, ICC-02/11-01/11-572 (AO5).
<u>Katanga Conviction Decision</u>	Trial Chamber II, <i>The Prosecutor v. Germain Katanga</i> , Judgment pursuant to article 74 of the Statute, 7 March 2014, ICC-01/04-01/07-3436-tENG.
<u>Kenya Decision Authorising Investigation</u>	<i>Situation in the Republic of Kenya</i> , Decision Pursuant to Article 15 of the Rome Statute on the Authorization of an Investigation into the Situation in the Republic of Kenya, dated 31 March 2010 and registered on 1 April 2010, ICC-01/09-19-Corr.
<u>Kenyatta OA5 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Uhuru Muigai Kenyatta</i> , Judgment on the Prosecutor’s appeal against Trial Chamber V(B)’s ‘Decision on Prosecution’s application for a finding of non-compliance under Article 87(7) of the Statute’, 19 August 2015, ICC-01/09-02/11-1032 (OA5).
<u>Kony OA3 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Joseph Kony, Vincent Otti, Okot Odhiambo and Dominic Ongwen</i> , Judgment on the appeal of the Defence against the ‘Decision on the admissibility of the case under article 19 (1) of the Statute’ of 10 March 2009, 16 September 2009, ICC-02/04-01/05-408 (OA3).
<u>Lubanga Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Appeals Chamber, Judgment on the appeal of Mr Thomas Lubanga Dyilo against his conviction, 1 December 2014, ICC-01/04-01/06-3121-Red (A5).
<u>Lubanga Confirmation Decision</u>	Pre-Trial Chamber I, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Decision on the confirmation of charges, 29 January 2007, ICC-01/04-01/06-803-tEN.
<u>Lubanga Conviction Decision</u>	Trial Chamber I, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment pursuant to Article 74 of the Statute, 14 March 2002, ICC-01/04-01/06-2842.
<u>Lubanga Review Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the Prosecutor’s Application for Extraordinary Review of Pre-Trial Chamber I’s 31 March 2006 Decision Denying Leave to Appeal, 13 July 2006, ICC-01/04-168 (OA3).

<u>Designation</u>	<u>Full reference</u>
<u>Lubanga Sentencing Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeals of the Prosecutor and Mr Thomas Lubanga Dyilo against the ‘Decision on Sentence pursuant to Article 76 of the Statute’, 1 December 2014, ICC-01/04-01/06-3122 (A4 A6).
<u>Lubanga OA3 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the Prosecutor’s appeal against the decision of Pre-Trial Chamber I entitled “Decision Establishing General Principles Governing Applications to Restrict Disclosure pursuant to Rule 81 (2) and (4) of the Rules of Procedure and Evidence, 13 October 2006, ICC-01/04-01/06-568 (OA3).
<u>Lubanga OA4 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the Appeal of Mr. Thomas Lubanga Dyilo against the Decision on the Defence Challenge to the Jurisdiction of the Court pursuant to article 19 (2) (a) of the Statute of 3 October 2006, 14 December 2006, ICC-01/04-01/06-772 (OA4).
<u>Lubanga OA13 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Judgment on the appeal of the Prosecutor against the decision of Trial Chamber I entitled “Decision on the consequences of non-disclosure of exculpatory materials covered by Article 54(3)(e) agreements and the application to stay the prosecution of the accused, together with certain other issues raised at the Status Conference on 10 June 2008”, 21 October 2008, ICC-01/04-01/06-1486 (OA13).
<u>Lubanga OA15 / OA16 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Thomas Lubanga Dyilo</i> , Appeals Chamber, Judgment on the appeals of Mr Lubanga Dyilo and the Prosecutor against the Decision of Trial Chamber I of 14 July 2009 entitled “Decision giving notice to the parties and participants that the legal characterisation of the facts may be subject to change in accordance with Regulation 55(2) of the Regulations of the Court”, 8 December 2009, ICC-01/04-01/06-2205 (OA15 / OA16).
<u>Ngudjolo Appeal Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Mathieu Ngudjolo Chui</i> , Judgment on the Prosecutor’s appeal against the decision of Trial Chamber II entitled ‘Judgment pursuant to article 74 of the Statute’, 27 February 2015, ICC-01/04-02/12-271-Corr (A).
<u>Ntaganda OA5 Judgment</u>	Appeals Chamber, <i>The Prosecutor v. Bosco Ntaganda</i> , Judgment on the appeal of Mr Ntaganda against the ‘Second decision on the Defence’s challenge to the jurisdiction of the Court in respect of Counts 6 and 9’, 15 June 2017, ICC-01/04-02/06-1962 (OA5).

<u>Designation</u>	<u>Full reference</u>
<u>Ongwen Confirmation Decision</u>	Pre-Trial Chamber II, <i>The Prosecutor v. Dominic Ongwen</i> , Decision on the confirmation of charges against Dominic Ongwen, 23 March 2016, ICC-02/04-01/15-422-Red.
<u>Ruto et al. Confirmation Decision</u>	<i>The Prosecutor v. William Samoei Ruto et al.</i> , Decision on the Confirmation of Charges Pursuant to Article 61(7)(a) and (b) of the Rome Statute, 23 January 2012, ICC-01/09-01/11-373.
<u>Ruto and Sang OA Judgment</u>	Appeals Chamber, <i>The Prosecutor v. William Samoei Ruto and Joshua Arap Sang</i> , Judgment on the appeal of the RepubUc of Kenya against the decision of Pre-Trial Chamber II of 30 May 2011 entitled ‘Decision on the Application by the Government of Kenya Challenging the Admissibility of the Case Pursuant to Article 19(2)(b) of the Statute’, 30 August 2011, ICC-01/09-01/11-307 (OA).

C. Materials from other tribunals and courts

<u>Designation</u>	<u>Full reference</u>
<u>Ayyash Joint Opinion</u>	STL, Appeals Chamber, <i>The Prosecutor v. Salim Jamil Ayyash</i> , Joint opinion of Judges Ralph Riachy and Afif Chamseddine, in Decision on “appeal against decision of President concerning trial chamber II”, 13 December 2019, STL-18-10/MISC.2/AC.
<u>Blagojević and Jokić Trial Judgment</u>	ICTY, Trial Chamber I, <i>The Prosecutor v. Vidoje Blagojević and Dragan Jokić</i> , Judgement, 17 January 2005, IT-02-60-T.
<u>Bocos-Cuesta Judgment</u>	ECtHR, Third Section, <i>Bocos-Cuesta v. The Netherlands</i> , Judgment, 10 February 2006, application no. 54789/00.
<u>Brandstetter Judgment</u>	ECtHR, Chamber, <i>Brandstetter v. Austria</i> , Judgment, 28 August 1991, application nos 11170/84, 12876/87, 13468/87.
<u>Dorđević Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Vlastimir Dorđević</i> , Judgement, 27 January 2014, IT-05-87/1-A.
<u>Fofana and Kondewa Appeal Judgment</u>	SCSL, Appeals Chamber, <i>The Prosecutor v. Moinina Fofana and Allieu Kondewa</i> , Judgement, 28 May 2008, SCSL-04-14-A.

<u>Designation</u>	<u>Full reference</u>
<u>Fofana and Kondewa Trial Judgment</u>	SCSL, Trial Chamber, <i>The Prosecutor v. Moinina Fofana and Allieu Kondewa</i> , Judgement, 2 August 2007, SCSL-04-14-T.
<u>Gotovina and Markač Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Ante Gotovina and Mladen Markač</i> , Judgement, 16 November 2012, IT-06-90-A.
<u>Haraqija and Morina Contempt Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Astrit Haraqija And Bajrush Morina</i> , Judgement, 23 July 2009, IT-04-84-R77.4-A.
<u>Karadžić Appeal Judgment</u>	MICT, Appeals Chamber, <i>The Prosecutor v. Radovan Karadžić</i> , Judgement, 20 March 2019, MICT-13-55-A.
<u>Karadžić Trial Judgment</u>	ICTY, Trial Chamber, <i>The Prosecutor v. Radovan Karadžić</i> , Public Redacted Version of Judgement Issued on 24 March 2016, 24 March 2016, IT-95-5/18-T.
<u>Karemera and Ngirumpaste Appeal Judgment</u>	ICTR, Appeals Chamber, <i>Édouard Karemera and Matthieu Ngirumpaste v. The Prosecutor</i> , Judgement, 29 September 2014, ICTR-98-44-A.
<u>Krajišnik Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Momčilo Krajišnik</i> , Judgement, 17 March 2009, IT-00-39-A.
<u>Kunarac et al. Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Dragoljub Kunarac et al.</i> , Judgement, 12 June 2002, IT-96-23 & 23/1.
<u>Lanz Judgment</u>	ECtHR, First Section, <i>Lanz v. Austria</i> , Judgment, 31 January 2002, application no. 24430/94.
<u>Nahimana et al. Appeal Judgment</u>	ICTR, Appeals Chamber, <i>Ferdinand Nahimana et al. v. The Prosecutor</i> , Judgement, 28 November 2007, ICTR-99-52-A.
<u>Norman et al. Decision</u>	SCSL, Appeals Chamber, <i>The Prosecutor v. Samuel Hinga Norman et al.</i> , Decision on Prosecution appeal against the Trial Chamber's decision of 2 August 2004 refusing leave to file an interlocutory appeal, 17 January 2005, Case No. SCSL-04-14-T.

<u>Designation</u>	<u>Full reference</u>
<u>Ntagerura et al. Appeal Judgment</u>	ICTR, Appeals Chamber, <i>The Prosecutor v. André Ntagerura et al.</i> , Judgement, 7 July 2006, ICTR-99-46-A.
<u>Popović et al. Trial Judgment</u>	ICTY, Trial Chamber II, <i>The Prosecutor v. Vujadin Popović et al.</i> , Judgement Volume I, 10 June 2010, IT-05-88-T.
<u>Šainović et al. Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Nikola Šainović et al.</i> , Judgement, 23 January 2014, IT-05-87-A.
<u>Solakov Judgment</u>	ECtHR, Second Section, <i>Solakov v. The Former Yugoslav Republic of Macedonia</i> , Judgment, 31 January 2002, application no. 47023/99.
<u>Tadić Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Duško Tadić</i> , Judgement, 15 July 1999, IT-94-1-A.
<u>Vasiljević Appeal Judgment</u>	ICTY, Appeals Chamber, <i>The Prosecutor v. Mitar Vasiljević</i> , Judgement, 25 February 2004, IT-98-32-A.
<u>Zigiranyirazo Trial Judgment</u>	ICTR, <i>The Prosecutor v. Protais Zigiranyirazo</i> , Judgement, 18 December 2008, ICTR-01-73-T.

D. Jurisprudence from national courts

<u>Designation</u>	<u>Full reference</u>
<u>R. v. Dowling</u>	Australian District Court of New South Wales, <i>R. v. Dowling</i> [2018], NSWDC 367.
<u>R. v. R. E. M.</u>	Supreme Court of Canada, <i>R. v. R. E. M.</i> [2008] SCC 51.
<u>R. v. S. (W.D)</u>	Supreme Court of Canada, <i>R. v. S. (W.D)</i> [1994] 3 S.C.R. 521.

E. Books and Articles

<u>Designation</u>	<u>Full reference</u>
ICRC's Commentary on Additional Protocols	Y. Sandoz, C. Swinarski, and B. Zimmermann (eds), <i>Commentary on the Additional Protocols of 8 June 1977 to the Geneva Conventions of 12 August 1949</i> (Martinus Nijhoff Publishers 1987).
ICRC's Compilation of Customary Rules	J.-M. Henckaerts and L. Doswald-Beck (eds), 'Chapter 38, Displacement and Displaced Persons', in ICRC, <i>Customary International Humanitarian Law, Vol. I: Rules</i> (Cambridge University Press 2005).
K. Dörmann	K. Dörmann, 'Article 8(2)(e) ICC Statute – Other serious violations of the laws and customs applicable in armed conflicts not of an international character', in <i>Elements of War Crimes under the Rome Statute of the International Criminal Court: Sources and Commentary</i> (Geneva/Cambridge: ICRC/CUP, 2002).
Lee	<i>The International Criminal Court: Elements of Crimes and Rules of Procedure and Evidence</i> (Transnational Publishers, 2001).
Triffterer	O. Triffterer and K. Ambos (eds), <i>The Rome Statute of the International Criminal Court: A Commentary</i> (C. H. Beck/Hart/Nomos, 2016).