## ANNEX I

## Procedural

History

- 1. On 7 March 2014, Trial Chamber II, sitting in its previous composition, handed down the "Judgment pursuant to article 74 of the Statute" <sup>1</sup> ("the Judgment Handing Down Conviction").
- 2. On 23 May 2014, Trial Chamber II, sitting in its previous composition, also ruling by majority, passed its decision on sentence pursuant to article 76 of the Statute ("the Decision on Sentence"), imposing a 12-year term of imprisonment on Germain Katanga ("Mr Katanga").<sup>2</sup>
- 3. On 16 April 2014, the Presidency granted the requests of Judge Bruno Cotte and Judge Fatoumata Dembélé Diarra to leave the Court upon conclusion of the sentencing proceedings. Accordingly, the Presidency decided to reconstitute Trial Chamber II for future proceedings in the instant case, effective on the date of the issuance of the Decision on Sentence, as follows: Judge Christine Van den Wyngaert, Judge Silvia Fernández de Gurmendi and Judge Olga Herrera Carbuccia.<sup>3</sup>
- 4. On 30 May 2014, the Common Legal Representative of the main group of victims filed an application for disqualification of Judge Christine Van den Wyngaert.<sup>4</sup> On 22 July 2014, the Presidency rejected that application.<sup>5</sup>

<sup>&</sup>lt;sup>1</sup> "Judgment pursuant to article 74 of the Statute", 7 March 2014, ICC-01/04-01/07-3436-tENG with "Minority Opinion of Judge Christine Van den Wyngaert", ICC-01/04-01/07-3436-AnxI [French] translation registered on 1 August 2014). See also "Concurring opinion of Judges Fatoumata Diarra and Bruno Cotte", ICC-01/04-01/07-3436-AnxII-tENG.

<sup>&</sup>lt;sup>2</sup> "Decision on Sentence pursuant to article 76 of the Statute", 23 May 2014, ICC-01/04-01/07-3484-tENG with separate opinion of Judge Christine Van den Wyngaert, dated 23 May 2014 and registered on 24 May 2014, [French] translation registered on 7 September 2015, ICC-01/04-01/07-3484-Anx1.

 $<sup>^3</sup>$  "Decision replacing two judges in Trial Chamber II", 16 April 2014, ICC-01/04-01/07-3468 [French translation registered on 3 June 2014 annex, ICC-01/04-01/07-3468-Anx1. See also ICC-01/04-01/07-T-346-tENG, p. 17, lines 15-20.

<sup>&</sup>lt;sup>4</sup> "Application for disqualification of Judge Christine Van den Wyngaert", 30 May 2014, ICC-01/04-01/07-3487

<sup>&</sup>lt;sup>5</sup> "Notification of the decision on the application of the Legal Representative for Victims for the disqualification of a Judge in case ICC-01/04-01/07", 22 July 2014, ICC-01/04-01/07-3504 with annex, ICC-01/04-01/07-3504-Anx.

- 5. On 25 June 2014, Mr Katanga's defence team ("Defence") and the Office of the Prosecutor ("Prosecution") notified the reconstituted Chamber of the withdrawal of their respective appeals against the Judgment Handing Down Conviction.<sup>6</sup> The Defence also stated that it did not intend to appeal against the Decision on Sentence.
- 6. On 21 August 2014, the Common Legal Representative of Victims ("Legal Representative") requested the Chamber to schedule the submission of observations on the principles applicable to reparations in the instant case, and on the procedure to be followed.<sup>7</sup>
- 7. On 27 August 2014, the Chamber instructed the Registry, pursuant to regulation 86(5) of the Regulations of the Court, to contact the Applicants whom the Chamber had accorded the *locus standi* of victims participating in the proceedings against Mr Katanga ("Participating Victims") and the applicants who had requested reparations ("Applicants for Reparations"), in order to obtain additional and up-to-date information on the harm suffered and the reparations measures sought, and to file a report on the matter.8

<sup>&</sup>lt;sup>6</sup> "Defence Notice of Discontinuance of Appeal against the 'Jugement rendu en application de l'article 74 du Statut' rendered by Trial Chamber II on 7 April 2014", 25 June 2014, ICC-01/04-01/07-3497 with annex, ICC-01/04-01/07-3497-AnxA; "Notice of Discontinuance of the Prosecution's Appeal against the Article 74 Judgment of Conviction of Trial Chamber II dated 7 March 2014 in relation to Germain Katanga", 25 June 2014, ICC-01/04-01/07-3498.

<sup>&</sup>lt;sup>7</sup> "Request to fix a schedule for victims to submit their observations on reparations (Articles 68, 75 and 76 of the Statute)", 21 August 2014, ICC-01/04-01/07-3507-tENG.

<sup>&</sup>lt;sup>8</sup> "Order instructing the Registry to report on applications for reparations", 27 August 2014, ICC-01/04-01/07-3508. See also "Request for an extension of time to report on applications for reparations pursuant to regulation 35 of the regulations of the Court", 21 November 2014, ICC-01/04-01/07-3510.

- 8. On 31 October 2014, the Legal Representative informed the Chamber of the withdrawal of an Applicant for Reparations owing to the slow pace of the proceedings.<sup>9</sup>
- 9. On 15 December 2014, having been granted an extension of time,<sup>10</sup> the Registry filed its report on the results of consultations of the Applicants for Reparations from 25 September to 17 November 2014 ("Registry Report of 15 December 2014"). The Registry Report of 15 December 2014 included three annexes.<sup>11</sup> Annex 2 to the Registry Report of 15 December 2014 contains a table with information on 305 Participating Victims and Applicants for Reparations who were consulted and the requested reparation measures.<sup>12</sup>

<sup>&</sup>lt;sup>9</sup> "Notification du désistement d'une victime (a/0317/09)", 31 October 2014, ICC-01/04-01/07-3509 with one confidential annex and one confidential annex, *ex parte* Legal Representative and Registry.

<sup>10 &</sup>quot;Order on the 'Request for an Extension of Time to Report on Applications for Reparations Pursuant to Regulation 35 of the Regulations of the Court", 24 November 2014, ICC-01/04-01/07-3511. 11 "Annex 1 Ex parte available to the Registry, the Common Legal Representative and the Trust Fund for Victims only (Report on applications for reparations in accordance with Trial Chamber II's Order of 27 August)", 15 December 2014, ICC-01/04-01/07-3512-Conf-Exp-Anx1. A confidential redacted version and a public redacted version of Annex 1 were filed on 20 January 2015 (ICC-01/04-01/07-3512-Conf-Anx1-Red and ICC-01/04-01/07-3512-Anx1-Red2). The French translation of Annex 1 in a confidential, ex parte version (ICC-01/04-01/07-3512-Conf-Exp-Anx1), a public version (ICC-01/04-01/07-3512-Anx1-Red), and a confidential version (ICC-01/04-01/07-3512-Conf-Anx1-Red2) were also filed on 15 December 2014, 6 February 2015, and 20 February 2015 respectively. "Annex 2 ex parte available to the Registry, the Common Legal Representative and the Trust Fund for Victims only (Individual Reports)", 15 December 2014, ICC-01/04-01/07-3512-Conf-Exp-Anx2. A corrigendum with an explanatory annex were filed on 31 March 2015 (ICC-01/04-01/07-3512-Conf-Exp-Anx2-Corr and ICC-01/04-01/07-3512-Conf-Exp-Anx2-Corr-Anx). A confidential redacted version of the corrigendum was filed on the same day (ICC-01/04-01/07-3512-Conf-Anx2-Corr-Red). "Annex 3 ex parte available to the Registry, the Common Legal Representative and the Trust Fund for Victims only" (Template Questionnaire)", dated 15 December 2014, and reclassified as confidential on 27 January 2015, ICC-01/04-01/07-3512-Conf-Anx3.

<sup>&</sup>lt;sup>12</sup> "Registry Report on Applications for Reparations in accordance with Trial Chamber II's Order of 27 August 2014", 15 December 2014, ICC-01/04-01/07-3512. The Registry's report states that the Registry managed to interview 305 of the 353 applicants authorized to participate in the proceedings against Mr Katanga and 13 applicants for reparations. The Registry thus notes that a/0208/09 and a/0210/08 are the same Participating Victim and that Applicant for Reparation a/0317/09 had withdrawn from the proceedings (Registrar's Report of 15 December 2014, p. 3).

- 10. On 8 January 2015, the Legal Representative submitted, proprio motu, observations on reparations.<sup>13</sup>
- 11. On 13 January 2015, the Legal Representative filed an application for the resumption of action for Victims a/0170/08 and a/0294/09.<sup>14</sup>
- 12. On 21 January 2015, the Chamber invited States or interested persons to apply for leave to participate in accordance with article 75 of the Statute.<sup>15</sup>
- 13. On 2 February 2015, the Redress Trust filed an application for leave to participate, seeking to address, amongst other things, "[f]actors relating to the appropriateness of awarding reparations on a collective or individual basis and the other factors relevant to the types and modalities of reparations to be awarded." On the same day, Queens University Belfast's Human Rights Centre and University of Ulster's Transitional Justice Institute" also filed an application seeking leave of the Chamber to submit observations including on:

the procedural role of victims in the reparations process and implementation, [...] how compensation can be a means of symbolic acknowledgment, [and] [...] how acknowledgment of responsibility and

<sup>&</sup>lt;sup>13</sup> "Observations des victimes sur les réparations (Article 68(3) et 75 du Statut; Règles 89 à 93 et 97 du Règlement de procédure et de preuve)", 8 January 2015, ICC-01/04-01/07-3514-Conf. A public redacted version was filed on 27 January 2015 (ICC-01/04-01/07-3514-Red).

<sup>&</sup>lt;sup>14</sup> "Demande de reprise des actions introduites par les victimes a/0170/08 et a/0294/09", 13 January 2015, ICC-01/04-01/07-3515-Conf-Exp with two confidential ex parte annexes: ICC-01/04-01/07-3515-Conf-Exp-Anx1 and ICC-01/04-01/07-3515-Conf-Exp-Anx2. A public redacted version of the application was filed on the same day (ICC-01/04-01/07-3515-Red), as well as a confidential redacted version of the annexes (ICC-01/04-01/07-3515-Conf-Anx1-Red and ICC-01/04-01/07-3515-Conf-Anx2-Red).

<sup>&</sup>lt;sup>15</sup> "Scheduling order for interested States or other interested persons to apply for leave to file submissions pursuant to Article 75 of the Statute", 21 January 2015, ICC-01/04-01/07-3516. See also "Order extending the deadline for interested States and other interested persons to apply for leave to file submissions pursuant to Article 75 of the Statute", 2 February 2015, ICC-01/04-01/07-3518.

<sup>&</sup>lt;sup>16</sup> "Application by the Redress Trust for leave to submit observations pursuant to Article 75 of the Statute", 2 February 2015, ICC-01/04-01/07-3517.

apology by the convicted person can be facilitated to be more sensitive to victims' needs". 17

- 14. On 3 February 2015, the Chamber received the Defence's observations on the Legal Representative's application of 13 January 2015 for the resumption of action on behalf of Victims a/0170/08 and a/0294/09.18
- 15. On 12 February 2015, the *Ligue pour la Paix, les Droits de l'Homme et la Justice* [League for Peace, Human Rights and Justice] (LIPADHOJ) filed a request to submit observations on the award of reparations to the victims of those crimes established at trial but of which Mr Katanga was not convicted, and the appropriate modalities for reparations to child-soldier victims.<sup>19</sup>
- 16. On 13 February 2015, the United Nations ("United Nations") also sought leave of the Chamber to submit observations on: "the form of reparations that should be granted", "the principles to be applied" and "[TRANSLATION] the principles applicable to reparations" and on the "possible impact of the implementation of the ICC reparation programme on domestic reparations/peace and reconciliation process/fight against impunity in DRC".<sup>20</sup>

<sup>&</sup>lt;sup>17</sup> "Request for leave to file submissions on reparations issues pursuant to Article 75 of the Statute", dated 2 February 2015 and registered on 3 February 2015, ICC-01/04-01/07-3519.

<sup>&</sup>lt;sup>18</sup> "Defence Observations on the '*Demande de reprise des actions introduites par les victimes a*/0170/08 et a/0294/09'", dated 3 February 2015 and registered on 4 February 2015, ICC-01/04-01/07-3520.

<sup>&</sup>lt;sup>19</sup> "Demande de la Ligue pour la Paix, les Droits de l'Homme et la Justice (LIPADHOJ) en vue de présenter des observations en vertu de l'article 75 du Statut", dated 12 February 2015 and registered on 13 February 2015, ICC-01/04-01/07-3521-Conf.

<sup>&</sup>lt;sup>20</sup> "Application by the United Nations for leave to submit observations pursuant to Article 75 of the Statute", dated 13 February 2015 and registered on 16 February 2015, ICC-01/04-01/07-3523.

- 17. On 27 February 2015, in compliance with the decision of the Chamber to reduce the time for responding, the Defence<sup>21</sup> and the Legal Representative<sup>22</sup> submitted observations on the applications by organizations seeking leave to submit observations, and stated that they did not object to their participation.
- 18. On 12 March 2015, the Legal Representative filed a request for clarification on the application of rule 94 of the Rules<sup>23</sup> ("Legal Representative's Request of 12 March 2015").
- 19. On 17 March 2015, the Presidency of the Court replaced two judges in Trial Chamber II.<sup>24</sup> Judge Christine Van den Wyngaert and Judge Silvia Fernández de Gurmendi were assigned to the Appeals Chamber and were replaced by Judge Marc Perrin de Brichambaut and Judge Péter Kovács.
- 20. On 20 March 2015, the Chamber granted the Defence's request for extension of time to respond to the Legal Representative's Request of

<sup>&</sup>lt;sup>21</sup> "Defence Observations on the Applications ICC-01/04-01/07-3517, ICC-01/04-01/07-3519, ICC-01/04-01/07-3521 and ICC-01/04-01/07-3523 for leave to submit observations in respect of reparations proceedings in the case of Germain Katanga", 27 February 2015, ICC-01/04-01/07-3525-Conf. A public redacted version was filed on the same day (ICC-01/04-01/07-3525-Red).

<sup>&</sup>lt;sup>22</sup> "Observations du Représentant légal des victimes sur les demandes d'autorisation à déposer des observations des Organisations intéressées, en vertu de l'article 75 du Statut", 27 February 2015, ICC-01/04-01/07-3524-Conf. A public redacted version was filed on the same day (ICC-01/04-01/07-3524-Red).

<sup>&</sup>lt;sup>23</sup> "Request for clarification on the application of rule 94 of the Rules of Procedure and Evidence", dated 12 March 2015, [English] translation registered on 13 March 2015, ICC-01/04-01/07-3527-tENG. This Request was initially submitted by email to the Chamber on 5 March 2015 at 12.10. In an email sent by the Chamber on 9 March 2015 at 11.48, the Legal Representative was ordered to file such a request in the record of the case as soon as practicable.

 $<sup>^{24}</sup>$  "Decision replacing two judges in Trial Chamber II", dated 17 March 2015 and registered on 18 March 2015, ICC-01/04-01/07-3530. See also "Decision assigning judges to divisions", 13 March 2015, ICC-01/04-01/07-3528.

12 March 2015.<sup>25</sup> The Chamber ordered the Defence to file its response within 10 days of the notification of the redacted version of Annex 2.<sup>26</sup>

- 21. On 24 March 2015, the judges of Trial Chamber II elected Judge Marc Perrin de Brichambaut as the Presiding Judge of the Chamber.<sup>27</sup>
- 22. On 1 April 2015, the Chamber, acting pursuant to article 75 of the Statute and rules 97 and 98 of the Rules of Procedure and Evidence, issued an order instructing parties and participants to file observations on the reparations procedure.<sup>28</sup> That same day, the Chamber accorded leave to the Redress Trust; Queen's University Belfast's Human Rights Centre and University of Ulster's Transitional Justice Institute; LIPADHOJ; and the United Nations to file representations.<sup>29</sup>
- 23. On 13 April 2015, the Defence<sup>30</sup> and the Registry<sup>31</sup> submitted their observations on the Legal Representative's Request of 12 March 2015.
- 24. On 30 April 2015, the Prosecution submitted observations in respect of the procedure for reparations.<sup>32</sup>

<sup>&</sup>lt;sup>25</sup> "Defence Observations on the 'Demande de clarification concernant la mise en œuvre de la Règle 94 du Règlement de procédure et de preuve'", 17 March 2015, ICC-01/04-01/07-3529-Conf.

<sup>&</sup>lt;sup>26</sup> Email from the Chamber to the parties and participants on 20 March 2015 at 13.07.

<sup>&</sup>lt;sup>27</sup> "Ordonnance notifiant l'élection du juge president", 24 March 2015, ICC-01/04-01/07-3531.

<sup>&</sup>lt;sup>28</sup> "Order instructing the parties and participants to file observations in respect of the reparations proceedings", 1 April 2015, ICC-01/04-01/07-3532-tENG.

<sup>&</sup>lt;sup>29</sup> "Order granting leave to file representations pursuant to article 75(3) of the Statute", dated 1 April 2015, reclassified as "public" on 4 June 2015, ICC-01/04-01/07-3533-tENG.

<sup>&</sup>lt;sup>30</sup> "Second Defence Observations on the 'Demande de clarification concernant la mise en œuvre de la Règle 94 du Règlement de procédure et de preuve", 13 April 2015, ICC-01/04-01/07-3534-Conf.

<sup>&</sup>lt;sup>31</sup> "Corrected version of 'Registry's Observations on the Legal Representative of Victims' request for clarification ICC-01/04-01/07-3527' notified on 13 April 2015", dated 15 April 2015 and registered on 16 April 2015, ICC-01/04-01/07-3535-Corr, with one public annex.

<sup>&</sup>lt;sup>32</sup> "Prosecution's Observations on the Procedure for Reparations", 30 April 2015, ICC-01/04-01/07-3544.

- 25. On 8 May 2015, the Chamber instructed the Legal Representative to, in consultation with the Registry, to compile and to file by 1 October 2015 all applications for participation and/or reparations initially made by the Participating Victims and Applicants for Reparations, and, where possible, supporting documentation, attesting to the extent of the harm suffered and the causal nexus between the harm alleged and the crime committed. The Chamber directed the Registry to impart in redacted form, to the Bench and to the parties any other application for reparations from individuals who had to make themselves known, and where possible, supporting documentation and directed Legal Representative to represent any applicant for reparations identified subsequently ("Decision of 8 May 2015"). 33
- 26. On 11 May 2015, the Chamber authorized the resumption of action on behalf of deceased Participating Victims a/0170/08 and a/0294/09.<sup>34</sup>
- 27. On 13 May 2015, the Trust Fund for Victims ("TFV") submitted its observations on the principles and procedures to be applied to reparations in the case.<sup>35</sup>
- 28. On 14 May 2015, the Defence,<sup>36</sup> the United Nations,<sup>37</sup> and Queen's University Belfast's Human Rights Centre (HRC) and University of Ulster's

<sup>&</sup>lt;sup>33</sup> "Decision on the 'Demande de clarification concernant la mise en œuvre de la Règle 94 du Règlement de procédure et de preuve" and future stages of the proceedings", 8 May 2015, ICC-01/04-01/07-3546-tENG.

 $<sup>^{34}</sup>$  "Decision on the applications for resumption of action submitted by the family members of deceased victims a/0170/08 and a/0294/09", 11 May 2015, ICC-01/04-01/07-3547-tENG.

<sup>&</sup>lt;sup>35</sup> "Observations on Reparations Procedure", 13 May 2015, ICC-01/04-01/07-3548.

<sup>&</sup>lt;sup>36</sup> "Defence Observations on Reparations", dated 14 May 2015 and registered on 15 May 2015, ICC-01/04-01/07-3549.

Transitional Justice Institute (TJI)<sup>38</sup> submitted their observations on the reparations procedure.

- 29. On 15 May 2015, LIPADHOJ,<sup>39</sup> the Registry,<sup>40</sup> the Redress Trust<sup>41</sup> and the Legal Representative<sup>42</sup> presented their observations on the reparations procedure.
- 30. On 22 May 2015, the Defence filed a request for the disclosure of applications for participation and/or for reparations submitted by the Participating Victims which have already been notified, and unredacted or less redacted versions of any new application for reparations ("Defence Request of 22 May 2015").<sup>43</sup>
- 31. On 11 June 2015, the Legal Representative filed his response to the Defence Request of 22 May 2015.<sup>44</sup>

ICC-01/04-01/07 Official Court Translation

<sup>&</sup>lt;sup>37</sup> "United Nations Joint Submission on Reparations", dated 14 May 2015 and registered on 15 May 2015, ICC-01/04-01/07-3550.

<sup>&</sup>lt;sup>38</sup> "Queen's University Belfast's Human Rights Centre (HRC) and University of Ulster's Transitional Justice Institute (TJI) Submission on Reparations Issues pursuant to Article 75 of the Statute", dated 14 May 2015 and registered on 15 May 2015, ICC-01/04-01/07-3551.

<sup>&</sup>lt;sup>39</sup> "Observations de la Ligue pour la Paix, les Droits de l'Homme et la Justice (LIPADHOJ) présentées en vertu de l'article 75-3 du Statut", 15 May 2015, ICC-01/04-01/07-3552-Conf. A public redacted version was filed on 29 July 2015 (ICC-01/04-01/07-3552-Red).

<sup>&</sup>lt;sup>40</sup> "Registry's Observations pursuant to Order ICC-01/04-01/07-3532", 15 May 2015, ICC-01/04-01/07-3553.

<sup>&</sup>lt;sup>41</sup> "Redress Trust observations pursuant to Article 75 of the Statute", 15 May 2015, ICC-01/04-01/07-3554 with two annexes: ICC-01/04-01/07-3554-Anx1 and ICC-01/04-01/07-3554-Anx2.

 $<sup>^{42}</sup>$  "Observations of the victims on the principles and procedures to be applied to reparations", 15 May 2015, ICC-01/04-01/07-3555-tENG with annex ICC-01/04-01/07-3555-Anx.

<sup>&</sup>lt;sup>43</sup> "Defence Request for the Disclosure of Unredacted or Less Redacted Victim Applications", 22 May 2015, ICC-01/04-01/07-3557-Conf. A public redacted version was filed on the same day (ICC-01/04-01/07-3557-Red).

<sup>&</sup>lt;sup>44</sup> "Réponse des victimes à la demande de la Défense intitulée 'Defence Request for the Disclosure of Unredacted or Less Redacted Victim Applications' (ICC-01/04-01/07-3557-Conf)", dated 11 June 2015 and [French version] registered on 12 June 2015, ICC-01/04-01/07-3561-Conf-tENG.

- 32. On 12 June 2015, the Chamber extended the time limit for submitting responses to the observations of the parties and participants on the principles and procedures for reparations to 16 June.<sup>45</sup>
- 33. On 16 June 2015, in accordance with the instructions of the Chamber, the Legal Representative<sup>46</sup> and the Defence<sup>47</sup> filed consolidated submissions in response to the observations of the parties and participants on the principles and procedures for reparations.
- 34. On 10 July 2015, the Chamber instructed the Victims Participation and Reparations Section ("VPRS") to submit its observations by 31 July 2015.<sup>48</sup>
- 35. On 31 July 2015, in compliance with the instructions of the Chamber, the VPRS submitted observations on the Defence Request of 22 May 2015.<sup>49</sup>
- 36. On 1 September 2015, in response to the Defence Request of 22 May 2015 and after receiving the observations of the Legal Representative and the Registry, the Chamber issued a decision on the redactions applicable to the applications for reparations.<sup>50</sup>

<sup>&</sup>lt;sup>45</sup> "Decision on the Defence request for an extension of the time for submitting its response to the observations in the reparations proceedings", 12 June 2015, ICC-01/04-01/07-3562-tENG.

<sup>&</sup>lt;sup>46</sup> "Consolidated response of the victims to the observations filed by the Defence, and the participants and organisations invited to file their observations on the principles and procedure for reparations", 16 June 2015, ICC-01/04-01/07-3565.

<sup>&</sup>lt;sup>47</sup> "Defence Consolidated Response to the Parties, Participants and Other Interested Persons' Observations on Reparation", 16 June 2015, ICC-01/04-01/07-3564.

<sup>&</sup>lt;sup>48</sup> Email from the Chamber to VPRS on 10 July 2015 at 15.50.

<sup>&</sup>lt;sup>49</sup> "Registry's observations on the Defence Request entitled 'Defence Request for the Disclosure of Unredacted or Less Redacted Victim Applications' (ICC-01/04-01/07-3557-Conf)", 31 July 2015, ICC-01/04-01/07-3571-Conf-tENG.

<sup>&</sup>lt;sup>50</sup> "Decision on the 'Defence Request for the Disclosure of Unredacted or Less Redacted Victim Application'", 1 September 2015, ICC-01/04-01/07-3583-tENG.

- 37. On 7 September 2015, the Legal Representative filed a request ("Legal Representative's Request of 7 September 2015") for assistance from the Victims and Witnesses Unit in identifying new categories of potential victims, namely children who were present at the attack on Bogoro of 23 February 2003 and who, owing to the trauma connected to the attack, are unable to lead "a satisfactory social and professional life"; children who were born after the attack and who suffer from a specific form of trauma known as "transgenerational" trauma; and parents who, until now, have "voluntarily or involuntarily hidden" their trauma.<sup>51</sup>
- 38. On the same day, the Legal Representative<sup>52</sup> and the Registry<sup>53</sup> filed requests for the extension of the time limit set in the Decision of 8 May 2015 for transmitting applications for reparations to the Chamber "Requests for Extension of Time of 7 September 2015").
- 39. On 10 September 2015, the Defence filed a response to the Requests for Extension of Time of 7 September 2015<sup>54</sup> ("Defence Response of 10 September 2015").

<sup>&</sup>lt;sup>51</sup> "Request by the Legal Representative for the Chamber to instruct the Victims and Witnesses Unit to assist with the identification of traumatised children so that their requests for reparations can be collected", 7 September 2015, ICC-01/04-01/07-3585-Conf-tENG. A public redacted version was filed on 18 September 2015 (ICC-01/04-01/07-3585-Red).

<sup>&</sup>lt;sup>52</sup> "Demande en prorogation du délai fixé par la décision ICC-01/04-01/07-3546 pour le dépôt et la transmission des demandes en réparation" 7 September, ICC-01/04-01/07-3586.

<sup>&</sup>lt;sup>53</sup> "Request to extend the time limit for the transmission of applications for reparations pursuant to regulation 35 of the Regulations of the Court", 7 September 2015, ICC-01/04-01/07-3587-tENG.

<sup>&</sup>lt;sup>54</sup> "Defence Consolidated Response to the Legal Representative of Victims and the Registry's Requests for an extension of time limit", 10 September 2015, ICC-01/04-01/07-3591. See also "Demande de réplique au document de la Défense intitulé 'Defence Consolidated Response to the Legal Representative of Victims and the Registry's Requests for an extension of time limit (ICC-01/04-01/07-3591)", 11 September 2015, ICC-01/04-01/07-3592.

- 40. On 21 September 2015, the Chamber issued a decision on the Requests for Extension of Time of 7 September 2015 amending the time limit for filing the consolidated applications for reparations; and ordering the Registry and the Legal Representative to transmit and submit them as soon as they became available and by 1 December 2015; and extending the time limit for filing Defence observations to 11 January 2016.<sup>55</sup>
- 41. On 25 September 2015, as instructed by the Chamber, the Registry submitted observations on the Legal Representative's Request of 7 September 2015 ("Registry's Observations of 25 September 2015"). <sup>56</sup> The Registry informed the Chamber that a meeting had been held between the Registry, represented by staff from VPRS, Counsel Support Section and the Victims and Witness Unit, and the Legal Representative to clarify the Legal Representative's Request of 7 September 2015 and to explore what type of assistance might be granted to him by the various sections of the Registry. The Registry stated that its observations of 25 September 2015 contained the points put forward in the meeting.
- 42. On 2 October 2015, as instructed by the Chamber,<sup>57</sup> the Defence filed a consolidated response to the Legal Representative's Request of 7 September 2015 and the Registry's Observations of 25 September 2015.<sup>58</sup>

<sup>&</sup>lt;sup>55</sup> "Decision on the requests of the Common Legal Representative of Victims and the Registry for an extension of time limit for transmitting and filing applications for reparations", 21 September 2015, ICC-01/04-01/07-3599-tENG.

<sup>&</sup>lt;sup>56</sup> "Observations of the Registry on the 'Requête du Représentant légal sollicitant de la Chambre d'enjoindre à l'Unité d'aide aux victimes et aux témoins (ICC-01/04-01/07-3585-Conf)'", dated 25 September 2015, reclassified as Public on 14 October 2015, ICC-01/04-01/07-3601-tENG.

<sup>&</sup>lt;sup>57</sup> "Order relating to the request of the common Legal Representative of Victims for the assistance of the Victims and Witnesses Unit", 11 September 2015, ICC-01/04-01/07-3593-Conf-tENG.

<sup>&</sup>lt;sup>58</sup> "Defence Consolidated Response to the Legal Representative of Victims' Request and the Registry's Observations" dated 2 October 2015, reclassified as Public on 14 October 2015, ICC-01/04-01/07-3605.

- 43. On 9 October 2015, the Legal Representative notified the Chamber of the withdrawal for personal reasons of 71 Participating Victims and Applicants for Reparations.<sup>59</sup>
- 44. On 9 October, the Chamber rejected the Legal Representative's Request of 7 September 2015 and invited him to file an application with the Registry for the support of a professional in accordance with regulation 83(3) of the Regulations of the Court 60
- 45. On 12 November 2015, the Registry transmitted applications for reparations to the Chamber ("Batch One").<sup>61</sup>
- 46. On 16 November 2015, the Registry submitted a report on Batch One with an explanatory note to the Chamber.<sup>62</sup>
- 47. On 20 November 2015, the Registry transmitted applications for reparations to the Chamber ("Batch Two").63

<sup>&</sup>lt;sup>59</sup> "Communication du Représentant légal relative à la situation de certaines victimes", 9 October 2015, ICC-01/04-01/07-3609 with annex, ICC-01/04-01/07-3609-Conf-Exp-Anx.

<sup>&</sup>lt;sup>60</sup> "Decision on the request of the common legal representative of victims for assistance from the Victims and Witnesses Unit", dated 9 October 2015, reclassified as Public on 19 October 2015, ICC-01/04-01/07-3608-tENG.

<sup>&</sup>lt;sup>61</sup> "Transmission de demandes en réparation" dated 12 November 2015, registered on 13 November 2015, ICC-01/04-01/07-3614 and 43 confidential annexes, ex parte Registry and Legal Representative of Victims. A confidential, redacted version of the annexes was filed for the attention of the Defence on 24 November 2015 (ICC-01/04-01/07-3619).

<sup>&</sup>lt;sup>62</sup> "Transmission du Rapport sur les demandes en réparation", 16 November 2015, ICC-01/04-01/07-3616 with two confidential annexes ICC-01/04-01/07-3616-Conf-Exp-Anx1 and ICC-01/04-01/07-3616-Conf-Anx2.

<sup>&</sup>lt;sup>63</sup> "Seconde transmission de demandes en réparation", 20 November 2015, ICC-01/04-01/07-3617 and 19 confidential annexes ex parte Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed for the attention of the Defence on 27 November 2015 (ICC-01/04-01/07-3622).

- 48. On 24 November 2015, the Registry submitted a report on Batch Two to the Chamber.<sup>64</sup>
- 49. On 25 November 2015, the Legal Representative asked the Chamber for an extension of time for the filing and transmission of applications for reparations<sup>65</sup> ("Legal Representative's Request for Extension of Time of 25 November 2015").
- 50. On 27 November 2015, the Registry transmitted applications for reparations to the Chamber ("Batch Three").66
- 51. On 26 January 2016, the Registry submitted a report on Batch Three to the Chamber.<sup>67</sup>
- 52. On 7 December 2015, the Defence filed its response to the Legal Representative of Victims' Request for Extension of time of 25 November 2015.68

<sup>64 &</sup>quot;Transmission du Rapport concernant la Seconde Transmission des Demandes en Réparation", 24 November 2015, ICC-01/04-01/07-3618 with confidential annex, ICC-01/04-01/07-3618-Conf-Anx-Red

<sup>&</sup>lt;sup>65</sup> "Demande en prorogation du délai fixé par la décision ICC-01/04-01/07-3599 pour le dépôt et la transmission des demandes en réparation", 25 November 2015, ICC-01/04-01/07-3620.

<sup>&</sup>lt;sup>66</sup> "Troisième transmission de demandes en réparation", 27 November 2015, ICC-01/04-01/07-3621 and 33 confidential annexes, ex parte Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed that day for the attention of the Defence on the same day (ICC-01/04-01/07-3624).

<sup>&</sup>lt;sup>67</sup> "Transmission du Rapport concernant la Troisième Transmission des Demandes en Réparation", 26 January 2016, ICC-01/04-01/07-3639 with confidential annex, ICC-01/04-01/07-3639-Conf-Exp-Anx. A redacted version of the annex was filed on 29 January 2016, ICC-01/04-01/07-3639-Conf-Anx-Red.

<sup>&</sup>lt;sup>68</sup> "Defence Response to the Legal Representative of Victims Second Request for an extension of time limit", 7 December 2015, ICC-01/04-01/07-3625.

- 53. On 8 December 2015, the Chamber granted the Legal Representative's Request for Extension of Time of 25 November 2015 to file applications for reparations with the Registry.<sup>69</sup>
- 54. On 29 January 2016, the Legal Representative filed a submission requesting clarification from the Chamber regarding the redactions applicable to new applications ("Legal Representative's Submission of 29 January 2016").<sup>70</sup>
- 55. On 1 February 2016, in response to the Defence request,<sup>71</sup> the Chamber extended the time limit for the Defence to submit observations on Batches One, Two and Three until 12 February 2016.<sup>72</sup>
- 56. On 2 February 2016, the Registry transmitted applications for reparations to the Chamber ("Batch Four").<sup>73</sup>
- 57. On 8 February 2016, the Defence filed a response to the Legal Representative's Submission of 29 January 2016.<sup>74</sup>

<sup>&</sup>lt;sup>69</sup> "Décision accordant une nouvelle prorogation de délai au Représentant légal commun des victimes pour le dépôt des demandes en réparation", 8 December 2015, ICC-01/04-01/07-3628.

<sup>&</sup>lt;sup>70</sup> "The Legal Representative's submission regarding the redactions on the requests for reparations filed by victims yet to make themselves known to the Court", 29 January 2016, ICC-01/04-01/07-3643-

<sup>&</sup>lt;sup>71</sup> "Defence Request for Extension of Time", 28 January 2016, ICC-01/04-01/07-3641.

<sup>&</sup>lt;sup>72</sup> "Decision granting extension of time to submit Defence observations on requests for reparations", 1 February 2016, ICC-01/04-01/07-3645-tENG.

<sup>&</sup>lt;sup>73</sup> "Quatrième Transmission de Demandes en reparation", 2 February 2016, ICC-01/04-01/07-3646 and 35 confidential annexes, ex parte Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed that day for the attention of the Defence on the same day (ICC-01/04-01/07-3648).

<sup>&</sup>lt;sup>74</sup> Defence Response to the "Soumission du Représentant légal relative aux expurgations pratiquées sur les demandes en réparation déposées par les victimes qui ne se sont pas encore fait connaître de la Cour", 8 February 2016, ICC-01/04-01/07-3651.

- 58. On 16 February 2016, the Chamber issued an order concerning the Legal Representative's Submission of 29 January 2016.<sup>75</sup>
- 59. On 17 February 2016, the Registry submitted a report on Batch Four to the Chamber. The report was submitted to the Defence on 18 February 2016.
- 60. On 17 February 2016, the Registry submitted applications for reparations to the Chamber ("Batch Five").<sup>78</sup>
- 61. On 24 February 2016, as instructed by the Chamber, the Defence submitted observations on Batches One, Two and Three.<sup>79</sup>
- 62. On 26 February 2016, the Registry transmitted applications for reparations to the Chamber ("Batch Six").<sup>80</sup> A corrected version of Annex 6 to Batch Six was filed on 1 March 2016.<sup>81</sup>

<sup>&</sup>lt;sup>75</sup> "Corrigendum to the 'Order relating to the submission of the Legal Representative of Victims'", 16 February 2016, ICC-01/04-01/07-3653-Corr-tENG with one public annex.

<sup>&</sup>lt;sup>76</sup> "Transmission du Rapport concernant la Quatrième Transmission des Demandes en Réparation", 17 February 2016, ICC-01/04-01/07-3657 with confidential ex parte annex, ICC-01/04-01/07-3657-Conf-Exp-Anx. A redacted version of the annex was filed on 18 February 2016 (ICC-01/04-01/07-3657-Conf-Anx-Red) and a corrected version of the annex was filed on 10 March 2016 (ICC-01/04-01/07-3657-Conf-Anx-Red-Corr) as well as its explanatory note (ICC-01/04-01/07-3657-Conf-Anx-Red-Corr-Anx).

<sup>77 &</sup>quot;Transmission à la Défense du Rapport concernant la Quatrième Transmission des Demandes en Réparation", 18 February 2016, ICC-01/04-01/07-3658.

<sup>&</sup>lt;sup>78</sup> Cinquième transmission de Demandes en réparation, 17 February 2016, ICC-01/04-01/07-3656 and 85 confidential annexes, ex parte Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed for the attention of the Defence on 18 February 2016 (ICC-01/04-01/07-3659).

<sup>&</sup>lt;sup>79</sup> "Defence Observations on the Victims Applications for Reparation", dated 24 February 2016 and reclassified as "confidential" on 3 March 2016, ICC-01/04-01/07-3660-Conf with annex, ICC-01/04-01/07-3660-Conf-Exp-AnxA. A public redacted version was filed on 8 March 2016 (ICC-01/04-01/07-3660-Red).

<sup>&</sup>lt;sup>80</sup> "Sixième transmission de Demandes en réparation", 26 February 2016, ICC-01/04-01/07-3661 with 80 confidential annexes *ex parte* Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed that day for the attention of the Defence on the same day (ICC-01/04-01/07-3663).

- 63. On 29 February 2016 the Registry transmitted applications for reparations to the Chamber ("Batch Seven").<sup>82</sup> A corrected version of Annex 9 to Batch Seven was submitted on 10 March 2016.<sup>83</sup>
- 64. On 14 March 2016, the Legal Representative filed a request ("Legal Representative's Request of 14 March 2016") for the family members of a/0015/09, a/0032/08, a/0057/08, a/0166/09, a/0192/08, a/0225/09, a/0281/08, a/0282/09, a/0286/09, a/0298/09, a/0354/09, a/0361/09, a/0391/09, a/2743/10 and a/30490/15 to be granted leave to continue the action initiated by the applicants in the reparations proceedings.<sup>84</sup>
- 65. On 15 March 2016, the Legal Representative notified the Chamber of the withdrawal for personal reasons of 25 Participating Victims and Applicants for Reparations.<sup>85</sup>

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<sup>&</sup>lt;sup>81</sup> "Corrigendum of the Annex 6 to the 'Sixième Transmission de Demandes en réparation'", 1 March 2016, ICC-01/04-01/07-3661-Conf-Exp-Anx6-Corr, with explanatory note, 01/04-01/07-3661-Conf-Exp-Anx6-Corr-Anx.

<sup>&</sup>lt;sup>82</sup> "Septième transmission de Demandes en réparation", 29 February 2016, ICC-01/04-01/07-3664 and 15 confidential annexes, ex parte Registry and Legal Representative of Victims. A confidential redacted version of the annexes was filed that day for the attention of the Defence on the same day (ICC-01/04-01/07-3665).

<sup>83 &</sup>quot;Corrigendum: Annex 9 to the 'Septième Transmission de Demandes en réparation'", 10 March 2016, ICC-01/04-01/07-3664-Conf-Exp-Anx9-Corr with explanatory note, ICC-01/04-01/07-3664-Conf-Exp-Anx9-Corr-Anx.

<sup>84 &</sup>quot;Demande de reprise des actions introduites par les victimes a/0015/09, a/0032/08, a/0057/08, a/0166/09, a/0192/08, a/0225/09, a/0281/08, a/0282/09, a/0286/09, a/0298/09, a/0354/09, a/0361/09, a/0391/09, a/2743/10 et a/30490/15", 14 March 2016, ICC-01/04-01/07-3668-Conf and 15 confidential ex parte annexes, and confidential redacted versions. A public redacted version of the application was filed on the same day, ICC-01/04-01/07-3668-Red.

<sup>&</sup>lt;sup>85</sup> "Deuxième communication du Représentant légal relative à la situation de certaines victimes", 15 March 2016, ICC-01/04-01/07-3669 with 4 annexes ICC-01/04-01/07-3669-Conf-Exp-Anx1, ICC-01/04-01/07-3669-Conf-Exp-Anx2, ICC-01/04-01/07-3669-Conf-Exp-Anx3 and ICC-01/04-01/07-3669-Conf-Exp-Anx4. Confidential redacted versions of the annexes were filed on the same day (ICC-01/04-01/07-3669-Conf-Anx1-Red, ICC-01/04-01/07-3669-Conf-Anx2-Red, ICC-01/04-01/07-3669-Conf-Anx3-Red, ICC-01/04-01/07-3669-Conf-Anx4-Red).

- 66. On 16 March 2016, the Legal Representative notified the Chamber of the withdrawal for personal reasons of three Participating Victims and Applicants for Reparations.<sup>86</sup>
- 67. On 16 March 2016, the Legal Representative sought the leave of the Chamber to withdraw from the representation agreement with certain victims who had been granted leave to participate in the proceedings.<sup>87</sup>
- 68. On 17 March 2016, the Legal Representative transmitted to the Chamber, through the Registry, an identity document to replace the document mistakenly attached to application a/25105/16.88
- 69. On 24 March 2016, the Legal Representative filed a request for the DRC to take part in the reparations process.<sup>89</sup> ("Legal Representative's Request of 24 March 2016").
- 70. On 25 March 2016, the Defence requested an extension of the time limit and the disclosure of unredacted versions of the death certificates attached to the Legal Representative's Request of 14 March 2016<sup>90</sup> ("Defence Request of 25 March 2016").

<sup>&</sup>lt;sup>86</sup> "Troisième communication du Représentant légal relative à la situation de certaines victimes", 16 March 2016, ICC-01/04-01/07-3671 with annex, ICC-01/04-01/07-3671-Conf-Exp-Anx. A confidential redacted version of the annex was filed on the same day, ICC-01/04-01/07-3671-Conf-Anx-Red.

<sup>&</sup>lt;sup>87</sup> "Demande de retrait de mandat du Représentant légal relativement à certaines victimes ayant été autorisées à participer à la procédure", 16 March 2016, ICC-01/04-01/07-3670-Conf with confidential annex, ex parte Legal Representative of Victims, ICC-01/04-01/07-3670-Conf-Exp-Anx with confidential redacted annex ICC-01/04-01/07-3670-Conf-Anx-Red.

<sup>88 &</sup>quot;Transmission à la Chambre d'un Document additionnel concernant une Demande en Réparation", 17 March 2016, ICC-01/04-01/07-3672.

<sup>&</sup>lt;sup>89</sup> "Requête des victimes sollicitant par l'entremise de la Chambre l'intervention de la République Démocratique du Congo au processus des réparations", 24 March 2016, ICC-01/04-01/07-3674.

<sup>&</sup>lt;sup>90</sup> "URGENT Defence Requests with relation to the Victims Applications", dated 25 March 2016 and registered on 29 March 2016, ICC-01/04-01/07-3675.

- 71. On 29 March 2016, the Legal Representative presented to the Chamber his response to the Defence Request of 25 March 2016.<sup>91</sup>
- 72. On 30 March 2016, the Registry transmitted a report on Batches Five, Six and Seven to the Chamber.<sup>92</sup>
- 73. On 7 April 2016, the Legal Representative transmitted to the Chamber and to the Defence a document stating the family ties between the Applicants.<sup>93</sup>
- 74. On 11 April 2016, the Defence submitted observations on Batches Four, Five, Six, and Seven<sup>94</sup> ("Second Defence Observations").
- 75. On 14 April 2016, the Chamber granted in part the Defence Request of 25 March 2016.95 The Chamber extended the time for submitting observations on the redacted versions of Batches Four, Five, Six, and Seven;96 dismissed the request for disclosure of the unredacted death certificates attached to the Legal Representative's Request of 14 March

<sup>&</sup>lt;sup>91</sup> "Response to the Defence request entitled 'URGENT Defence Requests with relation to the Victims Applications'", 29 March 2016, ICC-01/04-01/07-3676-tENG.

<sup>&</sup>lt;sup>92</sup> "Transmission du Rapport concernant les Cinquième, Sixième et Septième Transmissions de Demandes en Réparation", 30 March 2016, ICC-01/04-01/07-3677 with confidential ex parte annex (ICC-01/04-01/07-3677-Conf-Exp-Anx) and confidential redacted annex (ICC-01/04-01/07-3677-Conf-Anx-Red).

<sup>&</sup>lt;sup>93</sup> "Addendum à la 'Réponse à la requête de la Défense intitulée "URGENT Defence Requests with relation to the Victims Applications"", 7 April 2016, ICC-01/04-01/07-3680 with confidential annex ICC-01/04-01/07-3680-Conf-Anx.

<sup>&</sup>lt;sup>94</sup> "Second Defence Observations on the Victims Applications for Reparation", dated 11 April 2106, reclassified as "Confidential *ex parte* Registry, Legal Representative of Victims, Defence and Trust Fund for Victims only " on 23 August 2016, ICC-01/04-01/07-3681-Conf-Exp with confidential annex, ICC-01/04-01/07-3681-Conf-Exp-AnxA as well as public annex, ICC-01/04-01/07-3681-AnxB.

<sup>&</sup>lt;sup>95</sup> "Decision on the submission of observations on the requests for reparations and the applications to resume action", 14 April 2016, ICC-01/04-01/07-3682-tENG.

<sup>&</sup>lt;sup>96</sup> By email of the Chamber to the Defence on 29 March 2016, at 17.12.

2016; and declared the Defence's request relating to access to a list of the family ties between the Applicants [to be moot].

- 76. On 15 April 2016, the Defence stated its support for the Legal Representative's Request of 24 March 2016.<sup>97</sup>
- 77. On 13 May 2016, the Legal Representative filed a report on the work done in relation to the Decision of 8 May 2015, with a summary table stating the nexus between crimes and harm suffered.98
- 78. On 18 May 2016, the Chamber granted in part the Legal Representative's request to withdraw from the representation agreement and instructed the VPRS to transmit the dossiers, in their as they stood, of 39 Participating Victims and three Applicants ("Decision of 18 May 2016").99
- 79. On 20 May 2016, in response to the Legal Representative's Request of 14 March 2016, the Chamber authorized the resumption of the action of certain deceased Applicants.<sup>100</sup>
- 80. On 26 May 2016, the Legal Representative filed an expert report on transgenerational harm ("Expert Report of 26 May 2016"). 101

<sup>&</sup>lt;sup>97</sup> "Defence Response to the *Requête des victimes sollicitant par l'entremise de la Chambre l'intervention de la République Démocratique du Congo au processus des réparations*", 15 April 2016, ICC-01/04-01/07-3683.

 $<sup>^{98}</sup>$  "Rapport sur la mise en œuvre de la Décision n°3546, en ce compris l'identification des préjudices subis par les victimes suite aux crimes commis par G. Katanga (Article 75-1 du Statut et Norme 38-1-f) du Règlement de la Cour)", 13 May 2016, ICC-01/04-01/07-3687 with 4 public annexes: ICC-01/04-01/07-3687-Anx1; ICC-01/04-01/07-3687-Anx2; ICC-01/04-01/07-3687-Anx3; and ICC-01/04-01/07-3687-Anx4.

<sup>&</sup>lt;sup>99</sup> "Decision on the request by the Legal Representative of Victims to withdraw from the representation agreement", 18 May 2016, ICC-01/04-01/07-3689-Conf-tENG.

<sup>&</sup>lt;sup>100</sup> "Decision on the applications for resumption of action lodged by the family members of deceased victims a/0015/09, a/0032/08, a/0057/08, a/0166/09, a/0192/08, a/0225/09, a/0281/08, a/0282/09, a/0286/09, a/0298/09, a/0354/09, a/0361/09, a/0391/09, a/2743/1", 20 May 2016, ICC-01/04-01/07-3691-tENG.

- 81. On 27 May 2016, the Legal Representative submitted observations on the Decision of 18 May 2016.<sup>102</sup>
- 82. On 31 May 2016, in compliance with the Decision of 18 May 2016, the VPRS transmitted to the Chamber and, in redacted form, to the Defence, the dossiers as they stood, of 39 Participating Victims and three Applicants.<sup>103</sup>
- 83. On 10 June 2016, the Legal Representative filed additional information on the Expert Report of 26 May 2016.<sup>104</sup>
- 84. On 22 June 2016, the Defence submitted observations on the Expert Report of 26 May 2016.<sup>105</sup>
- 85. On 1 July 2016, the Defence submitted its observations on the Decision of 18 May 2016.<sup>106</sup>

ICC-01/04-01/07 Official Court Translation

<sup>&</sup>quot;Transmission du 'Rapport d'expertise sur l'évaluation de l'état psychique des enfants victimes de l'attaque de Bogoro du 24 février 2003", 26 May 2016, ICC-01/04-01/07-3692-Conf-Exp with confidential annex 1, ex parte Legal Representative, ICC-01/04-01/07-3692-Conf-Exp-Anx1 and public Annex 2, ICC-01/04-01/07-3692-Anx2. A confidential redacted version was filed on 31 May 2016, ICC-01/04-01/07-3692-Conf-Red and ICC-01/04-01/07-3692-Conf-Anx1-Red).

<sup>&</sup>lt;sup>102</sup> "Observations suivant la Décision n°3689 relative à la demande de retrait de mandat du Représentant legal", 27 May 2016, ICC-01/04-01/07-3694-Conf.

<sup>&</sup>lt;sup>103</sup> "Transmission à la Chambre des dossiers relatifs à 39 victimes participantes et 3 demandeurs à la réparation en application de la Décision du 18 mai 2016 (ICC-01/04-01/07-3689-Conf)", 31 May 2016, ICC-01/04-01/07-3695-Conf with 42 confidential annexes ex parte Registry and Legal Representative.

<sup>&</sup>lt;sup>104</sup> "Addendum au document intitulé Transmission du "'Rapport d'expertise sur l'évaluation de l'état psychique des enfants victimes de l'attaque de Bogoro du 24 février 2003'" (ICC-01/04-01/07-3692-Conf-Red)", 10 June 2016, ICC-01/04-01/07-3698-Conf with two annexes ICC-01/04-01/07-3698-Anx1 and ICC-01/04-01/07-3698-Anx2.

<sup>&</sup>lt;sup>105</sup> "Defence Observations on the Legal Representative of Victims' 'Transmission du "Rapport d'expertise sur l'évaluation de l'état psychique des enfants victimes de l'attaque de Bogoro du 24 février 2003"' with addendum", 22 June 2016, ICC-01/04-01/07-3699-Conf.

<sup>&</sup>lt;sup>106</sup> "Defence Observations in response to the 'Transmission à la Défense des dossiers relatifs à 39 victimes participantes et 3 demandeurs à la réparation en application de la Décision du 18 mai 2016'", 1 July 2016, ICC-01/04-01/07-3700-Conf.

- 86. On 6 July 2016, the Legal Representative submitted a summary table of the harm alleged by the Applicants for reparations.<sup>107</sup>
- 87. On 15 July 2016, the Chamber directed from the Legal Representative, the Defence and the Trust Fund for Victims ("the TFV") additional observations on the monetary value they considered fair for each type of harm alleged.<sup>108</sup>
- 88. On 12 August 2016, the TFV filed a request ("TFV's Request of 12 August 2016") for access to the Second Defence Observations. 109
- 89. On 23 August 2016, the Chamber accorded the TFV's Request of 12 August 2016 and granted it access to the Second Defence Observations. The Chamber also instructed the Legal Representative, the Defence and the TFV to submit observations to the Chamber on the basis of the list of harm alleged appended to the Chamber's order.<sup>110</sup>
- 90. On 6 September 2016, owing to the death of Participating Victim a/0294/09, the Chamber considered the Legal Representative's representation agreement with the victim to be terminated. Concerning the three

<sup>&</sup>lt;sup>107</sup> "Transmission des tableaux récapitulatifs des préjudices des demandeurs en réparation", 6 July 2016, ICC-01/04-01/07-3701 with confidential annex, ICC-01/04-01/07-3701-Conf-Exp-Anx, ex parte Legal Representative. A confidential redacted version of the annex was filed on the same day (ICC-01/04-01/07-3701-Conf-Anx-Red).

 $<sup>^{108}</sup>$  "Order instructing the parties and the Trust Fund for Victims to file observations on the monetary value of the alleged harm", 15 July 2016, ICC-01/04-01/07-3702-tENG.

<sup>&</sup>lt;sup>109</sup> "Request for access to document ICC-01/04-01/07-3681-Conf", 12 August 2016, ICC-01/04-01/07-3703.

<sup>&</sup>lt;sup>110</sup> "Order on the Trust Fund's request for access to document ICC-01/04-01/07-3681-Conf and on observations on the monetary value of the alleged harm", 23 August 2016, ICC-01/04-01/07-3705-tENG with confidential annex, *ex parte*, Registry, the Legal Representative, the Defence for Germain Katanga and the Trust Fund for Victims, ICC-01/04-01/07-3705-Conf-Exp-Anx-tENG.

Applicants and the 38 Participating Victims in respect of whom the Chamber had deferred its ruling in the Decision of 18 May 2016, the Chamber accorded the termination of the Legal Representative's representation agreement. The Chamber also directed the Defence to submit its observations on the merits of the 41 dossiers by 20 September 2013 ("Decision of 6 September 2016"). <sup>111</sup>

- 91. On 9 September 2016, in response to the Legal Representative's request, 112 the Chamber granted the Legal Representative, the Defence and the TFV an extension of time to 30 September 2016 to submit the requested observations on the monetary value of the harm alleged by the Applicants. The Chamber also extended to 14 October 2016 the time limit for the Legal Representative and the Defence to file consolidated responses. 113
- 92. On 20 September 2016, the Defence submitted observations on the 41 dossiers of Applicants and Participating Victims for whom the Chamber had accorded, in its Decision of 6 September 2016, the termination of the Legal Representative's representation agreement.<sup>114</sup>
- 93. On 30 September 2016, the Chamber granted the Legal Representative's request for an increase in the page limit set for the observations on the

<sup>&</sup>quot;Second decision on the Legal Representative of the Victims' request for termination of the representation agreement", 6 September 2016, ICC-01/04-01/07-3706-Conf-tENG.

<sup>&</sup>lt;sup>112</sup> "Demande de prorogation de délai en vue du dépôt d'observations sur la valeur monétaire des préjudices allégués (Ordonnances ICC-01/04-01/07-3702 et ICC-01/04-01/07-3705)", 9 September 2016, ICC-01/04-01/07-3707.

<sup>&</sup>lt;sup>113</sup> "Decision according further time for observations on the monetary value of the harm alleged", 14 September 2016, ICC-01/04-01/07-3708-tENG.

<sup>&</sup>lt;sup>114</sup> "Defence Observations on 41 victims' applications pursuant to the 'Deuxième décision relative à la demande de retrait de mandat du Représentant légal des victimes'", 20 September 2016, ICC-01/04-01/07-3709-Conf with confidential Annex A, ex parte Registry, Legal Representative of Victims and the Defence, ICC-01/04-01/07-3709-Conf-Exp-AnxA.

monetary value of the harm alleged,<sup>115</sup> and authorized the submission of a 35-page document.<sup>116</sup>

- 94. On 30 September 2016, the Legal Representative, the Defence and the TFV filed observations on the monetary value of the harm alleged.<sup>117</sup>
- 95. On 30 September 2016, the Defence<sup>118</sup> and the TFV<sup>119</sup> filed observations on the monetary value of the alleged harm.
- 96. On 13 October 2016, the Defence filed its responses to the observations of the Legal Representative and the TFV on the monetary value of the harm alleged.<sup>120</sup>

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<sup>&</sup>lt;sup>115</sup> "Requête urgente du Représentant légal des victimes aux fins d'augmentation du nombre de pages autorisé pour ses observations sur la valeur monétaire des préjudices allégués", 29 September 2016, ICC-01/04-01/07-3710

<sup>&</sup>lt;sup>116</sup> "Decision on the urgent request by the Common Legal Representative of Victims for an extension of the page limit for observations on the monetary value of the harm alleged", 30 September 2016, ICC-01/04-01/07-3712-tENG.

<sup>&</sup>lt;sup>117</sup> "Observations des victimes sur la valeur monétaire des préjudices allégués (Ordonnances ICC-01/04-01/07-3702 et ICC-01/04-01/07-3705)", 30 September 2016, ICC-01/04-01/07-3713 with 7 annexes: ICC-01/04-01/07-3713-Anx1; ICC-01/04-01/07-3713-Anx2; ICC-01/04-01/07-3713-Anx3; ICC-01/04-01/07-3713-Anx7.

 $<sup>^{118}</sup>$  "Defence Observations on the Monetary Value of the Alleged Harm", 30 September 2016, ICC-01/04-01/07-3711 with two annexes: ICC-01/04-01/07-3711-AnxA and ICC-01/04-01/07-3711-AnxB.

<sup>&</sup>lt;sup>119</sup> "Observations in response to the Trial Chamber's order of 15 July 2016", 30 September 2016, ICC-01/04-01/07-3714-Conf-Exp, with confidential "Index of Annexes", *ex parte* only available to Trust Fund for Victims, ICC-01/04-01/07-3714-Conf-Exp-AnxA; and confidential Annex 6 *ex parte* Trust Fund for Victims ICC-01/04-01/07-3714-Conf-Exp-Anx6; and public annexes: ICC-01/04-01/07-3714-Anx1; ICC-01/04-01/07-3714-Anx2; ICC-01/04-01/07-3714-Anx3; ICC-01/04-01/07-3714-Anx4; and ICC-01/04-01/07-3714-Anx5, and 7-14 (ICC-01/04-01/07-3714-Anx7; ICC-01/04-01/07-3714-Anx8; ICC-01/04-01/07-3714-Anx11; ICC-01/04-01/07-3714-Anx11; ICC-01/04-01/07-3714-Anx11; ICC-01/04-01/07-3714-Anx11; ICC-01/04-01/07-3714-Anx12; ICC-01/04-01/07-3714-Anx13; and ICC-01/04-01/07-3714-Anx14. A public redacted version of the request and the "Index of Annexes" was filed on the same day (ICC-01/04-01/07-3714-Red and ICC-01/04-01/07-3714-AnxA-Red).

<sup>&</sup>lt;sup>120</sup> "Defence Response to the Legal Representative of Victims and the Trust Fund for Victims Submissions on the Monetary Value of the Alleged Harm", 13 October 2016, ICC-01/04-01/07-3715.

- 97. On 14 October 2016, the Legal Representative filed his responses to the observations of the Defence and the TFV on the monetary value of the harm alleged.<sup>121</sup>
- 98. On 14 October 2016, the Registrar submitted a report on Mr Katanga's financial situation.<sup>122</sup>
- 99. On 27 October 2016, the Legal Representative requested the Chamber to authorize the resumption of the action initiated by Victim a/0265/09, and the appointment of a new representative for Victim a/0071/08, which is a legal person ("Legal Representative's Request of 27 October 2016").<sup>123</sup>
- 100. On 18 November 2016, the Defence submitted its observations on the Legal Representative's Request of 27 October 2016. 124
- 101. On 8 December 2016, the Legal Representative laid before the Chamber the victims' proposals regarding the modalities of reparations in the case. 125

<sup>&</sup>lt;sup>121</sup> "Réponse aux observations de la Défense et du Fonds au profit des victimes sur l'évaluation monétaire du préjudice subi par les victimes (ICC-01/04-01/07-3711 ICC-01/04-01/07-3714-Red)", 14 October 2016, ICC-01/04-01/07-3716.

<sup>&</sup>quot;Registry's report on the financial situation of Germain Katanga", 14 October 2016, ICC-01/04-01/07-3717-Conf-Exp and two confidential annexes, *ex parte* Registry and Prosecution: ICC-01/04-01/07-3717-Conf-Exp-Anx1 and ICC-01/04-01/07-3717-Conf-Exp-Anx2.

<sup>&</sup>lt;sup>123</sup> "Demande relative à la reprise de l'action introduite par la victime a/0265/09 ainsi qu'à la désignation d'un nouveau mandataire pour représenter la victime personne morale a/0071/08", 27 October 2016, ICC-01/04-01/07-3718-Conf with two confidential ex parte annexes: ICC-01/04-01/07-3718-Conf-Exp-Anx1 and ICC-01/04-01/07-3718-Conf-Exp-Anx2. A public redacted version of the application, ICC-01/04-01/07-3718-Red and a confidential redacted version of the two annexes were filed on the same day (ICC-01/04-01/07-3718-Conf-Anx1-Red and ICC-01/04-01/07-3718-Conf-Anx2-Red).

<sup>&</sup>lt;sup>124</sup> "Defence Response to the *Demande relative à la reprise de l'action introduite par la victime a*/0265/09 ainsi qu'à la désignation d'un nouveau mandataire pour représenter la victime personne morale a/0071/08", 18 November 2016, ICC-01/04-01/07-3719-Conf.

<sup>&</sup>lt;sup>125</sup> "Propositions des victimes sur des modalités de réparation dans la présente affaire (Article 75 du Statut et norme 38-1-f du Règlement de la Cour)", 8 December 2016, ICC-01/04-01/07-3720 with annex, ICC-01/04-01/07-3720-Anx1.

- 102. On 12 December 2016, the Chamber authorized the resumption of action initiated by deceased Victim a/0265/09 and the appointment of a new representative for Victim a/0071/08.<sup>126</sup>
- 103. On 30 December 2016, the Defence conveyed to the Chamber its response to said proposals.<sup>127</sup>
- 104. On 22 February 2017, the Chamber dismissed the Legal Representative's request for a hearing on the victims' proposals on the modalities for reparations in the case.<sup>128</sup>
- 105. On 22 February 2017, the Chamber scheduled the order for reparations in the case to be pronounced in public on 24 March 2017 ("Hearing of 24 March 2017").<sup>129</sup>
- 106. On 2 March 2017, the Legal Representative filed a request for resumption of the action of Applicant a/0142/08 and notified the Chamber of the death of four victims for whom his representation agreement was terminated.<sup>130</sup>

<sup>&</sup>lt;sup>126</sup> "Décision relative à la demande de reprise d'instance introduite par un proche de la victime décédée a/0265/09 et de désignation d'un nouveau mandataire pour représenter la victime a/0071/08", 12 December 2016, ICC-01/04-01/07-3721.

<sup>&</sup>lt;sup>127</sup> "Defence Response to the *Propositions des victimes sur des modalités de réparation dans la présente affaire*", 30 December 2016, ICC-01/04-01/07-3722.

<sup>&</sup>lt;sup>128</sup> "Décision relative à la requête du représentant légal commun des victimes sollicitant la tenue d'une audience", 22 February 2017, ICC-01/04-01/07-3723.

<sup>&</sup>lt;sup>129</sup> "Ordonnance fixant la date du prononcé de l'ordonnance de réparation", 22 February 2017, ICC-01/04-01/07-3724.

<sup>&</sup>lt;sup>130</sup> "Demande de reprise de l'action introduite par la victime a/0142/08 et informations relatives à la situation de certaines victimes", 2 March 2017, ICC-01/04-01/07-3725-Conf with confidential annex ICC-01/04-01/07-3725-Conf-Exp-Anx1, ex parte Victims Participation and Reparations Section and Legal Representative. A confidential redacted version of the application and of the annex was filed on the same day (ICC-01/04-01/07-3725-Red and ICC-01/04-01/07-3725-Conf-Anx1-Red).

- 107. On 15 March 2017, the Chamber set the time limit for the Defence to file observations on the Legal Representative's Request of 2 March 2017,<sup>131</sup> and decided not to consider further the dossiers of four Victim Participants on account of their death.<sup>132</sup>
- 108. On 17 March 2017, the Chamber granted the Legal Representative's request<sup>133</sup> for the anonymity of five Applicants to be lifted so that they might participate in the hearing of 24 March 2017.<sup>134</sup>

<sup>&</sup>lt;sup>131</sup> "Ordonnance fixant un calendrier pour le dépôt des observations sur la requête du Représentant légal commun des victimes du 2 March 2017", 3 March 2017, ICC-01/04-01/07-3726.

<sup>&</sup>lt;sup>132</sup> "Décision relative à la requête du Représentant légal commun des victimes du 2 mars 2017", 15 March 2017, ICC-01/04-01/07-3727.

<sup>&</sup>lt;sup>133</sup> Email from the Legal Representative to the Chamber, 17 March 2017, at 12.42.

 $<sup>^{134}</sup>$  Email from the Chamber to the Legal Representative, 17 March 2017, at 15.57.