Annex A Public

List of Authorities and Glossary

1) Filings in the case *Prosecutor v. Dominic Ongwen*, ICC-02/04-01/15

Short-title	Full citation
ADC-ICT Brief	Observations of the Association of Defence Counsel practising before the International Courts and Tribunals (ADC-ICT) as Amicus Curiae regarding questions posed by the Appeals Chamber in Prosecutor v Ongwen, 23 December 2021, ICC-02/04-01/15-1937
Allain Brief	Amicus Curiae Observations on the issue of Sexual and Gender-based Crimes: Sexual Slavery & Forced Marriage, 19 December 2021, ICC-02/04-01/15-1927
Amici Curiae Order	Order inviting expressions of interest as amici curiae in judicial proceedings (pursuant to rule 103 of the Rules of Procedure and Evidence), 25 October 2021, ICC-02/04-01/15-1884
Appeal	Public Redacted Version of "Defence Appeal Brief Against the Convictions in the Judgment of 4 February 2021", 21 July 2021 as ICC-02/04-01/15- 1866-Conf, 19 October 2021, ICC-02/04-01/15- 1866-Red
Ardila et al. Brief	Amici Curiae Observations on Sexual- and Gender-Based Crimes, Particularly Forced Pregnancy, and on Standards of Proof Required for Sexual and Reproductive Violence Pursuant to Rule 103 of the Rules of Procedure and Evidence, 22 December 2021, ICC-02/04-01/15-1933
Arimatsu et al. Brief	Amici Curiae Observations on Duress and the Standards Applicable to Assessing Evidence of Sexual Violence, 22 December 2021, ICC-02/04- 01/15-1932
Batra Brief	Amicus Curiae Observations pursuant to Rule 103(1) of the Rules of Procedure and Evidence, 23 December 2021, ICC-02/04-01/15-1939

Behrens Brief Braakman Brief	Amicus curiae observations on issues raised in the Appeals Chamber Order of 25October 2021 inviting expressions of interest as amici curiae in judicial proceedings(pursuant to Rule 103 of the Rules of Procedure and Evidence), 23 December 2021, ICC-02/04-01/15-1943 Submission of observations pursuant to rule 103 of the Rules of Procedure and Evidence, as amici curiae
	on transcultural forensic psychiatric issues, 23 December 2021, ICC-02/04-01/15-1942
Clarke et al. Brief	Submission of Amicus Curiae observations on the merits of the legal questions presented the "Order inviting expressions of interest as amici curiae in judicial proceedings(pursuant to rule 103 of the Rules of Procedure and Evidence)" of 25 October, 23 December 2021, ICC-02/04-01/15-1936
Confirmation Decision	Decision on the Confirmation of Charges against Dominic Ongwen, 23 March 2016, ICC-02/04-01/15- 422-Red
Defences Standard Decision	Decision on Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 5 April 2019, ICC-02/04-01/15-1494
Defences Standard Request	Defence Request for the Chamber to Issue an Immediate Ruling Confirming the Burden and Standard of Proof Applicable to Articles 31(1)(a) and (d) of the Rome Statute, 28 January 2019, ICC-02/04-01/15-1423
Judgment	Trial Judgment, 4 February 2021, ICC-02/04-01/15-1762-Conf (confidential version) / ICC-02/04-01/15-1762-Red (public redacted version)
Gerry et al. Brief	Amicus Curiae Observation, 21 December 2021, ICC-02/04-01/15-1929

Grey et al. Brief	Amici Curiae Observations on the Rome Statute's definition of 'forced pregnancy' byDr Rosemary Grey, Global Justice Center, Women's Initiatives for Gender Justice and Amnesty International, 23 December 2021, ICC-02/04-01/15-1938
Kestenbaum et al. Brief	Amici Curiae Observations on Sexual- and Gender-Based Crimes, Particularly Sexual Slavery, and on Cumulative Convictions Pursuant to Rule 103 of the Rules of Procedure and Evidence, 22 December 2021, ICC-02/04-01/15-1934
Meyersfeld et al. Brief	Amici curiae observations submitted by Prof. Bonita Meyersfeld and the Southern African Litigation Centre Trust pursuant to rule 103 of the Rules of Procedure and Evidence, 23 December 2021, ICC-02/04-01/15-1941
Minkowitz et al. Brief	Amicus Curiae Observations Regarding the Relevance to this Case of the Convention on the Rights of Persons with Disabilities, 21 December 2021, ICC-02/04-01/15-1930
NIMJ Brief	Submission of amicus curiae observations by the National Institute of Military Justice (NIMJ), 22 December 2021, ICC-02/04-01/15-1931
Oosterveld et al. Brief	Amici Curiae Brief on Forced Marriage, 23 December 2021, ICC-02/04-01/15-1935
PILPG Brief	Amicus Curiae Observations by Public International Law & Policy Group, 23 December 2021, ICC- 02/04-01/15-1940
Prosecution Response (Conviction)	Public redacted version of "Prosecution Response to 'Defence Appeal Brief Against the Convictions in the Judgment of 4 February 2021' (ICC-02/04-01/15-1866-Conf)", 21 October 2021, ICC-02/04-01/15-1882-Red
Prosecution Response (Sentence)	Public redacted version of "Prosecution response to Sentencing Appeal Brief", 26 October 2021, ICC-02/04-01/15-1886-Red

Sentencing Judgment	Sentence, 6 May 2021, ICC-02/04-01/15-1819-Conf (confidential version) / ICC-02/04-01/15-1819-Red (public redacted version)
Ssekandi Brief	Amicus Brief by Justice Francis M. Ssekandi, 18 De cember 2021, ICC-02/04-01/15-1926
Zakerhossein Brief	Amicus Curiae pursuant to Rule 103(1) of the Rules of Procedure and Evidence, 20 December 2021, ICC-02/04-01/15-1928

$2) \quad Filings \ in \ other \ cases \ before \ the \ ICC \\$

Short-title	Full citation
Abd-al-Rahman CD	Prosecutor v. Ali Muhammad Ali Abd-Al-Rahman ("Ali Kushayb"), Decision on the confirmation of charges against Ali Muhammad Ali Abd-Al-Rahman ('Ali Kushayb'), 9 July 2021, ICC-02/05-01/20-433
Abd-Al-Rahman Jurisdiction AD	Prosecutor v. Ali Muhammad Ali Abd-Al-Rahman ("Ali Kushayb"), Judgment on the appeal of Mr Abd-Al-Rahman against the Pre-Trial Chamber II's "Decision on the Defence 'Exception d'incompétence' (ICC-02/05-01/20-302)", 1 November 2021, ICC-02/05-01/20-503
Al Hassan CD	Prosecutor v. Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, Public Redacted Version of Rectificatif à la Décision relative à la confirmation des charges portées contre Al Hassan Ag Abdoul Aziz Ag Mohamed Ag Mahmoud, 13 November 2019, ICC-01/12-01/18-461-Corr-Red
Al-Senussi Admissibility AD	Prosecutor v. Saif Al-Islam Gaddafi and Abdullah Al-Senussi, Judgment on the appeal of Mr Abdullah Al-Senussi against the decision of Pre-Trial Chamber I of 11 October 2013 entitled "Decision on the admissibility of the case against Abdullah Al-Senussi", 24 July 2014, ICC-01/11-01/11-565 OA06
Al-Senussi Admissibility PTC Decision	Prosecutor v. Saif Al-Islam Gaddafi and Abdullah Al-Senussi, Decision on the admissibility of the case against Abdullah Al-Senussi ,11 October 2013, ICC-01/11-01/11-466-Red

Bemba et al. AJ	Prosecutor v. Jean-Pierre Bemba Gombo, Aimé Kilolo, Jean-Jacques Mangenda Kabongo, Fidèle Babala Wandu & Narcisse Arido, Judgment on the appeals of Mr Jean-Pierre Bemba Gombo, Mr Aimé Kilolo Musamba, Mr Jean-Jacques Mangenda Kabongo, Mr Fidèle Babala and Mr Narcisse Arido against the Decision of Trial Chamber VII entitled "Judgment pursuant to Article 74 of the Statute, 8 March 2018, ICC-01/05-01/13-2275-Red
Bemba Victims Participation AD	Prosecutor v. Jean-Pierre Bemba Gombo, Reasons for the "Decision on the Participation of Victims in the Appeal against the 'Decision on the Interim Release of Jean-Pierre Bemba Gombo and Convening Hearings with the Kingdom of Belgium, the Republic of Portugal, the Republic of France, [], 20 October 2009, ICC-01/05-01/08-566
DRC Extraordinary Review AD	Situation in the Democratic Republic of Congo, Judgement on the Prosecutor's Application for Extraordinary Review of Pre-Trial Chamber I's 31 March 2006 Decision Denying Leave to Appeal, 13 July 2006, ICC-01/04-168
Gbagbo & Blé Goudé Victim Participation AD	Prosecutor v. Laurent Gbagbo and Charles Blé Goudé, Reasons for the "Decision on the 'Request for the recognition of the right of victims authorized to participate in the case to automatically participate in any interlocutory appeal arising from the case and, in the alternative, application to participate in the interlocutory appeal against the ninth decision on Mr Gbabgo's detention (ICC-02/11-01/15-134-Red3)", 31 July 2015, ICC-02/11-01/15-172
Gbagbo NCTA AJ, Judge Eboe- Osuji Sep. Op. (Public)	Prosecutor v. Laurent Gbagbo and Charles Blé Goudé, Public Redacted Version to the Corrected Confidential Separate Concurring Opinion of Judge Eboe-Osuji, 9 April 2021, ICC-02/11-01/15-1400- Anx1-Corr-Red

Gbagbo NCTA AJ, Judge Ibáñez Carranza Sep. Op. (Public),	Prosecutor v. Laurent Gbagbo and Charles Blé Goudé, Public Redacted Version Dissenting Opinion of Judge Luz del Carmen Ibáñez Carranza to the Judgment on the appeal of the Prosecutor against the oral verdict of Trial Chamber 1 of 15 January 2019 with written reasons issued on 16 July 2019, 1 April 2021, ICC-02/11-01/15-1400-Anx4-Red
Katanga CD	Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui, Decision on the confirmation of charges, 30 September 2008, ICC-01/04-01/07-717
Katanga TJ	Prosecutor v. Germain Katanga, Judgment pursuant to article 74 of the Statute, 7 March 2014, ICC-01/04-01/07-3436-tENG
Kenyatta Withdrawal Notification	Prosecutor v. Uhuru Muigai Kenyatta, Notice of withdrawal of the charges against Uhuru Muigai Kenyatta, 5 December 2014, ICC-01/09-02/11-983
Lubanga AJ	Prosecutor v. Lubanga, Judgment on the appeal of Mr Thomas Lubanga Dyilo against his conviction, 1 December 2014, ICC-01/04-01/06-3121-Red
Ngudjolo AJ	Prosecutor v. Mathieu Ngudjolo Chui, Judgment on the Prosecutor's appeal against the decision of Trial Chamber II entitled "Judgment pursuant to article 74 of the Statute, 7 April 2015, ICC-01/04-02/12-271-Corr
Ntaganda AJ	Prosecutor v. Bosco Ntaganda, Public Redacted Version of Judgment on the appeals of Mr Bosco Ntaganda and the Prosecutor against the decision of Trial Chamber VI of 8 July 2019 entitled 'Judgment', 30 March 2021, ICC-01/04-02/06-2666-Red A01 A02
Ntaganda Reparations Order	Prosecutor v. Bosco Ntaganda, Reparations Order, 8 March 2021, ICC-01/04-02/06-2659
Ntaganda TJ	Prosecutor v. Bosco Ntaganda, Judgment, 8 July 2019, ICC-01/04-02/06-2359
[Redacted] AD	Judgment on the appeal of the Prosecutor against the decision of [REDACTED], 15 February 2016, ICC-ACRed-01/16

Ruto and Sang Conduct Decision, Judge Eboe-Osuji's Separate Opinion	Prosecutor v. William Samoei Ruto and Joshua Arap Sang, Separate further opinion of Judge Eboe-Osuji, 3 June 2016, ICC-01/09-01/11-1334-Anx-Corr
Ruto and Sang Summonses AD	Prosecutor v. William Samoei Ruto and Joshua Arap Sang, Judgment on the appeals of William Samoei Ruto and Mr Joshua Arap Sang against the decision of Trial Chamber V (A) of 17 April 2014 entitled "Decision on Prosecutor's Application for Witness Summonses and resulting Request for State Party Cooperation", 9 October 2014, ICC-01/09-01/11-1598

3) ICTY authorities

Short-title	Full citation
<u>Čelebići AJ</u>	Prosecutor v. Zejnil Delalić et al., Case No. IT-96- 21-A, Judgment, 20 February 2001
<u>Čelebići</u> AJ (Judges Hunt and Bennouna Sep Op)	Prosecutor v. Zejnil Delalić et al., Case No. IT-96-21-A, Judgement, 20 February 2001
<u>Čelebići TJ</u>	Prosecutor v. Zejnil Delalić et al., Case No. IT-96- 21-T, Judgment, 16 November 1998
Đorđević AJ	Prosecutor v. Vlastimir Đorđević, Case No. IT-05-87/1-A, Judgment, 27 January 2014
Erdemović AJ, Dissenting Opinion of Judge Cassese	Prosecutor v. Drazen Erdemovic, Case No. IT-96-22 Separate and Dissenting Opinion of Judge Cassese, 7 October 1997
Jelisić AJ Judge Shahabuddeen Dis. Op.	Prosecutor v. Goran Jelisić, Case No. IT-95-10-A, Judgment, 5 July 2001
Kunarac AJ	Prosecutor v. Dragoljub Kunarac, Radomir Kovač & Zoran Vuković, Case No. IT-96-23/1-A, Judgment, 12 June 2002
Kunarac TJ	Prosecutor v. Dragoljub Kunarac, Radomir Kovač & Zoran Vuković, Case No. IT-96-23-T & IT-96-23/1-T, Judgment, 22 February 2001
Sainović et al. AJ	Prosecutor v. Šainović et al., Case No. IT-05-87-A, Judgment, 23 January 2014

Short-title	Full citation
Stakić AJ	Prosecutor v. Milomir Stakić, Case No. IT-97-24-A, Judgment, 22 March 2006
Strugar AJ	Prosecutor v. Pavle Strugar, Case No. IT-01-42-A, Judgment, 17 July 2008
Tadić SAJ	Prosecutor v. Dusko Tadic, Case No. IT-94-1-A, Judgment in sentencing appeals, 26 January 2000
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<u>Vasiljević TJ</u>	Prosecutor v. Vasiljević, Case No. IT-98-32-T, Judgment, 29 November 2002

4) ICTR authorities

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	Ngirmpatse, ICTR-98-44-A, Judgment, 29
	September 2014
<u>Musema AJ</u>	Prosecutor v. Alfred Musema, Case No. ICTR-96-13-
	A, Judgment, 16 November 2001
Rutaganda AJ	Prosecutor v. Georges Anderson Nderubumwe
	Rutaganda, Case No. ICTR-96-3-A, Judgment, 26 May 2003
Semanza TJ (Judge Dolenc Sep	Prosecutor v. Laurent Semanza, Case No. ICTR-97-
<u>Op),</u>	20-T, Judgement and sentence - separate and
	dissenting opinion of Judge Pavel Dolenc, 15 May 2003

5) SCSL authorities

Short-title	Full citation
Sesay et al. AJ	Prosecutor v. Issa Hassan, Sesay Morris Kallon and Augustine Gbao, SCSL-04-15-A, Judgment, 26 October 2009
Sesay et al. TJ	Prosecutor v. Issa Hassan, Sesay Morris Kallon and Augustine Gbao, SCSL-04-15-T, Judgment, 2 March 2009

Short-title	Full citation
<u>Taylor TJ</u>	Prosecutor v. Charles Ghankay Taylor, SCSL-03-01-
	T, Judgment, 18 May 2012

6) ECCC authorities

Short-title	Full citation
<u>Case 001 AJ</u>	Prosecutor v. Kaing Guek Eav (Kaing Guek Eav alias "Duch"), Case No. 001/18-07-2007 Appeal Judgement, 3 February 2012

7) National authorities

Short-title	Full citation
Fontaine (Canada)	[2004] 1 SCR 702

8) International and regional treaties and documents

Short-title	Full citation
1926 Slavery Convention	UNGA, Convention To Suppress The Slave Trade
	And Slavery, 25 September 1926
1956 Supplementary Convention	UNGA, Supplementary Convention on the Abolition
	of Slavery, the Slave Trade, and Institutions and
	Practices Similar to Slavery, 30 April 1957
CEDAW	UNGA, Convention on the Elimination of All Forms
	of Discrimination Against Women, 18 December
	1979
CEDAW General Recommendation	General Recommentations Adopted by the
<u>19</u>	Committee on the Elimination of Discrimination
	Against Women, General recommendation No. 19:
	Violence against women, Eleventh session (1992)

Short-title	Full citation
CEDAW General Recommendation 28	General recommendation No. 28 on the core obligations of States parties under article 2 of the Convention on the Elimination of All Forms of Discrimination against Women, CEDAW/C/GC/28, 16 December 2010
CEDAW General Recommendation 30	General recommendation No. 30 on women in conflict prevention, conflict and post-conflict situations, CEDAW/C/GC/30, 1 November 2013
CEDAW General Recommendation 35	General recommendation No. 35 on gender-based violence against women, updating general recommendation No. 19, CEDAW/C/GC/35, 26 July 2017
CRPD	UN, Convention on the Rights of Persons with Disabilities and Optional Protocol, UN Doc. A/RES/61/106, 3 May 2008
CRPD Committee 2015 Report	UN, Report of the Committee on the Rights of Persons with Disabilities, UN Doc. A/72/55, 2015
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Slavery Convention Second Session Minutes	League of Nations, Slavery Convention: Minutes of the Second Session held at Geneva from July 13 th to July 25 th
Slavery Convention Sixth Committee Report	League of Nations, Slavery Convention: Report presented to the Assembly by the Sixth Committee, A.104.1926.VI, 24 September 1926
Temporary Slavery Commission Report	League of Nations, Report of the Temporary Slavery Commission, Geneva, 25 July 1925, 1 September 1925

9) Academic works

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Eser and Ambos	A. Eser and K. Ambos, 'Article 31: Grounds for excluding criminal responsibility' in K. Ambos (ed.), <i>Rome Statute of the International Criminal Court: Article-by-Article Commentary</i> 4 th Ed. (C.H. Beck/Hart/Nomos, 2022)
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<u>Joyce</u>	M. Joyce, 'Duress: From Nuremberg to the International Criminal Court, Finding the Balance Between Justification and Excuse', [2015] 28(3) Leiden Journal of International Law 623
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Short-title	Full citation
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Werle	G. Werle, <i>Principles of International Criminal Law</i> , 2 nd Ed. (T.M.C. Asser Press, 2009)

10) Other abbreviations

Short-title	Full citation
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Criminal Tribunal for the former Yugoslavia
Statute	Rome Statute of the International Criminal Court
ICC RPE	ICC Rules of Procedure and Evidence