

ANNEX 1

Case “*The Prosecutor v. Thomas Lubanga Dyilo*”

Technical protocol for the provision of evidence, material witness and victims information in electronic form for their presentation during the Trial

I. Introduction

1. The International Criminal Court is using an electronic system to support its daily judicial proceedings pursuant to regulation 26, paragraph 1 of the Regulations of the Court (“the Regulations”). The Registry is responsible for the implementation of this electronic system, taking into account the specific requirements of the judicial activity of the Court, including the need to ensure authenticity, accuracy, confidentiality and preservation of the record of proceedings (see regulation 26, paragraph 2, of the Regulations). Pursuant to regulation 26, paragraphs 3 and 4, of the Regulations, documents and evidence other than live testimony shall be presented, whenever possible, in electronic form.
2. This Protocol is established pursuant to regulation 26, paragraph 1, and is essential for Registry’s implementation of the system described in this provision. It is designed to ensure that all the necessary information is available electronically during the proceedings to the Court. To this end, this Protocol defines the standards according to which the participants should prepare and provide evidence, potential evidence and material in electronic form with the Court. Furthermore, this Protocol defines metadata which should accompany the materials submitted. These standards are designed to minimise the document management and technology costs to the participants and the Court and to allow for the efficient management of proceedings.
3. The Registry will provide an “in-court” presentation solution for viewing evidence and material.

II. Provision of potential evidence, and witness information in electronic form evidence and material in electronic form and of the Witness Information

A. Potential evidence

4. In order to ensure that potential evidence, evidence and material that a participant intends to submit to a Chamber in hearing can be processed by the Court’s electronic system, it must comply with the system’s standards. Therefore, prior to the hearing, the participant will have to format the potential evidence, evidence and material and provide metadata for it in accordance with the standards set out in section II of this Protocol.
5. Once the potential evidence, evidence and material and the metadata have been formatted and prepared, the participant can provide them in electronic form to the Registry.

6. Upon the receipt of the potential evidence, evidence and material and the related metadata in electronic form, the Registry will upload all data into the electronic system.
7. Once the data has been uploaded into the system, the participant will be requested to control the quality of the data uploaded. If errors are found in the data, the responsible participant shall re-issue the entire record that has been amended including the table references. For images, only the single TIFF file or the affected page(s) shall be re-issued in the appropriate directory/level structure named herein. Any updates shall be accompanied with a letter outlining the Document ID(s) and the information that has been changed.
8. If, prior to the hearing, the participant after the provision of the first lot of potential evidence, wants to provide additional items, the standards outlined in this Protocol shall be followed.

B. Witness and Victim Information

9. Should a participant intend to call a witness in a hearing before a Chamber, the participant shall prepare a Witness Information List in accordance with the standards set out below in section III. and provide the list to the Registry. The same will apply when the appearance of a victim is scheduled.
10. Upon receipt of the Witness/Victim Information, the Registry will upload the data into the electronic system.
11. Once the data has been uploaded into the system, the participant will be requested to control the quality of the data uploaded.
12. If, prior to the hearing, the participant wishes to amend the Witness/Victim Information, the standards outlined in this Protocol shall be followed.

III. Standard for the provision of evidence and material in electronic form

13. Participants shall provide to the Registry potential evidence, evidence or material in electronic form either on one or more CD ROM or using the Court's internal electronic infrastructure. The format, imaging standards and numbering regime shall comply with the requirements set out in sections A. to C., below.
14. Together with the evidence and material in electronic form, metadata information relating to evidence and material shall be provided in electronic form, as set out in section D, below.

A. Format requirements

15. Potential evidence, evidence and material shall be provided in the following format:
 - Single page TIFF for all potential evidence, evidence and material, and WMV, VMA for audio and video materials.
 - An OCR text-file for potential evidence, evidence and material containing text including for any translation thereof.
16. The following requirements shall be met:

Data File Format	<p><u>CSV (Comma Separated Values)</u> including double quotes around the record and a header record. There shall be 1 document record per row in the table. Zipped files are acceptable or as agreed between the participants, or</p> <p><u>XLS (Microsoft Excel Worksheet)</u> Witness Information Excel format and Evidence List format respectively (to be provided), or</p> <p><u>MDB (Microsoft Office Access Application)</u>: database file compatible with a Ringtail Legal database (export.mdb)</p>
Media	<ul style="list-style-type: none"> • CD ROM - 650/700Mb, • DVD or, • ICC networking infrastructure to be agreed
Disk or folder content	2 Files for formats (i) and (ii) above – One main table and one image table (referencing each image on the disk). A header record shall be included for each file. Header record shall contain the metadata field names.
Disk or folder name	<p>If physical media (CD/DVD) then specify volume name as Participant-Situation-Case-Date-Sequential Number</p> <p>If ICC network then create a root folder \Participant-Situation-Case-Date-Sequential Number\ Where: Participant: Participant Code Situation and Case codes: to be provided by the Registry Date: YYYYMMDD format Seq. Number: 2 digits padded with zeros (starting at 01) Example: PPP-0101-0202-20051201-01</p>

B. Imaging and Data standards

17. When hard-copy documents are larger than A3 size they can be provided to the Registry in hardcopy and recorded on the database as such by imaging a page that states that the originals reside at the Registry vault due to its size or a photograph of the potential evidence or material or artifact (in JPEG compression within color tiff files) can be submitted as a representation of the original.
18. Originals (under A3 but larger than A4) can be reduced to A4 size and then imaged at the reduced size before submission to the Court. Originals of these reduced documents shall be made available if required for viewing in the hearing or by Chambers or by the Participants.
19. Image and Data file formats and exchange shall be set out as follows:

- a. **Medium**

The files shall reside in the same media and location specified in paragraph 16, above, under a folder

- named "images".
- b. **Image Directory Structure** Images must reside in directories and sub directories. The sub directory structure must reflect the levels in the numbering regime.
 - c. **Content** Single page tiff files (ie a four-page document will have four distinct images).
 - d. **Resolution of Image** Black and White, Grayscale or Color images – 300 dpi (or above) TIFF subtype CCITT group 4 compressed, 1 bit (bi-tonal). For practical purposes, it is recommended that the physical file size of any image should not exceed 700 Kilobytes. It should be noted that 200 K is the average target size of all images.
 - e. **Suffix page numbering** In the event that additional pages are required to be inserted after bar-coding (or labeling) and imaging, the suffix pages convention will be applied to images as per paragraph 22.

C. Numbering regime

20. The participant providing evidence or material shall number each piece of potential evidence, evidence or material according to the following numbering regime. This number will be used as the unique document identification ('doc id') for all potential evidence, evidence and material and will be used to reference them at all times during the proceedings. The 'doc id' will appear in readable format. The numbering regime will also be used to name the images files associated to a piece of evidence or material.
21. This numbering regime has four levels, SSS-PPP-FFFF-DDDD where:
- SSS** is the prefix that acts as an identifier for the situation the evidence or material has been collected for. Padded characters will be used (i.e. must be 3 alpha/numeric characters) (e.g. AUS);
 - PPP** is the participant identifier. Padded characters will be used (i.e. must be 3 Characters – may be alpha/numeric digit) in accordance with the prefixes in Appendix A attached (e.g. OTP);
 - FFFF** is the potential evidence, evidence or material group/folder/batch number. Padded with zeros, maximum value of 9999 (eg. 0120); and
 - DDDD** is the unique "page" identifier within the document. Padded with zeros, maximum value of 9999 (eg. 0087). The first page number of a document is also the document number. (See [Appendix D](#)).
22. Where pages have been inserted due to human error, the following convention will be used: Insert a fifth level where a suffix (two numeric characters, padded zero, preceded with an underscore). For example:
- SSS-PPP-FFFF-0001
 - SSS-PPP-FFFF-0001_01
 - SSS-PPP-FFFF-0002

Meaning that a page SSS-PPP-FFFF-0001_01 has been inserted after SSS-PPP-FFFF-0001 yet before SSS-PPP-FFFF-0002.

Specific measures for translation documents.

23. Each translation shall be recorded as a "translation" in the field "document type", in the following manner: for any language required, the field takes the value "Translation", a blank space, a hyphen, a blank space, and then the 3 characters ISO code of the language in which the original document has been translated.

Example:

Translation – ENG

Translation – FRA

Specific measures for redacted documents

24. Every page of evidence or material which has been redacted shall be recorded as an extra page against the single evidence record, and the extra-page label shall indicate the versioning of the redaction.

Example: DRC - OTP – 0001 – 0002 – R01 corresponds to page 2 of document 1, 1st version of redaction.

D. Provision of metadata information relating to evidence and material in electronic form

25. The following two tables list the format of the metadata that is transmitted to the Registry for potential evidence, evidence and material provided in electronic format, as ordered by the Trial Chamber I¹.
26. Table 1 is the table of potential evidence, evidence and material, containing the metadata for these items.

Table potential evidence, evidence and material

Field Name	Data Type	Explanation	Example
Document ID ¹¹¹	Text	The unique identifier for each original document (record) in the database.	SSS-PPP-FFFF-DDDD
Date Filed	Date	The date the Participant files the data table with the Registry in the date format DD-MMM-YYYY	20-Aug-2005
Document Date	Date Field	The date of the document as it appears on the document in the format DD-MMM-YYYY. The date should be entered is that which	21-Mar-1997

¹ ICC-01/04-01/06-1127 dated 24 January 2008

Field Name	Data Type	Explanation	Example
		<p>indicates when the document came into existence, or was signed, putting it into effect.</p> <p>If the item only has a partial date and a clear year, then the first day of that partial date shall be entered and the estimate date field tagged YES</p> <p>Where a document has no determinable date the document will be recorded as undated.</p> <p>Where a document has more than 1 date appearing on it the date which is earliest in time shall be entered and estimate date tagged YES</p> <p>Date ranges cannot be used due to this field being a true date field and only the earliest date in time shall be entered and estimate date field tagged YES</p> <p>If an agreement has an original date as well as a subsequent later date as a result of alterations being made to the document, then the later date is taken as the document date and estimated date is left blank.</p> <p>If a newspaper clipping has the date/reference handwritten on to the document, then the document is dated according to the handwritten notation and is an "estimated date yes".</p>	<p>Leave blank</p> <p>04-Aug-2004</p> <p>22-Sep-2001</p>
Estimated Date	Boolean	<p>No = If the exact full date is on the document (for example 04-MAR-1963).</p> <p>Yes = Where we cannot be certain of the actual date. For example if there is a partial date (e.g. August 1979), the</p>	No or Yes

Field Name	Data Type	Explanation	Example
		date is stamped on, the date has been amended by hand or the only visible date is on the fax track.	
Type	Text	A simple classification of the item, such as a letter, map, artifact. Attachment C contains a list of suggested document types.	Letter
Title	Text	When a piece of potential evidence, evidence or material has a title, the complete title shall be entered exactly as indicated on the item. If the item has no title this field shall be left blank.	OTP comments on the eCourt Generic Protocols (technical only)
Author	Pick List	Person or persons who authored the document. To be completed using information on the face of the document. LAST NAME, First Name for example "SMITH, Brian". Comma must separate multiple entries. Other ways of addressing multiple entries can be agreed between the parties.	SMITH, Brian
Author Organisation	Pick List	Organisation from which the document emanated. To be completed from information on the face of the document. Comma must separate multiple entries. Other ways of addressing multiple entries can be agreed between the parties.	Potter Farrelly & Associates
Recipient	Pick List	Person or persons to whom the document was addressed. To be completed using information on the face of the document. LAST	SMITH, Brian

Field Name	Data Type	Explanation	Example
		<p>NAME, First Name for example "SMITH, Brian</p> <p>Comma must separate multiple entries.</p> <p>Other ways of addressing multiple entries can be agreed between the parties.</p>	
Recipient Organisation	Pick List	<p>Organisation receiving the document. To be completed from information on the face of the document.</p> <p>Comma must separate multiple entries.</p> <p>Other ways of addressing multiple entries can be agreed between the parties.</p>	Potter Farrelly & Associates
Parties	Pick List	Identifies parties to an agreement or other legal document	Potter Farrelly & Associates
Language of the item	Pick List	<p>The language of the item is to be recorded in this field in accordance with ISO language code 639-3, indicating both the code and the full English description of the language in the ISO definition tables, as follows: ISO code, space, hyphen, space, full description: example: ENG – English</p> <p>FRA - French.</p> <p>Where languages are not foreseen in the ISO tables, the ICC Registry language services (STIC) will decide on the appropriate code to be used.</p>	ENG
Translation status	Pick List	<p>When the document is a translation, choose one of the following options to indicate by whom the translation has been done:</p> <p>ICC – Registry services OTP – OTP services OTHER – External services</p>	

Field Name	Data Type	Explanation	Example
		<p>And whether it is a draft or has been revised.</p> <p>List to be chosen from: ICC - draft ICC - revised OTP – draft OTP – revised OTHER – draft OTHER - revised</p>	
Redaction History	Text	This field will record the date of the redaction against each of the redacted versions.	R01 – 17 July 2006
Excerpt History	Text	This field will record the date of the preparation of the excerpt against each of the excerpts..	
Host Document Number	Text and Number, 17 Length depending on the document id. Structure	<p>Contains First Page of the host document to which an attachment is attached. There will never be multiple entries in this field, as each attachment should only ever have one host document.</p> <p>Attachments to documents will be separately listed and numbered. Attachments can be numbered sequentially following the host document. For example, a host document may be numbered</p> <p>XXX-0001-0001-001 and its attachments would be numbered as XXX-0001-0001-002, XXX-0001-0001-003 and XXX-0001-0001-004.</p> <p>A host document and any attachments should be listed and numbered separately as per the rules listed in Appendix B</p>	SSS-PPP-FFFF-DDDD
Participant	Pick List	This field records the participant who is providing the evidence or	OTP

Field Name	Data Type	Explanation	Example
		material to the Registry as per Participant codes in Appendix A.	
Full Chain of Custody of Material and Evidence	Text and number	This field should list all entities/persons who had custody of the item, in chronological order. The following format is to be respected: YYYY-MM-DD From XXX to XXX	2008-02-12 From OTP to Defense
Date Source Restriction Lifted	Date	Date on which the respective correspondence was received.	03-Dec-2007
Person/Witnesses from whom the document emanated		Where the Chamber has authorized the non-disclosure of an identity, this is to apply to the Metadata Field. If, in the submission of the prosecution, the information contained in the Metadata Field requires further protection, such as the implementation of additional temporary redactions, leave is to be sought from the Chamber in each instance, as has been the practice hitherto with redactions. It follows that the Metadata Field is to be treated in accordance with the Chamber's general approach to disclosure and redactions. (ICC-01/04-01/06-1223)	
Search Characteristics	Pick List	This field records the degree to which the text content of the electronic version of the evidence can be searched: <i>Combined Data (Meaning: typed data and handwritten text or images)</i> Handwritten Text No text Typed - no Latinic script Typed data - Partly Searchable Typed data - Searchable Typed data - Unsearchable	Typed data - Searchable

Field Name	Data Type	Explanation	Example
Disclosures	Pick List	<p>This field records information about disclosures and any other distribution of potential evidence made in the context of a case.</p> <p>The pick list values are generally comprised of the following parts: [Phase] [Category of disclosure] {Defendant Code}[package or tranche number] [Date of the disclosure]</p> <p>In the situation of joined proceedings disclosure to each counsel may take place on two different dates. This pick list can record such variations. It also allows description of other distributions of documents, such as to the OPCV or any re-issue of corrected data etc.</p> <p>The possible values for the parts of the field include: [Phase] : Pre-confirmation; Pre trial; Trial [Category of Disclosure] : INCR; PEXO; Rule 77; {Defendant Code} : This <u>may</u> be used if there is more than one defendant and disclosure is made to each on different dates. (eg D01) [Package or Tranche number] : This is a sequential number maintained by the party disclosing the sequential count of disclosures made in that category. [Date of the disclosure] : dd-mmm-yyyy: The date of the actual disclosure recorded at the time of transfer or after the transfer takes place.</p>	Pre confirmation INCR package 26 07-Nov-2006

Note: All text fields shall be in ISO 8859 Latin 1 (West European)

27. Table 2 below lists the filename for every page of every imaged piece of potential evidence or material. In order to derive the correct order of pages to a piece of

potential evidence or material, the database query shall select the images matching the Item ID, ordered by the path.

Image Table

Field Name	Data Type	Explanation	Example
*Item ID	Text and Number	Document ID	SSS-PPP-FFFF-DDDD
*Path	Text (100 char)	Full relative path and filename of the image file. There will be a single file for each page of each document. The format is SSS-PPP-FFFF-DDDD.tif or SSS-PPP-FFFF-DDDD_01.tif (if it is an inserted page)	SSS-PPP-FFFF-DDDD.tif
*Pages	Text (3 char) DDDD	Number of pages per document	0003

E. Witness and Victim information

28. The following table lists the format of metadata for the witness and victims as confirmed by the Trial Chamber I².
29. "Witness" for the purpose of this protocol means: person who has provided statements on which the Prosecution or the Defence intends to rely at the hearing. "Victim" for the purpose of this protocol means a person authorised to participate in the trial or appearing before the Chamber in accordance with rule 93 of the Rules of Procedure and Evidence.

² ICC-01/04-01/06-1127 dated 24 January 2008

Table of Witness and Victim Information

Field Name	Data Type	Explanation	Example
ID number	Number	Number given to a witness or victim	SSS.PPP.W (or V).XXXX where: SSS is the situation code PPP is the code for the participant introducing the witness or the victim P shows that it is a person record (victim or witness or both) XXXX is the witness number being a consecutive number assigned by the participants
Title	Text	This is the title of a witness /victim such as Dr., Mr., Major, General etc.	Major
Name	Text	The last name and first name of the witness/victim separated by a comma.	POTTER, Sandra
Other name(s) and/or nickname(s)	Text	Nickname or commonly used name of witness/victim if it differs from their actual name. This is a text field which can contain many values. One value per line.	Sand
Gender	Text	Gender of the witness/victim. Permissible values Male or Female	Female
Birth Date	Date Field	DD-MMM-YYYY	04-Aug-1963

Estimate status of date of birth	Pick List	<p>This field records the known accuracy of the birth date of the person:</p> <p>Accuracy Unknown; Accurate to within 1 month; Accurate to within 6 months; Accurate to within 12 months; Accurate to within 18 months; Accurate to within 2 years; Accurate to within 5 years; Certain; Proven; {Meaning: by authenticate birth certificate}</p>	Proven
Person Status	Pick List	<p>This field records the basis on which a person is called before the proceedings:</p> <p>Fact Witness Expert Witness Victim</p> <p>This is a multi value field</p>	Victim
Authority Introducing Witness/Victim	Pick List	<p>Name of the participant whose list this witness or victim appears on:- as per Participant codes in Appendix A</p>	OTP
Witness Statement Doc ID/Application reference	Text	<p>If the witness statement has been attributed a document ID in accordance with section D above, please indicate the document ID.</p> <p>All prior witness statements/victim's applications should be recorded in this field, including the document ID.</p> <p>This is a one to many field and if more than one entry then they should be separated by commas</p>	SSS-PPP-FFFF-DDDD

Appearance	Pick List	Please indicate how the witness will testify or how the victim will express his/her views and concerns: Permissible values are; <ul style="list-style-type: none"> ▪ Audio/Video ▪ In person ▪ Transcript ▪ Written Statement 	In person
Expected Appearance Length	Text	Please indicate the length of time the witnesses testimony or victim's appearance may take in hours and minutes format to assist the Court in arranging the hearing	02:00

Note: All text fields shall be in ISO 8859 Latin 1 (West European)

IV. General provisions

A. Virus responsibility

29. It is the responsibility of the recipient of the electronic data to test for viruses. The sender shall take all reasonable precautions to ensure that their data is virus free.

B. Consultative Committee

30. Representatives from the Court and all participants on the matter will form a consultative committee to:
- Determine codes to be set for any additional participants who may be added to the proceedings and to organize exchange of data with any such participants.
 - Attempt to resolve any issues which arise in the course of the application of this Protocol.
31. Meetings will be convened as required.

Appendix A – Participant Codes

Prefix in numbering regime being PPP	Code
Chambers	CHM Suggest change to PT1, PT2, etc for Pre Trial TC1, TC2, etc. for Trial APP for Appeals Court Evidence tendered through the Court, (i.e. not by the Defense or OTP)
In Court Evidence	ICE This would also include any evidence generated on the SmartBoard
Prosecution	OTP
Defence /OPCD If more than one Defendant Office of Public Counsel for Defense	D01 D02, D03, ..., D99, DA0, DA1, ..., DZZ PCD
Victim More than one Victim Office of Public Counsel for Victims	a_0011_06, a_0001_06, a_0037_07 PCV
State	SXX S is for State followed by the two character alpha Country code of country intervening. Use ISO 3166-1 and the corresponding ISO 3166-1-alpha-2 English code elements. Example: SAQ -> ANTARCTICA
XXX	Documents which are handed up in Court in Hardcopy are given an XXX number until the relevant participant provides the court with the electronic version in the proper format

Appendix B - Methodology for Host/Attachment Determination

1. Document Delimiting

- Any document that stands on its own with individually identifiable characteristics should be delimited separately.

- The back of pages with any text or markings should be included within documents and not, without compelling reasons to the contrary, be delimited as separate documents.

2. Host / Attachment / Unattached

- Documents that make reference to attached documents should be linked with the host and attachment structure.
- Translated documents will have their own ERN number but will be linked in the database through the host and attachment structure where the original document will play the "host" and any translations of that document will be "attachment(s)".
- Transcripts of media should also be linked through the host attachment structure where the original media item will play the "host" and any transcriptions of that item will be "attachment(s)".
- Documents which have been created in the Court (for example by a witness drawing on the "original" document and captured by the smartboard technology) will be given an ICE (In Court Evidence) number and will be linked to the "original" document through the "host/attachment" field where the "original" document will be the "host" and the "newly" created document will play the "attachment".
- Annexures and appendices should be delimited as one document unless the annexures can be regarded as having individual and identifiable characteristics. E.g. a binder with tabbed appendices where the appendices have identifiable characteristics (e.g. each doc has a date, title, author etc) would result in the first document being the host and subsequent appendices being the attachments.

Appendix C - Document Types

It is acknowledged that this list is not definitive and will change for each situation.

OTP as the participant who will commence coding potential evidence and material in any situation first, is obligated to provide their list of document types for that Situation to all other participants in a case once a case has been initiated by filing a copy of the documents types list with the Registry.

#	Type	Explanation
1.	Extra page	Any extra page that is not part of a document, such as cover sheets (other than covering letters), dividers, separators pages, empty files.
2.	Surrogate page	These sheets mark the place of evidence that cannot otherwise be included in the system.
3.	Calendar / Diary	Any chronological overview or record by an individual (printed, electronic or handwritten); any form of calendar
4.	Contact list	Any list containing primarily names or contact details
5.	List/ table	Any other list or table that does not primarily contain names or contact details

#	Type	Explanation
6.	Minutes of meetings	Any record of the proceedings or outcome of a meeting which is clearly identified as such
7.	Report	Any report that is not publicly available (including a chronology that is not a calendar nor a diary), which will generally be regarding past events (contrasted with an internal memorandum, which will contain advice, opinion, or instructions for future action); or Any report that is publicly available (usually from a NGO, IGO or government)
8.	Other notes	Any notes recorded by a person other than an investigator, and that are not a memorandum or report, and are not a dated and chronological record (which is a diary).
9.	Notebook	Any notebook (not just a few pages) that includes handwritten notes.
10.	ICC Statement - General	Any statement taken by someone who is a member of the ICC.
11.	Non-ICC Statement (Note / Screening / Transcript)	Any type of witness statement that is not an ICC witness statement, ICC interview notes or non-ICC interview notes, notes taken during an interview by someone who is not a member of the ICC; written version of a statement that was initially recorded by a person who is not a member of the ICC by audio and/or video means, but has been reduced to written form at a later date.
12.	ICC Statement - ICC investigator interview notes	Notes taken during an interview by someone who is a member of the ICC.
13.	ICC Statement - ICC transcribed statement	Written version of a statement that was initially recorded by a member of the ICC by audio and/or video means, but has been reduced to written form at a later date.
14.	ICC Statement - ICC screening	Screening assessment taken by someone who is a member of the ICC.
15.	ICC Statement – Electronic Media	A statement which is recorded by a member of the ICC by audio and/or video means
16.	Transcript	Written version of audio/video material that cannot be considered the recording of a statement (e.g. transcript of film).
17.	Correspondence (letter)	Any letter, including covering letters and documents drafted as a letter that were also transmitted by fax.
18.	Correspondence (e-mail)	Any email, including emails attaching reports, letters or other documents.
19.	Correspondence (fax)	Any type of fax, or record that a fax was sent. If the document concerns a letter that also has been faxed, it should be classified as a letter.
20.	Correspondence (internal memorandum)	Any type of memorandum between people within a group / organization / government (not the ICC), including e.g. mission orders.

#	Type	Explanation
21.	Correspondence (envelope)	Any envelope, whether posted or not.
22.	Correspondence (invitation)	Any kind of public or private invitation to attend any place or event, that clearly identifies an addressee.
23.	Contract / agreement	Any kind of commercial contract including employment contracts.
24.	Financial document (bank record)	Records kept by any financial institution, including internal records and records that are sent or otherwise provided to customers. This includes details of accounts and account statements.
25.	Financial document (invoice)	Any invoice or bill issued, seeking payment or other remuneration.
26.	Receipt	Any receipt issued, acknowledging payment for any kind of commercial transaction, or the receipt of goods
27.	Financial document (other)	Any other document of a financial nature, including cheques.
28.	Identifying document	Any official document that identifies a person, such as a passport, identity card, membership card of an organization.
29.	Personal data	Any document that records data relating to a person / group / organization which is given in text-format possibly with photographs, including Biography / Curriculum Vitae / Resume / Profile.
30.	Travel related and other administrative document	Any documents relating to travel, including route plans, tickets, itineraries.
31.	Photograph/s	Any document with mainly photographic images (even if those images were captures from another media such as video). The document may have wording, such as captions.
32.	Map	Any document representing the layout of a location, including clear sketches that indicate a geographical location.
33.	Sketch	Any draft of any object / person / location which is not clearly a map.
34.	Organisation diagram	The structure of a group / organization, including command structure, where in diagram format.
35.	Legislation / government instruction / public guidelines	Any legal or official document issued by the legislative body or government [official body] such as decrees, directives, ministerial instructions, etc.
36.	Internal guidelines / instruction /orders	Any guidelines or instructions, given by a non-public or non-governmental entity and which are not technical instructions.
37.	Technical manual	Any kind of technical manual.
38.	Certificate	Any type of certificate given by a private or public body
39.	Media / Press article	Media articles that are public, regardless whether they are taken from Internet, newspapers, newsletters, etc including press briefings and press releases
40.	Presentation	Any presentation given in a relatively public environment, including public presentation, public speech or declaration, slides, but that is not a witness statement.

#	Type	Explanation
41.	Court Document (Non ICC)	Any legal document (submissions, claims, judgments, brief) in court procedures conducted before any court except the ICC.
42.	Court Document (ICC)	Any legal document (submissions, judgments) in court procedures conducted before the ICC
43.	Complaint	Any document containing a complaint to an official instance.
44.	Physical item	Any physical item which is not a document (on either physical or electronic media).
45.	Pre-Registration Form	An ICC pre registration form documenting the collection of evidence.
46.	Audio / Video Material	An audio or video recording.
47.	Translation - ENG	Any translation into English of one of the above
48.	Translation - FRA	Any translation into French of one of the above

Appendix D - Numbering Example

Document A	Document B	Document C
Original file: documentA.pdf	Original file: documentB.pdf	Original file: documentC.xls
Situation: UGA	Situation: UGA	Situation: UGA
Batch: 0123	Batch: 0123	Batch: 0001
Participant: Office of the Prosecutor (OTP)	Participant: Office of the Prosecutor (OTP)	Participant victim: a_0011_08
No Pages: 5	No Pages: 3	No Pages: 4
Images:	Images:	Images:
From	From	From
UGA-OTP-0123-0001.tif	UGA-OTP-0123-0006.tif	UGA-a_0011_08-0001-0001.tif
To	To	To
UGA-OTP-0123-0005.tif	UGA-OTP-0123-0008.tif	UGA-a_0011_08-0001-0004.tif

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A	UGA-OTP-0123-0001	images\UGA\OTP\0123\	UGA-OTP-0123-0001-tif	1
			UGA-OTP-0123-0002-tif	2
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			UGA-OTP-0123-0004-tif	4
			UGA-OTP-0123-0005-tif	5
B	UGA-OTP-0123-0006	images\UGA\OTP\0123\	UGA-OTP-0123-0006-tif	1
			UGA-OTP-0123-0007-tif	2
			UGA-OTP-0123-0008-tif	3
C	UGA-a_0001_06-0001-0001	images\UGA\a_0001_06\0001\	UGA-a_0001_06-0001-0001-tif	1
			UGA- a_0001_06-0002-tif	2
			UGA- a_0001_06-0003-tif	3
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¹¹¹ Meta data is kept only against the original document and not against the translations. The only information kept against translation is the host number of the original document.