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THE ROME STATUTE OF THE
INTERNATIONAL CRIMINAL COURT**

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Note

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Part A

Proposed Programme Budget for 2012 of the International Criminal Court*

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List of abbreviations and acronyms

Arc	Headquarters building at Maanweg, The Hague, Netherlands
ASG	Assistant Secretary-General
ASP	Assembly of States Parties
AU	African Union
AULO	African Union Liaison Office
AV	Audio-visual
CAR	Central African Republic
CASD	Common Administrative Services Division
CBF	Committee on Budget and Finance
CMS	Court Management Section
CoCo	Coordination Council
D	Director
DCS	Division of Court Services
DRC	Democratic Republic of the Congo
DSA	Daily subsistence allowance
DSS	Defence Support Section (integrated in Counsel Support Section - 2010)
DVC	Division of Victims and Counsel (abolished 2010 - sections moved to Office of the Registrar)
ECOS	e-Court operating system
FMU	Facilities Management Unit
FTE	Full-time equivalent
GCDN	Global Communications and Data Network
GS	General Service
GS-OL	General Service (other level)
GS-PL	General Service (principal level)
GSS	General Services Section
GTA	General temporary assistance
HQ	Headquarters
HR	Human resources
IBA	International Bar Association
ICC	International Criminal Court
ICCPP	International Criminal Court Protection Programme
ICT	Information and communication technologies
ICTS	Information and Communication Technologies Section
ICTY	International Criminal Tribunal for the former Yugoslavia
IGO	Intergovernmental organization
ILOAT	International Labour Organization Administrative Tribunal

INTERPOL	International Criminal Police Organization
IOM	Independent Oversight Mechanism
IPSAS	International Public Sector Accounting Standards
IRS	Initial Response Services
IT	Information technologies
JCCD	Jurisdiction, Complementarity and Cooperation Division
LAS	Legal Advisory Section (in OTP)
LASS	Legal Advisory Services Section (in Registry)
LSU	Language Services Unit
LTU	Logistics and Transport Unit
MIS	Management information system
MORSS	Minimum Operating Residential Security Standards
MOSS	Minimum Operating Security Standards
NGO	Non-governmental organization
NYLO	New York Liaison Office
OIA	Office of Internal Audit
OPCD	Office of Public Counsel for the Defence
OPCV	Office of Public Counsel for Victims
OTP	Office of the Prosecutor
P	Professional
PIDS	Public Information and Documentation Section
PDO	Project Director's Office (permanent premises)
SAP	Systems, Applications and Products (data processing)
SG	Strategic goal
SO	Strategic objective
SSS	Security and Safety Section
STIC	Court Interpretation and Translation Section (French acronym)
TFV	Trust Fund for Victims
TRIM	Total records information management
UNDSS	United Nations Department of Safety and Security
UNDU	United Nations Detention Unit
UNON	United Nations Office at Nairobi
UNSMS	United Nations Security Management System
VPRS	Victims Participation and Reparations Section
VTC	Video teleconferencing
VWU	Victims and Witnesses Unit
WCF	Working Capital Fund

I. Introduction

1. This proposed programme budget for 2012 is submitted on 8 July 2011 by the Registrar of the International Criminal Court (“the Court”) in accordance with financial regulation 3.1 and financial rule 103.2, for the consideration and approval of the Assembly of States Parties (“the Assembly”) at its tenth session.
2. The budget proposed is for a total of €117.73 million. Of this total:
 - (a) €114.65 million (97.4 per cent) is for the Court;¹ and
 - (b) €3.08 million (2.6 per cent) is for the Secretariat of the Assembly of States Parties.
3. Within the Court, the budget is apportioned as follows:
 - (a) €10.31 million (8.7 per cent) for the Judiciary;
 - (b) €31.80 million (27 per cent) for the Office of the Prosecutor;
 - (c) €69.92 million (59.4 per cent) for the Registry;
 - (d) €1.76 million (1.5 per cent) for the Secretariat of the Trust Fund for Victims;
 - (e) €0.55 million (0.5 per cent) for the Project Director’s Office (permanent premises); and
 - (f) €0.32 million (0.3 per cent) for the Independent Oversight Mechanism.
4. This reflects an increase of €14.12 million, or 13.6 per cent, over the 2011 approved budget. This is mainly due to the cost of a new situation in Libya and an increase in the cost of ensuring that defence and victims have adequate legal representation, as well as a number of additional necessary investments, such as certain capital investments and IPSAS implementation. The above figures exclude the potential additional expenses outlined in annexes IX and X to this proposal, namely uncertain expenditure regarding the interim premises and costs related to the establishment of the African Union Liaison Office.

Table 1: Proposed budget 2012 by item

<i>Item</i>	<i>Basic (thousands of euros)</i>	<i>Situation-related (thousands of euros)</i>	<i>Total (thousands of euros)</i>
Judges	5,111.9		5,111.9
Staff costs	35,080.6	43,518.7	78,599.3
Non-staff costs	13,222.6	20,799.2	34,021.8
Total	53,415.1	64,317.9	117,733.0

The Court today

5. The Court is currently dealing with a number of cases in six situations. There are seven cases at different stages of proceedings, where suspects are either in custody or have voluntarily appeared before the Court. In addition to its investigations in Uganda, the Democratic Republic of the Congo, the Central African Republic, Darfur (Sudan), Kenya, and most recently in Libya, the Prosecutor has requested the Court’s authorization to commence an investigation into the situation in Côte d’Ivoire. Furthermore, the Office of the Prosecutor continues to monitor other situations in preliminary examination, including in Afghanistan, Colombia, Georgia, Guinea, Honduras, the Republic of Korea, Nigeria and Palestine.

¹ This figure includes resources for the Secretariat of the Trust Fund for Victims, the Project Director’s Office (permanent premises) and the Independent Oversight Mechanism.

6. In the context of its active situations, the Court has issued seventeen² warrants of arrest against persons suspected of having committed crimes within the jurisdiction of the Court, five of whom are currently in custody, while eleven remain at large. In addition, nine summonses to appear have been issued by the Court, on which basis all nine suspects appeared voluntarily before the Court and are not currently in custody. Out of the cases before the Court, four are at the trial stage, in three cases confirmation of charges hearings have been scheduled, and in one case the Pre-Trial Chamber declined to confirm the charges. Five cases remain in abeyance, owing to failure to arrest the respective suspects.

7. The situation in the Democratic Republic of the Congo continues to be the one with the highest level of judicial activity at the Court. In the case of *The Prosecutor v. Thomas Lubanga*, before Trial Chamber I, the parties are due to present their closing statements at the end of August 2011. The Trial Chamber is due to hand down its final decision in the case, pursuant to article 74 of the Rome Statute, later this year. If the defendant is convicted, the Court's first ever reparations proceedings will follow. In the case of *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui*, the Defence started to present its case before Trial Chamber II in February 2011 and is expected to finish later in the year. In *The Prosecutor v. Bosco Ntaganda* the suspect remains at large, but in the new case of *The Prosecutor v. Callixte Mbarushimana*, thanks to the effective cooperation of France and Germany during the investigation and arrest procedures, the suspect was surrendered to the Court on 25 January 2011. A confirmation of charges hearing in this case is scheduled to be held before Pre-Trial Chamber I on 17 August 2011.

8. The trial in *The Prosecutor v. Jean-Pierre Bemba Gombo*, in the situation in the Central African Republic, started on 22 November 2010 before Trial Chamber III. Currently, the Prosecution is presenting its case to the Court.

9. In the situation in Darfur, Sudan, which was referred to the Court by the United Nations Security Council in its resolution 1593 of 31 March 2005, the Court has issued arrest warrants against the three suspects in the case of *The Prosecutor v. Ahmad Harun, Ali Kushayb and Omar Hassan Al Bashir*. In a separate case, Mr Bahr Idriss Abu Garda, who was summoned to appear before the Court, appeared voluntarily in May 2009, and on 8 February 2010 Pre-Trial Chamber I declined to confirm the charges against him. In a further case, *The Prosecutor v. Abdallah Banda Abakaer and Saleh Mohammed Jerbo Jamus*, in which the suspects presented themselves voluntarily following summonses to appear issued by the Court, Pre-Trial Chamber I decided to confirm the charges on 7 March 2011. On 16 March 2011, the Presidency constituted Trial Chamber IV and referred this case to it. Abdallah Banda and Saleh Jerbo are not in the custody of the Court.

10. On 31 March 2010, Pre-Trial Chamber II authorized the Prosecutor to open an investigation *proprio motu* into the situation in the Republic of Kenya. Since then, two cases have been opened this year by Pre-Trial Chamber II: *The Prosecutor v. William Samoei Ruto, Henry Kiprono Kosgey and Joshua Arap Sang*, and *The Prosecutor v. Francis Kirimi Muthaura, Uhuru Muigai Kenyatta and Mohammed Hussein Ali*. On 7 and 8 April 2011, all six suspects in both cases appeared voluntarily before the Court in compliance with summonses to appear issued by the Chamber on 8 March 2011. The confirmation of charges hearings for both cases are scheduled to commence on 1 and 21 September 2011 respectively. The suspects are not in the custody of the Court.

11. On 26 February 2011, the United Nations Security Council decided unanimously in its resolution 1970 (2011) to refer the situation in Libya to the Court. On 3 March 2011, the Prosecutor announced his decision to open an investigation in the situation, which was assigned by the Presidency to Pre-Trial Chamber I. On 27 June 2011, upon a request submitted by the Prosecutor on 16 May 2011, Pre-Trial Chamber I issued warrants of arrest against Muammar Abu Minyar Gaddafi, Said Al Islam Gaddafi and Abdullah Al Sanousi.

² Proceedings against Raska Lukwiya in the situation in Uganda were terminated by Pre-Trial Chamber II on 11 July 2007 following his death.

Assumptions 2012

12. The unpredictable nature of the Court's judicial work makes it extremely difficult to produce fully reliable assumptions a year in advance of the financial period for which the Court is budgeting. Faced with a variety of possibilities, the Court has adopted a relatively conservative approach concerning the assumptions, so as to avoid over-budgeting and a possible consequent underspend. On the other hand, basing the preparation of the budget on conservative assumptions, runs the risk of under-resourcing, should the Court's judicial activities develop further than originally foreseen. The Court will keep the Assembly informed of any change in its assumptions which could have a material impact on its current estimate of budget requirements for 2012.

13. Accordingly, the Court foresees that, while a number of cases will proceed simultaneously during 2012, trial-hearings will be scheduled consecutively, without endangering the expeditiousness of the proceedings, thus saving the cost of an additional courtroom team to run simultaneous trial-hearings, as was budgeted for during the first half of 2011. It should be stressed that this delicate balance has been achieved following the assessment of a series of different foreseeable circumstances in 2012, including the expected average timing of certain judicial processes. Appeals and reparations phases of trials are also anticipated.

14. The Office of the Prosecutor projects that in 2012 it will continue to conduct seven investigations in six situation countries, including, in particular, the recent situation in Libya. The Office of the Prosecutor will maintain the current case-load of nine residual investigations and will continue the monitoring of at least eight other potential situations.

15. The Registry will continue to support the operations of the Judiciary and to provide services and support to all parties to the proceedings, as well as to the operations of the Office of the Prosecutor where the provision of such services has been requested. Furthermore, the Registry will continue to provide effective and adequate field support through a reduced number of field presences (five as opposed to seven in 2011).

16. As a direct result of the increase in judicial activities and in order to ensure that the rights of all parties and participants in the proceedings are properly protected, seven defence teams and twelve victims' representative teams will continue to receive legal aid during 2012 in accordance with the current legal aid scheme.

Strategic Planning and the 2012 Budget

17. The overarching context for the Court's strategic planning process is the principle that there is no higher priority than the mandates and functions set out in the Court's regulatory framework. The Court's current Strategic Plan³ continues to guide the planning and implementation of the Court's budget.

³ ICC/ASP/7/25.

Table 2: Strategic Planning and the 2012 Budget

<i>Goal 1: Quality of justice</i>	<i>Goal 2: A well-recognized and adequately supported institution</i>	<i>Goal 3: A model of public administration</i>
Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants.	Further enhance awareness of, effect a correct understanding of, and increase support for the Court.	Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficient qualified and motivated staff within a caring environment and a non-bureaucratic culture.
1. Conduct 4 to 5 new investigations into cases, within existing or new situations, and at least 4 trials, subject to external cooperation received.	4. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities.	8. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks.
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute.	5. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences.	9. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties.
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity.	6. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence.	10. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality.
	7. Ensure publicity of all proceedings for local and global audiences.	11. Continue the development of a common ICC culture.

18. In preparation for the 2012 budget process, the Court identified its strategic priorities for 2012, which are deliberately limited in number. Accordingly, these strategic priorities are less related to costs and budgetary impact, but rather directed towards developing mechanisms to refine and enhance the Court's processes in these areas and thus improve the way in which the Court functions. Their enumeration is not hierarchical, but rather indicates clusters or areas in which the Court is focusing its attention. Overall, the strategic priorities should be seen as:

- (a) Supporting the Court's core functions of conducting preliminary investigations, investigations and trials; and
- (b) Subject to the cross-cutting requirement of pursuing efficiency and effectiveness in all aspects of the Court's work.

Strategic priorities 2012

Priority 1 Further enhance the internal operations and policy framework of the Court (Goals 1 and 3):

- (a) Create or review and revise policies, including human resources and field strategy (Goal 3, Objectives 8, 10 and 16);
- (b) Develop new management tools and refine existing tools, including IPSAS and cost accounting (Goal 3 and Objectives 8, 9 and 16); and
- (c) Continue to strengthen support to courtrooms and facilitate the expeditiousness of trials (Goal 1).

Priority 2 Address emerging issues relating to all parties/participants in court proceedings (Goals 1 and 2):

- (a) Security for victims, witnesses and others put at risk by their association with the Court (Goal 1, Objective 2);
- (b) Legal aid, review of the current legal framework (Goal 1, Objective 3); and

(c) Outreach, continue to develop efficient mechanisms to enhance impact (Goal 2, Objectives 4 and 7).

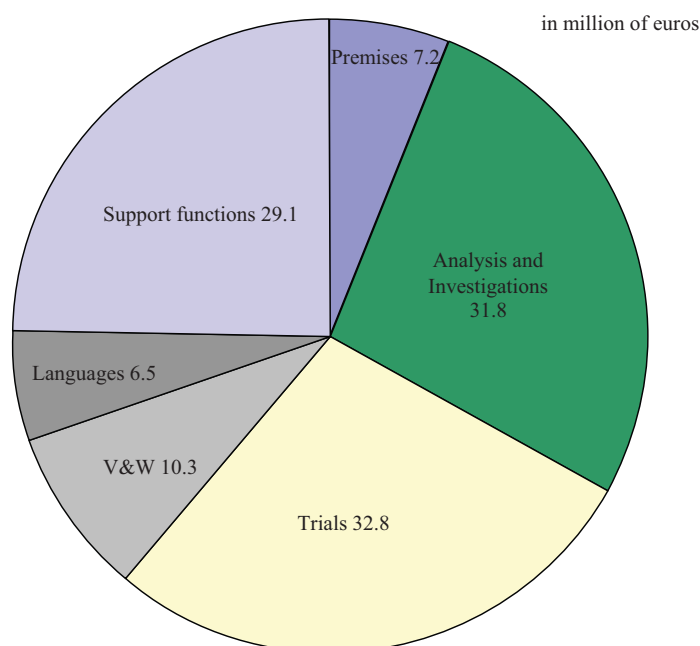
Priority 3 Invest in expanding existing sources of support and cooperation for and with the Court from internal and external stakeholders, and cultivate new sources (Goal 2):

(a) Focus on addressing staff welfare and needs identified in the 2010 staff survey (Goal 3, Objective 10); and

(b) New cooperation and enforcement agreements such as: Privileges and immunities; security; logistics; information sharing; witness relocation; provisional release; enforcement of sentences (Goal 2, Objectives 5 and 6).

19. In relation to Priority 1, and in connection with Goal 3 of the Court's Strategic Plan, the Court is proposing, in conformity with common system and international standards, to make provision for a subsidy scheme to assist long-serving staff who retire from the Court to afford adequate health care insurance. In order to conform to common system and international standards, the Court wishes to introduce a suitable subsidy scheme for its long-serving staff who depart the organization into retirement. The Court's share of the cost for the scheme will be absorbed under the common staff cost budget line, where this liability will be recognized according to regular accounting standards. It is not expected that the scheme will require a dedicated increase in the overall programme budget in the future. Details on the rationale for the scheme, eligibility criteria, financial projections and funding are provided in a separate document, as requested by the Committee on Budget and Finance ("the Committee") at its sixteenth session.⁴

Macroanalysis



20. Provision for analysis and investigations amounts to €31.8 million, to support the preliminary examination of situations for possible investigations and the conduct of subsequent investigations in accordance with the Rome Statute. Costs for the protection of victims and witnesses as well as for participation activities amount to €10.3 million.

21. The total costs for resource utilization in the different phases of the judicial process will amount to approximately €32.8 million, or 27.8 per cent of the budget. Language support in the form of interpretation and translation services amounts to €6.5 million for 2012, the majority of which is related to support of the judicial process. In order to provide all non-judicial support functions to the Court, an amount of €29.1 million is budgeted

⁴ ICC-ASP/10/5, para. 68.

across the different organs. Finally, the “Premises” budget reflects the requirements for the interim premises, excluding possible future rent, as well as for the Project Director’s Office for the permanent premises project.

22. The table below explains the dynamic evolution of judicial activities in the Court since 2009, and shows how resources move from one situation to another. Accordingly, the provisions for operational support show a steady limited growth over the years, reflecting increases consequential on the growth in judicial activities. Greater transparency between operational support and the Court’s judicial activities is expected to be achieved once further progress has been made with its cost-accounting system.

Table 3: Strategic dynamic evolution of judicial activities in the Court

<i>Budget in thousands euros and (#cases)</i>	<i>Operational Support</i>	<i>Uganda</i>	<i>DRC</i>	<i>Darfur</i>	<i>CAR</i>	<i>Kenya</i>	<i>Libya</i>
2009 approved	16,198.9 (9 cases)	3,233.4 (1 case)	17,475.4 (4 cases)	7,575.6 (3 cases)	7,390.5 (1 case)	0.0 N/A	0.0 N/A
2010 approved	18,158.3 (11 cases)	3,057.8 (1 case)	17,506.1 (5-6 cases)	6,719.1 (3 cases)	7,485.9 (1-2 cases)	0.0 N/A	0.0 N/A
2011 approved	21,927.0 (13 cases + 2)	2,269.7 (1 case)	13,499.6 (5 cases)	4,728.9 (4 cases)	5,653.3 (1 case)	7,740.8* (2 cases)	4,072.6** (2 cases)
2012 proposed	21,973.1 (16 cases)	1,653.8 (1 case)	17,133.3 (6 cases)	3,570.4 (4 cases)	4,618.4 (1 case)	8,036.3 (2 cases)	7,222.0 (2 cases)

* Includes €2,616 Contingency fund notification for possible use of extra resources for Kenya situation for period June to December 2011.

** Contingency Fund notification for possible use of extra resources for Libya situation for period May to December 2011.

23. The situation-related budget components are the field operations and court-in-session as summarized in the table below:

Table 4: Budget for court-in-session and field operations per situation

<i>Item</i>	<i>Proposed budget 2012 in € million</i>
Trial 1 (Lubanga)	4.1
Trial 2 (Katanga/Ngudjolo)	4.1
Trial 3 (Bemba)	2.3
<i>Sub-total court-in-session</i>	<i>21.8</i>
Field operations	
Operational support	10.6
Situation 1 - Uganda	1.7
Situation 2 - DRC	8.9
Situation 3 - Darfur	3.5
Situation 4 - CAR	2.6
Situation 5 - Kenya	8.0
Situation 6 - Libya	7.2
<i>Sub-total field operations</i>	<i>42.5</i>
Total	64.3

24. As has already been seen with the situations in Uganda and Sudan, after the initial stages, where more resources are required for conducting investigations, presenting and opening cases and establishing the required support structures, the level of expenditure decreases when judicial activities do not move forward due to lack of arrests. In such cases, the Court has been able to redeploy resources to cover arising needs in other situations and cases, while retaining a minimum infrastructure.

25. Conversely, in those situations where judicial activity is ongoing, the required resources reflect the changing needs directly connected to the proceedings, which in some instances may result in increases due to additional cases or cases at different stages in the proceedings, or in decreases, as is the case with the Central African Republic. It is important to note that the different stages of the judicial proceedings also require different levels of support from Registry on a number of the essential services it provides to all parties, participants and actors in the proceedings. Overall, it is the level of judicial activity that is the main driver of the Court's budget, thus reflecting its linkage to the core mandate of the institution.

Growth analysis: main cost-drivers for 2012

26. Taking into account the assumptions set out in paragraphs 12 to 16 above, the main driver for the Court's budget increment in 2012 as a whole is the unavoidable budget increase in connection with the new situation in Libya, which amounts to an additional €7.2 million. It is important to highlight in this regard that operative paragraph 8 of Security Council resolution 1970 (2011) referring the situation in Libya to the Court, expressly "*[r]ecognizes that none of the expenses incurred in connection with the referral, including expenses related to investigations or prosecutions in connection with that referral, shall be borne by the United Nations and that such costs shall be borne by the parties to the Rome Statute and those States that wish to contribute voluntarily.*"

27. Next after the situation in Libya, and also linked to the overall increase in judicial activities, a substantial increase is expected for legal aid, amounting in total to €4.9 million. This includes €2.5 million to cover legal aid for defence teams and €2.4 million to cover legal aid for teams of victims' representatives. As stated in paragraph 16 above, these figures correspond to the need to pay the legal fees of seven defence teams and twelve teams of victims' representatives at different stages of the proceedings, in accordance with the current legal scheme as endorsed by the Committee and subsequently approved by the Assembly.⁵ Finally, a number of additional necessary investments, such as forward commitments including salary scale adjustments, the need for certain capital investments and the implementation of IPSAS, have to be factored in.

Common system costs (salary calculation)

28. The salary calculation methodology used to prepare the 2012 proposed salary scale is similar to the one used for the 2011 budget proposal. It was based on the Court's actual average step per grade and the latest salary scales available from the United Nations as at February 2011. This calculation has resulted in a net increase of €2.4 million in salary costs, including minor salary increases for General Services staff and for the salary scheme implemented for Professional staff in the field.

Vacancy rate

29. The Court maintained its budgeted vacancy rate of 8 per cent for the Office of the Prosecutor and 10 per cent for the remainder of the Court despite anticipating lower vacancy rate implementation, in line with the Court's 2010 lower actual vacancy rate. The Court is planning to absorb any additional cost a lower vacancy rate would create.

30. Wherever possible, the Court has found savings. As stated in paragraph 13 above, the Court has assumed that, throughout 2012, only consecutive trial-hearings will be held, resulting in a budget reduction of approximately €1.6 million⁶ by comparison with 2011. Furthermore, some efficiencies have been achieved through a strategic review of the

⁵ *Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth session, New York, 30 November-14 December 2007 (ICC-ASP/6/20)*, vol. II, part B.1, para. 80.

⁶ This amount represents the approved increase for six months of simultaneous hearings in 2011.

Court's field operations, whereby the Registry has reduced its field presences from seven to five. As a result of these measures, the Registry will be able, with reduced resources, to provide increased coordination, support and assistance in field operations in all situation countries. A report containing a detailed analysis of the Court's envisaged efficiency gains will be submitted for the consideration of the Committee at its seventeenth session.

Table 5: Comparative resource growth

Total ICC	Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
MP I: Judiciary	9,745.0	924.8	10,669.8	9,355.4	952.9	10,308.3	-361.5	-3.4
MP II: Office of the Prosecutor	5,081.6	21,516.4	26,598.0	5,256.2	26,546.5	31,802.7	5,204.7	19.6
MP III: Registry	31,618.0	29,993.4	61,611.4	34,138.4	35,777.7	69,916.1	8,304.6	13.5
MP IV: Secretariat of the Assembly of States Parties	2,728.2	0.0	2,728.2	3,082.9	0.0	3,082.9	354.7	13.0
MP VI: Secretariat of the Trust Fund for Victims	436.5	768.7	1,205.2	715.0	1,040.8	1,755.8	550.6	45.7
MP VII-1: Project Director's Office (Permanent Premises)	492.2	0.0	492.2	546.4	0.0	546.4	54.2	11.0
MP VII-5: Independent Oversight Mechanism	303.1		303.1	320.8		320.8	17.7	5.8
Total	50,404.6	53,203.3	103,607.9	53,415.1	64,317.9	117,733.0	14,125.1	13.6

Risk management 2012

31. Over the past two years the Court has been formalizing and further strengthening its risk management systems. As part of the effort to develop a more mature and comprehensive system, the Court and units of Major Programmes I to III, from section to organ level, have identified operational and strategic risks and developed risk indicators to serve as tools for managers to track and control the risks to which the Court is exposed.

32. As part of its Assurance Mapping Study in connection with the proposed inspection and evaluation functions of the Independent Oversight Mechanism, the United Nations Office of Internal Oversight Services reviewed the Court's existing mechanisms for risk management in April 2011 and made recommendations to deal with potential gaps and overlaps in the existing external and internal assurance mechanisms. These recommendations are currently under consideration by the Court.

The Court's current key risk categories are:

- (a) Court relationships with States Parties, the Assembly and its subsidiary bodies;
- (b) Stakeholder support;
- (c) Quality of judicial and administrative procedures;
- (d) Independence and interdependence of the organs;
- (e) Credibility of the Court;
- (f) Security of staff and witnesses;
- (g) Business continuity; and
- (h) Premises.

II. Proposed Programme Budget for 2012

A. Major Programme I: Judiciary

Introduction

33. In 2012, the Judiciary is expected to enter a new phase with the rendering of the first final judgments, possible final appeals from those judgments, and reparation proceedings. When additional activity in more recent cases is taken into account, the aggregate workload of the Pre-Trial Chambers, Trial Chambers and Appeals Chamber is expected to see a further increase in 2012 compared to 2011.

34. The budget for Major Programme I is based on the pre-trial, trial and appeal activities which could be foreseen and accurately costed under the budget assumptions for 2012 agreed in March 2011 among the Presidency, the Registry and the Office of the Prosecutor. Provision has therefore been made for: continuation of the trials of *The Prosecutor v. Thomas Lubanga Dyilo* (hereinafter: “Lubanga”), *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui* (hereinafter “Katanga/Ngudjolo”) and *The Prosecutor v. Jean-Pierre Bemba Gombo* (hereinafter “Bemba”), and also for pre-trial activity for the two Kenya cases,⁷ as well as the extension of the judges’ terms necessary to complete the *Katanga/Ngudjolo* and *Bemba* proceedings.

35. It is not possible at this stage to predict how many of the new judges who will be sworn in on 11 March 2012 will need in practice to be called to full-time service by the next Presidency in the course of 2012. Subject to the precise timing of any appeals, for example any final appeals in the *Lubanga* case, the limited case-load assumptions set out in the preceding paragraph will require only one judge to be called to full-time service in March 2012, leading to an overall net decrease in Major Programme I’s budget of approximately €360,000.

36. The Presidency notes that in practice the emerging case-load in 2012 may require the calling-up of more judges than projected in this draft budget, but that this requirement cannot yet be accurately estimated.

37. Given the conservative nature of these assumptions, the Court will inform the Assembly in due course of any developments which could have a material impact on the currently estimated budgetary requirements.

38. Mindful of possible future changes in workload, the Judiciary will exercise its functions in a manner that makes the most efficient possible use of the resources allocated to it. The current staffing structure and mechanisms already in place⁸ provide a degree of flexibility to allow the Judiciary to address changing circumstances, although the need for temporary additional resources to cover specific case-related judicial requirements during 2012 cannot be excluded.

39. The proposed programme budget for Major Programme I is divided into the Presidency, Chambers, and the New York Liaison Office (hereinafter “NYLO”).

40. Presidency and Chambers are organs of the Court pursuant to article 34 of the Rome Statute. NYLO, while administratively supported by the Presidency, is a common representative of all the organs of the Court. NYLO further serves the Bureau of the Assembly of States Parties and its New York Working Group. The Strategic Planning Coordinator is an inter-organ resource that serves the Judiciary as well as the Office of the Prosecutor and the Registry, but is included within the Presidency’s budget.

41. The activities of the Court, and those of the Chambers and the Presidency in particular, have a profound impact on the perception of the Court as an institution and on the development of international criminal law. Ensuring the ability of both Chambers and the Presidency to carry out their functions to the highest judicial and professional standards,

⁷ *The Prosecutor v. William Samoei Ruto, Henry Kiprono Kosgey and Joshua Arap Sang*; ICC-01/09-01/11; and *The Prosecutor v. Francis Kirimi Muthaura, Uhuru Muigai Kenyatta and Mohammed Hussein Ali*, ICC-01/09-02/11.

⁸ Report of the Presidency on the revised staffing structure of Chambers (ICC-ASP/8/29).

and with maximum efficiency and effectiveness, is in the fundamental interest of the Rome Statute system.

Table 6: Major Programme I: Proposed budget for 2012

Judiciary	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF*	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Judges	6,021.2		6,021.2		6,021.2	5,757.2		5,757.2	5,111.9		5,111.9	-645.3	-11.2
Professional staff	No breakdown available					2,756.3	452.0	3,208.3	2,845.0	468.7	3,313.7	105.4	3.3
General Service staff						804.3	181.8	986.1	835.1	189.0	1,024.1	38.0	3.9
<i>Subtotal staff</i>	3,395.5	521.4	3,916.9		3,916.9	3,560.6	633.8	4,194.4	3,680.1	657.7	4,337.8	143.4	3.4
General temporary assistance	459.0	65.7	524.7	266.1	790.8	108.0	270.8	378.8	198.0	295.2	493.2	114.4	30.2
Consultants						15.4		15.4	31.4		31.4	16.0	103.9
<i>Subtotal other staff</i>	459.0	65.7	524.7	266.1	790.8	123.4	270.8	394.2	229.4	295.2	524.6	130.4	33.1
Travel	51.4		51.4		51.4	206.2	20.2	226.4	222.4		222.4	-4.0	-1.8
Hospitality	9.5		9.5		9.5	17.0		17.0	17.0		17.0		
Contractual services	36.3		36.3		36.3				5.0		5.0	5.0	
Training	20.9		20.9		20.9	21.3		21.3	21.3		21.3		
General operating expenses	34.2		34.2		34.2	54.5		54.5	63.3		63.3	8.8	16.1
Supplies and materials	5.4		5.4		5.4	4.8		4.8	5.0		5.0	0.2	4.2
Equipment including furniture	0.4		0.4		0.4								
<i>Subtotal non-staff</i>	158.1		158.1		158.1	303.8	20.2	324.0	334.0		334.0	10.0	3.1
Total	10,033.8	587.1	10,620.9	266.1	10,887.0	9,745.0	924.8	10,669.8	9,355.4	952.9	10,308.3	-361.5	-3.4
Distributed maintenance	95.7	19.8	115.5		115.5	113.1	28.8	141.9	127.6	28.4	156.0	14.1	10.0

* Not including expenditure for the African Union Liaison Office.

Table 7: Major Programme I: Proposed staffing for 2012

Judiciary											Total P- staff and above	Total GS- staff	Total staff		
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL			
Existing	Basic				2	1	21	4			28	1	12	13	41
	Situation-related				1	2		1			4		3	3	7
	<i>Subtotal</i>				3	3	21	5			32	1	15	16	48
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					3	3	21	5			32	1	15	16	48

1. Programme 1100: The Presidency

Introduction

42. The Presidency has three strategic priorities for 2012, which reflect its three primary areas of responsibility:

(a) Legal: to carry out its judicial functions under the Statute, including the support of the Judiciary, as efficiently and expeditiously as possible while maintaining the highest legal standards;

(b) Administrative: to optimize the internal and external governance structure and strengthen the Presidency's strategic leadership within that framework; and

(c) External relations: to maintain and increase support for the Court particularly by encouraging the accession of new States Parties and concluding additional agreements with existing States Parties on a variety of issues, including matters of cooperation and enforcement.

43. In 2011, the Presidency's achievements under these three functions included the signing of a number of enforcement and other agreements with States Parties and improving the President's administrative oversight of the Court as a whole, as well as expanding the universality of the Rome Statute.

(a) The legal team of the Presidency was involved in negotiations for four enforcement agreements with States, of which three were concluded. The team also provided logistical and legal support for four meetings of the judges and dealt with 14 judicial decisions, mostly confidential in nature;

(b) On administrative issues the Presidency is continuously striving to improve the operational and strategic management of the Court, in cooperation with the Registry and the Office of the Prosecutor; and

(c) On external relations, in the first half of 2011 the President and Vice-Presidents conducted a number of successful foreign visits aimed at encouraging decisions to accede or to complete ratification procedures, especially in the Asia-Pacific region and the Arab world. The President and Vice-Presidents also received an increasing number of high-level visits to the Court.

44. The legal work of the Presidency includes judicial review of administrative decisions, as well as facilitating the ongoing judicial operations of the Court. In 2012, the Presidency, through its legal function, will continue to support Chambers in their conduct of fair, effective, and expeditious proceedings (Strategic Goal 1). Through its legal team, the Presidency constitutes Chambers, assigns situations and cases to them, addresses requests by judges for excusal from judicial functions, and provides legal support to the judges' plenary sessions. The Presidency is further assisted by its legal team in conducting judicial review of certain decisions of the Registrar, for example concerning matters related to detention, legal assistance, and inclusion on the list of counsel and experts. Additionally, the legal team assists the Presidency with any complaints of misconduct by counsel and elected officials. Noting the likelihood of final judgments in 2012 and 2013 (see paragraphs 7 and 30 above), and the possibility of prison sentences being imposed,⁹ the legal team must prepare the Presidency so that it can fully exercise its enforcement functions under part X of the Rome Statute and chapter 12 of the Rules of Procedure and Evidence, namely the enforcement of sentences, forfeitures and other orders.

45. The external relations team supports the Presidency in its external relations capacity. As the external face of the Court,¹⁰ the President liaises with States, international organizations and civil society, ensuring that the Court is a well-recognized and supported institution (Strategic Goal 2). The President also raises awareness globally about the Court, encouraging more States to join the Court, particularly in the regions underrepresented in the Rome Statute system. The external relations team further supports the Presidency in its task of coordinating and consulting with the Prosecutor on external relations issues and

⁹ The exact time when final judgments will be rendered and possible sentences imposed is also dependent on whether the parties appeal the trial judgments in the respective cases.

¹⁰ ICC-ASP/9/34, annex II.C, para. 3.

providing strategic guidance and oversight of the Registry's external relations and public information activities.

46. In his administrative function in accordance with articles 38 and 43 of the Rome Statute and pursuant to the Court's corporate governance framework,¹¹ the President exercises strategic oversight over the Registrar, fully supporting the Registry's aim of becoming a model of public administration (Strategic Goal 3). The administrative team assists the President as well as the Presidency in the successful implementation of the Court's internal and external governance structure, as well as coordinating with the Office of the Prosecutor on all matters of mutual concern. The administrative team is also responsible for Judiciary's internal administration and managing the Presidency's and Chambers' budgetary, financial and staff resources.

Objectives

1. Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants. (SG 1)
2. Further enhance awareness of, effect a correct understanding of, and increase support for the Court. (SG 2)
3. Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficiently qualified and motivated staff within a caring environment and a non-bureaucratic culture. (SG 3)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1	- Preparing for a smooth transition of judges in early 2012.	100%
- Carry out its judicial functions under the Statute including the support of the Judiciary as efficiently and expeditiously as possible while maintaining high legal standards	- Progressing in the field of international cooperation and enforcement of sentences. - Managing efficiently applications submitted to the Presidency.	Negotiate two agreements All decisions issued within agreed timeline
Objective 2	- Host official visits.	80
- Maintain or increase support for the ICC (focus on Africa & Asia/Pacific) particularly through additional agreements and ratifications	- Conduct foreign visits. - Deliver speeches at major conferences. - Participate in diplomatic and NGO briefings. - Grant interviews and participate in press conferences.	15 10 2+2 15 + 30
Objective 3	- Strengthen Presidency's strategic leadership and oversight of the Registry.	100%
- Optimize internal and external governance structure and strengthen Presidency's strategic leadership within that framework	- Optimization of internal governance structure. - Comprehensive and effective assistance of and advice to the President. - Improvement of consistent external governance structure. - Overseeing finalization of the Management Control System.	

Staff resources

Presidency allowances

47. The proposed programme budget for 2012 makes a provision of €28,000 for the funds covering special allowances for the President¹² and special allowances for the First or Second Vice-President if acting as President.¹³ The salaries of the three members of the Presidency are included in Sub-programme 1200.

¹¹ ICC-ASP/9/34, paras. 5-14.

¹² *Official Records ... Second session ... 2003* (ICC-ASP/2/10), part III.A.I.B.

¹³ *Ibid.*, part III.A.I.C.

Current staffing

48. The Chef de Cabinet (P-5) provides leadership and strategic direction for the activities of the Presidency staff. The Legal Adviser (P-4), Legal Officer (P-3 GTA) and Associate Legal Adviser (P-2) are responsible for the coordination and substantive support of the Presidency in its legal and judicial functions, including advising on the legal aspects of the Presidency's administrative functions. The Presidency's external relations functions are chiefly supported by the External Relations Adviser (P-3). The Special Assistant to the President (P-3) provides support to the functions of the President relating to the proper administration of the Court, including the strategic oversight of the administrative functions of the Registrar and coordination with the Office of the Prosecutor, as well as the preparation and coordination of the President's interaction with the Court's other organs. The Associate Administrative Officer (P-2) coordinates and monitors the budget preparation, implementation and reporting for Major Programme I, coordinates the staffing resources in the Judiciary and oversees the implementation of the internship and visiting professional programme within Presidency and Chambers. The President's Personal Administrative Assistant (GS-PL), the Judges' Focal Point Administrative Assistant (GS-OL), who provides services for the Judges in the Presidency as well as in Chambers, the Chef de Cabinet's Administrative Assistant (GS-OL), and the Administrative Assistant for External Relations (GS-OL), all provide general administrative and logistical support to the Presidency and Chambers.

Strategic Planning Coordinator

49. The Strategic Planning Coordinator (P-3) became part of the Court's staff in 2010. Although provided for in the budget of the Presidency, this position fulfils Court-wide functions in driving the strategic planning and implementation process forward. As such, in contradistinction to the general Presidency staff, the Strategic Planning Coordinator assists senior managers in Major Programmes I, II and III in a coordinated approach across the different organs.

General temporary assistance

50. The Presidency is also responsible for enforcement under part X of the Rome Statute and chapter 12 of the Rules of Procedure and Evidence. Enforcement encompasses the enforcement of sentences, forfeitures and other orders. In order to prepare efficiently for the eventuality of final sentences being imposed and to ensure that monitoring mechanisms will be in place, the legal team will continue to make the necessary preparations, and to negotiate the conclusion of cooperation and enforcement agreements in 2012. In light of the aforementioned, and given that the workload is not expected to decrease in 2012, it is vital for the efficient and expeditious discharge of the Presidency's legal and judicial functions that the legal team retains the continued support of the GTA P-3 Legal Officer. Mindful of the recommendation of the Committee at its fifteenth session that the number of permanent posts be frozen at its approved 2010 level until a comprehensive re-justification of all posts has been conducted,¹⁴ the Presidency will not seek the conversion of the GTA position into a permanent post in the 2012 budget, but will return to the matter at a future date.

Consultants

51. Recurrent. Given the Presidency's varied workload, the temporary need for expert advice on a range of specialist topics will remain unchanged in the proposed budget.

Non-staff resources*Travel*

52. Recurrent. A provision of €210,000 has been included in the Presidency's budget to cover judges' travel. The increase reflects the Assembly's endorsement of the Committee's recommendation at its fifteenth session that the allocation previously reserved for judges' travel in Sub-programme 1200 (Chambers) be included under the Presidency's budget.¹⁵

¹⁴ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.2, para. 81.

¹⁵ *Ibid.*, vol. I, part II.E. and vol. II, part B.2.D.1, para. 83.

The increase further reflects the funds estimated for meeting the expenses associated with the President's external relations functions, including a provision to cover the cost of staff travelling with the President in the discharge of those functions.

Training

53. Recurrent. Recognizing that training provided with a view to enhancing the specialist expertise of its staff will directly contribute to the achievement of SG1 and SG3, the Presidency provides funding for specialized training opportunities for its staff.

Table 8: Programme 1100: Proposed budget for 2012

The Presidency	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Basic	Situation-related	Total	Cont. Fund	Total incl.CF	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Judges	1,160.9		1,160.9		1,160.9	1,049.9		1,049.9	28.0		28.0	-1,021.9	-97.3
Professional staff		No breakdown available				707.8		707.8	730.0		730.0	22.2	3.1
General Service staff						258.9		258.9	268.1		268.1	9.2	3.6
<i>Subtotal staff</i>	884.3		884.3		884.3	966.7		966.7	998.1		998.1	31.4	3.2
General temporary assistance	257.0	0.7	257.7		257.7	108.0		108.0	110.4		110.4	2.4	2.2
Consultants						15.4		15.4	15.4		15.4		
<i>Subtotal other staff</i>	257.0	0.7	257.7		257.7	123.4		123.4	125.8		125.8	2.4	1.9
Travel	31.9		31.9		31.9	198.3	20.2	218.5	210.0		210.0	-8.5	-3.9
Hospitality	8.4		8.4		8.4	15.0		15.0	15.0		15.0		
Contractual services	36.3		36.3		36.3								
Training	9.9		9.9		9.9	5.5		5.5	5.5		5.5		
Supplies and materials	0.1		0.1		0.1								
Equipment including furniture	0.4		0.4		0.4								
<i>Subtotal non-staff</i>	87.0		87.0		87.0	218.8	20.2	239.0	230.5		230.5	-8.5	-3.6
Total	2,389.2	0.7	2,389.9		2,389.9	2,358.8	20.2	2,379.0	1,382.4		1,382.4	-996.6	-41.9
Distributed maintenance	24.5		24.5		24.5	30.3		30.3	34.2		34.2	3.9	12.9

Table 9: Programme 1100: Proposed staffing for 2012

The Presidency		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic					1	1	3	2		7	1	3	4	11
	Situation-related														
	<i>Subtotal</i>					1	1	3	2		7	1	3	4	11
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	1	3	2		7	1	3	4	11	

2. Programme 1200: Chambers

Introduction

54. Pursuant to article 34(b) of the Rome Statute, Chambers consist of three Divisions: Pre-Trial, Trial and Appeals Division. The Presidency assigns situations and cases to the Pre-Trial and Trial Divisions, while the Appeals Division deals with interlocutory and final appeals against decisions taken in the other Divisions.

Pre-Trial Division

55. In 2012, the level of judicial activity in the Pre-Trial Division is expected to match, if not surpass, the level of activity seen in 2011. Following the referral of the situation in the Libyan Arab Jamahiriya to the Court in 2011 by the United Nations Security Council, the Pre-Trial Chambers are seized of six situations in 2012, namely the Central African Republic, Darfur/Sudan, the Democratic Republic of the Congo, Kenya, Uganda, and now Libya. In two cases arising from the Kenya situation, Pre-Trial Chamber II issued summonses to appear before the Court on 7 and 8 April 2011 for six persons in total. Following those appearances, confirmation of charges hearings are currently expected in September 2011.

56. A minimum of six judges are to be assigned to the Pre-Trial Division at all times.¹⁶ Four of the judges assigned to the Division are each assigned to one of the two long-standing Pre-Trial Chambers. One further judge has been assigned to both Chambers throughout 2011. One judge assigned to Pre-Trial Chamber I is currently also Presiding Judge of Trial Chamber III in the *Bemba* case, a case which is expected to continue through 2012. A third Pre-Trial Chamber was constituted on 22 June 2011 to deal with the possible new situation in Côte d'Ivoire. The sixth judge in the Division, who was already temporarily assigned to the Trial Division, sitting in the *Banda/Jerbo* trial, has also been assigned to the new Pre-Trial Chamber III. Since 2009, judges of the Pre-Trial Division have also been temporarily assigned to the Appeals Division for the purposes of hearing interlocutory appeals.

57. Division staff consists of twelve posts, namely one P-5 Senior Legal Adviser, six P-3 Legal Officers, one P-2 Associate Legal Officer, one GS-OL Research Assistant and three GS-OL Administrative Assistants.

58. The six situations that the Pre-Trial Chambers are seized of in 2011 may result in further applications of parties and participants to the various proceedings presented to the Pre-Trial Chambers in 2012.

59. Pre-Trial Chamber I is seized of the Prosecutor's application for the confirmation of charges in the *Mbarushimana* case. Because of issues arising in the case, it remains unclear when the pre-trial proceedings may be finally completed.

60. Given the number of summoned persons in the two Kenya cases (six in total), Pre-Trial Chamber II required additional resources in order to deal expeditiously with the confirmation of charges procedure. These requirements were the subject of a Contingency Fund notification in 2011.

61. The nature of proceedings before the Pre-Trial Chambers is such that initial appearances, new applications and other requests cannot be foreseen far in advance. As a result, the Pre-Trial Division can only draw on the experience of previous years in order to arrive at its assumptions for 2012. Having identified the recurring need for additional resources during periods of peak activity, the Division will continue to require the flexibility of GTA funds at P-1/P-2 Assistant/Associate Legal Officer level to address efficiently periods of peak activity.

¹⁶ See article 39 of the Rome Statute.

Trial Division

62. The Trial Division is currently composed of eight judges. The mandate of one further judge was extended in accordance with articles 36(10) and 39(3)(a) of the Rome Statute to enable him to complete the *Lubanga* trial. Since the constitution of Trial Chamber IV on 16 March 2011, the judges are assigned to four Chambers, currently hearing the *Lubanga*, *Katanga/Ngudjolo* and *Bemba* cases, and preparing for the hearing of *Banda/Jerbo*.

63. The staffing of the Trial Division comprises one P-4 Legal Adviser, seven P-3 Legal Officers assigned to assist the judges, one P-2 Associate Legal Officer and one GS-OL Research Assistant, as well as three GS-OL Administrative Assistants.

64. Supplemental GTA funds approved in the 2011 programme budget have been used to address the additional workload resulting from the three ongoing trials (*Lubanga*, *Katanga/Ngudjolo* and *Bemba*) in 2011. It is expected that the increased workload will extend into 2012, given that the first final decision under article 74 of the Rome Statute is expected to be issued in the *Lubanga* case in the last quarter of 2011, and that any potential reparations proceedings pursuant to article 75 of the Rome Statute will extend into the first quarter of 2012. This heightened workload cannot be absorbed within the regular resources of the Division and will necessitate continued recourse to additional resources in the first quarter of 2012.

65. In the *Katanga/Ngudjolo* trial the presentation of defence evidence is expected to conclude later in 2011, with a final decision pursuant to article 74 of the Rome Statute issued by June 2012. Subject to the outcome of the case and the approach that ultimately is adopted, it is tentatively estimated that any reparations proceedings will be held in June-July 2012.

66. In the *Bemba* case, Trial Chamber III is expected to continue hearing the evidence presented by the Prosecution in the first half of 2011. The presentation of evidence by the Defence may start in the last quarter of 2011; it is expected to extend well into 2012. Given that this is the first case in which several thousand victims are participating during trial, it is not yet foreseeable how this may impact on the course of the proceedings. At this stage, it is nevertheless expected that the presentation of evidence in the *Bemba* case will conclude towards the end of the year 2012, and that the Chamber will reach a final decision in accordance with article 74 of the Rome Statute at the end of that year, with possible reparations proceedings in 2013.

67. In March 2011, Trial Chamber IV was constituted and seized of the *Banda/Jerbo* case. Because of complex issues arising during preparations for the trial-hearing, it is not possible at present to predict when these will commence or how long they are likely to take.

68. Given the accumulation of case work in 2012, and in the light of the experience in 2011 of the recurring need for additional resources during periods of intensified workload in order to avoid delays in the conclusion of proceedings, the Trial Division will continue to require the flexibility of GTA funding at the level of additional resources approved in 2011. However, the Division is also mindful of the fact that resources released through the termination of the *Lubanga* case, as well as of the *Katanga/Ngudjolo* trial in 2012, will be redeployed to other ongoing cases.

Appeals Division

69. The Appeals Division is composed of five judges, one of whom is the President of the Court. The principal statutory function of the Appeals Chamber is to hear interlocutory appeals against decisions of the Pre-Trial and Trial Chambers made in the course of proceedings, and final appeals against decisions on acquittal or conviction and sentence, and potentially also on reparations. Final appeals will result in a considerably higher workload than interlocutory appeals, as the entirety of the trial proceedings may have to be reviewed, and evidence may have to be heard. In 2012 the mandate of one of the appeals judges will expire; the final constitution of the Appeals Division will be decided after the election of the Presidency and assignment of judges to the Divisions on 11 March 2012.

70. The staff of the Appeals Division comprises one P-4 Legal Adviser, five P-3 Legal Officers, one P-2 Associate Legal Officer, one GS-OL Research Assistant and two GS-OL Administrative Assistants.

71. In 2010, the Appeals Chamber was seized of seven interlocutory appeals; by June 2011, a total of five interlocutory appeals had been validly submitted to it. At this stage, it is difficult to predict the number of interlocutory appeals reaching the Appeals Chamber in 2012, as their number depends both on the number of situations and cases before the Pre-Trial Chambers and Trial Chambers and on the actions of the parties in the ongoing pre-trial and trial proceedings. It is nevertheless anticipated that the number of interlocutory appeals will remain at approximately the same level as that in recent years. It may rise if there are additional situations and cases before the Court.

72. It is expected that in 2012 the Appeals Chamber will be seized of appeals against decisions on acquittal or conviction and sentence, and potentially also on reparations, in the *Lubanga* and *Katanga/Ngudjolo* cases, as well as possibly in the case of *Banda/Jerbo*. Given that more than one party may file a final appeal against such decisions, it may be assumed that the cases before the Trial Chambers could result in two to six final appeals. Having regard to the cases' current progress, it is anticipated that these appeals will reach the Appeals Chamber consecutively. It cannot, however, be excluded that they may reach the Appeals Chamber concurrently, or at least partly overlapping in time, since the precise timing of appeals is dependent on procedural or other factors that cannot always be accurately predicted. Although proceedings at the trial level may end towards the end of 2012 in other cases (notably in the *Bemba* case), it is anticipated that, in light of the timeline for the submission of appeal documents, the main workload for any other potential appeals will arise in 2013.

Objectives

1. Conduct fair, effective, and expeditious public proceedings in accordance with the Rome Statute and high legal standards, ensuring full exercise of the rights of all participants. (SG 1) In this respect, it is one of the objectives of the Appeals Division for the year 2012 to develop and adopt working methods that will allow a fair, efficient, and expeditious disposal of final appeals
2. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality. (SO 10)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1 Pre-Trial Division	<ul style="list-style-type: none"> - Enhance the sharing of information between the Pre-Trial Chambers in order to develop more efficient practices. - Ensure that the transmission of cases to the Trial Division is properly organized and to develop the cooperation in this regard with the Trial Division according to the feedback received. - Prepare cases for trial in the most efficient way and, to this end, define precisely the scope of the cases to be sent to trial and streamline proceedings at the trial stage by solving a number of issues already at the pre-trial stage. - Ensure that cases not supported by sufficient evidence are not sent to trial, in order to achieve judicial economy. 	100%
Objective 2 Trial Division	<ul style="list-style-type: none"> - The Division will seek to ensure that procedures are in place that will enable the best use of resources across the Trial Chambers, so that work is allocated on a flexible and responsive basis. The Division will establish an environment in which the necessary continuity of engagement with a case on the part of members of staff and the need to establish appropriately flexible work patterns are equally implemented. - In consultation with the Registry, the Division will seek to ensure that the Trial Chambers have full access to the procedures, practices and decisions that are adopted in each of the individual cases. - The Division will ensure that the excellent lines of communication with the relevant sections of the Registry are maintained. - Starting in 2011 and continuing into 2012, The Division will conduct a “lessons-learned” exercise based on the trials (particularly those that have concluded) with a view to establishing whether the practices and procedures of the Trial Division ought to be amended in order to promote more efficient proceedings. 	<ol style="list-style-type: none"> 1. The President of the Division and the Legal Adviser (in consultation with the Presiding Judges of the individual Chambers) will seek to ensure that the resources available to the Division are utilized on the most efficient basis. 2. The President of the Division and the Legal Adviser (in consultation with the Presiding Judges of the individual Chambers) and in liaison with the Registry, will seek to ensure that the Trial Chambers have full access to the procedures, practices and decisions that are adopted in each of the individual cases. 3. The President of the Division and the Legal Adviser (in consultation with the Presiding Judges of the individual Chambers) will ensure that the excellent lines of communication with the relevant sections of the Registry are maintained. 4. The President of the Division and the Legal Adviser (in consultation with the Presiding Judges of the individual Chambers), starting in 2011 and continuing into 2012, will conduct a “lessons-learned” exercise based on the trials (particularly those that have concluded) with a view to establishing whether the practices and procedures of the Trial Division ought to be amended in order to promote more efficient proceedings. With the assistance of the legal staff of individual Chambers, the Division will review the course of each completed trial for this purpose.
Objective 3 Appeals Division	<ul style="list-style-type: none"> - Agreement reached within the Appeals Division, based on previous experience and taking into account inter alia the experience of the ad hoc tribunals, on the most appropriate working methods for the disposal of the first final appeals; these working methods should be flexible and may need to be adapted if there are more than one final appeal and/or parallel interlocutory appeals. - Working methods for interlocutory appeals monitored and, if necessary, revised, in particular in light of experience with hearing interlocutory appeals at the same time as final appeals. 	<p>Flexible working methods for final appeals adopted in the first quarter of 2012; implementation monitored throughout the year.</p> <p>Working methods for interlocutory appeals monitored throughout the year.</p>

Judges' salaries and entitlements

73. The proposed programme budget for 2012 makes provision for the remuneration of 18 full-time judges, as well as their allowances such as pension contributions, home leave and education grants, as detailed in annex V(e). The annex also includes the additional costs of judges' relocation.¹⁷

74. The judicial mandates of six judges of the Court will expire on 10 March 2012. The current assumptions foresee the following extension of judicial mandates pursuant to articles 36(10) and 39(3) of the Rome Statute:

(a) An extension of nine months for one judge in the Trial Division hearing the Bemba case; and

(b) Extensions of five months each for two trial judges hearing the Katanga/Ngudjolo case.

75. Extensions will total approximately 19 months. Additional costs will be incurred in relation to the relocation of the judges whose mandates expire in 2012,¹⁸ as well as to the assignment of the new judges who will be called to serve on a full-time basis. Acting pursuant to article 35(3) of the Rome Statute, the incoming Presidency in 2012 will decide when to call the newly elected judges to full-time service during that year. Within the current limited assumptions,¹⁹ and in order to avoid further extensions, only one new judge is anticipated to be called to serve on a full-time basis as of 11 March 2012. If the remaining five judges are not called to serve on a full-time basis, the resulting net savings of judges' salaries would be used to partially absorb the extensions of judges in 2012 and the remuneration of the non full-time judges. However, these assumptions do not take into account possible future developments in the proceedings in the *Mbarushimana* and *Banda/Jerbo* cases,²⁰ the two Kenya cases beyond pre-trial activity, Libya and Côte d'Ivoire, which may necessitate the calling of further newly elected judges to full-time service in the course of 2012.

Staff resources

Current staffing

76. The Judiciary has continued to develop its staffing structure and reinforced flexibility to address changing workload situations and enhance efficiency on an inter-divisional level. Within the spirit of its Report of the Presidency on the revised staffing structure of Chambers,²¹ the Divisions have addressed staff shortages within the available resources and structure through inter- and intra-divisional loans. Legal support staff are assigned on a needs basis, taking into account the workload of specific Chambers, as well as the need to share expertise with a view to enhancing cooperation and streamlining working methods between Chambers, thus leading to efficiency gains.

General temporary assistance

77. *Pre-Trial Division* Recurrent. The Pre-Trial Division has identified a generic recurring need for additional resources during periods of peak activity. The Pre-Trial Division is therefore requesting 12 months of GTA funding at Assistant/Associate Legal Officer level P-1/P-2 to cover immediate short-term needs.

78. *Trial Division* Recurrent. Two P-3 Legal Officers funded from GTA for six months and two P-1/P-2 Assistant/Associate Legal Officers funded from GTA for six months will be necessary in order to ensure the efficient and expeditious conclusion of cases before the Trial Chambers by avoiding a backlog of work and unnecessary extension of the proceedings.

¹⁷ *Official Records ... Third session ... 2004* (ICC-ASP/3/25), part III, ICC-ASP/3/Res.3.

¹⁸ No provision is made for the relocation of the judge hearing the Bemba case, as costs for her relocation will be most likely incurred within the 2013 budget cycle.

¹⁹ See paras. 31-34 above.

²⁰ See paras. 56 and 64 above.

²¹ ICC-ASP/8/29.

Additional resources

79. A provision of €16,000 is made for additional contractual resources in Chambers to provide assistance during peak periods of activity. These additional individual contractors' resources will allow Chambers to efficiently handle the increased workload during such periods.

80. Should any other unexpected need arise, every effort will be made to absorb related additional expenses within the 2012 budget before resorting to alternative funding.

Non-staff resources*Training*

81. Recurrent. Chambers provides funding for specialized training opportunities for its staff, mindful that training with a view to enhancing the specialist expertise of its staff will directly contribute to the achievement of SG1 and SO1.

Table 10: Programme 1200: Proposed budget for 2012

Chambers	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Judges	4,860.3		4,860.3		4,860.3	4,707.3		4,707.3	5,083.9		5,083.9	376.6	8.0
Professional staff						1,908.4	452.0	2,360.4	1,961.6	468.7	2,430.3	69.9	3.0
General Service staff		No breakdown available				484.8	181.8	666.6	504.0	189.0	693.0	26.4	4.0
<i>Subtotal staff</i>	<i>2,279.8</i>	<i>521.4</i>	<i>2,801.2</i>		<i>2,801.2</i>	<i>2,393.2</i>	<i>633.8</i>	<i>3,027.0</i>	<i>2,465.6</i>	<i>657.7</i>	<i>3,123.3</i>	<i>96.3</i>	<i>3.2</i>
General temporary assistance	201.7	65.0	266.7	266.1	532.8		270.8	270.8		295.2	295.2	24.4	9.0
Consultants									16.0		16.0	16.0	
<i>Subtotal other staff</i>	<i>201.7</i>	<i>65.0</i>	<i>266.7</i>	<i>266.1</i>	<i>532.8</i>	<i>270.8</i>	<i>270.8</i>	<i>270.8</i>	<i>16.0</i>	<i>295.2</i>	<i>311.2</i>	<i>40.4</i>	<i>14.9</i>
Travel	0.1		0.1		0.1								
Hospitality	0.9		0.9		0.9	1.0		1.0	1.0		1.0		
Training	11.0		11.0		11.0	15.8		15.8	15.8		15.8		
Supplies and materials	2.0		2.0		2.0								
<i>Subtotal non-staff</i>	<i>14.0</i>		<i>14.0</i>		<i>14.0</i>	<i>16.8</i>		<i>16.8</i>	<i>16.8</i>		<i>16.8</i>		
Total	7,355.8	586.4	7,942.2	266.1	8,208.3	7,117.3	904.6	8,021.9	7,582.3	952.9	8,535.2	513.3	6.4
Distributed maintenance	62.3	19.8	82.1		82.1	77.3	28.8	106.1	87.1	28.4	115.5	9.5	8.9

Table 11: Programme 1200: Proposed staffing for 2012

Chambers		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic							18	2		20		8	8	28
	Situation-related					1	2		1		4		3	3	7
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>18</i>	<i>3</i>		<i>24</i>		<i>11</i>	<i>11</i>	<i>35</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	2	18	3			24		11	11	35

3. Programme 1300: Liaison Offices

Introduction

82. The New York Liaison Office (NYLO) contributes to the objectives of the Court by enhancing interaction and facilitating cooperation between the Court and the United Nations. The Office is constantly engaged with officials from the United Nations Secretariat and its agencies to resolve issues of operational cooperation and to explore ways of strengthening cooperation between the two organizations. NYLO also monitors and reports on developments of relevance to the Court, intervening where necessary.

83. As judicial, investigative and preliminary examination activities increase, the Court has increasingly gained prominence on the United Nations agenda. On an almost daily basis, the work of the Court is discussed by the United Nations General Assembly, the United Nations Security Council and other bodies in diverse contexts, including under situation-specific and thematic agenda items. NYLO, through constant interaction and engagement with key players in these decision-making organs, seeks to ensure that the mandate and work of the Court are respected. The NYLO is administratively supported by the Presidency, but serves and represents all other organs of the Court. It also provides some logistical support to the sessions of the Assembly, its Bureau and the Bureau's New York Working Group. Furthermore, the NYLO disseminates information and updates reports from the Court to the United Nations community in New York, to keep them abreast of relevant developments within the Court.

Objectives

1. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 4)
2. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objectives 1 and 2		
- Well-recognized and adequately supported institution	- Pursue all requests of the Court for cooperation with relevant interlocutors at United Nations Headquarters until completion of requests.	100% implementation rate
	- Organize briefings for States in New York.	3 briefings
	- Provide support to visiting Court officials.	6-10 visits
	- Monitor and participate in relevant United Nations meetings, follow up on items bilaterally and provide weekly reports to the Court.	1-2 reports every fortnight
	- Provide input for United Nations reports and resolutions on Court-related subjects.	Provide input for 5-8 resolutions
	- Participate and intervene in seminars and discussions on Court-related subjects.	5 seminars / workshops
	- Provide logistical support to the Assembly, its Bureau and the New York Working Group.	15-20 meetings

Staff resources

Current staffing

84. NYLO is currently staffed by one Head of Office (P-5), handling all the substantive work of the office, and one Administrative Assistant (G-5), who provides support on all administrative and logistical matters. Due to its limited staffing, the Head of Office focuses on the most essential tasks of pursuing the most urgent requests for cooperation with the United Nations, establishing and maintaining formal contacts and informal networks with the United Nations Secretariat and Permanent Missions, monitoring and reporting to the Court on United Nations activities of concern to the Court, arranging visits of Court officials to the United Nations and servicing meetings of the Assembly and its subsidiary bodies.

General temporary assistance

85. In light of the growing role of the Court in the international community, it is requested that an additional P-2 Associate Legal Officer GTA be included in the budget of NYLO. This will enable NYLO to intervene more actively in discussions within the various bodies and organs of the United Nations, in particular those that have the most substantial operational impact on the Court, and to expand NYLO's network of contacts to include stakeholders such as intergovernmental, regional and non-governmental organizations, thus enhancing the Court's profile both within and outside the United Nations and contributing to the promotion and realization of its objectives. With the additional staffing, NYLO will be able regularly to host high-level events aimed at promoting a better understanding of the Court's work.

86. If the NYLO staffing remains at the 2011 level, its mandate will need to be adjusted to the current circumstances and some of the support functions to the Assembly and its Secretariat may need to be reviewed.

Non-staff resources*Supplies*

87. Recurrent. A provision is made for the running costs of NYLO, including the rental of the office space, basic office supplies and other expendable materials required for the Office. This amount covers travel, general operating expenses and supplies.

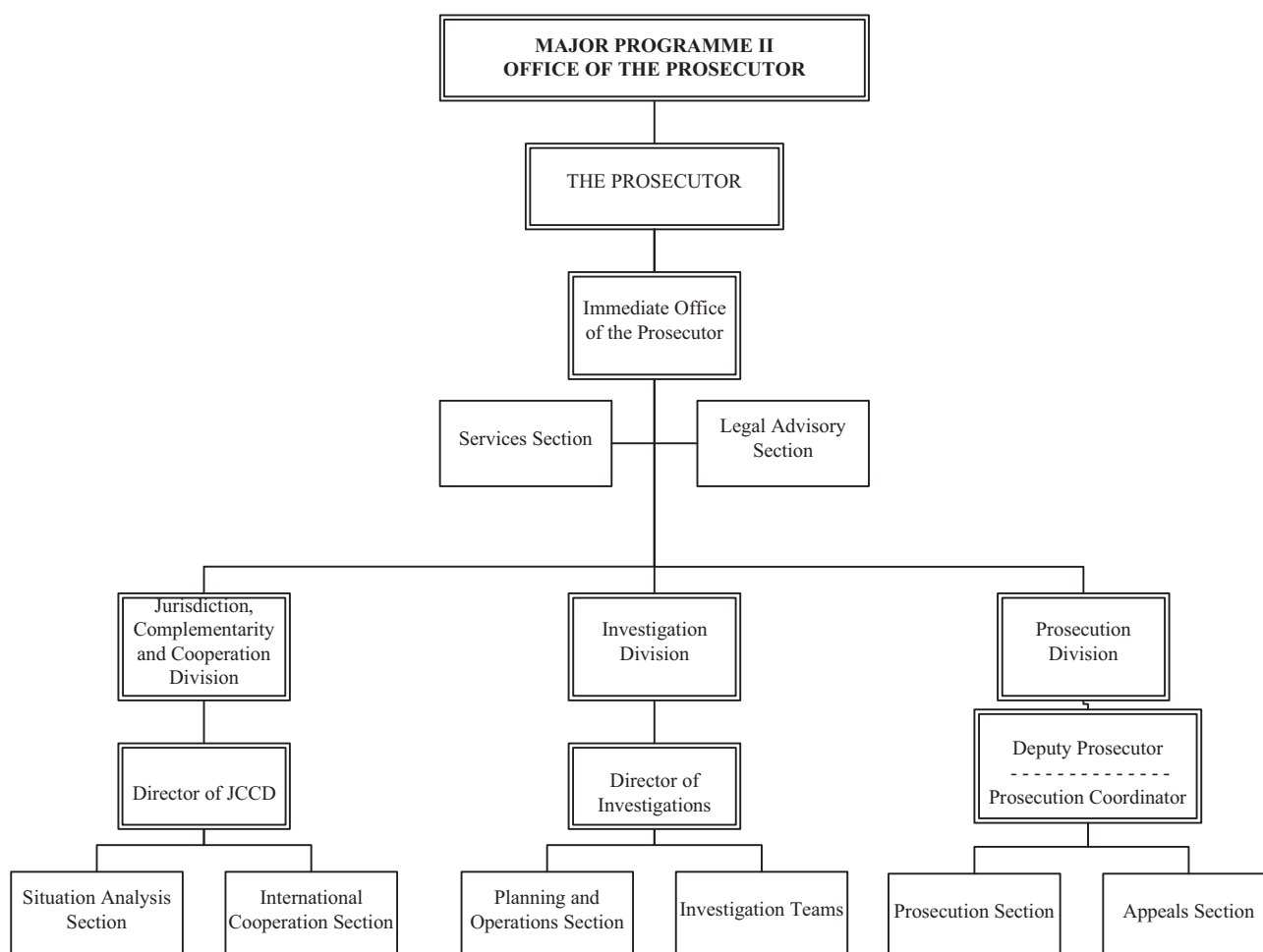
Table 12: Programme 1300: Proposed budget for 2012

Liaison Offices	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF*	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
<i>Judges</i>													
Professional staff	No breakdown available					140.1		140.1	153.4		153.4	13.3	9.5
General Service staff						60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	231.4		231.4		231.4	200.7		200.7	216.4		216.4	15.7	7.8
General temporary assistance	0.3		0.3		0.3				87.6		87.6	87.6	
<i>Subtotal other staff</i>	0.3		0.3		0.3				87.6		87.6	87.6	
Travel	19.4		19.4		19.4	7.9		7.9	12.4		12.4	4.5	57.0
Hospitality	0.2		0.2		0.2	1.0		1.0	1.0		1.0		
Contractual services									5.0		5.0	5.0	
General operating expenses	34.2		34.2		34.2	54.5		54.5	63.3		63.3	8.8	16.1
Supplies and materials	3.3		3.3		3.3	4.8		4.8	5.0		5.0	0.2	4.2
<i>Subtotal non-staff</i>	57.1		57.1		57.1	68.2		68.2	86.7		86.7	18.5	27.1
Total	288.8		288.8		288.8	268.9		268.9	390.7		390.7	121.8	45.3
Distributed maintenance	8.9		8.9		8.9	5.5		5.5	6.2		6.2	0.7	13.2

Table 13: Programme 1300: Proposed staffing for 2012

Liaison Offices		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic					1					1		1	1	2
	Situation-related														
	<i>Subtotal</i>					1					1		1	1	2
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1						1		1	1	2

B. Major Programme II: Office of the Prosecutor



Introduction

88. The only additional resources requested in the budget submission of the Office of the Prosecutor (“the Office”) for 2012 are to deal with the referral of the Libya situation by the United Nations Security Council and to compensate for increases in common system costs.

89. The Office continues to increase its productivity:

(a) It has absorbed two investigations and the appearance of the suspects in the Kenya situation, as well as the arrest in the case against Callixte Mbarushimana, without requesting additional resources;

(b) It has, wherever possible, reduced its service requirements (e.g. field mission support and referral of new witnesses) and at the same time made every effort to keep unavoidable increases of service requests (e.g. for the additional staff) to a minimum; and

(c) It is planning to absorb the additional resources required in the event that it is authorized by the Chamber to open an investigation into Côte d’Ivoire.

90. The Office integrates its human resources through the teams created in its Regulations and rotates them in accordance with needs. The number of investigators, trial lawyers and cooperation advisers in each team evolves throughout the year in order to adapt to the requirements of each case. On a monthly basis an assessment is made of the resource requirements across all teams and then resources are redistributed according to needs. This results in a very flexible and efficient structure, with optimal use of resources.

91. The 2012 budget proposal for the OTP shows an absolute increase of €5,204,700 (19.6 per cent) over the approved budget for 2011. Common system cost increases aside, the net increase in the 2012 budget request is 16.2 per cent. The 2012 request represents a 21.2 per cent increase over actual expenditure for 2010 if common system cost increases are excluded (24.6 per cent if included). This is explained by a corresponding 60 per cent increase in active and residual caseload assumed for the budgets over the same period (namely developments in DRC, Darfur, Kenya and Libya situations), as can be seen in the table below.

Table 14: Changes in OTP budget and staff allocation per situation*

<i>Budget in euros (# staff) and (# cases)</i>	<i>Operational support</i>	<i>Uganda</i>	<i>DRC</i>	<i>Darfur</i>	<i>CAR</i>	<i>Kenya</i>	<i>Libya</i>
2009 approved	5,012,700 (59) (9 cases)	898,900 (3) (1 case)	6,124,300 (53) (4 cases)	4,590,500 (32) (3 cases)	4,206,300 (24) (1 case)	N/A	N/A
2010 approved	5,539,200 (61) (11 cases)	903,800 (3) (1 case)	6,655,400 (54) (5-6 cases)	4,121,400 (24) (3 cases)	4,794,700 (1-2 cases)	N/A	N/A
2011 approved	6,245,900 (69) (13 cases)	341,800 (1) (1 case)	5,510,800 (43) (5 cases)	2,288,000 (16) (4 cases)	2,122,500 (10) (1 case)	5,026,900 (36) (2 cases)	Contingency Fund ²² 2,181,800 (2 cases)
2012 proposed	5,534,900 (53) (16 cases)	111,200 (1) (1 case)	6,062,500 (43) (6 cases)	2,471,000 (17) (4 cases)	1,549,900 (8) (1 case)	5,456,300 (34) (2 cases)	5,360,600 (14) (2 cases)

* The staffing component indicated in this table reflects established and situation-related posts only and not GTA resources.

92. Resources from cases expected to be almost or completely finished by 2012 (*The Prosecutor v. Lubanga*, and *The Prosecutor v. Katanga/Ngudjolo Chui*) will be reassigned to cover the needs in the upcoming trials in the Kenya situation, as well as for the case of *The Prosecutor v. Mbarushimana*.

93. The number of established posts in the Office remains unchanged in 2012. The additional staff resources necessary as a direct result of the referral of the Libya situation, and covered for 2011 by resources drawn from the Contingency Fund pursuant to the request of May 2011 will be entirely covered for 2012 by the GTA budget proposal; the future need for these resources beyond 2012 will be assessed on the basis of the workload generated by operational cases and situations.

94. Increases in the travel budget are partially caused by inflation adjustments (mainly due to increases in the price of oil and therefore higher airfares, which cannot be absorbed in 2012 in the existing budget), and by the need for additional missions in the context of the Libya investigations.

95. The Office continues to base its activities and strategy on three pillars. The first key component of the OTP cost-efficiency approach is a policy of carefully focused investigations and prosecutions, in accordance with the Statute. The Office is working in six situations, and has opened 13 cases against 24 individuals. In all these cases the individuals were at the top of the entities alleged to have committed the crimes. Prosecuting those at the top in this way is designed to maximize the Court's preventive impact. The Office has collected enough evidence to prosecute at least 100 individuals, but this would result in a massive increase in costs to the Court. Evidence in the Court's possession that could lead to the prosecution of other individuals could ultimately be provided to national authorities. The selection of cases triggers the main cost drivers of judicial proceedings in the remaining phases: number of witnesses, protective measures, length of the proceedings and legal representation for victims and suspects.

²² Contingency Fund request for Libya situation resources for period May to December 2011.

96. The second key factor is increased cooperation through a network of cooperation with States, intergovernmental and non-governmental entities while respecting the principle of complementarity. During 2009 and 2010 the Office has worked closely with several countries in different regions, including sharing information to assist in the investigation of crimes committed in the Kivus. This also reduces the cost of investigations in the field and of protection. The services required from the Registry in these areas were reduced in 2011, and are further reduced for 2012.

97. The third key element is the flexible use of resources through expanding or reducing joint teams in accordance with needs. This rotation and efficient use of resources has been facilitated by the increased standardization of the Office through its Operational Manual, which came into official use at the beginning of 2010. Staff members are able to move on a needs basis from one team to another, encountering only minimal problems of adaptation.

Table 15: Major Programme II: Proposed budget for 2012

Office of the Prosecutor	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			3,694.2	11,778.3	15,472.5	3,808.7	12,201.8	16,010.5	538.0	3.5
General Service staff				997.2	2,937.6	3,934.8	1,046.8	3,091.2	4,138.0	203.2	5.2
<i>Subtotal staff</i>	<i>4,179.2</i>	<i>13,817.6</i>	<i>17,996.8</i>	<i>4,691.4</i>	<i>14,715.9</i>	<i>19,407.3</i>	<i>4,855.5</i>	<i>15,293.0</i>	<i>20,148.5</i>	<i>741.2</i>	<i>3.8</i>
General temporary assistance	299.4	3,995.8	4,295.2	37.0	4,164.5	4,201.5	38.3	7,869.4	7,907.7	3,706.2	88.2
Overtime	0.2		0.2	15.0		15.0	15.0		15.0		
Consultants		242.0	242.0		106.0	106.0		170.8	170.8	64.8	61.1
<i>Subtotal other staff</i>	<i>299.6</i>	<i>4,237.8</i>	<i>4,537.4</i>	<i>52.0</i>	<i>4,270.5</i>	<i>4,322.5</i>	<i>53.3</i>	<i>8,040.2</i>	<i>8,093.5</i>	<i>3,771.0</i>	<i>87.2</i>
Travel	269.7	1,735.9	2,005.6	229.0	1,805.6	2,034.6	235.5	2,402.4	2,637.9	603.3	29.7
Hospitality	8.2		8.2	10.0		10.0	10.0		10.0		
Contractual services	34.7	174.6	209.3	25.0	252.5	277.5	25.0	282.4	307.4	29.9	10.8
Training	30.1	63.0	93.1	23.9	55.5	79.4	23.9	55.5	79.4		
General operating expenses		233.1	233.1		340.8	340.8		395.0	395.0	54.2	15.9
Supplies and materials	12.4	20.9	33.3	50.3	45.6	95.9	53.0	48.0	101.0	5.1	5.3
Equipment including furniture		142.4	142.4		30.0	30.0		30.0	30.0		
<i>Subtotal non-staff</i>	<i>355.1</i>	<i>2,369.9</i>	<i>2,725.0</i>	<i>338.2</i>	<i>2,530.0</i>	<i>2,868.2</i>	<i>347.4</i>	<i>3,213.3</i>	<i>3,560.7</i>	<i>692.5</i>	<i>24.1</i>
Total	4,833.9	20,425.3	25,259.2	5,081.6	21,516.4	26,598.0	5,256.2	26,546.5	31,802.7	5,204.7	19.6

Table 16: Major Programme II: Proposed staffing for 2012

Office of the Prosecutor		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic	1	2		2	6	8	4	7	2	32	1	15	16	48
	Situation-related					6	21	40	40	15	122		48	48	170
	<i>Subtotal</i>	<i>1</i>	<i>2</i>		<i>2</i>	<i>12</i>	<i>29</i>	<i>44</i>	<i>47</i>	<i>17</i>	<i>154</i>	<i>1</i>	<i>63</i>	<i>64</i>	<i>218</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total	1	2		2	12	29	44	47	17	154	1	63	64	218	

1. Programme 2100: The Prosecutor

Introduction

98. The programme of the Prosecutor comprises the Immediate Office, the Legal Advisory Section (LAS) and the Services Section, which all assist the Prosecutor with the coordination of, and provision of services to, the operational divisions and joint teams. It is here that the policies of the Office are evaluated and consolidated. The Executive Committee (regulation 4.2 of the Regulations of the Office of the Prosecutor) advises the Prosecutor on strategic aspects of all operations and activities of the Office, and the Immediate Office acts as its secretariat.

99. Through the Executive Committee, the Prosecutor directs the major activities for achieving the objectives of the prosecutorial strategy with a minimum of resources and maximum accountability.

100. In this regard, the Immediate Office of the Prosecutor coordinates internal and inter-organ activities, ensuring a well-qualified and motivated staff, effective information sharing and the development of a common Court culture.

101. The Legal Advisory Section responds to requests for legal advice from the Prosecutor and all operational divisions.

102. The Services Section provides high-quality and timely OTP-specific administrative, linguistic and technical services, by adopting a flexible approach to meeting clients' needs with minimum resources and by interfacing with the Registry to coordinate seamless common services.

Objectives

1. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)
2. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights and minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1	- Proportion of annual training plan implemented	≥ 95%
- Delivery of the annual training plan supporting the full implementation of the Operations Manual	- Percentage of the target groups reached by training	100% compulsory training elements; 60% optional training elements
Objective 2	- Actual proportion of improvements/developments implemented	> 90%
- All OTP-specific language, administrative, budgetary and technical development and improvement objectives for 2012 implemented		

Table 17: Programme 2100: Proposed budget for 2012

The Prosecutor	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			2,058.0	424.6	2,482.6	2,121.0	439.1	2,560.1	77.5	3.1
General Service staff				630.0	673.2	1,303.2	660.4	708.4	1,368.8	65.6	5.0
<i>Subtotal staff</i>	<i>2,283.1</i>	<i>979.6</i>	<i>3,262.7</i>	<i>2,688.0</i>	<i>1,097.8</i>	<i>3,785.8</i>	<i>2,781.4</i>	<i>1,147.5</i>	<i>3,928.9</i>	<i>143.1</i>	<i>3.8</i>
General temporary assistance	174.9	1,320.3	1,495.2	37.0	1,533.8	1,570.8	38.3	2,559.7	2,598.0	1,027.2	65.4
Overtime	0.2		0.2	15.0		15.0	15.0		15.0		
Consultants		242.0	242.0		106.0	106.0		170.8	170.8	64.8	61.1
<i>Subtotal other staff</i>	<i>175.1</i>	<i>1,562.3</i>	<i>1,737.4</i>	<i>52.0</i>	<i>1,639.8</i>	<i>1,691.8</i>	<i>53.3</i>	<i>2,730.5</i>	<i>2,783.8</i>	<i>1,092.0</i>	<i>64.5</i>
Travel	159.4	337.3	496.7	72.5	442.4	514.9	73.4	583.5	656.9	142.0	27.6
Hospitality	8.2		8.2	10.0		10.0	10.0		10.0		
Contractual services	34.7	155.1	189.8	25.0	192.5	217.5	25.0	202.4	227.4	9.9	4.6
Training	30.1	56.1	86.2	23.9	55.5	79.4	23.9	55.5	79.4		
General operating expenses		5.2	5.2		9.7	9.7		10.0	10.0	0.3	3.1
Supplies and materials	12.4	19.0	31.4	50.3	26.6	76.9	53.0	28.0	81.0	4.1	5.3
Equipment including furniture		108.9	108.9		30.0	30.0		30.0	30.0		
<i>Subtotal non-staff</i>	<i>244.8</i>	<i>681.6</i>	<i>926.4</i>	<i>181.7</i>	<i>756.7</i>	<i>938.4</i>	<i>185.3</i>	<i>909.4</i>	<i>1,094.7</i>	<i>156.3</i>	<i>16.7</i>
Total	2,703.0	3,223.5	5,926.5	2,921.7	3,494.3	6,416.0	3,020.0	4,787.4	7,807.4	1,391.4	21.7
Distributed maintenance	64.6	45.2	109.8	80.0	65.8	145.8	90.2	65.0	155.3	9.5	6.5

Table 18: Programme 2100: Proposed staffing for 2012

The Prosecutor		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
		Existing	Basic	1				2	5	3	6	2	19	1	9
	Situation-related							1	1	3	5		11	11	16
	<i>Subtotal</i>	<i>1</i>				<i>2</i>	<i>5</i>	<i>4</i>	<i>7</i>	<i>5</i>	<i>24</i>	<i>1</i>	<i>20</i>	<i>21</i>	<i>45</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total		1				2	5	4	7	5	24	1	20	21	45

(a) Sub-programme 2110: Immediate Office of the Prosecutor / Legal Advisory Section**Staff resources**

103. There are no new posts requested for this sub-programme.

General temporary assistance

104. Funds are requested as in previous years for five months of GTA at P-2 level to support ad hoc projects or anticipated peaks in workload in relation to the legal advisory capacity of the Office.

105. Additionally, in the context of the Libya investigation, there is a continued need for the resources requested in the Contingency Fund application, namely one Public Information Assistant (GS-OL) and one Staff Assistant (GS-OL), to support the case-specific and general workload increases that arise as a result of a new situation. The Public Information Assistant will provide support in analysing Arabic media and assisting in the preparation of press releases and other material relevant to the public information strategy for the case. The Staff Assistant will provide the necessary support for the increase in OTP staff, handling the increase in enquiries in respect of recruitment and employment, and taking the necessary action.

Overtime

106. Provision remains at €15,000, to meet legal requirements to compensate for overtime in the GS category.

Consultancy

107. In 2012 the Office will continue to engage situation-related expert advisers and expert witnesses in support of investigations and trials. For this, the Office requests the increased amount of €170,800, which represents the equivalent of 8.5 months of consultancy services at the P-5 level, although the actual level of the consultants will be determined on the basis of the work required and the experience of the consultants. The majority of this increase relates to the Libya case (€50,200), whilst the remainder reflects standard salary cost increases. The budget remains centralized in the Immediate Office.

108. In accordance with article 42(9) of the Rome Statute, the Prosecutor continues the appointment of external legal advisers on gender crimes and other issues. As these persons contribute their services on a *pro bono* consultancy basis, their appointment entails no increase in the resources requested.

Non-staff resources*Travel*

109. In order to raise support and concrete cooperation, at the highest levels, for the Office's investigations and the arrest of individuals sought by the Court, and to contribute to maximizing the impact of the Rome Statute, it is necessary for the Prosecutor to travel. The provision within the Immediate Office has always incorporated travel projections for the Prosecutor, legal advisory, HR and public information staff, as well as for key stakeholders invited to meet with the Prosecutor, specifically those unable to fund the costs of such travel.

110. The increase for 2012 is largely accounted for by the provision of €22,200 for the Libya situation. The balance is the result of increases in the standard travel costs used for the 2012 budget. Owing to the reductions in the 2011 travel budget, these increases cannot be absorbed or offset from reductions in travel for the other situations.

111. The travel budget comprises missions for the Prosecutor as well as representatives of the Public Information Unit, Legal Advisory Section and OTP- Human Resources Unit and visitors to the Office. The request concerns almost 60 missions (206 travel days).

Contractual services including training

112. The training budget remains centralized in the Immediate Office. The unchanged amount of €79,400 is requested to support continued professional development for all staff within the Office. More specifically, operational training in the fields of investigation, analysis, advocacy, field safety and witness protection will be organized as relevant under the OTP Operational Manual.

113. An amount of €25,000 is requested, as approved last year, to support the public information costs of independent and joint outreach missions in the countries of operation. Typical costs incurred relate to radio broadcasts, the rental of appropriate facilities for press conferences and production and distribution costs for materials.

Table 19: Sub-programme 2110: Proposed budget for 2012

Immediate Office of the Prosecutor / Legal Advisory Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- related		Total	Situation- related		Total	Situation- related		Total	Amount	%
	Basic			Basic			Basic				
Professional staff	No breakdown available			994.6		994.6	1,022.3		1,022.3	27.7	2.8
General Service staff				324.0		324.0	338.4		338.4	14.4	4.4
<i>Subtotal staff</i>	<i>1,040.5</i>		<i>1,040.5</i>	<i>1,318.6</i>		<i>1,318.6</i>	<i>1,360.7</i>		<i>1,360.7</i>	<i>42.1</i>	<i>3.2</i>
General temporary assistance	174.9		174.9	37.0		37.0	38.3	140.0	178.3	141.3	381.9
Overtime	0.2		0.2	15.0		15.0	15.0		15.0		
Consultants		242.0	242.0		106.0	106.0		170.8	170.8	64.8	61.1
<i>Subtotal other staff</i>	<i>175.1</i>	<i>242.0</i>	<i>417.1</i>	<i>52.0</i>	<i>106.0</i>	<i>158.0</i>	<i>53.3</i>	<i>310.8</i>	<i>364.1</i>	<i>206.1</i>	<i>130.4</i>
Travel	149.2	58.0	207.2	54.9	91.8	146.7	57.8	128.1	185.9	39.2	26.7
Hospitality	8.2		8.2	10.0		10.0	10.0		10.0		
Contractual services	0.4	43.9	44.3		25.0	25.0		25.0	25.0		
Training	22.6	46.5	69.1	23.9	55.5	79.4	23.9	55.5	79.4		
<i>Subtotal non-staff</i>	<i>180.4</i>	<i>148.4</i>	<i>328.8</i>	<i>88.8</i>	<i>172.3</i>	<i>261.1</i>	<i>91.7</i>	<i>208.6</i>	<i>300.3</i>	<i>39.2</i>	<i>15.0</i>
Total	1,396.0	390.4	1,786.4	1,459.4	278.3	1,737.7	1,505.7	519.4	2,025.1	287.4	16.5
Distributed maintenance	31.2		31.2	38.6		38.6	43.6		43.6	5.0	12.9

Table 20: Sub-programme 2110: Proposed staffing for 2012

Immediate Office of the Prosecutor / Legal Advisory Section											Total P- staff and above	Total GS- staff	Total staff	
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL		
Existing	Basic	1			1	1	1	4	1	9	1	4	5	14
	Situation-related													
	<i>Subtotal</i>	<i>1</i>			<i>1</i>	<i>1</i>	<i>1</i>	<i>4</i>	<i>1</i>	<i>9</i>	<i>1</i>	<i>4</i>	<i>5</i>	<i>14</i>
New/ Converted	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Reclassified/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total	1				1	1	1	4	1	9	1	4	5	14

(b) Sub-programme 2120: Services Section**Staff resources***General temporary assistance*

114. The Language Services Unit has a continuing need for GTA funds as in previous years. This temporary assistance is required to handle the workload in translation and field interpretation supporting investigative missions.

115. The workforce requirements have changed as result of the referral of the Libya situation. It is estimated that 22 FTE are required for all translation, revision and interpretation services in support of the Office's mandate. Of this request, three FTE are provided by (situation-related) established posts and the remaining 19 FTE through flexible GTA work-month provision.

116. To restrict increases to the minimum in this area, the Language Services Unit continues to budget with some shortfall in resources, an estimated 1.48 FTE less than required (compared to 2.65 FTE in 2011). This shortfall equates to 1,139 pages of translation that will need to be achieved through efficiency gains and outsourcing where confidentiality allows. The wide range of languages serviced reduces the capacity for absorption compared to previous years.

Table 21: Functional distribution of translators (GTA and existing posts) and resultant translation capacity based on anticipated 2011 workloads

Language	FTE distribution									Total FTE	Capacity vs demand		
	Translation			Other language services							Anticipated Workload (pgs)	Capacity 2012 (pgs)	Excess/ Shortfall (pages)
	Translation	Translation/ Field Interpretation Management	Field Interpretation Support - Testing/ Training	Interpretation (Missions)	Telephone Interpretation	Document Scanning	Transcription Support (QC)/ Revisiting Transcripts	Sight Translation	Proofreadin/ Reviewing (e.g. ext. trans.)				
ENG - FRA	2.7	0.1	0.1	0.2					0.9	4	2,724	2,079	-645.00
FRA - ENG	2.4	0.9		0.2				0.1	0.4	4	2,548	1,848	-700.00
ENG - ARB	1.7		0.2	0.6	0.3			0.2		3	1,237	1,309	72.00
ARB - ENG	2.2			0.5	0.3					3	1,607	1,694	87.00
KIN/ SWH/ LIN - FRA	1.2					0.3	0.2	0.8		2.5	766	924	158.00
SWH - ENG	0.6	0.5	0.1	0.8						2	524	462	-62.00
SAG				0.3	0.2				1	1.5	-	-	*
KLN/ KIK/ LUY/ LUO	0.3			0.4	0.2	0.2	0.3	0.6		2	280	231	-49.00
Total FTE	11.1	1.5	0.4	3	1	0.5	0.5	1.7	2.3	22	9,686	8,547	-1,139.00

* Two FTE do not produce written translations, as there is no standard written form of the language.

117. One GSOL position is required for the Information and Evidence Unit to supplement existing resources in the registration and handling of all evidence collected in the Libya situation.

118. Likewise, 12 months of GSOL equivalent is requested in support of financial and operational administration for the Office pertaining to the Libya situation. This additional situation cannot be absorbed by existing resources.

Non-staff resources

Travel

119. The travel budget allows for investigation-related missions solely for technical staff, field interpreters (local and international) and field interpretation recruitment missions, which necessarily support the investigative missions for all cases at pre-trial and trial phases. The total number of situation-related missions increases to 156 (compared to 144 in 2011); this increase relates solely to the Libya situation (24 missions) and masks a reduction by 12 missions across other cases, in part offsetting the overall increase in travel costs.

120. The basic travel budget provides for eight missions for technical, language and administrative staff to participate in professional conferences, and in support of the budget presentations.

Contractual services including training

121. Major costs for OTP-specific information management projects for 2012 relate to business-process optimization to gain efficiency, namely: specific tools for language detection to support the evidence analysis are required to this end; an amount of €70,000 is allocated for requirements analysis and for advice on solutions and their integration into the existing systems; for cross-situation text and data mining, the amount of €22,000 is requested to cover requirements analysis and solution advice; lastly, €51,000 is required for the Office to pilot a system for automated suggestive evidence metadata coding for OTP evidence collections.

122. Funds for the outsourcing of translations are required to support the Office's in-house translation capacity during peaks in activity relating to time-constrained, case-specific workloads and for communications received in neither of the working languages of the Court. The amount requested is €50,000 representing a €5,000 increase for Libya-related translations.

123. The recurring amount of €9,400 is required as in previous budgets for the outsourcing of scanning, digitizing and printing of very large evidence items requiring specialized equipment not available or economical to have in-house.

General operating expenses

124. This remains at €10,000 to meet in part the costs of the projects outlined above.

Supplies and materials / equipment

125. The unchanged amount of €35,000 is requested to maintain annual subscriptions to OTP-specific databases/journals and professional subscriptions, as well as the purchase of key reference books necessary to support the core activities of the Office.

126. €20,000 is required for the acquisition of two advanced hardware systems for the support of the joint teams, in particular for culling of data. The recurring amount of €10,000 is required to maintain, replace and upgrade OTP-specific mission equipment (for audio-visual support to investigations).

Table 22: Sub-programme 2120: Proposed budget for 2012

Services Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			1,063.4	424.6	1,488.0	1,098.7	439.1	1,537.8	49.8	3.3
General Service staff				306.0	673.2	979.2	322.0	708.4	1,030.4	51.2	5.2
<i>Subtotal staff</i>	1,242.5	979.6	2,222.1	1,369.4	1,097.8	2,467.2	1,420.7	1,147.5	2,568.2	101.0	4.1
General temporary assistance	1,320.3		1,320.3	1,533.8		1,533.8	2,419.7		2,419.7	885.9	57.8
Consultants											
<i>Subtotal other staff</i>	1,320.3		1,320.3	1,533.8		1,533.8	2,419.7		2,419.7	885.9	57.8
Travel	10.2	279.3	289.5	17.6	350.6	368.2	15.6	455.4	471.0	102.8	27.9
Contractual services	34.3	111.2	145.5	25.0	167.5	192.5	25.0	177.4	202.4	9.9	5.1
Training	7.5	9.6	17.1								
General operating expenses	5.2		5.2	9.7		9.7	10.0		10.0	0.3	3.1
Supplies and materials	12.4	19.0	31.4	50.3	26.6	76.9	53.0	28.0	81.0	4.1	5.3
Equipment including furniture	108.9		108.9	30.0		30.0	30.0		30.0		
<i>Subtotal non-staff</i>	64.4	533.2	597.6	92.9	584.4	677.3	93.6	700.8	794.4	117.1	17.3
Total	1,306.9	2,833.1	4,140.0	1,462.3	3,216.0	4,678.3	1,514.3	4,268.0	5,782.3	1,104.0	23.6
Distributed maintenance	33.4	45.2	78.6	41.4	65.8	107.2	46.7	65.0	111.7	4.5	4.2

Table 23: Sub-programme 2120: Proposed staffing for 2012

Services Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above			Total GS- staff	Total staff
											GS-PL	GS-OL			
Existing	Basic					1	4	2	2	1	10		5	5	15
	Situation-related							1	1	3	5		11	11	16
	<i>Subtotal</i>					1	4	3	3	4	15		16	16	31
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	4	3	3	4	15		16	16	31	

2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division (JCCD)

Introduction

127. The division contributes primarily to building and reinforcing the strong and extensive network of support and cooperation with States, international organizations and other stakeholders which is necessary in order for the Office to carry out its judicial mandate effectively. The division leads within the Office on matters of jurisdiction, admissibility, interests of victims and cooperation. It channels and coordinates all requests for assistance, negotiates cooperation agreements as appropriate, develops information-sharing networks and galvanizes support for arrests. It coordinates all matters of external relations and conducts the preliminary examination of information on crimes within the jurisdiction of the Court.

128. Within the division, in the context of enhancing fair, effective and expeditious proceedings, the Situation Analysis Section provides advice on complex matters of fact and law regarding complementarity, jurisdiction, cooperation and assessment of interests of justice, in particular in terms of interests of victims.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)
2. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)
3. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Provide the Executive Committee with periodic analytical reports on communications received, and issues of jurisdiction, admissibility and/or interests of justice in situations under preliminary examination or investigation.	- Proportion of reports delivered on time and substantiated by the Executive Committee.	100%
- Efficient and timely compliance with internal processes for requests for assistance.	- Proportion of requests processed in accordance with material, formal and timely requirements: rate of compliance.	> 95%
- Further develop range of providers of information and other types of support including in particular cooperation for investigative/trial purposes and conclusion of agreements/arrangements where required.	- Proportion of expected cooperation and support and cooperation arrangements concluded as required.	100%
Objective 2		
- Adequate progress made in the implementation of cooperation and arrest strategies developed for each situation.	- Actual implementation vs planned implementation.	100%
Objective 3		
- Implementation of annual objectives contained within cooperation and external relations strategies directly involving OTP.	- Actual implementation rate of annual objectives.	100%

Staff resources

Established posts

129. In 2011, a P-3 Legal Officer position that became vacant was redeployed to the Investigation Division in order to provide for operational needs in terms of crime analysis. In exchange, a P-2 position was redeployed from the Investigation Division to provide JCCD with a further Associate International Cooperation Adviser, thus enabling the JCCD to better supplement the joint teams in terms of analysis and cooperation for the increasing case-load.

General temporary assistance

130. The division maintains the GTA resources allocated to it in 2010, namely 10 months of a P-2 Cooperation Adviser/Analyst, for which a continued need exists for the Kenya situation.

131. In addition, the division will require the resources of one P-2 Associate Situation Analyst and one P-2 Associate International Cooperation Adviser to complement the joint team for the Libya situation. Each position is requested for 12 months.

Non-staff resources*Travel*

132. Situation-related missions are requested for the purpose of securing cooperation for all situations and conducting ongoing analysis of the situations under investigation. The number of missions increases to 116 (cf. 99 in 2011). This provision is for situation-specific analysts and cooperation advisers travelling in advance or in support of investigative missions. The increase is due to the Libya situation (26 missions), and has to some degree been offset by reductions in travel for the other situations.

133. The basic budget remains as in 2011. It comprises missions by the Situation Analysis Section in relation to eight situations under preliminary examination. Additionally, it includes travel for the Director of the division to attend high-level meetings to secure general cooperation from States and international organizations with, or on behalf of, the Prosecutor, and also travel for cooperation advisers. The basic budget, as last year, provides for an average of 4.5 missions per month.

Table 24: Programme 2200: Proposed budget for 2012

Jurisdiction, Complementarity and Cooperation Division	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			727.2	900.0	1,627.2	752.0	912.2	1,664.2	37.0	2.3
General Service staff				122.4		122.4	128.8		128.8	6.4	5.2
<i>Subtotal staff</i>	<i>1,135.8</i>	<i>879.4</i>	<i>2,015.2</i>	<i>849.6</i>	<i>900.0</i>	<i>1,749.6</i>	<i>880.8</i>	<i>912.2</i>	<i>1,793.0</i>	<i>43.4</i>	<i>2.5</i>
General temporary assistance		160.0	160.0		70.3	70.3		256.3	256.3	186.0	264.6
<i>Subtotal other staff</i>		<i>160.0</i>	<i>160.0</i>		<i>70.3</i>	<i>70.3</i>		<i>256.3</i>	<i>256.3</i>	<i>186.0</i>	<i>264.6</i>
Travel	73.1	309.7	382.8	127.5	257.2	384.7	131.1	363.9	495.0	110.3	28.7
<i>Subtotal non-staff</i>	<i>73.1</i>	<i>309.7</i>	<i>382.8</i>	<i>127.5</i>	<i>257.2</i>	<i>384.7</i>	<i>131.1</i>	<i>363.9</i>	<i>495.0</i>	<i>110.3</i>	<i>28.7</i>
Total	1,208.9	1,349.1	2,558.0	977.1	1,227.5	2,204.6	1,011.9	1,532.4	2,544.3	339.7	15.4
Distributed maintenance	17.8	25.4	43.2	22.1	37.0	59.1	24.9	36.6	61.5	2.4	4.0

Table 25: Programme 2200: Proposed staffing for 2012

Jurisdiction, Complementarity and Cooperation Division	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic			1	1	2	1	1		6		2	2	8
	Situation-related					3	3	3		9				9
	<i>Subtotal</i>				<i>1</i>	<i>1</i>	<i>5</i>	<i>4</i>	<i>4</i>		<i>15</i>		<i>2</i>	<i>17</i>
New	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic													
	Situation-related							-1	1					
	<i>Subtotal</i>							<i>-1</i>	<i>1</i>					
Total				1	1	5	3	5		15		2	2	17

3. Programme 2300: Investigation Division

Introduction

134. The division contributes to the quality of justice by supporting the OTP in carrying out impartial and expeditious investigations in accordance with the Rome Statute. It is responsible for the preparation of the necessary security plans and protection policies for each case with a view to ensuring the safety and well-being of victims, witnesses, Office staff, and persons at risk on account of their interaction with the Court, in adherence with good practices and, when necessary, in cooperation and coordination with the Registry on matters relating to protection and support. It provides investigative expertise and support, and assists in the preparation and coordination of field deployment of Office staff.

135. The division also provides factual crime analysis of information and evidence, in support of preliminary examinations and evaluations, investigations and prosecutions.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations and at least four trials, subject to external cooperation received. (SO 1)
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Collection and analysis objectives set out in the joint team investigation plan reached for the five investigations and preliminary examinations.	- Planned collection vs actual collection.	0% deviation assuming no unforeseen events
	- Half-yearly survey of satisfaction with analytical products (joint team, trial team and Executive Committee).	> 90% satisfaction
- Review and transcription of collected material in support of the case hypothesis and the disclosure performed as planned.	- Planned versus actual production of metadata, transcript and review.	> 90%
	- % of error.	Less than 10%
Objective 2		
- No security incident with witness or staff resultant from inadequate exposure or lack of OTP action.	- Number of security incidents caused by inadequate exposure or lack of OTP action.	Zero

Table 26: Programme 2300: Proposed budget for 2012

Investigation Division	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			285.1	7,498.6	7,783.7	294.2	7,362.1	7,656.3	-127.4	-1.6
General Service staff				122.4	1,836.0	1,958.4	128.8	1,932.0	2,060.8	102.4	5.2
<i>Subtotal staff</i>	8,660.7	8,660.7		407.5	9,334.6	9,742.1	423.0	9,294.1	9,717.1	-25.0	-0.3
General temporary assistance	1,388.6	1,388.6			1,813.1	1,813.1		3,671.7	3,671.7	1,858.6	102.5
<i>Subtotal other staff</i>	1,388.6	1,388.6		1,813.1	1,813.1		3,671.7	3,671.7	1,858.6	102.5	
Travel	907.0	907.0		939.0	939.0		1,236.1	1,236.1	297.1	31.6	
Contractual services	19.5	19.5		60.0	60.0		80.0	80.0	20.0	33.3	
Training	6.9	6.9									
General operating expenses	227.9	227.9		331.1	331.1		385.0	385.0	53.9	16.3	
Supplies and materials	1.9	1.9		19.0	19.0		20.0	20.0	1.0	5.3	
Equipment including furniture	33.5	33.5									
<i>Subtotal non-staff</i>	1,196.7	1,196.7		1,349.1	1,349.1		1,721.1	1,721.1	372.0	27.6	
Total	11,246.0	11,246.0		407.5	12,496.8	12,904.3	423.0	14,686.9	15,109.9	2,205.6	17.1
Distributed maintenance	11.1	307.8	318.9	13.8	448.1	461.9	15.6	426.7	442.3	-19.6	-4.2

Table 27: Programme 2300: Proposed staffing for 2012

Investigation Division		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic		1		1		1				3		2	2	5
	Situation-related					3	10	30	30	6	79		30	30	109
	<i>Subtotal</i>		1		1	3	11	30	30	6	82		32	32	114
New	Basic														
	Situation-related														
Redeployed/ Returned	<i>Subtotal</i>														
	Basic														
	Situation-related						-2		-2		-4				-4
	<i>Subtotal</i>					-2			-2		-4				-4
Total		1		1	3	9	30	28	6	78		32	32	110	

(a) Sub-programme 2320: Planning and Operations Section**Staff resources**

136. No new posts are requested for 2012; the staffing level remains as approved in 2011.

137. As outlined in programme 2200, a P-3 position was redeployed from JCCD to sub-programme 2320 in order to provide an additional Analyst to support the operational needs of the Section in terms of crime analysis. In exchange, a P-2 Associate Analyst position was redeployed to JCCD to provide additional cooperation capacity.

138. One P-2 Associate Analyst has been temporarily reassigned to the Prosecution Division to assist with trial-related functions. Thus the costs for this position are assigned to Sub-programme 2410 for 2012.

General temporary assistance

139. Leaving aside the increases relating to the Libya situation, the general temporary assistance requirements remain as requested in the previous years, namely 21 FTE for working and non-working language transcription, as well as metadata processing and document review for the situations under investigation. In addition, provision continues to be made for two work-months' equivalent of P-2 Psychosocial Experts for pre-interview assessment of children and traumatized witnesses in the field.

140. Five work-months of P-2 Associate Analyst function are also requested as last year to replenish capacity in the investigative teams arising from the assignment of analysts in support of trials.

141. Specifically in the context of the Libya situation, the following resources, requested through the Contingency Fund in 2011, will need to continue in 2012 (each position for 12 months):

- (a) One Analyst (P-3) and One Associate Analyst (P-2) to be assigned to the joint team;
- (b) One Protection Strategy Assistant (GS-OL) to cover the situation- and country- specific aspects of witness protection;
- (c) Four additional Data-Processing Assistants (GS-OL) to support the joint team with the necessary situation-specific data entry and transcription tasks; and
- (d) Five additional Legal Reviewers (GS-OL) to assist in review for disclosure preparation and other classification of evidence.

Non-staff resources*Travel*

142. Travel provision in the amount of €388,600 is requested for the representatives of the Investigative Planning and Operations Section, for the following purposes:

- (a) Missions for advanced analysis and ongoing situation analysis in support of investigative work;
- (b) Missions for the Associate Victims Expert and/or psychological experts from the roster to perform pre-interview assessments and/or assist with the interviewing of highly traumatized victims/witnesses by the investigators;
- (c) Missions for the purpose of developing risk assessments, ensuring the compliance and operational effectiveness of the system in place, ensuring the security of field personnel and dealing with emergency situations;
- (d) Missions of field personnel supporting the investigations and conducting witness-management tasks for all active and residual cases; and
- (e) Forensic investigation missions in support of the situations in investigation or trial phases.

143. Provision is requested for a total 123 missions. The increase in the number of missions over 2011 is solely related to the Libya situation.

Contractual services including training

144. The amount of €80,000 is required to support continued outsourcing of working and non-working language transcription during peak workloads in support of trial preparations, evidence collection and analysis. The increase of €20,000 over 2011 relates specifically to the Libya situation.

Table 28: Sub-programme 2320: Proposed budget for 2012

Planning and Operations Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			285.1	3,074.0	3,359.1	294.2	3,136.1	3,430.3	71.2	2.1
General Service staff				122.4	1,468.8	1,591.2	128.8	1,610.0	1,738.8	147.6	9.3
<i>Subtotal staff</i>		4,579.6	4,579.6	407.5	4,542.8	4,950.3	423.0	4,746.1	5,169.1	218.8	4.4
General temporary assistance		1,265.1	1,265.1		1,642.5	1,642.5		2,427.3	2,427.3	784.8	47.8
<i>Subtotal other staff</i>		1,265.1	1,265.1		1,642.5	1,642.5		2,427.3	2,427.3	784.8	47.8
Travel		299.7	299.7		328.0	328.0		388.5	388.5	60.5	18.5
Contractual services		19.5	19.5		60.0	60.0		80.0	80.0	20.0	33.3
Training		6.9	6.9								
Equipment including furniture		9.7	9.7								
<i>Subtotal non-staff</i>		335.8	335.8		388.0	388.0		468.5	468.5	80.5	20.8
Total		6,180.5	6,180.5	407.5	6,573.3	6,980.8	423.0	7,641.9	8,064.9	1,084.1	15.5
Distributed maintenance	8.9	166.6	175.5	11.0	234.3	245.3	12.4	231.7	244.1	-1.2	-0.5

Table 29: Sub-programme 2320: Proposed staffing for 2012

Planning and Operations Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic				1		1					2	2	2	4
	Situation-related					2	2	12	17			33	24	24	57
	<i>Subtotal</i>				1	2	3	12	17			35	26	26	61
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related							1	-2			-1	1	1	
	<i>Subtotal</i>							1	-2			-1	1	1	
Total				1	2	3	13	15			34	27	27	61	

(b) Sub-programme 2330: Investigation Teams**Staff resources**

145. There are no requests for new posts within this sub-programme. With the exception of Kenya and Libya (see GTA below), the majority of resource needs are met through the rotation of investigation staff from other investigative cases moving to trial phase; for example, the investigators from the DRC I and DRC II cases moving into the appeals phase can be returned to supplement the new investigations. Likewise, as the peak of investigative activity decreases in one case, analysts and investigators are moved to other more resource-intensive cases. Thus there is a continuing dynamic development of the teams over the duration of the investigative and trial phases.

146. Three positions have been temporarily reassigned to the Prosecution Division to assist with trial-related functions. Thus the costs for these positions (two P-4 Senior Investigators and one P-3 Investigator) are assigned to Sub-programme 2410 for the year 2012.

General temporary assistance

147. The sub-programme continues to be in need of GTA funds for the equivalent of five work-months of a P-3 Investigator and four work-months of a P-2 Associate Investigator to replace the investigators who provide the investigative functions as part of the trial team in the case of *The Prosecutor v. Jean Pierre Bemba*.

148. The continuation of the GTA investigative component of the Libya joint team, requested through the Contingency Fund in 2011, is required for the duration of 2012:

- (a) One Team Leader (P-4);
- (b) Two Investigators (P-3);
- (c) Five Associate Investigators (P-2);
- (d) Two Assistant Investigators (P-1); and
- (e) One Information Management Assistant (GS-OL).

149. Additionally, provision in the amount of €96,000 is made for the continuing War Crimes Unit exchanges as approved in prior years.

Non-staff resources*Travel*

150. Provision in the amount of €847,450 has been made for all investigative and evidence collection duties for the active investigations and trials, as well as for witness management in relation to the residual cases (where arrest warrants are pending), thus safeguarding the investments made and the integrity of the case in the event of subsequent arrest and surrender. The increase in this budget line is mainly due to the estimated 64 missions necessary for the Libya cases (equivalent to 16 missions for teams of four investigators).

General operating expenses

151. This budget line relates solely to costs necessarily incurred by witnesses attending interviews, and costs relating to the Office's duty of care towards witnesses assisting the cases (including active investigations, trials and the remaining residual cases). The increase of €54,000 compared to 2011 relates entirely to the Libya situation, the cost of which is estimated in the region of €75,000. This cost has been offset partially by a reduction in the forecast costs for the CAR situation.

Supplies and materials

152. For replacement and changes in regard to field kit, and the acquisition of communication equipment relating to the management of witnesses in the six situations, the amount of €20,000 is requested as in 2011.

Table 30: Sub-programme 2330: Proposed budget for 2012

Investigation Teams	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			4,424.6	4,424.6	4,424.6	4,226.0	4,226.0	4,226.0	-198.6	-4.5
General Service staff				367.2	367.2	367.2	322.0	322.0	322.0	-45.2	-12.3
<i>Subtotal staff</i>	<i>4,081.1</i>	<i>4,081.1</i>	<i>4,081.1</i>	<i>4,791.8</i>	<i>4,791.8</i>	<i>4,791.8</i>	<i>4,548.0</i>	<i>4,548.0</i>	<i>4,548.0</i>	<i>-243.8</i>	<i>-5.1</i>
General temporary assistance	123.5	123.5	123.5	170.6	170.6	170.6	1,244.4	1,244.4	1,244.4	1,073.8	629.4
<i>Subtotal other staff</i>	<i>123.5</i>	<i>123.5</i>	<i>123.5</i>	<i>170.6</i>	<i>170.6</i>	<i>170.6</i>	<i>1,244.4</i>	<i>1,244.4</i>	<i>1,244.4</i>	<i>1,073.8</i>	<i>629.4</i>
Travel	607.3	607.3	607.3	611.0	611.0	611.0	847.6	847.6	847.6	236.6	38.7
General operating expenses	227.9	227.9	227.9	331.1	331.1	331.1	385.0	385.0	385.0	53.9	16.3
Supplies and materials	1.9	1.9	1.9	19.0	19.0	19.0	20.0	20.0	20.0	1.0	5.3
Equipment including furniture	23.8	23.8	23.8								
<i>Subtotal non-staff</i>	<i>860.9</i>	<i>860.9</i>	<i>860.9</i>	<i>961.1</i>	<i>961.1</i>	<i>961.1</i>	<i>1,252.6</i>	<i>1,252.6</i>	<i>1,252.6</i>	<i>291.5</i>	<i>30.3</i>
Total	5,065.5	5,065.5	5,065.5	5,923.5	5,923.5	5,923.5	7,045.0	7,045.0	7,045.0	1,121.5	18.9
Distributed maintenance	2.2	141.2	143.4	2.8	213.8	216.6	3.1	195.1	198.2	-18.4	-8.5

Table 31: Sub-programme 2330: Proposed staffing for 2012

Investigation Teams		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic		1								1				1
	Situation-related					1	8	18	13	6	46		6	6	52
	<i>Subtotal</i>		<i>1</i>			<i>1</i>	<i>8</i>	<i>18</i>	<i>13</i>	<i>6</i>	<i>47</i>		<i>6</i>	<i>6</i>	<i>53</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related						-2	-1			-3		-1	-1	-4
	<i>Subtotal</i>						<i>-2</i>	<i>-1</i>			<i>-3</i>		<i>-1</i>	<i>-1</i>	<i>-4</i>
Total		1			1	6	17	13	6	44		5	5	49	

4. Programme 2400: Prosecution Division

Introduction

153. The Prosecution Division is central to the core business of the Court, namely the conduct of fair, effective and expeditious public proceedings in accordance with the Rome Statute. It is responsible for litigating cases before the Chambers of all three judicial divisions, preparing all written submissions to the Chambers and participating in investigative and case-preparatory activities in the joint teams.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- High-quality and compact applications delivered within the stipulated time frames.	- Rate of acceptance of submissions and granting of requests by the Chambers.	≥ 80%
- Efficient presentation of evidence before the Pre-Trial and Trial Chambers.	- Time in which Prosecution case is presented (actual court days) for each case.	≤ 6 months
	- Number of witnesses per case maintained at lowest levels to minimize exposure.	Minimum possible - at least comparable to current trial average (30-35)

Staff resources

154. There are no new posts requested for the Prosecution Division in 2012.

155. In accordance with the rotation model, to meet operational needs from existing resources four suitably qualified Investigation Division personnel have been temporarily and laterally reassigned to trial-related functions in the CAR, Kenya and Darfur cases. The costs of these positions (two P-4 positions, one P-3 position and one P-2 position) are therefore assigned for 2012 to the Prosecution Division rather than the Investigation Division.

General temporary assistance

156. GTA resources continue to be requested at the same level as in 2011, in order to continue to support the case of *The Prosecutor v. Jean Pierre Bemba* and to supplement the pre-trial capacity of the prosecution teams for the two cases in the Kenya situation.

Kenya teams

- (a) One Trial Lawyer (P-4) for 10 months;
- (b) Two Legal Officers (P-3) for 12 months each;
- (c) Two Legal Officers (P-3) for 10 months each (reassigned from CAR team resource in 2011); and
- (d) One Case Manager (P-1) for 12 months (replacement of established post assigned to Libya cases).

CAR team

- (a) One Associate Trial Lawyer (P-2) for 9 months; and
- (b) Two Assistant Trial Lawyers (P-1) for 8 months each.

157. To provide the Prosecution Division capacity to the joint team in the Libya situation, the resources requested under the Contingency Fund in 2011 will be required into 2012. This pre-trial capacity (each calculated at 12 months) comprises the following:

- (a) One Trial Lawyer (P-4);
- (b) One Trial Lawyer (P-3);
- (c) One Associate Trial Lawyer (P-2); and
- (d) Two Assistant Trial Lawyers (P-1).

Non-staff resources

Travel

158. Travel is requested not only for joint team missions in support of the investigations, but also for the representative functions performed by the Deputy Prosecutor aimed at broadening understanding of, and cooperation for, the Office's activities.

159. Provision for a total of 70 missions is requested. The increase of 13 missions over 2011 represents mainly the additional missions foreseen in relation to the Libya situation, as well as additional missions for the DRC and Kenya cases.

Table 32: Programme 2400: Proposed budget for 2012

Prosecution Division	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			623.9	2,955.1	3,579.0	641.5	3,488.4	4,129.9	550.9	15.4
General Service staff				122.4	428.4	550.8	128.8	450.8	579.6	28.8	5.2
<i>Subtotal staff</i>	<i>760.3</i>	<i>3,297.9</i>	<i>4,058.2</i>	<i>746.3</i>	<i>3,383.5</i>	<i>4,129.8</i>	<i>770.3</i>	<i>3,939.2</i>	<i>4,709.5</i>	<i>579.7</i>	<i>14.0</i>
General temporary assistance	124.5	1,126.9	1,251.4		747.3	747.3		1,381.7	1,381.7	634.4	84.9
<i>Subtotal other staff</i>	<i>124.5</i>	<i>1,126.9</i>	<i>1,251.4</i>		<i>747.3</i>	<i>747.3</i>		<i>1,381.7</i>	<i>1,381.7</i>	<i>634.4</i>	<i>84.9</i>
Travel	37.2	181.9	219.1	29.0	167.0	196.0	31.0	218.8	249.8	53.8	27.4
<i>Subtotal non-staff</i>	<i>37.2</i>	<i>181.9</i>	<i>219.1</i>	<i>29.0</i>	<i>167.0</i>	<i>196.0</i>	<i>31.0</i>	<i>218.8</i>	<i>249.8</i>	<i>53.8</i>	<i>27.4</i>
Total	922.0	4,606.7	5,528.6	775.3	4,297.8	5,073.1	801.3	5,539.7	6,341.0	1,267.9	25.0
Distributed maintenance	13.4	101.6	115.0	16.6	147.9	164.5	18.7	162.6	181.3	16.7	10.2

Table 33: Programme 2400: Proposed staffing for 2012

Prosecution Division		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic		1			3					4		2	2	6
	Situation-related					3	8	6	6	6	29		7	7	36
	<i>Subtotal</i>		<i>1</i>			<i>6</i>	<i>8</i>	<i>6</i>	<i>6</i>	<i>6</i>	<i>33</i>		<i>9</i>	<i>9</i>	<i>42</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related						2	1	1		4				4
	<i>Subtotal</i>						<i>2</i>	<i>1</i>	<i>1</i>		<i>4</i>				<i>4</i>
Total		1			6	10	7	7	6	6	37		9	9	46

C. Major Programme III: Registry

160. The Registry is headed by the Registrar, the principal administrative officer of the Court, and is the organ responsible for the non-judicial aspects of the administration and servicing of the Court.

161. In order to fulfil its functions, the Registry has organized itself into two divisions: the Common Administrative Services Division and the Division of Court Services, as well as a number of sections and offices directly under the Office of the Registrar. These are the Legal Advisory Services Section, the Field Operations Section, the Registry Permanent Premises Office, the Security and Safety Section, the Counsel Support Section and the Public Information and Documentation Section. The Immediate Office of the Registrar has a small number of staff supporting the Registrar in her functions, including external relations and cooperation. For administrative purposes, the Offices of Public Counsel for the Defence and Victims (both operating under the aegis of the Counsel Support Section), the Office of Internal Audit, the Independent Oversight Mechanism, the Secretariat of the Assembly of States Parties, the Secretariat of the Trust Fund for Victims and the Project Director's Office of the Permanent Premises Project also fall under the Registry.

162. Through its support functions, the Registry guarantees the efficient delivery of essential services to the different participants in judicial proceedings in order to facilitate and ensure effective investigations, trials and other judicial proceedings. These functions include field and courtroom security, field operations, administrative and other assistance to counsel for the defence and victims, courtroom management and other services related to the conduct of judicial proceedings, such as interpretation and ICT support. This centralized approach to the provision of essential services within the Court enables the Registry to support the different areas of the Court's operations by focusing on its clients' requirements. Similarly, through its common platform of services, the Registry seeks to ensure maximum efficiency and avoids the duplication of resources within the Court.

163. As a central provider of services to the whole Court and the various structures related to the Court as mentioned above, the Registry's budget is largely driven by the level of support required by its clients in connection with the assumptions underlying the budget. As such, the Registry requires a comparatively high share of the total budget of the Court in order adequately to implement the numerous requests for services from other Court organs and entities. For instance, in the case of the Office of the Prosecutor, while service requests have been reduced in some areas, there is an overall net increase in the amount of services requested of the Registry in 2012.

164. In addition to its support functions, the Registry also has other essential responsibilities, such as cooperation with States, protection of victims and witnesses, detention, assistance to victims participating in the proceedings and outreach to affected communities. These functions are fundamental to maximizing the impact of the judicial system established under the Rome Statute and to ensuring its functioning, as well as the effective implementation of judicial decisions. Furthermore, in the case of outreach activities and victim participation, through these core functions the Court delivers justice in a meaningful way to the actual communities affected by the crimes under the Court's jurisdiction by ensuring that they have a stake in the Court's judicial process and effectively managing their expectations. Moreover, effective outreach, and the resultant enhanced understanding of the Court and its activities, encourages the cooperation by witnesses and local intermediaries that is crucial to the judicial proceedings.

165. The proposed programme budget for 2012 contemplates an overall net increase for the Registry of €8.3 million, which represents a nominal increase of 13.5 per cent over the level of the approved 2011 budget. The Registrar has made every effort to ensure that the different programmes and sub-programmes within Major Programme III remain at the same level of resources – and in some instances even at a reduced level – in relation to those approved for 2011, some increases will be unavoidable. Furthermore, in line with the recommendations of the Committee on Budget and Finance at its fifteenth session,²³ there will be no net increase of established posts in the Registry in 2012. Thus during 2012 the Registry plans to deliver more with the same resources – and in some instances with less.

²³ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.2, para. 81.

166. Whenever possible, the Registry has found efficiency savings and has restructured some of its processes in order to ensure maximum efficiency with reduced resources. For instance, as it is further explained under the Field Operations Section, through a strategic review of its field operations, the Registry has reduced its field presences from seven to five, namely field offices in Bangui and Kinshasa, a scaled-down presence in Kampala, a forward presence in Bunia and a small task force office in Nairobi. As a result, the field presence in Abéché has been closed as of July 2011 and N'Djamena will have been closed by the end of 2011 in accordance with a carefully planned exit strategy. Further reductions will also be achieved through the anticipated scheduling of consecutive trial-hearings throughout 2012, as established in the Court's budget assumptions in the Introduction to this budget proposal (see paragraph 13 above).

167. The Registry thus continues its centralized approach of 2011, enabling minimal budget growth. Redeployments and synergies will be undertaken to absorb as much as possible of the required increases in funds within the baseline of the approved 2011 budget. However, as explained before, some increases will be unavoidable.

168. As also explained in the Introduction (see paragraph 26 above), the increase is mainly caused by the unavoidable additional €4.9 million required for legal aid costs in 2012. As part of the core support provided to defence counsel and representatives of victims, the Registry administers the resources needed to cover their legal fees and other related expenses in accordance with rule 21 of the Rules of Procedure and Evidence. It should be noted that, while the Registry's budget includes funds to cover the cost of legal aid, the Registry has limited control over the use of these resources and acts purely as an administrator of such funds.

169. Because of the increased number of cases, the costs of legal aid for the defence and for victims will substantially increase in 2012, by €2.5 million and €2.4 million respectively. It is important to note that such increase is the result of the direct application of the current legal aid system as endorsed by the Committee at its eighth session²⁴ and approved by the Assembly. While the recommendation was to start a review process of the legal aid system once the first judicial cycle had been completed, the Registry has in 2011 proactively initiated a thorough revision of the current system, which it plans to continue in 2012. This revision is being conducted in light of the experience gathered by the Court thus far, as well as the need to ensure the most cost-efficient system through the adoption of efficiency measures, while ensuring that the rights of the defence are fully upheld, as well as the rights of victims to participate meaningfully and effectively in the proceedings. It should be noted in this connection that a judiciary-led initiative is currently in place to review the respective mandates and functioning of the Offices of Public Counsel for the Defence and for Victims. Similarly, a useful process has been undertaken by the Assembly, through its Study Group on Governance, by which States are considering measures to increase the efficiency of judicial proceedings within the Court.

170. Furthermore, following the decision by the United Nations Security Council to refer the situation in Libya to the Court, the opening of an investigation into that situation by the Office of the Prosecutor and the issuance of three warrants of arrest have increased requests for additional support from other organs and triggered the need for an increase in the Registry's capacity to respond to these new developments.

171. In addition, the Registry's budget will be particularly affected by further necessary Court-wide investments and commitments, which include salary adjustments amounting to some €1.3 million for Major Programme III, certain capital investment replacements and IPSAS implementation.

172. In line with the wishes of the Assembly and the Committee, the Registry will continue to embrace efficiency in its business processes and service offerings in 2012, and to lead the Court-wide exercise targeted at improving the Court's business processes. Re-engineering of the Court's administrative processes will drive cost savings and contribute to its strategic objective of becoming a model of public administration.

²⁴ *Official Records ... Sixth session ... 2007* (ICC-ASP/6/20), vol. II, part B.1, para. 80.

Table 34: Breakdown of MP III proposed budget

<i>MP III proposed budget for 2012</i>	<i>Total (millions of euros)</i>
2011 baseline	61.6
Less	
GTA and temporary assistance for meeting for simultaneous trial-hearings	1.4
Travel, contractual services, and others	1.4
<i>Subtotal</i>	<i>2.8</i>
Add	
Forward commitments (staff costs incl. step increment and salary scale)	1.3
Regularization of posts	0.3
GTA,IPSAS project implementation and capital investment	1.3
Kenya situation	1.6
Libya situation	1.9
Counsel for defence	2.5
Counsel for victims	2.4
<i>Subtotal</i>	<i>11.2</i>
Total proposed budget 2012	69.9
Total growth in millions of euros	8.3
Total growth in %	13.5

Table 35: Major Programme III: Proposed budget for 2012

Registry	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available				11,116.7	8,253.8	19,370.5	11,824.8	8,785.6	20,610.4	1,239.9	6.4	
General Service staff					8,490.3	6,309.1	14,799.4	8,900.5	6,399.2	15,299.7	500.3	3.4	
<i>Subtotal staff</i>	<i>18,476.5</i>	<i>13,906.4</i>	<i>32,382.9</i>		<i>32,382.9</i>	<i>19,607.0</i>	<i>14,562.9</i>	<i>34,169.9</i>	<i>20,725.3</i>	<i>15,184.8</i>	<i>35,910.1</i>	<i>1,740.2</i>	<i>5.1</i>
General temporary assistance	1,963.2	1,339.4	3,302.6	2,271.4	5,574.0	1,629.9	2,237.9	3,867.8	1,729.2	2,667.8	4,397.0	529.2	13.7
Temporary assistance for meetings	285.4	72.9	358.3	1,158.1	1,516.4	435.7	292.5	728.2	32.0	429.9	461.9	-266.3	-36.6
Overtime	240.8	59.4	300.2	3.6	303.8	235.9	155.3	391.2	244.4	120.8	365.2	-26.0	-6.6
Consultants	123.2	87.0	210.2	232.4	442.6	142.9	189.7	332.6	343.9	160.4	504.3	171.7	51.6
<i>Subtotal other staff</i>	<i>2,612.6</i>	<i>1,558.7</i>	<i>4,171.3</i>	<i>3,665.5</i>	<i>7,836.8</i>	<i>2,444.4</i>	<i>2,875.4</i>	<i>5,319.8</i>	<i>2,349.5</i>	<i>3,378.9</i>	<i>5,728.4</i>	<i>408.6</i>	<i>7.7</i>
Travel	317.2	1,571.5	1,888.7	158.5	2,047.2	254.6	1,564.2	1,818.8	387.5	1,676.3	2,063.8	245.1	13.5
Hospitality	6.3		6.3		6.3	10.0		10.0	10.0		10.0		
Contractual services	1,558.0	1,010.1	2,568.1	452.2	3,020.3	1,292.7	1,277.3	2,570.0	1,486.4	1,368.4	2,854.8	284.8	11.1
Training	395.9	329.5	725.4		725.4	403.5	403.4	806.9	607.6	235.7	843.3	36.4	4.5
Counsel for Defence		2,896.0	2,896.0		2,896.0		1,099.4	1,099.4		3,583.2	3,583.2	2,483.8	225.9
Counsel for Victims		1,235.0	1,235.0		1,235.0		1,611.8	1,611.8		3,990.5	3,990.5	2,378.7	147.6
General operating expenses	5,513.7	5,229.6	10,743.3	190.9	10,934.2	6,300.8	5,851.2	12,152.0	6,099.0	5,973.4	12,072.4	-79.6	-0.7
Supplies and materials	589.6	414.8	1,004.4		1,004.4	757.5	374.9	1,132.4	793.1	386.5	1,179.6	47.2	4.2
Equipment including furniture	368.1	512.8	880.9	406.2	1,287.1	547.5	372.9	920.4	1,680.0		1,680.0	759.6	82.5
<i>Subtotal non-staff</i>	<i>8,748.8</i>	<i>13,199.3</i>	<i>21,948.1</i>	<i>1,207.8</i>	<i>23,155.9</i>	<i>9,566.6</i>	<i>12,555.1</i>	<i>22,121.7</i>	<i>11,063.6</i>	<i>17,214.0</i>	<i>28,277.6</i>	<i>6,155.9</i>	<i>27.8</i>
Total	29,837.9	28,664.4	58,502.3	4,873.3	63,375.6	31,618.0	29,993.4	61,611.4	34,138.4	35,777.7	69,916.1	8,304.7	13.5
Distributed maintenance	-238.2	-513.9	-752.1		-752.1	-289.7	-748.2	-1,037.9	-326.8	-739.7	-1,066.4	-28.5	2.7

Table 36: Major Programme III: Proposed staffing for 2012

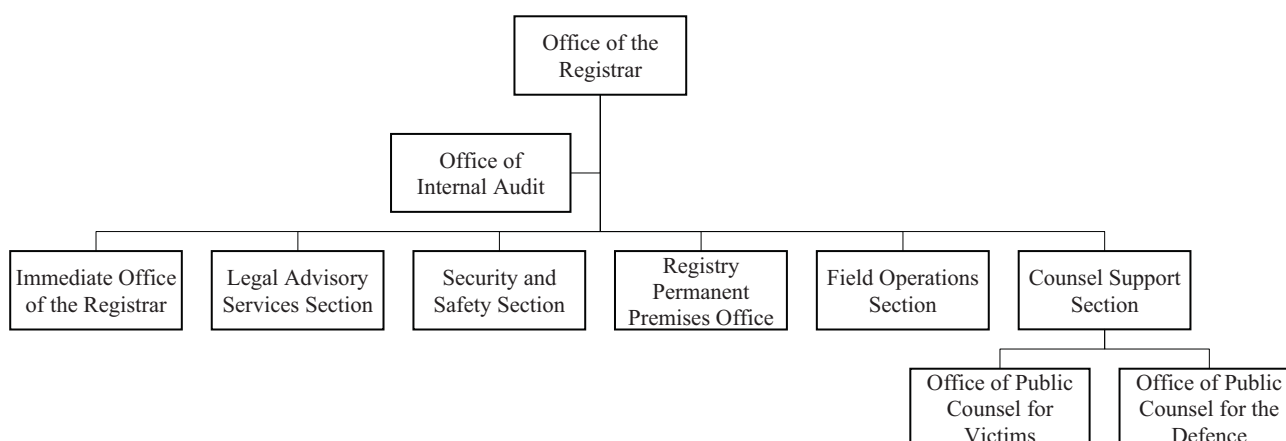
Registry		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic		1		4	16	25	34	25	3	108	8	130	138	246
	Situation-related					1	14	32	36	4	87	8	138	146	233
	<i>Subtotal</i>		<i>1</i>		<i>4</i>	<i>17</i>	<i>39</i>	<i>66</i>	<i>61</i>	<i>61</i>	<i>7</i>	<i>195</i>	<i>16</i>	<i>268</i>	<i>284</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic												2	2	2
	Situation-related											1	-3	-2	-2
	<i>Subtotal</i>											<i>1</i>	<i>-1</i>		
Total		1		4	17	39	66	61	61	7	195	17	267	284	479

1. Programme 3100: Office of the Registrar

Introduction

173. The Registrar is the principal administrative officer of the Court, and as such is entrusted with a series of responsibilities in different areas relating to the non-judicial aspects of the administration and servicing of the Court. The Registrar also has a crucial role to play with respect to achieving support and cooperation from States Parties. Accordingly, the Office channels judicial requests for cooperation from States and ensures their adequate implementation. Furthermore, the Office negotiates certain voluntary agreements, such as agreements on the protection of witnesses and agreements on interim release of detainees. The Office is also responsible for relations with the host State.

The Office of the Registrar comprises the following nine sub-programmes:



Objectives

1. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)
3. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- To protect the Court's legal interests.	- Number of framework agreements concluded in support of the Court's operations (e.g. headquarters agreements with States; relationship agreements with the United Nations; and relocation agreements).	7%
	- Number of findings of non-cooperation made by Chambers.	0%
Objective 2		
- To provide efficient and effective support to staff and legal teams.	- Number of incidents involving serious injuries due to negligence of management.	0%
- To maintain a secure and safe environment at the Court.	- Screening of all persons and items entering the Court's premises.	100%
	- Vetting of all staff, interns, visiting professionals and consultants.	100%
- To provide field security in accordance with United Nations/ international standards.	- Compliance with Minimum Operating Security Standards (MOSS).	90%
Objective 3		
- To minimize management and financial risks.	- Implementing internal and external audit recommendations by management.	60%

Table 37: Programme 3100: Proposed budget for 2012

Office of the Registrar	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					3,215.4	2,534.7	5,750.1	3,486.7	2,657.0	6,143.7	393.6	6.8
General Service staff						2,694.9	2,081.6	4,776.5	2,804.2	1,973.9	4,778.1	1.6	0.0
<i>Subtotal staff</i>	<i>5,824.1</i>	<i>3,759.9</i>	<i>9,584.0</i>		<i>9,584.0</i>	<i>5,910.3</i>	<i>4,616.3</i>	<i>10,526.6</i>	<i>6,290.9</i>	<i>4,630.9</i>	<i>10,921.8</i>	<i>395.2</i>	<i>3.8</i>
General temporary assistance	1,243.1	242.1	1,485.2	284.3	1,769.5	1,185.9	204.0	1,389.9	1,029.6	372.9	1,402.5	12.6	0.9
Overtime	112.3	24.6	136.9	1.8	138.7	124.4	86.4	210.8	124.4	57.6	182.0	-28.8	-13.7
Consultants	42.0		42.0		42.0	90.0		90.0	160.0		160.0	70.0	77.8
<i>Subtotal other staff</i>	<i>1,397.4</i>	<i>266.7</i>	<i>1,664.1</i>	<i>286.1</i>	<i>1,950.2</i>	<i>1,400.3</i>	<i>290.4</i>	<i>1,690.7</i>	<i>1,314.0</i>	<i>430.5</i>	<i>1,744.5</i>	<i>53.8</i>	<i>3.2</i>
Travel	191.7	377.5	569.2	64.1	633.3	76.5	486.0	562.5	139.1	534.1	673.2	110.7	19.7
Hospitality	6.3		6.3		6.3	10.0		10.0	10.0		10.0		
Contractual services	210.9	290.7	501.6		501.6	280.3	315.1	595.4	332.5	342.3	674.8	79.4	13.3
Training	110.3	95.5	205.8		205.8	154.8	136.9	291.7	143.8	155.9	299.7	8.0	2.7
Counsel for Defence		2,896.0	2,896.0		2,896.0		1,099.4	1,099.4		3,583.2	3,583.2	2,483.8	225.9
Counsel for Victims		1,235.0	1,235.0		1,235.0		1,611.8	1,611.8		3,990.5	3,990.5	2,378.7	147.6
General operating expenses	97.0	683.5	780.5	18.5	799.0	198.0	647.3	845.3	195.0	627.0	822.0	-23.3	-2.8
Supplies and materials	66.0	298.0	364.0		364.0	79.8	255.4	335.2	76.7	170.0	246.7	-88.5	-26.4
Equipment including furniture	14.6	36.7	51.3	70.7	122.0	11.0	163.8	174.8	75.0		75.0	-99.8	-57.1
<i>Subtotal non-staff</i>	<i>696.8</i>	<i>5,912.9</i>	<i>6,609.7</i>	<i>153.3</i>	<i>6,763.0</i>	<i>810.4</i>	<i>4,715.7</i>	<i>5,526.1</i>	<i>972.1</i>	<i>9,403.0</i>	<i>10,375.1</i>	<i>4,849.0</i>	<i>87.7</i>
Total	7,918.3	9,939.5	17,857.8	439.4	18,297.2	8,121.0	9,622.4	17,743.4	8,577.0	14,464.4	23,041.4	5,298.0	29.9
Distributed maintenance	162.5	214.6	377.1		377.1	204.1	312.4	516.5	230.3	296.7	527.0	10.5	2.0

Table 38: Programme 3100: Proposed staffing for 2012

Office of the Registrar		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic		1		2	8	6	6	6	1	30	2	41	43	73
	Situation-related					1	7	9	5	3	25	1	50	51	76
	<i>Subtotal</i>		<i>1</i>		<i>2</i>	<i>9</i>	<i>13</i>	<i>15</i>	<i>11</i>	<i>4</i>	<i>55</i>	<i>3</i>	<i>91</i>	<i>94</i>	<i>149</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic												1	1	1
	Situation-related												-2	-2	-2
	<i>Subtotal</i>												<i>-1</i>	<i>-1</i>	<i>-1</i>
Total		1		2	9	13	15	11	4	55	3	90	93	148	

(a) Sub-programme 3110: Immediate Office of the Registrar

174. The Registrar has to rely upon efficient and responsive support from the Registry in order to carry out her functions. It is equally crucial that the Registrar has at her disposal a solid structure allowing effective communication within the Court as well as with States Parties and other external key partners and stakeholders. The Immediate Office must therefore ensure the proper management of the Registry and foster communication, both internally and externally.

Staff resources**Basic resources***One P-5 Senior Executive Officer*

175. Recurrent. The Senior Executive Officer strengthens efficient administration of the Court's resources and effective application of internal and external audit recommendations by management; develops cost accounting methodology for the Office of the Registrar, as well as for offices administratively linked to the Registry; acts as overall manager for the Court's trust funds and special accounts; and reviews the Registry's plans and funding of temporary staff. The position, while approved by the Assembly, was zero-budgeted in 2011 and covered through existing resources within the Registry. The resources required for this position have been re-integrated into the current budget proposal. As requested by the Committee at its fifteenth session, a more detailed justification for this position is provided in annex XI.²⁵

One P-3 Staff Council Officer

176. Recurrent. In accordance with Staff Regulation 8.1 (b), a staff representative body has been established with one P-3 post of the elected Staff Council Officer. While the post is placed – for budgetary purposes – in the Immediate Office of the Registrar, this position does not serve the Registrar or her Office. It should be noted that no funds have been allocated for this position; its costs are shared by all organs of the Court.

Consultants

177. Recurrent. In 2012, the Office will engage management advisers to fine-tune the risk management register. The requested amount of €20,000 represents slightly less than two months of assistance at P-5 level.

Non-staff resources**Basic resources***Travel*

178. Recurrent. The Registrar, or her representative, is required to travel for the purpose of increasing support and cooperation at the highest levels, among States Parties and external key partners such as the United Nations, donors, IGOs and NGOs. In addition, these resources allow the Registrar to pursue her mandate with regard to victims and witnesses and to the defence. The increase in travel costs reflects the responsibilities of the Immediate Office of the Registrar. The travel budget provides for 18 missions, including four missions for an African NGO representative to attend two meetings at The Hague. The increase in travel costs has been in part offset by the reduction in GTA costs.

Training

179. The training budget supports the continued professional development of staff within the Office in the highly technical specialization of cost accounting/SAP; such training is not offered in-house.

²⁵ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.2, para. 100.

Situation-related resources

Travel

180. Recurrent. The Court's increased operations in various countries, the nature of ongoing conflicts, local geography and security demands far from headquarters are different for each of the situations and will require the Registrar to visit States Parties and local partners in the field in order to gain their support and foster cooperation. The travel budget allows for seven missions to situation countries.

Table 39: Sub-programme 3110: Proposed budget for 2012

Immediate Office of the Registrar	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Basic	Situation-related	Total	Cont. Fund	Total incl.CF	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available					759.6		759.6	932.2		932.2	172.6	22.7
General Service staff						198.3		198.3	205.1		205.1	6.8	3.4
<i>Subtotal staff</i>	<i>1,062.4</i>		<i>1,062.4</i>		<i>1,062.4</i>	<i>957.9</i>		<i>957.9</i>	<i>1,137.3</i>		<i>1,137.3</i>	<i>179.4</i>	<i>18.7</i>
General temporary assistance	94.2		94.2		94.2	95.2		95.2				-95.2	-100.0
Consultants									20.0		20.0	20.0	
<i>Subtotal other staff</i>	<i>94.2</i>		<i>94.2</i>		<i>94.2</i>	<i>95.2</i>		<i>95.2</i>	<i>20.0</i>		<i>20.0</i>	<i>-75.2</i>	<i>-79.0</i>
Travel	106.0		106.0	7.4	113.4	25.7	17.1	42.8	95.8	48.0	143.8	101.0	236.0
Hospitality	6.3		6.3		6.3	10.0		10.0	10.0		10.0		
Contractual services	3.2		3.2		3.2								
Training									4.4		4.4	4.4	
<i>Subtotal non-staff</i>	<i>115.5</i>		<i>115.5</i>	<i>7.4</i>	<i>122.9</i>	<i>35.7</i>	<i>17.1</i>	<i>52.8</i>	<i>110.2</i>	<i>48.0</i>	<i>158.2</i>	<i>105.4</i>	<i>199.6</i>
Total	1,272.1		1,272.1	7.4	1,279.5	1,088.8	17.1	1,105.9	1,267.5	48.0	1,315.5	209.6	19.0
Distributed maintenance	22.3		22.3		22.3	30.3		30.3	34.2		34.2	3.9	12.9

Table 40: Sub-programme 3110: Proposed staffing for 2012

Immediate Office of the Registrar		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic		1		1	2		3	1		8	1	1	2	10
	Situation-related														
	<i>Subtotal</i>		<i>1</i>		<i>1</i>	<i>2</i>		<i>3</i>	<i>1</i>		<i>8</i>	<i>1</i>	<i>1</i>	<i>2</i>	<i>10</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic												1	1	1
	Situation-related														
	<i>Subtotal</i>												<i>1</i>	<i>1</i>	<i>1</i>
Total		1		1	2		3*	1			8	1	2	3	11

* Only two P-3 positions serve the Immediate Office of the Registrar, the third one is the Staff Council Officer which is an independent post falling under this office for budgetary purposes.

(b) Sub-programme 3120: Office of Internal Audit

181. No additional resources have been requested for 2012; the Office will attempt as far as possible to cover the additional risks generated by the increase in the Court's activities, in particular in the field, within existing resources. The proposed budget for the Office of Internal Audit in 2012 represents less than 0.52 per cent of the Court's proposed programme budget for 2012. This ratio is not in accordance with standards, which require that internal audit's budget should be around 1 per cent of the overall budget of an organization.

Staff resources**Basic resources**

182. Recurrent. The Director organizes the overall work programme of the Office, including risk analysis and audit plan, and audits performance supervisions, ad hoc requests and all the administrative and financial activities of the Office. The Director is also the Secretary of the Audit Committee and organizes three meetings per year, for which the Office does not receive additional staff resources. Professional staff perform audits and provide support for the different specific needs of the sections within the Court. Ad hoc requests and occasional support have significantly increased over the last three years and represent around 30-40 per cent of time resources. One General Service staff is in charge of the administrative tasks of the Office, and has been increasingly providing important support to the performance of audits and in assisting the Office in completing the audit plan.

Consultancy

183. Recurrent. Concerns provisions for the external members of the Audit Committee. Costs include lump-sum compensation and travel.

Non-staff resources*Contractual services*

184. Recurrent. Resources for contractual services in the amount of €20,000 are required to support specific investigations and to perform a fraud-risk assessment.

Permanent premises

185. The audit strategy for the permanent premises needs to be defined by the Permanent Premises Project Office in consultation with the Office of Internal Audit. The resources required for conducting such audits have not been included in the budget of the Office of Internal Audit. Provision for the necessary dedicated resources ought therefore to be made in the budget of the Permanent Premises Project, with audits being carried out by external auditors under the overall supervision of the Office of Internal Audit.

Travel

186. Recurrent. One trip devoted to meetings with auditors from other international organizations. A reduction has been achieved to offset the increase in situation-related travel.

Training

187. Recurrent. Training expenses planned for 2012 are similar to those for 2011. The training plan for Office staff includes one three-day course per staff member within Europe on an audit-related topic, according to individual development needs, which are reviewed during the performance appraisal. Also included is the two-day seminar, organized by RIAS (International Organizations Auditors' Network), that the Office Director attends each year.

Situation-related resources*Travel*

188. Travel plans to the field offices are organized so as to ensure one or two missions per year to the three most important field presences according to the Court's judicial activities (Kinshasa, Bangui, and Nairobi) and one visit to the other presences. Missions vary according to the audit plan, which is normally prepared one year after the budget has been approved.

Table 41: Workload statistics for the Office of Internal Audit

	<i>2008 workload in number of days</i>	<i>2011 resources after reallocation according to workload</i>	<i>2012 workload in number of days</i>	<i>2012-2008 variation</i>
Management of the Office	30	50	50	20
Administrative management	110	40	110	0
Audit plan and risk analysis	20	30	30	10
Supervision of audits	80	45	80	0
Performance of audits	480	315	600	120
Audit assistance	30	105	40	10
Follow-up on implementation of audit recommendations	0	25	30	30
Methodology, development of tools, guidelines for audit practice	5	15	20	15
Organization of Audit Committee meetings	10	30	30	20
Management of ad hoc projects involving consultants	0	60	60	60
Contribution to Court projects	0	40	40	40
Provision of advice and support to Court operations	10	20	20	10
	775	775	1110	335
				43%

Table 42: Sub-programme 3120: Proposed budget for 2012

Office of Internal Audit	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available				368.5		368.5	387.6		387.6	19.1	5.2	
General Service staff					60.6		60.6	63.0		63.0	2.4	4.0	
<i>Subtotal staff</i>	<i>463.8</i>		<i>463.8</i>		<i>463.8</i>	<i>429.1</i>	<i>429.1</i>	<i>450.6</i>		<i>450.6</i>	<i>21.5</i>	<i>5.0</i>	
General temporary assistance	9.4		9.4		9.4								
Consultants	42.0		42.0		42.0	90.0	90.0	90.0		90.0			
<i>Subtotal other staff</i>	<i>51.4</i>		<i>51.4</i>		<i>51.4</i>	<i>90.0</i>	<i>90.0</i>	<i>90.0</i>		<i>90.0</i>			
Travel		6.2	6.2		6.2	8.3	20.4	28.7	2.6	26.5	29.1	0.4	1.4
Contractual services	12.5		12.5		12.5	20.0		20.0		20.0			
Training	12.2		12.2		12.2	20.6		20.6	21.6		21.6	1.0	4.9
Equipment including furniture	12.9		12.9		12.9								
<i>Subtotal non-staff</i>	<i>37.6</i>	<i>6.2</i>	<i>43.8</i>		<i>43.8</i>	<i>48.9</i>	<i>20.4</i>	<i>69.3</i>	<i>44.2</i>	<i>26.5</i>	<i>70.7</i>	<i>1.4</i>	<i>2.0</i>
Total	552.8	6.2	559.0		559.0	568.0	20.4	588.4	584.8	26.5	611.3	22.9	3.9
Distributed maintenance	8.9		8.9		8.9	11.0		11.0	12.4		12.4	1.4	12.7

Table 43: Sub-programme 3120: Proposed staffing for 2012

Office of Internal Audit											Total P- staff and above	Total GS- staff	Total staff		
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL			
Existing	Basic			1		1	1					3	1	1	4
	Situation-related														
	<i>Subtotal</i>			<i>1</i>		<i>1</i>	<i>1</i>					<i>3</i>	<i>1</i>	<i>1</i>	<i>4</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total			1		1	1						3	1	1	4

(c) Sub-programme 3130: Legal Advisory Services Section

189. The Legal Advisory Services Section reports directly to the Registrar and the Deputy Registrar and has as its main objective to provide legal advice to the Registrar and the Deputy Registrar, the sections and/or units of the Registry, and other officials of the Court, on questions regarding the general legal framework which governs the work of the Court insofar as they are relevant to the duties assigned to the Registry under the Rome Statute and Rules of Procedure and Evidence. This includes, inter alia, questions of international institutional law, privileges and immunities of the Court and its members, international administrative law, procurement issues, law of contracts and international agreements, general public international law, victims and witnesses issues, defence counsel matters, domestic implementation of the Rome Statute and Court case-law.

190. The section is headed by the Chief of Section and also includes four Lawyers, one Para-Legal Assistant and one Administrative Assistant. There has been a constant increase in workload due to the enlargement of the Court, new cases and, as a result, a more complex legal environment. Due to the shortage of resources in 2009 and 2010, reshuffling of duties amongst existing staff has been necessary. The number of staff will not increase in 2012.

Non-staff resources*Travel*

191. Recurrent. For legal support provided to field offices and related issues.

Contractual services

192. Recurrent. Contractual services are required in order to cover consultation and advice on national laws and applicable rules, and assistance with legal proceedings.

Training

193. Recurrent. To meet minimum professional training requirements for performance of the section's duties.

Table 44: Sub-programme 3130: Proposed budget for 2012

Legal Advisory Services Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available				548.2		548.2	568.5		568.5	20.3	3.7	
General Service staff					121.2		121.2	126.0		126.0	4.8	4.0	
<i>Subtotal staff</i>	<i>717.5</i>		<i>717.5</i>		<i>717.5</i>		<i>669.4</i>	<i>669.4</i>		<i>694.5</i>	<i>25.1</i>	<i>3.7</i>	
General temporary assistance	50.1		50.1		50.1								
<i>Subtotal other staff</i>	<i>50.1</i>		<i>50.1</i>		<i>50.1</i>								
Travel	4.8	2.5	7.3		7.3	1.6	14.1	15.7	1.3	14.3	15.6	-0.1	-0.6
Contractual services	1.0		1.0		1.0	15.0		15.0	18.0		18.0	3.0	20.0
Training	7.2		7.2		7.2	10.9		10.9	10.3		10.3	-0.6	-5.5
Supplies and materials	0.4		0.4		0.4				2.7		2.7	2.7	
<i>Subtotal non-staff</i>	<i>13.4</i>	<i>2.5</i>	<i>15.9</i>		<i>15.9</i>	<i>27.5</i>	<i>14.1</i>	<i>41.6</i>	<i>32.3</i>	<i>14.3</i>	<i>46.6</i>	<i>5.0</i>	<i>12.0</i>
Total	781.0	2.5	783.5		783.5	696.9	14.1	711.0	726.8	14.3	741.1	30.1	4.2
Distributed maintenance	15.6		15.6		15.6	19.3		19.3	21.8		21.8	2.5	12.9

Table 45: Sub-programme 3130: Proposed staffing for 2012

Legal Advisory Services Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic					1	2	1	1		5		2	2	7
	Situation-related														
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>1</i>	<i>1</i>		<i>5</i>		<i>2</i>	<i>2</i>	<i>7</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	2	1	1		5		2	2	7	

(d) Sub-programme 3140: Security and Safety Section

194. The task of the Security and Safety Section (SSS) is to provide a safe, secure and confidential working environment for the Court's judges and elected officials and staff members, experts, counsel, accused persons, witnesses, visitors and others, both at headquarters and in the field. The section protects all persons to whom the Court owes a duty of care, as well as the tangible and intangible assets and information resources of the Court.

195. At headquarters, the section provides an uninterrupted 24/7 service to all organs of the Court, including Presidency and Chambers, the Office of the Prosecutor and the Registry, but also the Secretariat of the Assembly of States Parties and the Trust Fund for Victims, including in particular the necessary security and safety services for the safe and undisturbed conduct of court hearings.

196. The reduction in budgetary requirements for the support of simultaneous trials, temporary personnel and overtime has been offset by the following: increased costs of staff vetting as a result of the expansion of the Court's personnel security (vetting) programme to include interns, visiting professionals, consultants and contractors; the introduction of revised field security and staff safety training, in line with the similar United Nations training course; the increased need for maintenance of security screening equipment due to the delayed replacement programme and the aging of the equipment; and allocation of resources for the travel of section personnel to conduct security interviews and investigations in the field.

Staff resources

197. Recurrent. The section has re-evaluated its operational structure in the field in order to identify efficiencies and to respond to changes in the Court's activities, namely the closure of the Chad field office; the downsizing of the Kampala field office; the opening of the Kenya situation; and the opening of new investigations in the Kivus in the Democratic Republic of the Congo, resulting in the following re-assignments of personnel or posts: one P-3 and one GS-OL field staff have been redeployed from Chad to Kenya; and a P-2 staff member from Uganda has been redeployed to headquarters as a security analyst, where the post provides essential support directly to field operations. The Registry has further redeployed two remaining posts in Chad to other sections within the Registry.

Basic resources*General temporary assistance*

198. Recurrent. Twenty-two GS-OL Security Support Assistants (12 months each, continued). To undertake security screening, general building patrols, reception at the Arc building and initial emergency response duties necessary to the security and safety of Court premises, personnel and assets.

Overtime, including night differential

199. Recurrent. Maintenance of 24/7 security and safety services requires permanent manning of a number of posts. Night work is compensated according to the Staff Regulations and Rules. Overtime is regularly required, due to public holidays and official leave, mission support and staff shortages.

Situation-related resources*General temporary assistance*

200. No general temporary assistance funding will be required for 2012 in light of the Court's schedule, where only consecutive trials are anticipated.

Overtime

201. Recurrent. Overtime is required in order to cover the extended hours of Court hearings and staff shortages due to an insufficient number of staff. Without the overtime allocation, the section is unable to provide any hearing support activities beyond regular office hours. The total amount of overtime has been reduced in light of the hearings schedule, which provides only for consecutive hearings.

Non-staff resources**Basic resources***Travel*

202. Recurrent. To attend essential briefings and coordination meetings with partners in other international organizations, such as UNDSS, the Inter-Agency Security Management Network, INTERPOL, security conferences and trade shows, with a view to ensuring compliance with best practices and standards, improving capacity to monitor and address potential security and safety vulnerabilities in the most efficient and cost-effective way, and networking and facilitating information sharing.

203. The Court is also involved in the advanced stage of the Permanent Premises Project, and the section is required to provide input and evaluate the proposed solutions. Knowledge of latest best practices and technological advances are essential, in order to ensure the best possible advice on security and safety issues and the most appropriate and rational end-result.

Contractual services

204. Recurrent. Contractual services include security vetting and key-holding and response services for the residences of senior Court officials. As a result of the recommendations of an audit report and risk-management exercise, security vetting has been expanded to include interns, visiting professionals, consultants and contractors in addition to regular staff.

Training

205. Recurrent. It is mandatory that all security personnel are regularly trained in first aid and fire and emergency response, and in the use of firearms, and that they maintain qualifications and permits in accordance with Court and host State regulations. Costs for training related to information security, security management, hostage incident management and close protection are included, and are considered fundamental to the overall security posture of the Court.

206. Both the Inter-organ Security Coordination Committee working group and a field staff survey have highlighted the need to revise the field security training provided to staff in collaboration with the host State military in the Netherlands. The revised training is called "Safe and Secure Approaches in Field Environments" (SSAFE), and will be delivered for their safety to all headquarters-based personnel who regularly deploy to the field.

General operating expenses

207. Recurrent. Includes the maintenance of firearms, security screening and training equipment, the testing of information security infrastructure and the membership fee for the United Nations Security Management System. There is an increase in costs for the maintenance of security screening equipment, as the equipment is old and prone to malfunctions. Fully functioning security screening equipment is essential to the security regime of the Court.

Supplies and materials

208. Recurrent. Supplies and materials include essential provisions for the Pass and ID Office, uniforms and body armour for security personnel and necessary items for firearms training.

Situation-related resources*Travel*

209. Recurrent. It is mandatory that each field security staff member should travel to headquarters to attend briefings and receive training for the maintenance of qualifications. Requirements also include attendance at United Nations field security coordination meetings and training, close protection for senior Court officials in the field, security support missions such as security interviews, investigations and security assessments. The Chief of Section and the Head of Field Security Unit are required to visit the Court's field locations for coordination, inspection and liaison with local authorities and the United Nations.

Contractual services

210. Costs of essential local security service providers (security guarding) at Court field offices and of local law enforcement agencies (police and military) are included. Despite the closure of the Chad field offices, the total cost has decreased only slightly, due to the opening of the new situation in Kenya. The section is still required to ensure safety and security of field offices in five situations, and to continue to support Court missions with the assistance of local police/military.

Training

211. Recurrent. Field security personnel require the same level of training in first aid, firefighting and the use of firearms as security personnel at headquarters, as well as specialized training in field-security-related matters, such as close-protection training and training in the transport of accused persons.

212. As mentioned in paragraph 203 above, the Court's field security training (SSAFE) has been revised; it will be delivered to all field-based staff, as well as in two field locations in Kinshasa (in French) and in Uganda (in English), by the United Nations under the direction and supervision of the Court's field security personnel. Only the cost of training is included. Travel and DSA are to be covered by the participating organs/sections.

General operating expenses

213. Recurrent. As a member of the United Nations Security Management System (UNSMS), the Court is committed to bearing its share of the local cost-sharing arrangements in each area where the Court has a long-term presence. Equally, following the policies of the UNSMS, the Court is responsible for ensuring Minimum Operating Residential Security Standards (MORSS) for the residences of Court international staff members in the field and must cover any costs in this respect incurred by the staff member.

Supplies and materials

214. Recurrent. Includes provision of essential uniforms, personnel protective equipment, other necessary supplies and material required for the performance of security duties in the field or at the Court.

Equipment including furniture

215. Capital investment: upgrade of access cards and card readers.

Table 46: Sub-programme 3140: Proposed budget for 2012

Security and Safety Section	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					542.2	516.4	1,058.6	568.5	605.1	1,173.6	115.0	10.9
General Service staff						2,072.4	1,507.2	3,579.6	2,158.1	1,372.3	3,530.4	-49.2	-1.4
<i>Subtotal staff</i>	<i>2,442.5</i>	<i>1,356.1</i>	<i>3,798.6</i>		<i>3,798.6</i>	<i>2,614.6</i>	<i>2,023.6</i>	<i>4,638.2</i>	<i>2,726.6</i>	<i>1,977.4</i>	<i>4,704.0</i>	<i>65.8</i>	<i>1.4</i>
General temporary assistance	992.9		992.9	284.3	1,277.2	1,090.7	134.4	1,225.1	1,029.6		1,029.6	-195.5	-16.0
Overtime	112.3	24.6	136.9	1.8	138.7	124.4	86.4	210.8	124.4	57.6	182.0	-28.8	-13.7
<i>Subtotal other staff</i>	<i>1,105.2</i>	<i>24.6</i>	<i>1,129.8</i>	<i>286.1</i>	<i>1,415.9</i>	<i>1,215.1</i>	<i>220.8</i>	<i>1,435.9</i>	<i>1,154.0</i>	<i>57.6</i>	<i>1,211.6</i>	<i>-224.3</i>	<i>-15.6</i>
Travel	30.1	157.4	187.5	34.6	222.1	13.9	253.9	267.8	12.3	256.1	268.4	0.6	0.2
Contractual services	54.8	237.6	292.4		292.4	73.5	255.0	328.5	94.5	235.2	329.7	1.2	0.4
Training	90.5	63.8	154.3		154.3	118.5	78.7	197.2	98.5	98.7	197.2		
General operating expenses	97.0	65.5	162.5	9.9	172.4	193.0	69.5	262.5	190.0	110.0	300.0	37.5	14.3
Supplies and materials	63.2	30.8	94.0		94.0	79.8	48.0	127.8	74.0	30.0	104.0	-23.8	-18.6
Equipment including furniture	0.8	14.0	14.8		14.8	1.0		1.0	75.0		75.0	74.0	7,400.0
<i>Subtotal non-staff</i>	<i>336.4</i>	<i>569.1</i>	<i>905.5</i>	<i>44.5</i>	<i>950.0</i>	<i>479.7</i>	<i>705.1</i>	<i>1,184.8</i>	<i>544.3</i>	<i>730.0</i>	<i>1,274.3</i>	<i>89.5</i>	<i>7.6</i>
Total	3,884.1	1,949.8	5,833.9	330.6	6,164.5	4,309.4	2,949.5	7,258.9	4,424.9	2,765.0	7,189.9	-69.0	-1.0
Distributed maintenance	86.8	67.8	154.6		154.6	107.6	98.7	206.3	121.4	89.4	210.8	4.5	2.2

Table 47: Sub-programme 3140: Proposed staffing for 20112

Security and Safety Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic					1	2	1	1		5	1	33	34	39
	Situation-related						1	3	1		5		19	19	24
	<i>Subtotal</i>					<i>1</i>	<i>3</i>	<i>4</i>	<i>2</i>		<i>10</i>	<i>1</i>	<i>52</i>	<i>53</i>	<i>63</i>
New/	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related												-2	-2	-2
	<i>Subtotal</i>												-2	-2	-2
Total					1	3	4	2			10	1	50	51	61

(e) **Sub-programme 3160: Registry Permanent Premises Office**

216. The Registry Permanent Premises Office (“the Office”) acts as the main liaison between the project and the user organization. As such, the Office has the important task of ensuring the functionality and adequacy of the project in relation to the Court’s requirements, as well as representing the views and concerns of the Court at the different stages of the project. Similarly, the Office provides a significant amount of information to the various stakeholders, so that they can take informed decisions on the project.

Staff resources

Basic resources

217. Recurrent. One P-5 Project Director and one P-2 Associate Project Officer. The Project Director is the focal point for the project within the Court. As such, he represents the Court on the Project Board and coordinates the project from within the Court as user and provides all required input. The Project Director reports to the Registrar and consults and cooperates with the Project Board. He is supported by an Associate Project Officer.

Non-staff resources

Basic resources

Travel

218. Recurrent. Travel includes working meetings with the architects in Denmark, Conference on Court Design and network exchanges with other international organizations carrying out building works. The budget submission for the Registry Permanent Premises Office has been maintained at the 2011 level.

Contractual services

219. Recurrent. Without prejudice or limitation to the services provided by the main Project Management Team (reporting to the Assembly’s Project Director’s Office), the following consultancy/support services are required regarding the user role:

- (a) Continuous monitoring and ensuring that user requirements are being implemented correctly;
- (b) Further update and refine requirements where necessary and identify any that were omitted in the existing briefs, in particular regarding (but not limited to) interior, landscaping, user equipment, ICT strategy, security strategy, kitchen requirements, and other facilities as required;
- (c) Prepare/guide and support the Court as final user in meetings concerning the project;
- (d) Provide advice on facilities management aspects for the new premises;
- (e) Analysis of the design proposals (as addition to services by main Project Management team as referred to above);
- (f) Provide advice on moving strategies;
- (g) Provide advice and support regarding the handover, testing and acceptance phase;
- (h) Provide expert consultancy as and when needed regarding (but not limited to) facilities management, ICT, security, sustainability, costs (second opinion if needed), tender strategy, kitchen (strategy), maintenance;
- (i) Provide general second opinion on project decisions as and when needed;
- (j) Provide ongoing advice regarding the new premises maintenance strategy and costs; and
- (k) Provide advice on setting up facilities management and general services to be most efficient for the new building.

220. These services are to be provided by the Court (user) and are not, or only partly, performed by the Assembly's Project Director's Office. To mitigate the associated risks, the budget for supporting consultancy has been increased.

Table 48: Sub-programme 3160: Proposed budget for 2012

Registry Permanent Premises Office	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- related		Total	Situation- related		Total	Situation- related		Total	Amount	%
	Basic			Basic			Basic				
Professional staff	No breakdown available			220.4		220.4	227.3		227.3	6.9	3.1
General Service staff											
<i>Subtotal staff</i>	201.5		201.5	220.4		220.4	227.3		227.3	6.9	3.1
General temporary assistance	36.0		36.0								
<i>Subtotal other staff</i>	36.0		36.0								
Travel	3.3		3.3	12.4		12.4	12.7		12.7	0.3	2.4
Contractual services	139.4		139.4	171.8		171.8	200.0		200.0	28.2	16.4
Training							4.3		4.3	4.3	
General operating expenses				5.0		5.0	5.0		5.0		
Supplies and materials	0.3		0.3								
Equipment including furniture	0.9		0.9	10.0		10.0				-10.0	-100.0
<i>Subtotal non-staff</i>	143.9		143.9	199.2		199.2	222.0		222.0	22.8	11.4
Total	381.4		381.4	419.6		419.6	449.3		449.3	29.7	7.1
Distributed maintenance	4.5		4.5	5.5		5.5	6.2		6.2	0.7	12.7

Table 49: Sub-programme 3160: Proposed staffing for 2012

Registry Permanent Premises Office										Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1					
Existing	Basic				1				1		2			2
	Situation-related													
	<i>Subtotal</i>				1				1		2			2
New/ Converted	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Reclassified/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total				1				1		2			2	

(f) Sub-programme 3180: Field Operations Section

221. In 2011, in implementing its coordination mandate as approved by the Assembly, the Field Operations Section put in place a field operations strategy based on judicial developments in the six situations before the Court, and on the need to make adequate provision for the operational needs of the various clients in the field. In the context of this strategy, the section conducted a thorough-going overall annual review of field operations and existing field structures. As explained in the Report on the review of field operations,²⁶ the field operations strategy was constructed on the principle that field operations are closely linked to, and driven by, the different judicial phases in each situation. An oral report on the strategy was presented to the Committee at its sixteenth session, where the Committee generally welcomed this approach as a positive indication of the development and implementation of the field operations strategy.²⁷

222. In 2012, the Registry will continue with the implementation of its field operations strategy, including consolidation of standard operating procedures in that regard. The capacity and life cycle of field offices and presences will continue to be dictated by the rhythm of judicial developments in each situation. The operational needs of the Registry, Office of the Prosecutor, Trust Fund for Victims and counsel will remain the key driving factors for field operations' required services and resources.

223. As part of the strategic review of its field operations and in line with the 2012 budget assumptions, in 2011 the Registry will close down its field office in Abéché and its presence in N'Djamena, thus reducing its field presences from seven to five. Accordingly, two field offices will remain in 2012, one in Bangui (Central African Republic) and one in Kinshasa (Democratic Republic of the Congo), due to the ongoing trial activities in both of those situation countries. In addition, a forward field presence in Bunia (Democratic Republic of the Congo), linked to the main field office in Kinshasa, will continue to support ongoing trial activities in the *Lubanga* and *Katanga/Ngudjolo* cases, and ongoing activities related to investigations in the Kivu provinces in connection with the *Mbarushimana* case, as well as servicing all other clients. A limited and reduced field presence will be kept in Kampala (Uganda) in order to provide required services to the Office of the Prosecutor and the Trust Fund for Victims in relation to their work in Uganda and the eastern part of the Democratic Republic of the Congo, as well as to support a very limited number of Registry residual activities. Finally, a limited Registry task-force field presence will remain in Nairobi (Kenya), mainly to ensure the coordination of the different activities in relation to the two ongoing cases.

224. For 2012 the Field Operations Section will be called upon by its clients to continue its support, and in many instances to increase it. In terms of workload, the following figures reflect the overall estimated increase in field work-days²⁸ from 2011 to 2012.

Table 50: Clients

<i>Clients</i>	<i>2011 work-days</i>	<i>2012 work-days</i>	<i>Variance</i>
OTP	2808	2991	7%
TFV	1407	1730	23%
Counsel ²⁹	300	319	6%

²⁶ Report on the review of field operations, ICC-ASP/9/12.

²⁷ Report of the Committee on Budget and Finance on the work of its sixteenth session, ICC-ASP/10/5, para. 74.

²⁸ Field work-days include the annual work-days of field-based staff and mission-days to the territory of the situation country (external missions). At the date of the budget submission, data for 2011 were available only for the first quarter, and therefore have been extrapolated for the entire year. Data for 2012 were based on the forecasts of field work-days submitted to the Field Operations Section by all Registry's sections, the Office of the Prosecutor and the Trust Fund for Victims as part of the preparation of the 2012 draft budget submission.

²⁹ As the counsel conducts its work through missions, the data is based on the number of mission days both external and internal. External missions are defined as travel days from The Hague to the situation country whereas internal missions are defined as travel within the territory of the situation country.

225. Notwithstanding the anticipated increase in workload, implementation of the field operations strategy will have a positive impact on the Field Operations Section budget for 2012.

226. The field presence in Kampala will be substantially reduced, mainly because of a 62.5 per cent decrease in the Registry field-based staffing. As a result of the reorganization and planning carried out by the section, that reduction will not affect Registry's capacity to continue providing services to the remaining field-based clients in Kampala, namely the Office of the Prosecutor (50 per cent field-based staff increase in 2012) and the Trust Fund for Victims (100 per cent staff increase in 2011 and maintained in 2012). This minimum in-country capacity will be ready to be triggered to full-scale if required by any pertinent developments in judicial activities concerning the situation in Uganda.

227. With regard to the situation in Darfur, Sudan, as explained above the Abéché Field Office has been closed as of July 2011 and the N'Djamena field presence as of 31 December 2011. The section will preserve staff capacity at headquarters to support any residual work in the country through missions undertaken by Registry and its clients as needed, especially in relation to the case of *The Prosecutor v. Abdallah Banda Abakaer Nourain and Saleh Mohammed Jerbo Jamus*.

228. The reorganization of field presences will achieve overall savings in major assets such as telecommunications equipment, furniture and vehicles. Further savings will be procured through the redistribution of 11 posts, representing over one quarter of the section's total staffing, to other situations with greater operational needs. A core capacity will be maintained in staff and non-staff resources available to coordinate and support work in relation to new situations and unforeseen events.

229. As a result of the efficiency measures described above, in 2012 the section will be able, with reduced resources (-3.4 per cent compared with 2011), to provide increased coordination, support and assistance related to the implementation of the field operations strategy in six situation countries, as well as dealing with the forecast increase in workload and managing field-based staff and assets.

Table 51: 2011-2012 field-based staffing trend (in %)

Field-based organs/bodies	Uganda	Democratic Republic of the Congo ³⁰			Central African Republic
		Chad	Kinshasa	Bunia	
OTP	+50%	-	0%	0%	0%
Registry	-62,5%	-100%	+10,5%	+7%	0%
TFV	+100% ³¹	-	-	0%	0%

Staff resources

Situation-related resources

230. As a result of the review of the Field Office Manager positions in 2009,³² new functions were suggested for the subsequently proposed Registry Field Coordinator positions, entailing additional skills and competencies needed to ensure the implementation of the field operations strategy. Since then, key efficiency measures undertaken in 2011 have allowed the section to allocate its resources in 2012 in an efficient, coordinated and planned manner. In order for the section to manage successfully and effectively implement the efficiency measures anticipated in the field operations strategy in 2012, a coordination capacity is needed at field level in order to ensure the coordinated implementation of the strategy at country level and to develop further efficiencies.

³⁰ Increases in field-based staff for Registry are the result of redeployments of posts from existing resources to support increased workload in the country in relation to pending cases and ongoing investigations.

³¹ During 2011, one P-3 post of the Trust Fund for Victims was redeployed from Bunia to Kampala.

³² Report on the review of field operations (ICC-ASP/9/12).

231. In light of the ongoing judicial proceedings and forecasts for field-related work by the Registry and its clients in 2012, it is essential that the new field-based coordination functions should be implemented in accordance with the proposed strategy. Nevertheless, the requests for revision of the functions have not been integrated into the proposed programme budget for 2012. Instead, they have been submitted and explained in a separate report on field operations for the consideration of the Assembly, in the context of the overall strategic review of field operations,³³ in accordance with the Committee's recommendation at its fifteenth session that this matter be reconsidered in the context of an enhanced strategy for field offices.³⁴ Notwithstanding that the costs for this restructuring, which amount to €70,200, have not been included in the proposed programme budget for 2012, it is important to note that should the Committee and subsequently the Assembly decide to approve this restructuring, the Section will be able to absorb the costs within existing resources and further achieve an overall decrease of approximately 0.7 per cent of its budget when compared to the 2011 approved budget for the Section.

232. One P-3 Field Office Manager in Kampala will continue to be required for only three months in 2012.

Redeployments

233. In line with the judicial assumptions for the six situations and the forecast increase in the related workload, a number of posts will be redeployed from either Abéché, N'Djamena or Kampala to other duty stations in order to efficiently cover the substantial increase in field activities in accordance with the standards set out in the field operation strategy. Such redeployments include a number of GS-OL Administrative Assistants and Drivers.

234. In addition, one P-3 Field Office Manager position will be redeployed from Chad to The Hague in order to provide administrative oversight of existing field presences, in particular of those offices that do not have in place a Field Office Manager.

General temporary assistance

235. As in previous years, the equivalent of one GS-OL post for 15 months will be required in order to ensure continuity of operations in all situation countries during the leave periods of drivers, broken down as follows: three months for Kampala, four months for Bangui, four months for Kinshasa and four months for Bunia.

236. One P-4 Registry Task Force Coordinator will continue to be required in 2012 to oversee the Registry task-force field presence in Nairobi as approved by the President, and to be responsible for overall coordination and support of activities in relation to the Kenya situation.

237. Four GS-OL Cleaners (12 months) will be maintained for Kampala, Bangui, Kinshasa and Bunia respectively.

238. One GS-OL Desk Officer/Logistics Assistant will be required to perform functions related to operational support and assistance, data/management indicators, mission planning/coordination support, implementation of operational support plans, and effective management of Court activities in relation to the Kenya situation, and to ensure the implementation of field-specific standard operational procedures across the six situations.

³³ Report of the Committee on Budget and Finance on the work of its sixteenth session (ICC-ASP/10/5), para. 74.

³⁴ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.2, para. 106.

Non-staff resources

239. In 2012, as a result of greater internal control and management of staff and non-staff resources, a 25.3 per cent decrease in non-staff costs compared to the previous year will be achieved.

Travel

240. Travel expenditures from headquarters to the field will also decrease when compared to 2011, in part due to the closure of Chad operations, but also due to improved staffing levels in the field, improved internal controls and a more efficient use of resources.

Contractual services

241. Expenditures on contractual services will continue in support of operations in the field. The increase in this area is due to the costs related to the services required from the United Nations Office in Nairobi to support operations in Kenya. However, this slight increase is offset by reductions in other areas.

Training

242. In 2012 complementary training modules will be organized to supplement the skills and competencies of personnel where needed. This will result in a reduction in training costs compared to 2011.

General operating expenses

243. With the closure of Chad and the downsizing of Kampala-based operations, and the resultant absorption of resources into other areas of operations, general operating expenses for 2012 will be reduced. The section will, nonetheless, continue to review costs, in particular those incurred in the maintenance and use of transport equipment (i.e. vehicles) attributable to age and working environment.

Supplies and materials

244. A large portion of supplies and materials will be absorbed into other operational situations as a result of the closure of the Abéché Field Office and the N'Djamena field presence, as well as the downsizing of the Registry's resources in the Kampala Field Office, resulting in a decrease compared to the previous year.

Equipment including furniture

245. Furniture and equipment will be absorbed into other operational situations as a result of the closure of the Abéché Field Office and the N'Djamena field presence, as well as the downsizing of the Registry's resources in the Kampala Field Office.

Table 52: Sub-programme 3180: Proposed budget for 2012

Field Operations Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					881.0		881.0	874.0		874.0	-7.0	-0.8
General Service staff						513.8		513.8	538.5		538.5	24.7	4.8
<i>Subtotal staff</i>	-63.7	1,451.9	1,388.2		1,388.1	1,394.8	1,394.8	1,412.5	1,412.5	1,412.5	17.7	1.3	
General temporary assistance	11.5	247.7	259.2		259.2	69.6	69.6	248.1	248.1	248.1	178.5	256.5	
<i>Subtotal other staff</i>	11.5	247.7	259.2		259.2	69.6	69.6	248.1	248.1	248.1	178.5	256.5	
Travel		162.6	162.6	14.7	177.3	121.0	121.0	99.1	99.1	99.1	-21.9	-18.1	
Contractual services		14.4	14.4		14.4	8.1	8.1	47.1	47.1	47.1	39.0	481.5	
Training		29.0	29.0		29.0	58.2	58.2	47.2	47.2	47.2	-11.0	-18.9	
General operating expenses		614.5	614.5	8.6	623.1	567.8	567.8	508.0	508.0	508.0	-59.8	-10.5	
Supplies and materials		267.2	267.2		267.2	207.4	207.4	140.0	140.0	140.0	-67.4	-32.5	
Equipment including furniture		22.7	22.7	70.7	93.4	163.8	163.8				-163.8	-100.0	
<i>Subtotal non-staff</i>		1,110.4	1,110.4	94.0	1,204.4	1,126.3	1,126.3	841.4	841.4	841.4	-284.9	-25.3	
Total	-52.2	2,810.0	2,757.8	94.0	2,851.8	2,590.7	2,590.7	2,502.0	2,502.0	2,502.0	-88.7	-3.4	
Distributed maintenance		110.1	110.1		110.1	160.3	160.3	154.4	154.4	154.4	-5.9	-3.7	

Table 53: Sub-programme 3180: Proposed staffing for 2012

Field Operations Section											Total P- staff and above	Total GS- staff	Total staff						
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL							
Existing	Basic																		
	Situation-related										1	2	4	1	8	1	30	31	39
	<i>Subtotal</i>										<i>1</i>	<i>2</i>	<i>4</i>	<i>1</i>	<i>8</i>	<i>1</i>	<i>30</i>	<i>31</i>	<i>39</i>
New/ Converted	Basic																		
	Situation-related																		
	<i>Subtotal</i>																		
Redeployed/ Reclassified/ Returned	Basic																		
	Situation-related																		
	<i>Subtotal</i>																		
Total					1	2	4	1		8	1	30	31	39					

(g) Sub-programme 3190: Counsel Support Section

246. The Counsel Support Section is in charge of centralizing and coordinating all assistance provided to counsel by the Court; it serves as the Registry's focal point for the Offices of Public Counsel, which depend on the Registry solely for administrative purposes, and also provides logistical and administrative assistance. The section also manages the Court's programme of legal aid for indigent defendants and victims.

247. Because of the current number of cases, the costs of legal aid for defence and legal aid for victims will increase in 2012 by €2.5 million and €2.4 million respectively. It is important to note that such increase is the result of the direct application of the current legal aid system as endorsed by the Committee at its eighth session³⁵ and approved by the Assembly. The Committee had recommended that the Court undertake a review of the legal aid system once the first judicial cycle had been completed. However, the Registry has in 2011 proactively initiated a thorough review of the current system, which it plans to continue in 2012. This review is being conducted in light of the experience gathered by the Court thus far, and of the need to ensure the most cost-efficient system through the adoption of efficiency measures, while ensuring that the rights of the defence are fully upheld, as well as the rights of victims to participate meaningfully and effectively in the proceedings.

Staff resources**Situation-related***General temporary assistance*

248. One GS-OL Data Processing Assistant, to allow the section to cope with the additional workload by managing new applications to the lists of counsel, legal assistants and professional investigators (in particular from the Middle East and North African region); managing ad hoc and duty counsel appointments and missions; and managing legal aid granted to those involved in the proceedings and providing the required administrative support. The section cannot successfully address the needs arising from its anticipated workload without this reinforcement, given its reduced staffing and the workload borne by its personnel, including accrued overtime.

Non-staff resources*Legal aid for defence*

249. The cost of legal aid for each indigent defendant has been calculated by applying the current legal aid system in accordance with the 2012 budget assumptions. An additional sum has been provided for duty and ad hoc counsel, appointed respectively by the Registrar and Chambers under the conditions provided for in the Court's Statute, the Rules of Procedure and Evidence and the Regulations of the Court.

Legal aid for victims

250. The cost of legal aid for each group of victims as defined in the assumptions has been calculated on the basis of the current legal aid system. An additional sum has been provided for the payment of legal assistance at the situation stage.

Consultancy

251. For the Legal Aid Control System project, which is due to be completed during 2012.

Travel

252. A total of four missions have been budgeted to maintain contact with international and national bar associations and lawyers on the list of counsel, and to support training programmes on the Court and its law and procedures.

³⁵ *Official Records ... Sixth session ... 2007* (ICC-ASP/6/20), vol. II, part B.1, para. 80.

Table 54: Sub-programme 3190: Proposed budget for 2012

Counsel Support Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available				416.0	195.6	611.6	430.6	203.3	633.9	22.3	3.6	
General Service staff					121.2	60.6	181.8	126.0	63.0	189.0	7.2	4.0	
<i>Subtotal staff</i>	<i>519.0</i>	<i>130.6</i>	<i>649.6</i>		<i>649.6</i>	<i>537.2</i>	<i>256.2</i>	<i>793.4</i>	<i>556.6</i>	<i>266.3</i>	<i>822.9</i>	<i>29.5</i>	<i>3.7</i>
General temporary assistance	27.0	0.1	27.1		27.1				69.6	69.6	69.6		
Consultants									50.0	50.0	50.0		
<i>Subtotal other staff</i>	<i>27.0</i>	<i>0.1</i>	<i>27.1</i>		<i>27.1</i>				<i>50.0</i>	<i>69.6</i>	<i>119.6</i>	<i>119.6</i>	
Travel	45.3		45.3	7.4	52.7	8.1		8.1	8.1		8.1		
Contractual services							2.0	2.0				-2.0	-100.0
Training										10.0	10.0	10.0	
Counsel for Defence		2,896.0	2,896.0		2,896.0	1,099.4	1,099.4		3,583.2	3,583.2	2,483.8	225.9	
Counsel for Victims		1,235.0	1,235.0		1,235.0	1,611.8	1,611.8		3,990.5	3,990.5	2,378.7	147.6	
General operating expenses		3.5	3.5		3.5								
Supplies and materials	0.4		0.4		0.4								
<i>Subtotal non-staff</i>	<i>45.7</i>	<i>4,134.5</i>	<i>4,180.2</i>	<i>7.4</i>	<i>4,187.6</i>	<i>8.1</i>	<i>2,713.2</i>	<i>2,721.3</i>	<i>8.1</i>	<i>7,583.7</i>	<i>7,591.8</i>	<i>4,870.5</i>	<i>179.0</i>
Total	591.7	4,265.2	4,856.9	7.4	4,864.3	545.3	2,969.4	3,514.7	614.7	7,919.6	8,534.3	5,019.6	142.8
Distributed maintenance	13.4	8.5	21.8		21.8	16.6	12.3	28.9	18.7	12.2	30.9	2.0	6.9

Table 55: Sub-programme 3190: Proposed staffing for 2012

Counsel Support Section	Total P-staff and above										GS-PL	GS-OL	Total GS-staff	Total staff
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1					
Existing	Basic				1	1		1	1	4		2	2	6
	Situation-related					1		1		2		1	1	3
	<i>Subtotal</i>				<i>1</i>	<i>2</i>		<i>2</i>	<i>1</i>	<i>6</i>		<i>3</i>	<i>3</i>	<i>9</i>
New	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total					1	2		2	1	6		3	3	9

(h) Sub-programme 3191: Office of Public Counsel for the Defence

253. As part of its mandate, the Office of Public Counsel for the Defence (OPCD) provides legal research and assistance to all defence teams, duty counsel and ad hoc counsel, to ensure their ability to comply with judicial deadlines and focus on relevant legal issues. This assistance has proved to be extremely effective, and has enabled defence teams to address legal and procedural issues which have arisen during the proceedings, while at the same time reviewing evidence, supervising investigations, and preparing for examination or cross-examination of witnesses. The role of the OPCD is essential in order to create equality of arms between defence teams and the prosecution, which is able to avail itself of the assistance of specialized legal services from the Prosecution Legal Advisory Section and the Prosecution Appeals Section. The OPCD also ensures the efficiency and expeditiousness of the proceedings, and can help avoid the use of additional legal aid resources.

254. The OPCD has continued this type of assistance in 2011. For example, the OPCD assisted the *Mbarushimana* team in reviewing over 105,000 documents, in order to determine which documents were privileged, and which should be disclosed to the prosecution as potential evidence for the confirmation hearing. The OPCD has also assisted the *Bemba* team in reviewing a further 400 victim applications received shortly before the trial stage. If the defence team had not had the assistance of the OPCD, it might have needed to request an adjournment of the trial, which would significantly have delayed the proceedings. In the *Mbarushimana* and Kenyan cases, the OPCD has assisted the defence teams by providing legal assistance and case-management services pending recruitment to those positions.

255. Lack of sufficient resources will seriously affect the ability of the OPCD to respond to requests for legal research and the provision of real-time assistance for court hearings. Given the current resources of the Office, it has been initially estimated that in 2012 the OPCD may be unable to respond to as many as 345 of the requests it receives for legal advice, which may in turn result in the defence requesting adjournments in the proceedings. While the Office will endeavour to do its utmost with its current capacity to cover its anticipated workload for 2012, should there be any significant increase in the Court's judicial activities, the OPCD would need the corresponding additional resources in order to deal with this.

Table 56: Workload indicators for the Office of Public Counsel for the Defence

<i>Anticipated workload 2011</i>	<i>Number of FTE 2011</i>	<i>Anticipated workload 2012</i>	<i>Number of FTE 2012</i>	<i>Estimated capacity 2012</i>	<i>Estimated shortfall without additional resources</i>
Number of items of research and legal advice provide to defence teams = 350	2.5	700	2.5	350	340
Attendance/Assistance at court hearings = 200	0.7	215	0.7	200	15
Production of legal materials and outreach presentations concerning current defence issues before ICC = 12	0.5	12	0.5	10	2
Electronic registration and classification of court documentation (court filings, victim applications) and organization of legal references = 3500 pages	0.8	3500	0.8	1840	1660
Management tasks = 3	0.3	0.3	0.3	0.3	0
Administrative tasks = 2	0.2	0.2	0.2	0.2	0

Non-staff resources**Basic resources***Travel*

256. Recurrent. This item is required to ensure the OPCD's participation at key external events concerning the rights of the defence before the Court.

Situation-related resources*Travel*

257. Recurrent. Situation-related travel is also required in order to facilitate the ability of the OPCD to act as duty counsel to represent a suspect in the field or to represent the rights of the defence in relation to a unique investigative opportunity in the field in accordance with article 56 of the Rome Statute.

Contractual services and training

258. Recurrent. Training is required so as to ensure that the limited resources of the OPCD are employed effectively, in order for staff to be competent in relation to all aspects of judicial proceedings which are potentially relevant to defence preparation and the mandate of the OPCD. The OPCD will utilize this training by providing ongoing support in these areas to all present and future defence teams. Failure to obtain the requested resources would entail additional resources, as the Court would be forced to rely on external trainers to provide a similar level of expertise to defence teams.

Table 57: Sub-programme 3191: Proposed budget for 2012

Office of Public Counsel for the Defence	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			140.1	275.9	416.0	144.7	285.9	430.6	14.6	3.5
General Service staff				60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	211.3	259.2	470.5	200.7	275.9	476.6	207.7	285.9	493.6	17.0	3.6
General temporary assistance	22.0	-4.1	17.9								
<i>Subtotal other staff</i>	22.0	-4.1	17.9								
Travel	2.2	4.4	6.6	2.1	14.7	16.8	2.3	14.2	16.5	-0.3	-1.8
Contractual services		1.2	1.2		20.0	20.0		20.0	20.0		
Training	0.4		0.4	4.8		4.8	4.7		4.7	-0.1	-2.1
General operating expenses					4.0	4.0		3.0	3.0	-1.0	-25.0
<i>Subtotal non-staff</i>	2.6	5.6	8.2	6.9	38.7	45.6	7.0	37.2	44.2	-1.4	-3.1
Total	235.9	260.7	496.6	207.6	314.6	522.2	214.7	323.1	537.8	15.6	3.0
Distributed maintenance	4.5	8.5	12.9	5.5	12.3	17.8	6.2	12.2	18.4	0.6	3.4

Table 58: Sub-programme 3191: Proposed staffing for 2012

Office of Public Counsel for the Defence	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing					1					1		1	1	2
						1		1	1	3				3
					1	1		1	1	4		1	1	5
New/ Converted														
Redeployed/ Reclassified/ Returned														
Total					1	1		1	1	4		1	1	5

(i) Sub-programme 3192: Office of Public Counsel for Victims

259. The Office of Public Counsel for Victims has supported and given assistance to 35 external legal representatives in all situations and cases before the Court. In 2010 alone, legal advice and research were provided on 300 separate occasions. Moreover, the Office has generally been entrusted by Chambers with providing legal assistance and legal representation to victims in the phase which precedes a decision on their status as participants in proceedings before the Court, as well as during the period starting from the recognition of their status as victims until such time as a legal representative is chosen by the person(s) or appointed by the Court. An increasing number of victims are also choosing the Office as their legal representative. The number of victims represented by the Office, at one stage or another of proceedings, increased by 82 per cent over 2010/2011. This increase follows a trend from previous years, and can be expected to continue in 2012.

Staff resources**Situation-related resources***General temporary assistance*

260. New. One P-3 Legal Officer for six months, in order to cope with the increase in the numbers of external legal representatives to be assisted, and of victims to be assisted or represented, due to the opening of an investigation into the situation in Libya. Over the year 2010, the workload of the Office has significantly increased. This trend has been confirmed for the first quarter of 2011. The current staff of the Office is already fully occupied in the five ongoing situations and related cases. The Office at present assists 35 external legal representatives and more than 1,000 victims.

Non-staff resources**Situation-related resources***Travel*

261. Recurrent. Travel is required to undertake missions in the field, in order to fulfil the Office's mandate. As such, travel is a necessary incident of the Office's tasks. Face-to-face meetings with victims are indispensable to provide meaningful assistance, support and representation, whatever the stage of the proceedings. Developments in existing and anticipated cases/situations indicate that the need for face-to-face meetings and fieldwork will increase, in particular once reparations proceedings commence, when members of the Office will be required to travel to collect evidence and discuss strategy with clients.

262. Considering the security situation in Libya and the fact that victims have left or are leaving the country to seek refuge mainly in European countries, or in neighbouring countries on the African continent, the Office considers that there will be a need for additional travel costs to countries other than Libya, in order to meet with victims, to provide legal assistance/representation to them, or to support possible outreach activities.

Contractual services

263. The Office has developed a project for a victim-oriented database, designed to manage the legal assistance and representation provided by the Office to victims and to external legal representatives. The database is an essential tool for the Office, not only because of the possibilities that it offers for handling internal information while maintaining the required level of confidentiality, but also because it will enable an easier exchange of information with other sections dealing with victims' issues within the Court and with external legal representatives, thus further enhancing the Office's effectiveness. In particular, the database enables the Office to collect, catalogue, reference, archive and analyse legal documents, researches, court records, evidence, exhibits, and open-source material; to access other Court applications (Ringtail, Transcend, TRIM) via a single interface; to track all information for each victim (in particular, victim-relevant information, including evidence, court records, correspondence and witness statements and court testimony); to incorporate and streamline major OPCV work processes and keep track of

team tasks and deadlines; to produce statistics and output reports. Since the Court is further developing Ringtail, minor adjustments will be needed to the Office database in 2012 in order to ensure that it fully meets the Office's needs.

264. The Office has published a 250-page Manual for Legal Representatives appearing before the Court, which includes a regularly updated section explaining jurisprudential developments in all situations and cases. The Manual is now available in English and French, and is also scheduled to be available in Spanish. The Office is planning to work on an Arabic version (which may be especially needed in light of the new developments in the situations in Sudan and Libya) in 2011/2012. The Manual will enhance the knowledge of the Court amongst practitioners in the field of international criminal law and has proved to be an essential instrument for external legal representatives.

General operating expenses

265. Recurrent. For the rental of premises in order to meet with victims. Costs have been maintained at the same level as in 2011.

Table 59: Sub-programme 3192: Proposed budget for 2012

Office of Public Counsel for Victims	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related		Basic	Situation- related		Basic	Situation- related		Amount	%
		Total	Total		Total	Total					
Professional staff	No breakdown available			220.4	665.8	886.2	227.3	688.8	916.1	29.9	3.4
General Service staff				60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	269.8	562.1	831.98	281.0	665.8	946.8	290.3	688.8	979.1	32.3	3.4
General temporary assistance	-1.6		-1.6				55.2		55.2	55.2	
<i>Subtotal other staff</i>	-1.6		-1.6				55.2		55.2	55.2	
Travel	44.4		44.4	4.4	44.8	49.2	4.0	75.9	79.9	30.7	62.4
Contractual services	37.5		37.5	30.0		30.0	40.0		40.0	10.0	33.3
Training	2.7		2.7								
General operating expenses				6.0		6.0	6.0		6.0		
Supplies and materials	1.7		1.7								
<i>Subtotal non-staff</i>	1.7	84.6	86.3	4.4	80.8	85.2	4.0	121.9	125.9	40.7	47.8
Total	271.5	645.1	916.6	285.4	746.6	1,032.0	294.3	865.9	1,160.2	128.2	12.4
Distributed maintenance	6.7	19.8	26.5	8.3	28.8	37.1	9.3	28.4	37.7	0.6	1.6

Table 60: Sub-programme 3192: Proposed staffing for 2012

Office of Public Counsel for Victims	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff	
Existing	Basic				1				1	2	1		1	3	
	Situation-related				2		2	1	2	7				7	
	<i>Subtotal</i>				1	2	2	2	2	9	1		1	10	
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	2	2	2	2	9	1		1	10	

2. Programme 3200: Common Administrative Services Division

Introduction

266. The Common Administrative Services Division (CASD) provides a diverse range of specialized non-judicial services to support the entire Court. The Division combines the functions relating to human resources, budget and finance, general services and information and communications technologies. These functions, driven by service requirements, provide essential coordination to ensure a centralized approach and an optimal and effective utilization of human and financial resources. The major areas of responsibility in CASD are shown below.

<i>Human Resources</i>	<i>Budget and Finance</i>	<i>General Services</i>	<i>ICT</i>
Recruitment	Accounts	Travel & host State affairs	Information services
Staff administration	Disbursements	Logistics and transport	IT operations
Training & development	Payroll	Facilities management	Records management
Health & welfare	Treasury	Procurement	
	Budget		

267. The Division's top priority is to provide the Court with a "model of public administration" by delivering timely, reliable and effective high-quality services in response to client needs both at headquarters and in the field. This derives from and is aligned with the strategic goals and objectives of the Court (Goal 3).

268. The Court's administration has grown from supporting a handful of staff in 2003 to a full-blown operational organization with more than a thousand staff to cater for all year round. The volume and quality of work of the Court's administrative services is of utmost importance for the proper functioning of the institution.

269. Based on the Court's Strategic Plan, CASD has identified the outcomes that will be the focus of the division's activities in the short term. These strategic priorities described below have guided the 2012 budget proposal of the division. As in all divisions and organs of the Court, efficiency and effectiveness will remain cross-cutting and overarching objectives for CASD.

270. The Human Resources Section will continue the progress that has been made towards improving organizational design. The section will place priority on the development, implementation and monitoring of HR policies, strategies and tools; and on a competency and career development framework.

271. The analytic accountability framework is currently being developed and will continue to be implemented in 2012. This exercise aims at improving efficiency by providing information on budgets versus spending, as well as maintaining a balance between costs and service delivery. The current set-up and business processes have been analysed and appropriate cost centres and cost allocation criteria have been identified and agreed upon. The division will continue leading this Court-wide exercise to implement the best-suited cost accounting system in the Court's SAP environment, which will in turn help the Court in planning and making decisions and informed judgments.

272. In 2011 the division initiated implementation of the International Public Sector Accounting Standards (IPSAS). A broad initial effort has been made to identify the potential impact of IPSAS adoption, and to identify gaps in terms of IPSAS compliance requirements in relation to the present status of the Court. In 2012, the Budget and Finance Section will undertake a more detailed gap analysis and conduct an in-depth analysis of the requirements and impact of each IPSAS standard.

273. An important new policy, essential to more efficient functioning of the Court, has been initiated by the General Services Section with a view to maximizing resources for the Court's permanent premises. Accordingly, all assets procured which have a "shelf-life" beyond the completion date of the Court's permanent premises will be purchased with their compatibility to the new premises in mind. The division will also work on the development of policies to adapt the Court's culture so as to optimize future resources.

274. The availability, reliability and confidentiality of all information maintained in ICT applications are critical to the running of the Court. An important objective for 2012 is the regular conduct of hearings without any delays attributable to failure of ICT processes.

275. As a consequence of the opening of an investigation into the situation in Libya regarding crimes allegedly committed after 15 February 2011, and the increase of activities in the situation in Kenya, more services will be required from the division in 2012, particularly in terms of travel and visa services, communications, logistics and finance.

276. Despite a reduction of €0.2 million in the division's general operating expenses, overall there is an increase in resource requirements of €2.01 million, which is in part accounted for by capital investment replacements (€0.9 million) and consultancy services (€0.1 million), as well as the implementation of IPSAS for a full year (€0.3 million), which, as explained above, is one of the division's strategic priorities.

277. The pursuit of efficiencies remains a high priority for the division. Creative business-process re-engineering proposals will continue to be encouraged, focusing on the most cost-intensive processes.

Objectives

1. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks (SO 8)
2. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties (SO 9)
3. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality (SO 10)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Become a non-bureaucratic administration focused on results rather than processes.	- To have performed business process re-engineering for 5 administrative processes in the Court.	5
Objective 2		
- Submit sound, accurate and transparent budget proposals.	- Adjustment to the proposed amount and distribution of resources within CASD.	Maximum of 5% reduction or redistribution.
Objective 3		
- Attract, care for and offer career development to a diverse staff.	- Number of HR policies and development of HR Management Manual.	50% completion of manual

Table 61: Programme 3200: Proposed budget for 2012

Common Administrative Services Division	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					3,351.1	522.0	3,873.1	3,507.2	540.8	4,048.0	174.9	4.5
General Service staff						4,704.6	1,755.7	6,460.3	4,962.3	1,829.1	6,791.4	331.1	5.1
<i>Subtotal staff</i>	7,659.5	2,307.8	9,967.3		9,967.3	8,055.7	2,277.7	10,333.4	8,469.5	2,369.9	10,839.4	506.0	4.9
General temporary assistance	493.8	29.5	523.3	145.6	668.9	336.0	168.0	504.0	699.6	208.8	908.4	404.4	80.2
Temporary assistance for meetings						20.0		20.0	20.0		20.0		
Overtime	128.0		128.0	0.5	128.5	111.5		111.5	120.0		120.0	8.5	7.6
Consultants	74.2		74.2		74.2	20.0		20.0	140.0		140.0	120.0	600.0
<i>Subtotal other staff</i>	696.0	29.5	725.5	146.1	871.6	487.5	168.0	655.5	979.6	208.8	1,188.4	532.9	81.3
Travel	73.3	80.9	154.2		154.2	91.6	78.4	170.0	114.1	71.4	185.5	15.5	9.2
Contractual services	843.0	48.0	891.0		891.0	405.5	60.0	465.5	646.5	60.0	706.5	241.0	51.8
Training	257.7	164.4	422.1		422.1	225.0	162.4	387.4	423.3		423.3	35.9	9.3
General operating expenses	4,407.5	2,726.4	7,133.9		7,133.9	4,515.2	2,927.5	7,442.7	4,325.8	2,870.6	7,196.4	-246.3	-3.3
Supplies and materials	357.2	61.3	418.5		418.5	525.3	9.5	534.8	535.9	9.5	545.4	10.6	2.0
Equipment including furniture	342.7	447.8	790.5	335.5	1,126.0	505.0	181.1	686.1	1,605.0		1,605.0	918.9	133.9
<i>Subtotal non-staff</i>	6,281.4	3,528.8	9,810.2	335.5	10,145.7	6,267.6	3,418.9	9,686.5	7,650.6	3,011.5	10,662.1	975.6	10.1
Total	14,636.9	5,866.1	20,503.0	481.6	20,984.6	14,810.8	5,864.6	20,675.4	17,099.7	5,590.2	22,689.9	2,014.5	9.7
Distributed maintenance	-529.8	-1,084.3	-1,614.1		-1,614.1	-664.9	-1,558.0	-2,222.9	-753.1	-1,528.1	-2,281.2	-58.3	2.6

Table 62: Programme 3200: Proposed staffing for 2012

Common Administrative Services Division		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic				1	4	5	15	9		34	6	70	76	110
	Situation-related						2	3			5	1	30	31	36
	<i>Subtotal</i>				1	4	7	18	9		39	7	100	107	146
New/	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic								-1		-1		2	2	1
	Situation-related														
	<i>Subtotal</i>								-1		-1		2	2	1
Total				1	4	7	18	8			38	7	102	109	147

(a) Sub-programme 3210: Office of the Director (CASD)

278. The Office of the Director oversees and coordinates those sections that provide management and administrative support services to the Court, namely human resources, budget and finance, general services and information and communications technologies. The Office of the Director helps ensure timely and accurate reporting to oversight bodies, and internal and external audiences in diverse matters such as budget and strategic planning. The Office further manages specific projects aimed at achieving the Court's strategic goal of becoming a model of public administration.

Non-staff resources**Basic resources***Travel*

279. Recurrent. Related to meetings and cooperation activities with States Parties and meetings for networking purposes.

Contractual services

280. Estimated costs for consultancy relating to the process re-engineering and efficiency savings projects due to continue in 2012.

Situation-related resources*Travel*

281. Recurrent. To cover the Director's travel relating to operations in the field.

Table 63: Sub-programme 3210: Proposed budget for 2012

Office of the Director CASD	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation-related		Total	Situation-related		Total	Situation-related		Total	Amount	%
	Basic			Basic			Basic				
Professional staff	No breakdown available			350.3		350.3	366.7		366.7	16.4	4.7
General Service staff				60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	<i>211.8</i>		<i>211.8</i>	<i>410.9</i>		<i>410.9</i>	<i>429.7</i>		<i>429.7</i>	<i>18.8</i>	<i>4.6</i>
Travel	12.7		12.7	14.0	7.4	21.4	12.9	8.1	21.0	-0.4	-1.9
Contractual services	47.9		47.9	20.0		20.0	20.0		20.0		
<i>Subtotal non-staff</i>	<i>60.6</i>		<i>60.6</i>	<i>34.0</i>	<i>7.4</i>	<i>41.4</i>	<i>32.9</i>	<i>8.1</i>	<i>41.0</i>	<i>-0.4</i>	<i>-1.0</i>
Total	272.4		272.4	444.9	7.4	452.3	462.6	8.1	470.7	18.4	4.1
Distributed maintenance	8.9		8.9	11.0		11.0	12.4		12.4	1.4	12.7

Table 64: Sub-programme 3210: Proposed staffing for 2012

Office of the Director CASD		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic				1				2		3		1	1	4
	Situation-related														
	<i>Subtotal</i>				<i>1</i>				<i>2</i>		<i>3</i>		<i>1</i>	<i>1</i>	<i>4</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total				1				2			3		1	1	4

(b) Sub-programme 3220: Human Resources Section**Introduction**

282. The Human Resources Section provides HR-related services to all major programmes of the Court. Headed by the Chief of Section, it comprises four units.

283. The Chief of Section, in addition to section management functions, has direct responsibility for providing strategic advice to the heads of organs on human resources issues and initiatives; for preparing documentation and information on human resources activities and results for the Committee, the Assembly and Court management; for human resources policy development, grievances and legal matters, and staff management collaboration in the area of human resources. The Chief is supported by an administrative assistant and a senior policy and legal assistant.

284. The Staffing Unit is responsible for all matters relating to recruitment, placement of staff, redeployments, post management, classifications and reclassifications, special post allowances, secondments, loans and transfers, as well as career development. In addition, the Staffing Unit manages the Court's important Internship and Visiting Professionals Programme, funded by donors through extrabudgetary resources. While the Staffing Unit has six established posts (two Professional, four General Service), it has consistently required additional support due to workload demands. The table below indicates how the workload per capita of the section is projected to increase in 2012.

Table 65: Workload indicators for the Staffing Unit

<i>Anticipated workload 2012</i>	<i>Number of staff 2009</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work</i>	<i>Actual workload 2010</i>	<i>Estimated workload 2012</i>
Applications received, evaluated and processed	7	22,152	5	20,650	20,650
Offers prepared	5	626	5	403	403
Vacancies advertised	3	192	2	144	144
Number of interviews	7	1012	4	764	764
Number of tests	7	463	4	485	485

285. The Staff Administration Unit bears responsibility for issuing initial contracts, contract extensions, the administration of salary, benefits and entitlements, attendance record management systems and performance management, as well as conflict resolution and mediation with regard to staff performance. The Unit has responsibility for HR reporting, including the provision of geographical representation information to embassies, as well as to the Committee and the Assembly, development and upgrading of HR-related IT systems, and IT support to staff on HR/IT systems. Despite the fact that the number of staff at the Court has increased steadily over the years, the number of HR assistants serving the staff has remained unchanged, including two GS-OL GTA (since 2004 and 2005 respectively).

Table 66: Workload indicators for the Staff Administration Unit

<i>Anticipated workload 2012</i>	<i>Number of staff 2009</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work.</i>	<i>Actual workload 2010</i>	<i>Estimated workload 2012</i>
Briefing/advising staff members on entitlements and benefits	5	826	5	913	973
Letter of appointments and Contract extensions	6	403	6	453	726
Personnel actions processed	6	2,000	6	2,681	2900
Approved/certified HR-related travel	1	1047	1	1404	1600
Education grants processed	6	181	6	201	220
Memos and other miscellaneous requests	2	120	3	144	424
Implementation of new initiatives/guidelines/policies	x	x	3	5	7
Policy issues and dealing with complex entitlement and benefits issues	2	17	2	30	40
Statistical reports generated	2	180	2	205	220
Requests from client users on HR / IT issues	2	750	2	820	950
Ongoing HR/IT projects	2	6	2	10	13

286. The Health and Welfare Unit is in charge of occupational health and medical issues for headquarters and field staff, which includes pre-employment medicals, pre- and post-travel care for staff travelling on mission, sick leave certification and emergency medical care. It also is responsible for counselling and welfare issues.

287. The Learning and Development Unit manages the central training budget and provides advice on the utilization of the decentralized training allocations. It assists Court management in the preparation of annual learning plans and develops and implements training and learning programmes.

288. It is noted that, with 22 established posts, and a Court headcount of about 1,000 (established posts, general temporary assistance, interns, visiting professionals, consultants and individual contractors), as a service provider the Human Resources Section is understaffed in comparison with similar organizations.

Staff resources

Basic resources

Established posts

289. Recurrent. One P-4 Head of Staffing Unit. The post of Head of Staffing Unit has existed since 2003 (initially as Recruitment Officer). It is one of only two Professional posts in the unit, the other one being at P-2 level. The incumbent of the position is in charge of all recruitment and placement in the Court; in particular, starting in 2011, the Head of Staffing is playing a leading role in developing and implementing measures to promote applications from non- and underrepresented countries and to develop a new approach to reclassifications. In addition to staffing functions, the Head of Staffing acts as Deputy to the Chief of Section, assuming the full Chief of Section functions in the absence of the Chief. The post is a key post, not only in the Human Resources Section but for the Court as a whole. Without this position, the Court would be unable to meet the expectations of States Parties with respect to a transparent recruitment process and improved geographical representation, the requirements of hiring managers in the Court to recruit staff quickly and efficiently, and the needs of staff for career advice and guidance.

Redeployed posts

290. One GS-OL Human Resources Assistant. Redeployed from the Security and Safety Section in Registry, the post will assist the Staff Administration Unit to process benefits and entitlements, streamline administrative processes and ensure compliance with rules and procedures. The net effect on the Registry budget will therefore be zero.

General temporary assistance

291. One GS-OL Staffing Assistant (12 months, continued). Since 2005, the Staffing Unit has had the same number of established posts at General Service level (four); however, it has consistently required additional support in the form of GTA. In 2008 and 2009, the unit required as many as six additional temporary staff to handle the workload. While the number of GTA has been reduced in 2010 and 2011, the core staffing of the unit continues to be in need of additional staff when new and unexpected recruitment requirements arise to support new situations of the Court (e.g. Libya). The GS-OL GTA staffing assistant position will be required throughout 2012 to help the Court cope with the recruitment workload as well as to support the implementation and enhancement of the e-recruitment SAP system.

292. One GS-OL HR/IT Assistant (12 months, continued). The HR/IT Assistant assists the Senior HR/IT Assistant in the development, implementation and improvement of HR/IT projects, such as the SAP HR module, e-recruitment and the Environmental Health System. The incumbent also assists in the production of the increasing number of HR reports (e.g. on consultants) required by the Committee and the Assembly.

293. One GS-OL Human Resources Assistant (12 months, continued) (Staff Administration). Experience over the past few years has determined that this post continues to be required, to cope with the administrative workload resulting from the increased staffing of the Court.

Consultants

294. To assist with HR policy development, including the new approach on reclassifications, and to supply individual contractor services on a short-term basis, as well as for the preparation of a human resources manual as requested by the Committee.³⁶

Non-staff resources**Basic resources***Travel*

295. Recurrent. Required for participation of HR managers in relevant specialized meetings, including the Human Resources Network, the Learning Managers Forum, the Career Development Roundtable and the Association for Human Resources Management in International Organizations.

Training

296. Recurrent. To cover language and substantive technical skills training across the Court.

Contractual Services

297. Outsourcing services for medical requirements, such as vaccinations.

³⁶ Report of the Committee on Budget and Finance on the work of its sixteenth session (ICC-ASP/10/5), para. 65.

Table 67: Sub-programme 3220: Proposed budget for 2012

Human Resources Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available				627.1		627.1	768.1		768.1	141.0	22.5	
General Service staff					760.2	181.8	942.0	851.2	189.0	1,040.2	98.2	10.4	
<i>Subtotal staff</i>	<i>1,405.1</i>	<i>184.7</i>	<i>1,589.8</i>		<i>1,589.8</i>	<i>1,387.3</i>	<i>181.8</i>	<i>1,569.1</i>	<i>1,619.3</i>	<i>189.0</i>	<i>1,808.3</i>	<i>239.2</i>	<i>15.2</i>
General temporary assistance	219.0		219.0	26.4	245.4	201.6		201.6	208.8		208.8	7.2	3.6
Consultants	74.2		74.2		74.2	20.0		20.0	140.0		140.0	120.0	600.0
<i>Subtotal other staff</i>	<i>293.2</i>		<i>293.2</i>	<i>26.4</i>	<i>319.6</i>	<i>221.6</i>		<i>221.6</i>	<i>348.8</i>		<i>348.8</i>	<i>127.2</i>	<i>57.4</i>
Travel	17.2	11.4	28.6		28.6	13.9	15.3	29.2	29.2		29.2		
Contractual services	98.6	3.7	102.3		102.3	11.5		11.5	15.0		15.0	3.5	30.4
Training	135.6	153.8	289.4		289.4	147.6	162.4	310.0	310.0		310.0		
Supplies and materials	51.6	51.6	103.2		103.2	49.4		49.4	60.0		60.0	10.6	21.5
<i>Subtotal non-staff</i>	<i>303.0</i>	<i>220.5</i>	<i>523.5</i>		<i>523.5</i>	<i>222.4</i>	<i>177.7</i>	<i>400.1</i>	<i>414.2</i>		<i>414.2</i>	<i>14.1</i>	<i>3.5</i>
Total	2,001.3	405.2	2,406.5	26.4	2,432.9	1,831.3	359.5	2,190.8	2,382.3	189.0	2,571.3	380.5	17.4
Distributed maintenance	42.3	8.5	50.8		50.8	52.4	12.3	64.7	62.2	12.2	74.4	9.7	14.3

Table 68: Sub-programme 3220: Proposed staffing for 2012

Human Resources Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic					1	2	3	1		7	2	10	12	19
	Situation-related												3	3	3
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>3</i>	<i>1</i>		<i>7</i>	<i>2</i>	<i>13</i>	<i>15</i>	<i>22</i>
New/	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic												1	1	1
	Situation-related														
	<i>Subtotal</i>												<i>1</i>	<i>1</i>	<i>1</i>
Total					1	2	3	1		7	2	14	16	23	

(c) Sub-programme 3240: Budget and Finance Section

298. The main functions of the Budget and Finance Section (BFS) are to coordinate and prepare the budget of the Court and produce financial statements for the Court and for the Trust Fund for Victims. Further to this, BFS also manages the receipt and allotment of assessed contributions and the corresponding funds for the operational and investment needs of the Court. It also effectively monitors and reports on assessed and voluntary contributions in accordance with Assembly and donor requirements on a day-to-day basis, the BFS is responsible for carrying out all payroll and disbursement activities, including payment to vendors for all procurement of goods and services. The Budget and Accounts Unit of the BFS ensures that all such transactions are accounted and reported, while keeping in focus the internal control aspects. Other key functions include treasury management, banking, investment of surplus funds, and maintenance of the Court's accounting and financial reporting within the Court's SAP systems.

299. The section interfaces financial management and financial administrative needs to the Court and its stakeholders and supports all operational areas of the Court aimed at strengthening financial management and ensuring that transactions are in compliance with the Financial Regulations and Rules, the Statute and agreed Budget priorities. The objectives of the Budget and Finance Section are aligned with the results it expects to achieve in 2012 and the resources necessary to achieve those results. On the basis of the figures for previous years and the expected increase in the Court's activities, the BFS anticipates a corresponding increase in workload volumes. Table 2 below shows the workload statistics for previous years, and the estimated figures for 2012.

Table 69: Workload statistics for the Budget and Finance Section*

<i>Anticipated workload 2012</i>	<i>Number of staff 2009</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010</i>	<i>Actual workload 2010</i>	<i>Estimated workload 2012</i>
Prepare and maintain accounts and contributions	4	32,200	4	45,700	48,000
Disbursements processed (invoices, travel claims)	7	26,450	7	35,200	37,000
Payroll transactions	4	15,100	4	15,500	15,500
Payments processing	2	21,600	3	25,200	27,400
CBF reports, CoCo performance and budget preparation and management reports	x	x	3	22	30
Processing of GTA requests, fund transfers and payroll actions.	x	x	3	1096	1500

* Efforts are made to contain and reduce backlog through efficiencies, innovative working methods and process re-engineering, which have helped reduce work volumes in some units over time. For example, combine and bill invoices monthly instead of (bi)weekly or run payments in batches twice a week instead of processing daily transactions, utilizing staff synergies and efficiencies.

Staff resources*Redeployment*

300. One GS-OL Budget Assistant redeployed from the Security and Safety Section in Registry, the post will assist the Budget Unit to process numerous budget reports and management information requests, as well as dealing with the post-management aspect of budget implementation. The net effect on the Registry budget will be zero.

General temporary assistance

301. In order to meet its targets and in light of its increased workload as shown in the table above, the section is also requesting two GS-OL Finance Assistants for 12 months (one continued, one new) to support work volumes and transaction processing in the Disbursements Unit and the Payroll Unit in 2012. The additional workload over the years without any increase in staffing has put a severe strain on the deliverables of the BFS, in spite of the various system and business process enhancements that have been accomplished in prior years. Need is particularly high during accounting close, financial reporting, external auditing, budget preparation and heavy year-end travel claim processing in the Disbursements Unit. An additional GTA post is also justified by the effective growth in the Court's activities in general and the resulting transaction impact. Any new situation in 2012 is not considered in this proposal.

Non-staff resources*Travel*

302. Recurrent. To participate in United Nations Joint Staff Pension Fund meetings and Budget and Finance Network meetings. Travel to the field offices to maintain SAP Payroll/Travel module implementation and training. Provision is also made for travel to new field presence/mission(s) in order to set up the finance and expenditure monitoring tools necessary to ensure internal control and accountability under the Court's Financial Regulations and Rules.

Contractual services

303. Recurrent. Relates to the audit fees for the External Auditor, with provision for the latest increase in fees.

Training

304. Recurrent. To support communications skills and leadership training, and to cover specialist training on Advanced Excel and Access programs.

General operating expenses

305. Recurrent. Banking costs corresponding to the expected volume of transactions.

IPSAS project

306. IPSAS project funding requested for 2012 amounts to €635,200. This is in conformity with the IPSAS project implementation plan for 2012, as recommended by the Committee at its fifteenth session and approved by the Assembly.³⁷ Full particulars of the plan – which currently stands unchanged – are set out in the report³⁸ presented to the Committee at its sixteenth session in April 2011.

307. Recurrent. To cover specialist training relating to IPSAS and skills enhancement for all BFS staff. In order to achieve IPSAS implementation within the planned milestones, staff will be assigned to specific tasks

³⁷ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. I, part II.D, paras. 8-9.

³⁸ Report of the Court on the implementation of International Public Sector Accounting Standards (ICC-ASP/9/3).

Table 70: Sub-programme 3240: Proposed budget for 2012

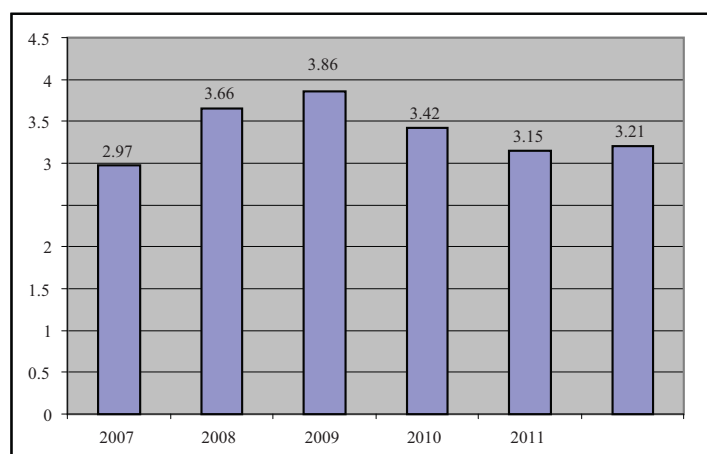
Budget and Finance Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	IPSAS	Total	Amount	%
Professional staff	No breakdown available			707.3		707.3	730.0			730.0	22.7	3.2
General Service staff				727.2	319.5	1,046.7	770.7	331.1		1,101.8	55.1	5.3
<i>Subtotal staff</i>	<i>1,322.0</i>	<i>320.9</i>	<i>1,642.9</i>	<i>1,434.5</i>	<i>319.5</i>	<i>1,754.0</i>	<i>1,500.7</i>	<i>331.1</i>		<i>1,831.8</i>	<i>77.8</i>	<i>4.4</i>
General temporary assistance	64.4		64.4	67.2		67.2	69.6	69.6	351.6	490.8	423.6	630.4
Overtime	9.0		9.0	5.0		5.0	5.0			5.0		
<i>Subtotal non-staff</i>	<i>73.4</i>		<i>73.4</i>	<i>72.2</i>		<i>72.2</i>	<i>74.6</i>	<i>69.6</i>	<i>351.6</i>	<i>495.8</i>	<i>423.6</i>	<i>586.7</i>
Travel	7.2		7.2	23.9		23.9	23.9		15.9	39.8	15.9	66.5
Contractual services	163.9		163.9	64.0		64.0	64.0		237.7	301.7	237.7	371.4
Training	1.0		1.0	12.5		12.5	12.5		30.0	42.5	30.0	240.0
General operating expenses	330.5		330.5	432.6		432.6	100.0			100.0	-332.6	-76.9
<i>Subtotal non-staff</i>	<i>502.6</i>		<i>502.6</i>	<i>533.0</i>		<i>533.0</i>	<i>200.4</i>		<i>283.6</i>	<i>484.0</i>	<i>-49.0</i>	<i>-9.2</i>
Total	1,898.0	320.9	2,218.9	2,039.7	319.5	2,359.2	1,775.7	400.7	635.2	2,811.6	452.4	19.2
Distributed maintenance	42.3	14.1	56.4	52.4	20.6	73.0	59.1	20.3		79.4	6.4	8.8

Table 71: Sub-programme 3240: Proposed staffing for 2012

Budget and Finance Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic					1	1	3	2		7		12	12	19
	Situation-related											1	4	5	5
	<i>Subtotal</i>					<i>1</i>	<i>1</i>	<i>3</i>	<i>2</i>		<i>7</i>	<i>1</i>	<i>16</i>	<i>17</i>	<i>24</i>
New	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic												1	1	1
	Situation-related														
	<i>Subtotal</i>												<i>1</i>	<i>1</i>	<i>1</i>
Total					1	1	3	2		7	1	17	18	25	

(d) Sub-programme 3250: General Services Section**Introduction**

308. The General Services Section (GSS) is headed by the Chief of Section and comprises four units: Facilities Management Unit, Logistics and Transport Unit, Procurement Unit and Travel and Host State Affairs Unit. The actual expenditure of the section in non-staff resources increased significantly from €2.97 million in 2007 to €3.86 million in 2009. This was due to the expenses involved in fitting out and moving to the second interim premises building, Haagse Veste 1, in The Hague. The move was completed in 2009 and non-staff costs dropped to an actual expenditure of €3.42 million in 2010. In 2011, in order to minimize the impact of the increase in the overall budget due to increased trial activities, fewer funds were allotted for buildings repairs, office moves, alterations to premises and furniture replacement. Efforts have been made to keep non-staff costs down in 2012. However, it is anticipated that the termination of the current three-year electricity and gas contracts at the end of 2011 will lead to an increase in energy costs. This, coupled with other inflationary drivers and capital expenditure requirements, has increased the request for non-staff resources to €3.21 million.



309. The Procurement Unit is required to provide timely, fair and fully transparent procurement service with integrity, giving best value for money through effective international competition in the best interests of the Court. The unit also works to ensure that, despite a large increase in volume, all procurement activities are managed appropriately within the Court.

Table 72: Workload indicators for the Procurement Unit

<i>Primary key workload indicator</i>	<i>Anticipated workload 2011</i>	<i>Increase 2011 to 2012</i>	<i>Anticipated workload 2012</i>	<i>Number of buyers 2012</i>	<i>Estimated capacity</i>	<i>Shortfall</i>
Number of PO/contracts	1,700	3%	1,750	6	1,500	-250
	<i>2008</i>	<i>2009</i>	<i>2010</i>	<i>2011 est.</i>	<i>2012 est.*</i>	
Average no. buyers	5	5	5.25	6	6	
Purchase orders						
No. of PO/contracts	1,416	1,492	1,646	1,700	1,750	
Value of PO/contracts (€)	1,460,525	2,064,200	2,589,600	2,700,000	3,000,000	
Requisitions						
No. of requisitions	1,517	1,581	1,776	1,800	1,900	
Procurement Review Committee						
No. of PRC meetings	54	55	54	54	60	

* Does not include increases due to the permanent premises construction or Box IV projects

310. The Travel and Host State Affairs Unit is responsible for travel, relocation, visas and privileges. The unit arranges official travel for staff and non-staff members, the reservation of tickets for staff on assignment, repatriation travel and shipment of personal effects. The unit assists with official visa applications, United Nations laissez-passer applications, Dutch ID cards and assistance in areas such as driving licences, tax exemptions, motor vehicle applications, reimbursement of taxes etc.

Table 73: Workload indicators for the Travel and Host State Affairs Unit

<i>Overview of services</i>	<i>Capacity per 1 FTE</i>	<i>2010 Workload actual</i>	<i>2010 Number of FTE actual</i>	<i>2010 Average workload per FTE actual</i>	<i>2010 Backlog</i>	<i>2011 Anticipated workload</i>	<i>2011 Number of FTE budgeted</i>	<i>2012 Anticipated workload and FTEs required*</i>
Travel								
Trips processed in SAP	6,600	3,186	0.4	7,965	1,365	3,298	0.4	3,427 0.5
Travel arrangements	990	3,055	2.5	1,222	232	3,162	2.5	3,285 3.3
Host State Affairs								
Various applications	1,105	2,561	1.9	1,281	176	2,561	1.9	2,561 1.9
Relocation								
Household relocations	618	91	0.15	607		91	0.15	91 0.15
Management	1		1	1			1.0	1.0
			6.0				6.0	6.9*

* Implies a shortfall of 0.9 of an FTE in 2012 resulting in overtime (unpaid) and backlog

311. The Logistics and Transport Unit (LTU) is responsible for provision of services relating to receipt and inspection of goods, logistics, including driver services, freight forwarding, issue of office supplies, asset management, warehousing, distribution of goods, external printing, fleet maintenance, insurance administration (non-HR), external archives, couriers, mail and digital mail forwarding, telefax and diplomatic pouch. Trial-hearings involve a particularly heavy burden on drivers. The unit's practice is to deal with this synergetically, in-house, by seconding trained staff from other logistics services and from correspondence services. When there are gaps in the trial-hearings calendar, backlogs in these services can be made up and normal service resumed. There is also an inevitable need for overtime, and it is sometimes necessary to supplement in-house services with local contractual taxi services.

312. For 2012, one P-2 position has been redeployed within Major Programme III from GSS to the Public Information and Documentation Section. The unit now consists of one P-3 Unit Head and 13 GS-OL established posts.

Table 74: Workload indicators for the Logistics and Transport Unit

<i>Tasks</i>	<i>Unit</i>	<i>2012 assumed</i>	<i>Units per FTE</i>	<i>FTE required</i>	<i>FTE proposed*</i>
Receiving and inspection	Staff members	780	440	1.8	1.8
Asset management	Assets	7,000	7,000	1.0	1.0
Storage and distribution	Staff members	780	800	1.0	1.0
Office supplies and equipment	Staff members	780	800	1.0	1.0
Freight forwarding	Situations	5	12.5	0.4	0.4
Printing	Staff members	780	1,000	0.8	0.8
Provision of local transportation	Staff members	780	160	4.9	5.0
VWU-related transport	Trials	2	1	1.0	0.0
Receiving, sorting and distribution of correspondence/items	Staff members	780	1,140	0.7	0.7
Shipping and franking of outgoing correspondence/items	Situations	5	12.5	0.4	0.4

<i>Tasks</i>	<i>Unit</i>	<i>2012 assumed</i>	<i>Units per FTE</i>	<i>FTE required</i>	<i>FTE proposed*</i>
Express courier / express freight processing	Situations	5	5.5	0.9	0.9
Management	Unit staff	1	1	1.0	1.0
Total				14.9	14

* 14 staff are proposed (no change from 2011). Extra workload will be absorbed by reduced services and continued synergies.

313. The Facilities Management Unit (FMU) is responsible for the management and maintenance of the interim premises. This includes utilities, maintenance of premises, minor adjustments and repairs to premises and the purchase of office furniture. The unit also plays an important role in the preparation of the Permanent Premises Project. The Facilities Management Unit has a shortfall of staff resources, which is currently filled through outsourcing to security maintenance companies.

Table 75: Workload indicators for the Facilities Management Unit

	<i>Units per FTE</i>	<i>2010 actual</i>	<i>FTE 2010 actual</i>	<i>FTE 2010 required</i>	<i>2012 est.</i>	<i>FTE 2012</i>	<i>Shortfall</i>
Help-desk actions	2,200	7,030	3	3	7,000	3	400
Number of workstations	500	1,110	2	2	1,110	2	110
Gross # square metres, Court premises The Hague	5,000	36,000	6	7	36,000	6	6,000
Management and supervision	1	2	2	2	2	2	0
Facilities Management Unit total			13	14		14	

Staff resources

Basic resources

314. As stated above, one P-2 position has been redeployed from the Logistics and Transport Unit to the Public Information and Documentation Section, in order to fund the position of the AV Producer.

315. One GS-OL Electrical Technician (fixed-term contract) has been budgeted previously under situation-related resources. However, experience has shown that the maintenance of premises at The Hague is constant and not related to situations or cases. It is therefore requested that this post be moved to the basic resources budget.

General temporary assistance

316. One GS-OL Handyman on a GTA contract has been budgeted previously under situation-related. As this position operates solely at The Hague premises of the Court and is still required, it is similarly proposed that this GTA post be budgeted as a basic resource in 2012.

Overtime

317. Recurrent. Efforts are continuing to keep overtime within limits through stringent monitoring and synergies, although, as explained above, trial activities inevitably involve overtime for drivers in the Logistics and Transport Unit. The Facilities and Management Unit also has a regular need for overtime, in order to answer call-outs. It is anticipated, on the basis of past experience, that the need for overtime will increase slightly in 2012, particularly in light of the anticipated needs of the Victims and Witnesses Unit for victims and witnesses attending trials.

Non-staff resources

Basic resources

318. Efforts have been made to keep non-staff costs down in 2012 by absorbing certain maintenance activities and capital investment within the 2011 budget through revision and reprioritizing. However, as stated above in the introduction, termination of the current three-year electricity and gas contracts at the end of 2011 is likely to lead to an increase in energy costs. This, coupled with other inflationary drivers and certain unavoidable capital expenditure requirements, has resulted in an increase in the request for non-staff resources budget of the section.

Travel

319. Recurrent. To attend meetings of the Inter-Agency Network of Facility Managers and of the Logistics Network.

Contractual services

320. Recurrent. Costs have continued to be reduced for the most essential outsourced services, such as fees for vendor databases and vendor checking, outsourced printing services, archiving services, dry cleaning and taxi/limousine services, and subscriptions for trade publications, manuals and reservation system.

Training

321. Recurrent. For training required for the various units within the General Services Section.

General operating expenses

322. Recurrent. The main issues contributing to the requested provision are set out below.

323. Maintenance of premises includes regular office cleaning, fire alarm testing and the inspection and repair of fire separators and maintenance of installations such as speed gates, uninterrupted power supply and security systems at the Arc and HV1 buildings.

324. Utility costs for the Arc are based on current actual consumption for the Arc, Saturnusstraat and HV1.

325. Maintenance of equipment including furniture includes the maintenance of vehicles, kitchenettes and non-IT office equipment, and miscellaneous repairs. It also includes maintenance of the security systems. A further reduction has been achieved, in part through the in-house use of a GS-OL Handyman.

326. Other miscellaneous operating costs include insurance, freight, for example to and from field locations, and cleaning of courtrooms and associated areas. Estimates for the 2012 budget have slightly decreased when compared to the 2011 approved budget level.

Supplies and materials

327. Recurrent. For office supplies for the Court at The Hague, courtroom robes, work clothing and drivers' uniforms, consumables for vehicles (including fuel, lubricants and tyres) and other supplies.

Equipment including furniture

328. This item includes vehicles, logistics equipment and office equipment.

Situation-related resources

Travel

329. Recurrent. For asset inventory in field presences.

General operating expenses

330. Recurrent. Costs include cleaning requirements as a result of the full-year usage of the courtrooms and associated areas, and freight forwarding to and from the field presences.

Table 76: Sub-programme 3250: Proposed budget for 2012

General Services Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	related	Total	Cont. Fund	Total incl.CF	Situation- Basic	related	Total	Situation- Basic	related	Total	Amount	%
Professional staff	No breakdown available				604.3		604.3	547.6		547.6	-56.7	-9.4	
General Service staff					1,928.1	303.0	2,231.1	2,001.3	315.0	2,316.3	85.2	3.8	
<i>Subtotal staff</i>	<i>2,216.2</i>	<i>277.5</i>	<i>2,493.7</i>		<i>2,493.7</i>	<i>2,532.4</i>	<i>303.0</i>	<i>2,835.4</i>	<i>2,548.9</i>	<i>315.0</i>	<i>2,863.9</i>	<i>28.5</i>	<i>1.0</i>
General temporary assistance	54.5		54.5	26.8	81.3	67.2		67.2	69.6		69.6	2.4	3.6
Overtime	81.9		81.9	0.5	82.4	76.5		76.5	85.0		85.0	8.5	11.1
<i>Subtotal other staff</i>	<i>136.4</i>		<i>136.4</i>	<i>27.3</i>	<i>163.7</i>	<i>143.7</i>		<i>143.7</i>	<i>154.6</i>		<i>154.6</i>	<i>10.9</i>	<i>7.6</i>
Travel	10.4	3.8	14.2		14.2	15.2		15.2	7.6	7.7	15.3	0.1	0.7
Contractual services	99.3		99.3		99.3	41.0		41.0	40.8		40.8	-0.2	-0.5
Training	22.2		22.2		22.2	24.0		24.0	29.9		29.9	5.9	24.6
General operating expenses	2,714.5	100.0	2,814.5		2,814.5	2,554.2	101.0	2,655.2	2,545.4	94.0	2,639.4	-15.8	-0.6
Supplies and materials	211.3		211.3		211.3	276.4		276.4	276.4		276.4		
Equipment including furniture	126.7	128.7	255.4		255.4	139.6		139.6	205.0		205.0	65.4	46.8
<i>Subtotal non-staff</i>	<i>3,184.4</i>	<i>232.5</i>	<i>3,416.9</i>		<i>3,416.9</i>	<i>3,050.4</i>	<i>101.0</i>	<i>3,151.4</i>	<i>3,105.1</i>	<i>101.7</i>	<i>3,206.8</i>	<i>55.4</i>	<i>1.8</i>
Total	5,537.0	510.0	6,047.0	27.3	6,074.3	5,726.5	404.0	6,130.5	5,808.6	416.7	6,225.3	94.8	1.5
Distributed maintenance	82.4	14.1	96.5		96.5	102.1	20.6	122.7	112.0	20.3	132.3	9.6	7.9

Table 77: Sub-programme 3250: Proposed staffing for 2012

General Services Section											Total P- staff and above	Total GS- staff	Total staff		
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL			
Existing	Basic				1	1	2	2			6	3	28	31	37
	Situation-related												5	5	5
	<i>Subtotal</i>				<i>1</i>	<i>1</i>	<i>2</i>	<i>2</i>			<i>6</i>	<i>3</i>	<i>33</i>	<i>36</i>	<i>42</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic							-1			-1				-1
	Situation-related														
	<i>Subtotal</i>							<i>-1</i>			<i>-1</i>				<i>-1</i>
Total					1	1	2	1		5	3	33	36	41	

(e) Sub-programme 3260: Information and Communication Technologies Section

331. The Information and Communication Technologies Section (ICTS) has maximized its efficiencies, having absorbed over 200 new users over the last two years without significantly increasing its resources. It has prioritized and reassigned posts and functions in order to meet the demands of the organization. The team of 54 staff support over 1,200 users in 10 locations. These include the two main headquarters buildings, the Detention Centre, the Court warehouse and training centres, five field presences in the areas of operations, and the New York Liaison Office.

332. ICT services have undergone a number of changes in the past 18 months. Major emphasis has been placed on continuing with the strategic plan and courtroom automation, and providing adequate support to all legal teams. The e-Court team is responsible for managing the technology for hearings, ensuring that the various legal participants have secure access to all hearing-related information, and providing support to case participants in managing their case-related information.

333. The major objective for 2012 is the implementation of a new Storage Area Network (SAN) to replace the current eight-year-old system and reduce the risk of data loss and system downtime. With the new Storage Area network, the sub-programme will be in a better position to move forward with the ICT Disaster Recovery Plan. In addition, other projects include the first phase of a data archive, an integrated information system to link information from different systems together for better decision-making and the replacement of core equipment in the courtroom. The key performance indicators are:

- (a) Maintain an uptime of 99.2 per cent for all information systems;
- (b) Implement the ICT Disaster Recovery Plan (SAN-dependent);
- (c) Protect the ICT network from external threats;
- (d) Create an integration platform for all case-related data sources to support e-Discovery; and
- (e) Identify services that can be outsourced in order to focus on core business of supporting investigations and trials.

Staff resources**Situation-related resources***General temporary assistance*

334. One GS-OL Service Desk Technician (12 months continued). The table below includes the requested GTA and one GTA paid by the Project Support Funds. In 2012 the Service Desk has 0.5 FTE less than 2011. The ICT section will manage the decline in GTA staff by a reduction in service levels while making every effort to meet the requirements.

Table 78: Workload indicators

<i>Anticipated workload 2010</i>	<i>Number of FTE 2011</i>	<i>Anticipated workload 2012</i>	<i>Number of FTE 2012</i>	<i>Estimated capacity 2012</i>	<i>Shortfall with additional resources</i>	<i>Shortfall without additional resources</i>
Service Desk tickets = 10,780	6.0	18,620	5.5	13,200	n/a	5,420

335. One GS-OL e-Court Technical Assistant (12 months continued). The table below includes the existing GTA. The number of external legal teams will increase significantly in 2012. The e-Court team will absorb the new intake through a reduction in service levels.

Table 79: Workload indicators

<i>Anticipated workload 2011</i>	<i>Number of FTE 2011</i>	<i>Anticipated workload 2012</i>	<i>Number of FTE 2012</i>	<i>Estimated capacity 2012</i>	<i>Shortfall with additional resources</i>	<i>Shortfall without additional resources</i>
Support hearings and e-Court participants = 3,210	3	5,466	3	3,600	1,866	3,199

Temporary assistance for meetings

336. All Court meetings and media events, video link testimonies, etc. that need translation as well as audio-visual facilities, require the support of the centralized services of the ICT section. Many of these events need extra technical services that cannot be met out of existing resources.

Overtime

337. The ICT section performs a major portion of changes to technology systems outside working hours, namely in the evenings and at weekends. This enables a higher availability of business systems during working hours. The ICT staff in charge of technical support for hearings start one hour before the morning hearings to prepare for the sessions and require one hour at the end of each day to manage data for users resulting from the day's hearings. There are not enough technical staff in the smaller teams to create staff rotations and time off; therefore overtime is used as compensation in all the areas mentioned. In areas where sufficient staff are available, then CTO is used as compensation.

Non-staff resources*Travel*

338. To cover requests for video links in the field and for ICT field technical staff to update their knowledge on HQ technological changes in order to enhance their effectiveness in the field. It also covers essential meetings on information security management, how to deal with cyber attacks and United Nations support services.

Contractual services

339. Recurrent. Major costs carriers in these areas are Internet Hosting, outsourcing contracts to companies to support major projects where ICT staff lack expertise. Examples are courtroom technology functional changes, e-Court application support and technical training for ICT staff. Technical training is important to ensure that investment in ICT technologies is maximized.

General operating expenses

340. Recurrent. These costs include annual use of application software licences and databases for the electronic administration systems of the Court, comprising e-Court systems, SAP, TRIM, intranets and the office automation suite including e-mail. It also covers local telephone and mobile communications costs, secure connections between the various locations in The Hague and the maintenance of the Court's Global Communications and Data Network (GCDN). The Court also has an extensive satellite network between the field offices and headquarters locations.

Supplies and materials

341. The supplies and materials are for the photocopier and high-speed printing services, peripheral devices, spare parts, network cards, data cables, portable data devices, etc.

Equipment including furniture

342. It should be noted that, while the furniture and equipment budget is higher than usual, €1.0 million of this is for capital investments, with €0.4 million being for the normal annual investments to support the business functions not related to capital investment requests. The request for new equipment is thus in fact €146,000 less than that requested in the 2011 budget.

Capital investments

343. The increased costs in furniture and equipment reflect the need to replace equipment that is still being used but is no longer supported by the industry. The project for the SAN (€0.4 million) will significantly reduce the current risk of having to use obsolete equipment to run the information systems of the Court. The ICT and Procurement Sections have done

a significant amount of work to identify the least-cost, technically acceptable solution on the market. The other capital requests are for phase 1 of a long-awaited enterprise data archive (€150,000) to ensure the legacy data is maintained in the most cost-efficient and effective manner. A data archive will allow the court to store legacy data more cheaply and comply with existing regulations on data retention. €50,000 is requested for a fixed video-conference solution in Kinshasa and Bangui. €200,000 is requested to implement an integration system that will simplify the organization's data management system. €200,000 is requested to upgrade the Court's analogue courtroom components to a fully digital environment in order to reduce the amount of work it takes to manage and retrieve data. This is based on the report of an independent e-Court Audit that was undertaken in 2010.

344. In 2013 these requests will not be repeated, except for the ongoing phases of the data archive. General operating expenses may increase slightly in order to maintain the investments made.

Table 80: Sub-programme 3260: Proposed budget for 2012

Information and Communication Technologies Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	related	Total	Cont. Fund	Total incl.CF	Situation- Basic	related	Total	Situation- Basic	related	Total	Amount	%
Professional staff	No breakdown available				1,062.1	522.0	1,584.1	1,094.8	540.8	1,635.6	51.5	3.3	
General Service staff					1,228.5	951.4	2,179.9	1,276.1	994.0	2,270.1	90.2	4.1	
<i>Subtotal staff</i>	<i>2,504.4</i>	<i>1,524.7</i>	<i>4,029.1</i>		<i>4,029.1</i>	<i>2,290.6</i>	<i>1,473.4</i>	<i>3,764.0</i>	<i>2,370.9</i>	<i>1,534.8</i>	<i>3,905.7</i>	<i>141.7</i>	<i>3.8</i>
General temporary assistance	155.9	29.5	185.4	92.4	277.8		168.0	168.0		139.2	139.2	-28.8	-17.1
Temporary assistance for meetings							20.0	20.0	20.0		20.0		
Overtime	37.1		37.1		37.1		30.0	30.0	30.0		30.0		
<i>Subtotal other staff</i>	<i>193.0</i>	<i>29.5</i>	<i>222.5</i>	<i>92.4</i>	<i>314.9</i>	<i>50.0</i>	<i>168.0</i>	<i>218.0</i>	<i>50.0</i>	<i>139.2</i>	<i>189.2</i>	<i>-28.8</i>	<i>-13.2</i>
Travel	25.8	65.7	91.5		91.5	24.6	55.7	80.3	24.6	55.6	80.2		
Contractual services	433.3	44.3	477.6		477.6	269.0	60.0	329.0	269.0	60.0	329.0		
Training	98.9	10.6	109.5		109.5	40.9		40.9	40.9		40.9		
General operating expenses	1,362.5	2,626.4	3,988.9		3,988.9	1,528.4	2,826.5	4,354.9	1,680.4	2,776.6	4,457.0	102.1	2.3
Supplies and materials	94.3	9.7	104.0		104.0	199.5	9.5	209.0	199.5	9.5	209.0		
Equipment including furniture	216.0	319.1	535.1	335.5	870.6	365.4	181.1	546.5	1,400.0		1,400.0	853.5	156.2
<i>Subtotal non-staff</i>	<i>2,230.8</i>	<i>3,075.8</i>	<i>5,306.6</i>	<i>335.5</i>	<i>5,642.1</i>	<i>2,427.8</i>	<i>3,132.8</i>	<i>5,560.6</i>	<i>3,614.4</i>	<i>2,901.7</i>	<i>6,516.1</i>	<i>955.6</i>	<i>17.2</i>
Total	4,928.2	4,630.0	9,558.2	427.9	9,986.1	4,768.4	4,774.2	9,542.6	6,035.3	4,575.7	10,611.0	1,068.5	11.2
Distributed maintenance	-705.7	-1,121.0	-1,826.6		-1,826.6	-882.8	-1,611.5	-2,494.3	-998.9	-1,580.9	-2,579.9	-85.6	3.4

Table 81: Sub-programme 3260: Proposed staffing for 2012

Information and Communication Technologies Section	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing					1	1	5	4		11	1	19	20	31
							2	3				5	18	23
					<i>1</i>	<i>3</i>	<i>8</i>	<i>4</i>		<i>16</i>	<i>1</i>	<i>37</i>	<i>38</i>	<i>54</i>
New/ Converted														
Redeployed/ Reclassified/ Returned														
Total					1	3	8	4		16	1	37	38	54

3. Programme 3300: Division of Court Services

Introduction

345. In 2012, the Division of Court Services (DCS) will continue to focus its efforts on supporting the ongoing trials, in pursuance of its core business of enabling the expeditious and swift running of the trial proceedings before the Court.

346. The Division of Court Services will provide the necessary specialized services, such as high quality e-Court provisions, in particular live transcripts in both working languages, an essential contribution to the conduct of fair, effective and expeditious trials. It will continue to support interpretation in French and English, as well as into the languages spoken by witnesses and persons detained or summoned to appear. It will also be responsible for the well-being of detained persons during the critical period of trial.

347. In order to be able to provide effective and efficient support for the proceedings, the division groups together all the active components for sound and comprehensive judicial assistance: the Court Management Section, the Detention Section, the Court Interpretation and Translation Section, the Victims and Witnesses Unit and the Victims Participation and Reparations Section.

348. As the Court is approaching the reparations phase in one of the cases before the Court, the Division of Court Services in general, and the Victims Participation and Reparations Section in particular, while continuing with the implementation of victims' strategy and the smooth processing of all applications for participation, will devote specific attention to this new aspect of the Court's proceedings.

349. The division fully understands the need for savings in the Court's budget. It is important, however, to be aware that certain costs, such as but not limited to the provision of interpretation capacity in "unusual" languages, will still need to be incurred in order to enable the expeditious conduct of Court proceedings.

350. Additionally, continued attention will be given to supporting witnesses testifying during the trials and to post-testimony follow-up.

351. Finally, the division will continue to contribute to the Court's objective of conducting investigations, in cooperation with the Office of the Prosecutor, assisting in the coordination of arrest operations for persons named in arrest warrants, or the appearance of persons subject to summonses to appear, by organizing operational and judicial support with States Parties, States not party to the Rome Statute and relevant institutional partners, both in the field and in The Hague.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)³⁹
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)⁴⁰
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)
4. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)

³⁹ Subject to final confirmation of charges in the Prosecutor v. Jean-Pierre Bemba case.

⁴⁰ The Court's operations carry an inherent risk and are conducted under certain constraints, not least the general security situation in its area of operations and the fact that it has no police or army of its own. A degree of risk will therefore always remain. However, the Court can put in place a system to minimize and mitigate security risks to an acceptable degree through a variety of measures, striving for security of all participants consistent with the Rome Statute.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Support to Court sessions in accordance with the Regulations of the Court and the Regulations of the Registry. Support of 200 court days for 2012.	- Number of trial days successfully completed.	100%
- Provision of efficient and effective services to requesting parties and to Chambers.	- Number of requests responded to within a week.	90%
Objective 2		
- A well-ordered detention community where the detained persons collectively feel safe from harm and are indeed safe.	- Number of incidents involving serious injuries due to negligence of managements.	0%
- Efficient and effective support, protection and operational/logistical services to victims, witnesses and others at risk, regardless of location, subject to assessment.	- Number of successfully protected witnesses and victims.	100%
Objective 3		
- Terminological accuracy and consistency in translated texts and interpreted events in all used languages.	- Number of searches performed in language tools per month by active users.	200 (minimum)
- Comprehensive and resource-effective use of all translation services.	- Number of duplicated requests in the workflow.	0%
- Adequate number of staff and freelance interpreters and field interpreters available for assignments.	- Number of fulfilled interpretation and field interpretation requests.	90%
- High standards regarding conditions of detention and management of the detention centre.	- Number of positive reviews.	100%
Objective 4		
- Successful arrest and transfer operations.	- Number of actions undertaken by States as a result of a Court request.	80%
- Effective systems for processing applications from victims.	- Number of applications received from victims registered and acknowledged within seven days of receipt.	95%
	- Number of applications from victims entered into the database within 30 days of receipt.	95%

Table 82: Programme 3300: Proposed budget for 2012

Division of Court Services	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					3,726.2	4,675.9	8,402.1	3,893.9	5,023.4	8,917.3	515.2	6.1
General Service staff						606.0	2,269.4	2,875.4	630.0	2,359.8	2,989.8	114.6	4.0
<i>Subtotal staff</i>	<i>3,898.1</i>	<i>7,105.4</i>	<i>11,003.5</i>		<i>11,003.5</i>	<i>4,332.2</i>	<i>6,945.3</i>	<i>11,277.5</i>	<i>4,523.9</i>	<i>7,383.2</i>	<i>11,907.1</i>	<i>629.8</i>	<i>5.6</i>
General temporary assistance	8.8	1,054.7	1,063.5	1,704.1	2,767.6		1,697.9	1,697.9		1,784.9	1,784.9	87.0	5.1
Temporary assistance for meetings	285.4	72.9	358.3	1,158.1	1,516.4	415.7	292.5	708.2	12.0	429.9	441.9	-266.3	-37.6
Overtime	0.5	34.8	35.3	1.3	36.6		68.9	68.9		63.2	63.2	-5.7	-8.3
Consultants	7.0	87.0	94.0	232.4	326.4	32.9	189.7	222.6	43.9	160.4	204.3	-18.3	-8.2
<i>Subtotal other staff</i>	<i>301.7</i>	<i>1,249.4</i>	<i>1,551.1</i>	<i>3,095.9</i>	<i>4,647.0</i>	<i>448.6</i>	<i>2,249.0</i>	<i>2,697.6</i>	<i>55.9</i>	<i>2,438.4</i>	<i>2,494.3</i>	<i>-203.3</i>	<i>-7.5</i>
Travel	32.9	981.7	1,014.6	73.6	1,088.2	57.8	943.2	1,001.0	89.6	990.9	1,080.5	79.4	7.9
Contractual services	270.6	170.4	441.0	250.6	691.6	360.9	303.3	664.2	113.4	286.3	399.7	-264.5	-39.8
Training	27.5	69.6	97.1		97.1	19.9	104.1	124.0	34.9	79.8	114.7	-9.3	-7.5
General operating expenses	954.9	1,819.7	2,774.6	169.6	2,944.2	1,505.6	2,262.9	3,768.5	1,481.2	2,462.3	3,943.5	175.0	4.6
Supplies and materials	18.3	55.5	73.8		73.8	28.9	110.0	138.9	30.0	187.0	217.0	78.1	56.2
Equipment including furniture	10.8	28.3	39.1		39.1	31.5	28.0	59.5				-59.5	-100.0
<i>Subtotal non-staff</i>	<i>1,315.0</i>	<i>3,125.2</i>	<i>4,440.2</i>	<i>493.8</i>	<i>4,934.0</i>	<i>2,004.6</i>	<i>3,751.5</i>	<i>5,756.1</i>	<i>1,749.1</i>	<i>4,006.3</i>	<i>5,755.4</i>	<i>-0.8</i>	<i>0.0</i>
Total	5,514.8	11,480.0	16,994.8	3,589.7	20,584.5	6,785.4	12,945.8	19,731.2	6,328.9	13,827.9	20,156.8	425.7	2.2
Distributed maintenance	93.5	307.8	401.3		401.3	127.0	427.5	554.5	143.1	422.7	565.8	11.3	2.0

Table 83: Programme 3300: Proposed staffing for 2012

Division of Court Services		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic				1	3	11	12	7	2	36		10	10	46
	Situation-related						5	20	25	1	51	3	50	53	104
	<i>Subtotal</i>				<i>1</i>	<i>3</i>	<i>16</i>	<i>32</i>	<i>32</i>	<i>3</i>	<i>87</i>	<i>3</i>	<i>60</i>	<i>63</i>	<i>150</i>
New/	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related											1	-1		
	<i>Subtotal</i>											<i>1</i>	<i>-1</i>		
Total				1	3	16	32	32	3	87	4	59	63	150	

(a) Sub-programme 3310: Office of the Director DCS

352. The main function of the Office of the Director of Court Services is to ensure operational support to the judicial proceedings. In doing so, the Office oversees and coordinates the functioning of the different sections within the division in order to coordinate, evaluate and assess information on a wide array of matters, from translation and interpretation to the conditions of detention, respect for the rights of the detainees and the protection of victims and witnesses, and to take operational decisions and filter policy questions to the Registrar for her decision-making. The Office also coordinates and facilitates the implementation of decisions or orders issued by Chambers on matters such as transfer to the Court of suspects or other persons summoned to appear.

Staff resources**Situation-related resources***General temporary assistance*

One P-2 Associate Legal Officer for 12 months

353. Recurrent. In view of the further anticipated increase in workload as a result of the increased number of filings expected in 2012 and in light of the expanding number of situations and cases, this post is required in order to review all filings, to contribute to overall improvement in the quality and coherence of filings with the Registry and to cope with the workload within the Office of the Director DCS.

One GS-OL Administrative Assistant for six months

354. Recurrent. The post continues to be required in order to cope with the workload within the Office of the Director, bearing in mind the level of the Court's judicial activity at different stages of the proceedings.

Consultants

355. Recurrent. Consultancy is required to provide the Office of the Director DCS and, to a larger extent, the Division of Court Services, with the necessary specialized knowledge for executing its mandate, for example in relation to the List of Experts, taking into account the characteristics and specifics of every situation currently before the Court.

Non-staff resources**Basic resources***Travel*

356. Recurrent. Regular meetings with representatives of various other international organizations and NGOs to keep abreast of current developments are necessary for the proper management of the division and require senior-level presence.

Contractual services and training

357. Recurrent. Certain areas, such as freezing of assets, require specialized training, over and above the various skills necessary to execute the range of tasks performed by the Office of the Director DCS. This item also includes fees for expert witnesses appearing before the Court at the request of the judges.

Situation-related resources

Travel

358. Recurrent. The increasing complexity of notification operations requires senior-level management and presence. Travel is also required to meet local authorities, to prepare operations with regard to arrest and voluntary appearance, to organize notification procedures, to follow up on projects being implemented in the field by the different sections of the Division of Court Services, such as support networks for victims and witnesses, and to establish means of communication for contact between detainees and their family members. Travel resources are also necessary to execute the proper mandate of the Registry such as the protection of victims in accordance with article 43(6) of the Rome Statute.

General operating expenses

359. Recurrent. For the rental of premises for activities in the field related to arrest and notification operations and support of Chambers' witnesses.

Table 84: Sub-programme 3310: Proposed budget for 2012

Office of the Director DCS	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation-related			Situation-related			Situation-related			Amount	%
	Basic		Total	Basic		Total	Basic		Total		
Professional staff	No breakdown available			156.1	274.5	430.6	167.1	282.2	449.3	18.7	4.3
General Service staff				60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	264.9	232.4	497.3	216.7	274.5	491.2	230.1	282.2	512.3	21.1	4.3
General temporary assistance		29.6	29.6		122.4	122.4		127.2	127.2	4.8	3.9
Consultants		32.8	32.8	13.9	68.0	81.9	13.9	68.0	81.9		
<i>Subtotal other staff</i>		62.4	62.4	13.9	190.4	204.3	13.9	195.2	209.1	4.8	2.3
Travel	10.0	45.9	55.9	6.3	44.6	50.9	13.7	37.2	50.9	-0.1	-0.1
Contractual services	9.8		9.8								
Training	22.8		22.8	18.4		18.4	18.4		18.4		
General operating expenses		0.2	0.2		5.0	5.0		5.0	5.0		
<i>Subtotal non-staff</i>	42.6	46.1	88.7	24.7	49.6	74.3	32.1	42.2	74.3	0.0	-0.1
Total	307.5	340.9	648.4	255.3	514.5	769.8	276.1	519.6	795.7	25.9	3.4
Distributed maintenance	4.5	8.5	12.9	5.5	12.3	17.8	6.2	12.2	18.4	0.6	3.5

Table 85: Sub-programme 3310: Proposed staffing for 2012

Office of the Director DCS											Total P-staff and above	Total GS-staff	Total staff	
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL		
Existing	Basic			1							1	1	1	2
	Situation-related						2	1			3			3
	<i>Subtotal</i>			1			2	1			4	1	1	5
New/ Converted	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Reclassified/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total			1			2	1			4	1	1	5	

(b) Sub-programme 3320: Court Management Section

360. The Court Management Section (CMS) has a twofold mandate: to keep a record of the Court's proceedings and to ensure that hearings run smoothly. Keeping an accurate judicial record requires the coordinated interaction of a number of professional disciplines. The tasks of the staff of the section are constantly evolving due to the relative immaturity of the Court's processes and its unique procedural framework, as well as the need to evolve in line with technological developments in order to optimize the section's processes. A good example of evolving tasks is the duty, assigned to CMS in early 2011, of processing electronic evidence seized by the Registry.

361. The section's responsibility for the conduct of hearings includes ensuring that the courtroom proceedings are scheduled appropriately and producing both an audio-visual and a written record of what is said during the hearings in real time, in both working languages of the Court. It also serves as the communication hub for parties, participants, Chambers and relevant Registry sections, such as language services, detention and witness protection, in all matters relating to the organization of hearings. The section's courtroom officers, court clerks and audio-visual specialists are all involved in these tasks.

362. The overall budget for the section has been reduced compared to the 2011 budget. Savings are largely due to the absence of simultaneous hearings in the 2012 budget assumptions, since the presence or absence of simultaneous hearings impacts directly on the support services that CMS is required to provide. Nonetheless, even in the absence of simultaneous hearings, in order to perform its mandate effectively CMS needs to remain able to react rapidly to requests, in particular those from Chambers.

Table 86: Sub-programme 3310: Approved staffing for 2011

<i>Anticipated workload 2011</i>	<i>Number of FTE 2011</i>	<i>Anticipated workload 2012</i>	<i>Number of FTE 2012</i>	<i>Estimated capacity 2012</i>	<i>Shortfall with additional resources</i>	<i>Shortfall without additional resources</i>
Number of court days= 200 ⁴¹	26	215	26	215	0 ⁴²	0

Staff resources**Basic resources**

363. The basic resources of the Court Management Section are mainly devoted to dealing with the management and functional administration of the e-Court system as well as overseeing and managing the operations of the section in all aspects.

Consultants

364. An increased level of specialized consultancy will be required in the area of judicial records management, with the new document management system being deployed in the last quarter of 2011. The judicial records management processes have not changed since 2008 and have been created around the use of one particular electronic system. The introduction of a new electronic system and developments in records management processes worldwide, particularly in the field of e-filing, may require a re-engineering of the Court's processes.

Situation-related resources*General temporary assistance*

365. Recurrent. Two P-2 GTA Court Reporters, for 12 months each, one for French and one for English.

⁴¹ For consistency purposes, in order to allow comparison with the 2012 proposed budget assumptions, the 2011 number of court days has been proportionally reduced to 200. Due to simultaneous trials, the actual number of court days in the 2011 approved budget was 266. The additional 66 days would correspond to an additional 9 FTEs in the second column of the table.

⁴² The slight gap of 15 court days between the anticipated workload and the estimated capacity for 2012 can be covered within the proposed budget.

366. One GS-OL GTA Court Records Assistant for six months. Continued from the 2011 Contingency Fund request, this post is related to the increase in the number of filings submitted for registration in the case records mainly due to the new cases in the situation in Kenya. It is anticipated that around 4,000 additional documents could be registered in the two Kenya cases alone. In 2008, the year leading to the confirmation of charges in the *Katanga/Ngudjolo* case, around 2,000 documents (including annexes) were registered. In 2010 around 9,000 documents were registered. The current workload indicator is 2,640 documents per year per FTE. Currently the records office has five FTE. One additional FTE would be required to cover the anticipated filings in the Kenya case for the first half of 2012, even in the event that the charges are not confirmed (the six suspects have appeal submissions pending).

367. One GS-OL Data Entry Clerk for six months. Required for continued processing of electronic evidence. Following the practice in the *Bemba* and *Mbarushimana* cases, and considering that in the Kenya cases a total of six suspects of high profile are involved, the Registry may be required to process seized electronic evidence. The section has to cater for capacity to process evidence in a timely manner so as not to delay the proceedings.

Overtime

368. Recurrent. Occasional extended sitting hours of the Court, as well as operations relating to remote witness testimony are expected to require courtroom staff to work overtime. In addition, overtime will be required for urgent filing of records after office hours. Overtime is re-distributed among the three trials proportionately to the number of court days per trial. The amount has been reduced due to absence of simultaneous hearings. Overtime is also required when the section has to respond to requests for immediate assistance (late filings, urgent audio-visual copy requests necessitating processing in “real time”; copying the audio-visual record of a single hearing takes as long as the actual hearing).

Consultants

369. Consultancy is required for the area of court reporting (enhancements to the procedures and tools already in use) and audio-visual production (in terms of material and procedures used – digitization project envisaged for a tape-less environment). This project in the longer term will result in substantial savings and increased efficiencies (reduced need for copying audio-visual material, which will imply fewer man-hours for this task and less overtime; reduction in the cost of audio-visual supplies; archiving rendered far more cost- and space-efficient). Consultancy is also needed in the area of evidence processing (whenever Registry is instructed by Chambers to process seized material/evidence). This is a new area of expertise for the section, and hence consultancy is necessary.

Non-staff resources

Basic resources

Contractual services and training

370. Recurrent: This item includes training and participation in educational travel on latest developments in legal technologies especially in the area of judicial records management and e-Court. Keeping current with developments in these core functions of the section is essential in order to manage the section and allotted funds efficiently.

Supplies and materials

371. Recurrent. This recurring cost includes audio-visual tapes and disks for use in the “audio-visual copy-control” facility.

Situation-related resources

Travel

372. Recurrent. In support of remote witness testimony using video teleconferencing (VTC), AV support staff and an Associate Legal Officer/Courtroom Officer need to be present at remote locations. The assumption is for 15 per cent of witness testimony by VTC, involving some ten witnesses, with five missions grouping witnesses, as has been the practice wherever possible in 2010 in order to increase efficiency and consequent savings.

Contractual services

373. Further enhancements and refining to current ECOS modules are needed in order to incorporate new requirements of the Court. Enhancements and processes optimization will be mostly required in the ECOS modules supporting court hearings scheduling and the processing of court records and transcripts (including their notification to proceedings participants).

Training

374. Recurrent. Specialized training is required for real-time court reporting to maintain speed and accuracy.

Supplies and materials

375. Recurrent. Includes broadcast-quality video tapes, DVDs and other supplies for audio-visual support of court hearings, the use of consumables for the provision and distribution of evidence used in court, and provision of courtroom session material to press and public.

Table 87: Sub-programme 3320: Proposed budget for 2012

Court Management Section	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Cont. Fund	Total incl.CF	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available					397.8	739.5	1,137.3	409.7	760.6	1,170.3	33.0	2.9
General Service staff						121.2	683.1	804.3	126.0	709.1	835.1	30.8	3.8
<i>Subtotal staff</i>	<i>415.0</i>	<i>1,469.6</i>	<i>1,884.6</i>		<i>1,884.6</i>	<i>519.0</i>	<i>1,422.6</i>	<i>1,941.6</i>	<i>535.7</i>	<i>1,469.7</i>	<i>2,005.4</i>	<i>63.8</i>	<i>3.3</i>
General temporary assistance	6.6	162.2	168.8	607.1	775.9		430.8	430.8		254.4	254.4	-176.4	-40.9
Overtime	0.5	27.9	28.4	1.3	29.7		27.5	27.5		20.0	20.0	-7.5	-27.3
Consultants	3.4		3.4	1.8	5.2	11.0	30.0	41.0	20.0	10.0	30.0	-11.0	-26.8
<i>Subtotal other staff</i>	<i>10.5</i>	<i>190.1</i>	<i>200.6</i>	<i>610.2</i>	<i>810.8</i>	<i>11.0</i>	<i>488.3</i>	<i>499.3</i>	<i>20.0</i>	<i>284.4</i>	<i>304.4</i>	<i>-194.9</i>	<i>-39.0</i>
Travel	0.5	20.4	20.9	4.3	25.2		34.3	34.3		33.6	33.6	-0.7	-2.0
Contractual services		2.0	2.0	162.5	164.5		154.5	154.5		100.0	100.0	-54.5	-35.3
Training		17.3	17.3		17.3		37.4	37.4	15.0	10.8	25.8	-11.6	-31.0
General operating expenses						7.8		7.8	7.8		7.8		
Supplies and materials	7.6	50.2	57.8		57.8	12.3	91.2	103.5	13.0	112.8	125.8	22.3	21.5
Equipment including furniture	10.8	26.1	36.9		36.9	25.5	28.0	53.5				-53.5	-100.0
<i>Subtotal non-staff</i>	<i>18.9</i>	<i>116.0</i>	<i>134.9</i>	<i>166.8</i>	<i>301.7</i>	<i>45.6</i>	<i>345.4</i>	<i>391.0</i>	<i>35.8</i>	<i>257.2</i>	<i>293.0</i>	<i>-98.0</i>	<i>-25.1</i>
Total	444.4	1,775.7	2,220.1	777.0	2,997.1	575.6	2,256.3	2,831.9	591.5	2,011.3	2,602.8	-229.1	-8.1
Distributed maintenance	11.1	59.3	70.4		70.4	16.6	82.2	98.8	18.7	81.3	100.0	1.2	1.2

Table 88: Sub-programme 3320: Proposed staffing for 2012

Court Management Section											Total P-staff and above	Total GS-staff	Total staff	
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1		GS-PL	GS-OL		
Existing	Basic				1		1	2			4	2	2	6
	Situation-related							1	8		9	1	10	11
	<i>Subtotal</i>				<i>1</i>		<i>2</i>	<i>10</i>			<i>13</i>	<i>1</i>	<i>12</i>	<i>13</i>
New/	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total					1		2	10			13	1	12	13

(c) Sub-programme 3330: Detention Section

376. The Detention Section's aim is to provide safe, secure and humane conditions to those persons detained by the Court while awaiting trial and/or appeal. Its overall objective is to deliver a physically and mentally healthy environment to suspect and accused at every stage of the initial detention up until the person is either released on the Court's order or transferred to a State of enforcement to serve his or her sentence. The Court is bound by a set of obligations regarding the quality of the Detention Centre. The primary obligation is to satisfy the international standards set out by the treaties and conventions on human rights, prohibition of torture, prevention of discrimination, rights of women and children, and the administration of justice requiring persons under any form of imprisonment to be treated with humanity.

Staff resources**Situation-related resources***Consultants*

377. Recurrent. Psychologist and/or psychiatrist services on a case-by-case need basis, to ensure the well-being of detained persons.

Non-staff resources**Basic resources***General operating expenses*

378. Recurrent. Rental of cells is based on six cells, including remand programme and staff. With the departure of the Special Court for Sierra Leone, the full cost of cells will have to be borne by the Court. Due to the downsizing of the ICTY UNDU, the Court is to take over its part of shared functions. These shared functions include manning the 24-hour control centre and entrance to Building 4 (formerly known as Unit 4) and providing other joint services, every fourth day. On 18 February 2011, the Agreement on Detention Facilities and Services between the International Criminal Court and the Kingdom of the Netherlands was signed.

Situation-related resources*Training*

379. Recurrent. Includes specific training related to detention and prison management as well as human rights in an international context.

General operating expenses

380. Other miscellaneous operating costs include medical care and items specific to the well-being of detainees in relation to respect for religious and cultural background (pursuant to regulation 102 of the Regulations of the Court and regulation 199 of the Regulations of the Registry).

Table 89: Workload 2012

	<i>Number of staff 2009</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work</i>	<i>Actual workload 2010</i>	<i>Estimated workload 2012</i>
<i>Anticipated workload 2012</i>					
Number of transports outside host prison		135		417	250
Hours of visits	2 (GS-OL)	1,386	2 (GS-OL)	1,138	1,500
Number of detained persons		4 (+1)*		4 (+1)	5

* Figure between brackets is SCSL-detained person.

Table 90: Sub-programme 3330: Proposed budget for 2012

Detention Section	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			195.6	80.3	275.9	203.3	82.6	285.9	10.0	3.6
General Service staff				60.6	60.6	121.2	63.0	63.0	126.0	4.8	4.0
<i>Subtotal staff</i>	<i>249.8</i>	<i>122.7</i>	<i>372.5</i>	<i>256.2</i>	<i>140.9</i>	<i>397.1</i>	<i>266.3</i>	<i>145.6</i>	<i>411.9</i>	<i>14.8</i>	<i>3.7</i>
Consultants					6.0	6.0		6.0	6.0		
<i>Subtotal other staff</i>					<i>6.0</i>	<i>6.0</i>		<i>6.0</i>	<i>6.0</i>		
Travel	5.7	32.7	38.4	2.8		2.8	3.8		3.8	1.0	35.7
Contractual services					2.1	2.1		2.1	2.1		
Training	0.5	13.6	14.1	1.5	17.0	18.5	1.5	17.0	18.5		
General operating expenses	954.9	8.9	963.8	1,497.8	94.0	1,591.8	1,473.4	118.4	1,591.8		
Supplies and materials	1.7	1.4	3.1	7.1		7.1	7.5		7.5	0.4	5.6
Equipment including furniture				6.0		6.0				-6.0	-100.0
<i>Subtotal non-staff</i>	<i>962.8</i>	<i>56.6</i>	<i>1,019.4</i>	<i>1,515.2</i>	<i>113.1</i>	<i>1,628.3</i>	<i>1,486.2</i>	<i>137.5</i>	<i>1,623.7</i>	<i>-4.6</i>	<i>-0.3</i>
Total	1,212.6	179.3	1,391.9	1,771.4	260.0	2,031.4	1,752.5	289.1	2,041.6	10.2	0.5
Distributed maintenance	6.7	5.6	12.3	8.3	8.2	17.1	9.3	8.1	17.5	0.3	1.9

Table 91: Sub-programme 3330: Proposed staffing for 2012

Detention Section		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic						1		1		2		1	1	3
	Situation-related								1		1		1	1	2
	<i>Subtotal</i>						<i>1</i>		<i>2</i>		<i>3</i>		<i>2</i>	<i>2</i>	<i>5</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1		2		3		2	2	5	

(d) Sub-programme 3340: Court Interpretation and Translation Section

381. The Court Interpretation and Translation Section (STIC) provides language services for the efficient conduct of Court business. The section provides language services to Chambers, Presidency and Registry, including in particular translation, revision and editing of Court documents; consecutive and simultaneous interpretation for trial-hearings, press conferences, meetings and other events; assistance and guidance in terminology and references; and recruitment, training and accreditation of field interpreters. As in any international organization with two or more working languages, STIC faces the usual challenges, with tight deadlines and shortage of resources. In addition, the challenges specific to the Court faced by the section relate to the languages used in Court proceedings. The situations dealt with by the Court can change at short notice and therefore so can the languages involved. Most of the languages in question are not “usual” languages, with substantial numbers of qualified interpreters and translators available. The section also cooperates in a number of projects with the Language Services Unit of the Office of the Prosecutor. While additional resources are needed to cover the added requirements for the situation in Libya, the section has achieved an overall decrease in its proposed resources for 2012 when compared to the 2011 approved budget, inter alia, because of the anticipated scheduling of consecutive trial-hearings.

Table 92: Translation in-house resources (basic and situation-related)

<i>Translators</i>	<i>Number of FTE 2010</i>	<i>Number of FTE 2011</i>
French	7.2	7.2
English	4.8	4.8
Arabic	0.5	0.8
<hr/>		
<i>Revisers</i>	<i>Number of FTE 2010</i>	<i>Number of FTE 2011</i>
French	2.8	2.8
English	1	1
Arabic	1	1

Staff resources**Basic resources***Temporary assistance for meetings*

382. Recurrent. Essential translation and interpretation requirements: article 87(2) of the Rome Statute, rule 42 of the Rules of Procedure and Evidence, regulation 40(3) of the Regulations of the Court. The requested funds (substantially reduced by comparison with 2011) are required to complement in-house translators with external resources. Additional freelance interpreters will be necessary for one NGO round table in 2012.

Situation-related resources*General temporary assistance*

383. Recurrent. One P-2 Associate Operational/Field Interpretation Coordinator. The post is required to ensure continued and effective sourcing, recruitment and training of field interpreters in relation to all current and future situations. The post has special training responsibilities in respect of field interpreters, which is a prerequisite for accreditation. The training and accreditation of field interpreters has been carried out jointly by STIC and the Office of the Prosecutor’s Language Services Unit (LSU) since 2004, thus pooling resources for greater effectiveness and efficiency.

384. Recurrent. One P-3 Court Interpreter for Swahili and Kinyarwanda. A Swahili interpreter working on the *Katanga/Ngudjolo* trial will be continuing to provide interpretation for the *Mbarushimana* case. The alternative is recruitment of freelancers from Africa on an ad hoc basis, which has proven to be more costly. The quality of interpretation from and into situation languages is difficult to guarantee with freelance interpreters.

385. One P-1 Para-professional Interpreter for Lingala (nine months). The incumbent is required to provide interpretation from and into Lingala for the *Katanga/Ngudjolo* trial.

386. Four P-1 Para-professional Interpreters for Sango (nine months each). The team of four provides interpretation from and into Sango for the *Bemba* proceedings, as the majority of witnesses are Sango speakers.

387. One GS-PL Language Assistant for Sango (nine months). The language assistant provides support for the witnesses in preparation for the in-court testimony stage. As the majority of witnesses are Sango speakers, VWU staff cannot communicate with the witnesses without the assistance of a Language Assistant.

388. One P-2 Associate Translator for Arabic. Arabic is increasingly important at the Court, especially with the new situation in Libya. Regular translation activities are performed on a daily basis in the section. In addition to these, the GTA Arabic translator is involved in helping with the training of field interpreters and para-professional interpreters. A shortage of reliable freelance translators with legal translation experience necessitates the existence of a small Arabic unit within the section to maintain the operational status of Arabic.

389. Recurrent. Operational/Field Interpreters. The reduced funds are to provide basic support to meet the needs of client sections in terms of field and operational support, with absolute priority given to judicial matters: Counsel Support Section, Victims and Witnesses Unit, Victims Participation and Reparations Section, Security and Safety Section and Office of Public Counsel for Victims. These individual contractors are recruited on a need basis from a list of accredited field interpreters. The resources required have been calculated on the basis of 27.5 months of work, of which approximately 10 months pertain to activities concerning the situation in Libya.

Table 93: Workload

	<i>Workload 2009</i>	<i>Workload 2010</i>	<i>Anticipated workload 2011</i>	<i>Anticipated workload 2012</i>
Field Interpreter days	737	1,247	1,412	1,355

Temporary assistance for meetings

390. Recurrent. Freelance interpreters will have to be recruited to support Court in-house staff interpreters to provide interpretation for trials, including for the Arabic booth for ongoing cases in the situation in Sudan. The estimate is 60 days of trial for Europe-based interpreters. Arabic interpretation cannot be provided by the Court's in-house interpreters.

Consultants

391. Recurrent. The reduced resources are required to arrange for one expert panel for terminology (legal terminology in situation/case languages) as well as one expert panel for translation purposes.

Non-staff resources**Basic resources***Contractual services*

392. Recurrent. The proposed reduced resources are for the outsourcing of translations to meet minimum obligations under article 87(2) of the Rome Statute, rule 42 of the Rules of Procedure and Evidence and regulation 40(3) of the Regulations of the Court.

393. Recurrent. Continued ECOS development for translation, interpretation and field interpretation modules. In-depth testing of the translation module's reporting tools was carried out. The new tableau de bord report has necessitated further changes to the module, which will affect requesters and how the tableau de bord is calculated. Interpretation and field interpretation module development users will become familiar with the system and will identify further requirements, necessitating upgrades to the system. Training will be required relating to upgrades/developments to the system as well as investigation into errors in the system which cannot be fixed in-house.

Supplies and materials

394. Recurrent. The reduced resources are required to purchase dictionaries and reference materials in working and official languages, as well as to pay for recurrent online subscriptions to reference databases and dictionaries needed by translators, revisers, interpreters and terminologists.

Situation-related resources*Travel*

395. Recurrent. For operational interpretation, including witness familiarization, monitoring and transcription, as well as continuing field interpretation requirements, for which there are very few qualified field interpreters locally. To maintain a sufficient roster of accredited field interpreters with the relevant (situation-related) languages, a number of field interpreter accreditation missions have to be undertaken.

Contractual services

396. Recurrent. This item includes outsourced translation, outsourced terminology and translation-related services, for outsourcing of translations, including for judicial cooperation, documents from and into Arabic and for documents that cannot be dealt with in-house (all languages). Also includes external printing in order to print 600 copies of the Terminology Bulletin three times a year in the six official languages of the Court and selected situation/case languages.

Training

397. Recurrent. The reduced resources are required for the participation of one staff member in the International Terminology Summer School 2012 (part of the STIC training schedule), as well as for participation of three language professionals (translator, reviser, interpreter) in specialist training.

Supplies and materials

398. Recurrent. To purchase dictionaries and reference materials in situation/case languages which are necessary for translators, revisers, interpreters and terminologists.

Table 94: Sub-programme 3340: Proposed budget for 2012

Court Interpretation and Translation Section	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					1,700.7	2,384.8	4,085.5	1,770.8	2,461.9	4,232.7	147.2	3.6
General Service staff	No breakdown available					242.4	258.9	501.3	252.0	268.1	520.1	18.8	3.8
<i>Subtotal staff</i>	1,870.1	2,453.1	4,323.2		4,323.2	1,943.1	2,643.7	4,586.8	2,022.8	2,730.0	4,752.8	166.0	3.6
General temporary assistance	2.2	462.0	464.2	826.6	1,290.8		909.1	909.1		897.9	897.9	-11.2	-1.2
Temporary assistance for meetings	285.4	72.9	358.3	1,158.1	1,516.4	415.7	292.5	708.2	12.0	429.9	441.9	-266.3	-37.6
Consultants		27.3	27.3	230.6	257.9		64.2	64.2		37.6	37.6	-26.6	-41.4
<i>Subtotal other staff</i>	287.6	562.2	849.8	2,215.3	3,065.1	415.7	1,265.8	1,681.5	12.0	1,365.4	1,377.4	-304.1	-18.1
Travel	7.0	180.8	187.8		187.8	9.9	202.1	212.0	7.4	197.0	204.4	-7.6	-3.6
Contractual services	257.1	93.7	350.8	1.8	352.6	257.5	93.0	350.5	61.4	79.0	140.4	-210.1	-59.9
Training		14.1	14.1		14.1		17.8	17.8		8.9	8.9	-8.9	-50.0
Supplies and materials	9.0	3.0	12.0		12.0	9.5	9.5	19.0	9.5	9.5	19.0		
<i>Subtotal non-staff</i>	273.1	291.6	564.7	1.8	566.5	276.9	322.4	599.3	78.3	294.4	372.7	-226.6	-37.8
Total	2,430.8	3,306.9	5,737.7	2,217.1	7,954.8	2,635.7	4,231.9	6,867.6	2,113.1	4,389.8	6,502.9	-364.7	-5.3
Distributed maintenance	42.3	84.7	127.0		127.0	55.2	119.2	174.4	62.2	117.9	180.1	5.7	3.3

Table 95: Sub-programme 3340: Proposed staffing for 2011

Court Interpretation and Translation Section											Total P- staff and above	Total GS- staff	Total staff		
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	P-1	GS-PL	GS-OL			
Existing	Basic				1	7	7	1			16	4	4	20	
	Situation-related					5	12	7	1		25	1	3	4	29
	<i>Subtotal</i>				1	12	19	8	1		41	1	7	8	49
New/	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	12	19	8	1		41	1	7	8	49

(e) Sub-programme 3350: Victims and Witnesses Unit

399. Under article 43(6) of the Rome Statute, the Victims and Witnesses Unit (VWU) is mandated to provide protective measures and security arrangements, counselling and other appropriate assistance to witnesses, victims who appear before the Court and others who are at risk on account of testimony given by such witnesses. As a service provider, the VWU is generally a reactive unit and acts on the basis of referrals. Similarly, the VWU bases its annual budget on the number of requests for services received for referrals for protection or support, or for witnesses to appear before the court to testify. The VWU provides its services to Chambers, and to the parties and participants.

400. In order as far as possible to absorb the cost of the additional resources required for the situation in Libya in 2012, the VWU has applied principles of efficiency and economy, in some instances reducing the number of services that the VWU has budgeted for, and limiting the number of GTA positions. The increase in resource requirements as a result of the Libya situation amounts to €289,200, representing the costs of requests for protection referrals from the Office of the Prosecutor and staff travel to implement such referrals, and of one GTA Support Assistant

401. The two largest non-staff costs in the VWU 2012 budget proposal consist of general operating expenses and staff travel, both costs being interrelated and driven by the number of service requests submitted to the VWU by the parties and participants. General operating expenses, representing 36 per cent of the VWU overall budget proposal, include costs related to trials and the implementation of protection and support referrals, as well as the establishment, development and testing of the Initial Response System (IRS) in the field.

402. Bearing in mind the number of 2012 service requests received for the IRS, relocations, resettlements and local protective measures, general operating expenses have increased in 2012, without considering additional requests for the situation in Libya. In an effort to maintain such expenses at the 2011 level, the VWU has applied reductions in a number of areas. For instance, in relation to protection referrals, mainly emanating from the Office of the Prosecutor, in an effort to reduce costs the VWU has cut down the number of areas budgeted for the IRS and the number of witness relocations in the Kenya situation, and made efforts to reduce the cost of local protective measures in the CAR, DRC and Kenya situations. Such reductions have had a direct impact on staff travel to implement protection referrals, reducing such costs further. Furthermore, the VWU has already started working on exit strategies for the current participants in the ICC Protection Programme (ICCPP); therefore the 2012 budget proposal includes a substantially lower number of relocation and resettlement cases carried over from the year 2011.

Staff resources**Situation-related resources***General temporary assistance**One P-3 GTA Psychologist/ Psychological Trauma Expert.*

403. The post of Psychologist/Trauma expert is the only post mandated under the Rome Statute, article 43(6). Under the direct supervision of the Chief of Unit, the Psychologist is essential in providing his/her expertise in relation to applicants and participants in the ICC Protection Programme and in relation to witnesses appearing before the Court to testify.

404. The Psychologist is required to undertake the psychosocial assessments of applicants for the ICCPP; provide strategic direction for the planning and implementation of support-related aspects of ICCPP participants; monitor and respond to crisis situations arising from ICCPP participants; administer the Witness/Victim Support Programme of the ICCPP; evaluate and provide training for all VWU staff members directly involved in work with witnesses and victims included in the ICCPP, in order to maintain a high level of performance; provide advice to the Head of Protection on support-related activities; develop and implement a witness/victim management programme at headquarters and in the field and tailor it to the various situations under investigation by the Court, based on best practice; formulate appropriate requirements for short- and long-term support; liaise

and cooperate with protection and support staff at headquarters. Further, the Psychologist will be required to work closely with all Associate Protection Officers in the field to develop exit and management strategies for ICCPP participants.

405. Similarly, the Psychologist is required to conduct psychological assessments of witnesses due to testify before the Court, in particular vulnerable witnesses such as victims of gender-based violence, children, former child soldiers and highly traumatized persons. The assessments are to establish whether or not it is appropriate for such witnesses to give evidence before the Court, and, if so, to recommend to the Trial Chamber any special measures which should be in place to facilitate witness testimony. The Psychologist also provides in-court assistance to victims and witnesses when required, as well as oversight of support assistants providing in-court assistance. He or she monitors the witness's testimony and liaises as necessary with the Trial Chamber regarding the witness's welfare.

Table 96: Workload indicators for the Psychologist/Trauma Expert P-3

	<i>Number of staff 2009 handling the work</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work</i>	<i>Actual workload 2010</i>	<i>Number of staff 2011 handling the work</i>	<i>Actual workload first quarter 2011</i>	<i>Estimated workload 2012</i>
Number of mission days to conduct psychosocial assessments for the ICCPP and other assessments ordered by the Chamber	1	15	1.3	77	1.3	16	110
Psychosocial assessment for trial witnesses at HQ	1	15	1.3	18	1.3	13	25
Other assessments ordered by the Chamber (HQ/field)	1	-	1.3	8	1.3	5	
In-court assistance provided (psychologist on stand-by and/or in courtroom, and/or monitoring court transcript throughout the testimony of the witness)	1	10	1.3	20	1.3	16	25
Participation in hearings/status conferences	1	8	1.3	2	1.3	-	5
Substantive contributions to VWU filings (no. of filings)	1	15	1.3	7	1.3	1	10
Training sessions organized and given on vulnerable witnesses. No. of Court seminars contributed to	1	3	1.3	3	1.3	-	1

406. One GS-OL Support Assistant for 11 months. The responsibilities of support assistants include providing support to victims and witnesses appearing before the Court for testimony, and they accompany support persons and dependants, as well as providing support to ICCPP relocation cases. Due to the overall increase in activities of the Unit, especially taking into account the new situation in Libya, one additional Support Assistant position is required during 2012 to assist the existing support team with those tasks and more specifically with providing support services to ICCPP relocation cases. The support services provided to ICCPP relocation cases include operational support during the initial phase of the relocation programme regarding medical care, clothing, housing, schooling and familiarization with the new environment; training to adapt to the new environment, which may include cultural awareness, language training, and public transport, value of local money, shopping and hygiene; psychosocial support services during the stay in the ICCPP.

Table 97: Workload indicators for the Support Assistant GS-OL

	<i>Number of staff 2009 handling the work</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work</i>	<i>Actual workload 2010</i>	<i>Number of staff 2011 handling the work</i>	<i>Actual workload first quarter 2011</i>	<i>Estimated workload 2012</i>
Support assistance to ICCPP relocation cases (number of work-months)	6	N/A	7.6*	Equivalent to the working hours of 1.5 FTE	8*	Equivalent to the working hours of 2 FTE for 3 months	2 full-time FTE
Overtime hours in relation to support assistance provided to victims/witnesses appearing before the Court for testimony, accompanying support persons and dependents and support assistance to ICCPP relocation cases.	6	228	7.6	985	8	-	1,083

* Two staff were recruited on GTA basis from May 2010 in support of parallel trials and continue to be recruited in 2011.

Overtime

407. Recurrent. So far experience has shown that VWU staff have been performing high overtime hours due to numerous daily witness movements and early arrival times of witnesses scheduled to testify before the Court, as well as the late departure of witnesses undergoing Court familiarization. Those mainly involved are the Support Assistants, Trial Operations Assistants and Protection Assistants.

Consultants

408. Recurrent. Consultancy in 2012 is required for the Committee of Experts: to review the Victims and Witnesses protection and operations systems currently in place, a Committee of Experts is to be hired. The Committee consists of six witness protection experts representing different geographical regions.

Non-staff resources

Basic resources

Travel

409. Recurrent. Mission travel is foreseen to negotiate witness relocation agreements and to attend witness protection conferences and remain abreast of international best practices in this field.

Situation-related resources

Travel

410. Recurrent. Staff travel comprises operational travel relating to the provision of witness protection and support services, including witness escort travel for trial purposes, as well as staff travel related to witness protection and support.

411. The requested resources are to cover the costs of witness escort for trial purposes, consisting mainly of individual escort of protected witnesses, and escort of non-protected witnesses where required; staff travel related to the resettlement and relocation of witnesses, including travel related to threat and psychosocial assessment, staff advance preparatory missions to relocation country; physical resettlement or relocation of witnesses and case management or follow-up visits to resettled or relocated witnesses; psychosocial assessment and the provision of support services to witnesses; implementation of local protective measures; Initial Response Systems (IRS) supervision and testing, including rental of safe houses; field travel for planning, supervision and audit of field operations; and VWU headquarters and field staff coordination meetings.

General operating expenses

412. Recurrent. The requested resources for witness-related operating expenses are to cover trial-related costs, including travel of victims and witnesses and their accommodation in the field and at the seat of the Court, travel of accompanying support persons, preparation of travel documents, clothing, allowances, health insurance, and witness allowances. On the basis of the Court's assumptions, 59 victims⁴³ and two expert witnesses are expected to appear before the Court for testimony in 2012 for two trials, as well as five accompanying support persons. Furthermore, resources will cover the establishment of Initial Response Systems (IRS), to respond to any immediate threats to victims and witnesses in three situations where the Court is operational. For the year 2012, the Court intends to expand the IRS to the new situation in Kenya and to maintain those in the DRC and the Central African Republic. Other expenses include witness resettlement expenses for Kenya, the DRC and the Central African Republic, witness relocation expenses for Kenya and the Central African Republic, and local protection measures in four situations: Sudan, DRC, CAR and Kenya.

Training

413. Recurrent. The following VWU specialized training courses are planned for 2012:

414. First Aid Training for VWU staff at headquarters and in the field. The course is designed to ensure that headquarters and field staff in daily contact with witnesses can provide basic first aid in case of emergency. Such staff will constitute the initial response to medical emergencies, as agreed with the Court's Medical Officer.

415. Supervision Management Training Course. Provides a useful foundation for the future development and exercise of leadership skills and establishes how more effectively to interact in the working environment, as well as to show the different stages of skill sets of people for the different tasks/duties that may have been assigned to them and how leadership/management approaches to each person's skill set can be applied.

416. Witness Protection Training Course. This course deals with principles of witness management in a covert environment and includes training on, among other things, personal security of case managers, global considerations when dealing with and relocating witnesses, and risk management. This six-day course is intended for four VWU staff, namely one Associate Protection Officer, two Field Witness Officers and one Psychologist/Psychological Trauma Expert.

417. Trauma Training/Handling Vulnerable and Traumatized Witnesses. This training aims to equip the Support Assistants with techniques to better deal with their empathic engagement with traumatized victims and witnesses, and with the effects of vicarious traumatization upon the trauma therapist working with survivors of traumatic life events. This training will also help Support Assistants acquire the ability to recognise differences in the perception and experience of trauma among varied cultural groups and the resulting difference in treatment needs. This training will last two days and will be provided to all Support Assistants located at headquarters.

418. Advanced Open-Source Intelligence Training. This is the continuation of the training undertaken by the VWU Analyst. The course helps in building and sustaining a successful open-source intelligence system and organization. Through operational and functional training, staff are taught appropriate information collection and analysis methods, dissemination mechanisms, and workflow management processes that ensure disciplined operations.

419. RINO training on psychotrauma. Goal of the course is the acquisition of knowledge on traumatism and intervention techniques in the event of crisis, and development of capacity to recognize and define problems.

420. Driving and Field Security Training. To acquire specialized driving techniques to handle driving in the field during implementation of protection operations.

⁴³ Some witnesses are victims of crimes and thus require specific measures.

Supplies and materials

421. Recurrent. Cost of supplies and materials for the needs of VWU waiting rooms and renewal of annual subscriptions for newsletters and magazines for the sole use of witnesses.

Table 98: Sub-programme 3350: Proposed budget for 2012

Victims and Witnesses Unit	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011		
	Situation- Basic	related	Total	Cont. Fund	Total incl.CF	Situation- Basic	related	Total	Situation- Basic	related	Total	Amount	%
Professional staff	No breakdown available				645.3	1,010.9	1,656.2	668.3	1,221.1	1,889.4	233.2	14.1	
General Service staff					60.6	1,097.7	1,158.3	63.0	1,142.9	1,205.9	47.6	4.1	
Subtotal staff	<i>459.4</i>	<i>2,324.0</i>	<i>2,783.4</i>		<i>2,783.4</i>	<i>705.9</i>	<i>2,108.6</i>	<i>2,814.5</i>	<i>731.3</i>	<i>2,364.0</i>	<i>3,095.3</i>	<i>280.8</i>	<i>10.0</i>
General temporary assistance	242.9	242.9	231.2	474.1		231.2	231.2		174.2	174.2	-57.0	-24.7	
Overtime	6.9	6.9		6.9		41.4	41.4		43.2	43.2	1.8	4.3	
Consultants	26.9	26.9		26.9		21.5	21.5		21.3	21.3	-0.2	-0.9	
Subtotal other staff	<i>276.7</i>	<i>276.7</i>	<i>231.2</i>	<i>507.9</i>		<i>294.1</i>	<i>294.1</i>		<i>238.7</i>	<i>238.7</i>	<i>-55.4</i>	<i>-18.8</i>	
Travel	9.5	614.4	623.9	44.9	668.8	31.5	557.2	588.7	31.8	606.9	638.7	50.0	8.5
Contractual services	16.4	16.4		16.4									
Training	14.6	14.6		14.6		26.9	26.9		24.6	24.6	-2.3	-8.6	
General operating expenses	1,810.6	1,810.6	169.6	1,980.2		2,163.9	2,163.9		2,338.9	2,338.9	175.0	8.1	
Supplies and materials	0.9	0.9		0.9		7.6	7.6		4.7	4.7	-2.9	-38.2	
Equipment including furniture	2.2	2.2		2.2									
Subtotal non-staff	<i>9.5</i>	<i>2,459.1</i>	<i>2,468.6</i>	<i>214.5</i>	<i>2,683.1</i>	<i>31.5</i>	<i>2,755.6</i>	<i>2,787.1</i>	<i>31.8</i>	<i>2,975.1</i>	<i>3,006.9</i>	<i>219.8</i>	<i>7.9</i>
Total	468.9	5,059.8	5,528.7	445.7	5,974.4	737.4	5,158.3	5,895.7	763.1	5,577.8	6,340.9	445.2	7.6
Distributed maintenance	13.4	124.2	137.6		137.6	19.3	176.8	196.1	21.8	174.8	196.5	0.4	0.2

Table 99: Sub-programme 3350: Proposed staffing for 2012

Victims and Witnesses Unit		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic					1	2	1	1		5		1	1	6
	Situation-related							6	6		12	1	31	32	44
	Subtotal					<i>1</i>	<i>2</i>	<i>7</i>	<i>7</i>		<i>17</i>	<i>1</i>	<i>32</i>	<i>33</i>	<i>50</i>
New/ Converted	Basic														
	Situation-related														
	Subtotal														
Redeployed/ Reclassified/ Returned	Basic							1			1				1
	Situation-related							-1			-1	1	-1		-1
	Subtotal											<i>1</i>	<i>-1</i>		
Total					1	2	7	7			17	2	31	33	50

(f) Sub-programme 3360: Victims Participation and Reparations Section

422. The Victims Participation and Reparations Section (VPRS) is the specialized unit within the Registry, as envisaged in regulation 86(9) of the Regulations of the Court, charged with assisting victims in relation to their participation in proceedings and reparations. The section thus has operations both in the field, in order to enable victims to make their applications and participate effectively, and at the seat of the Court to manage documents and other information received, and to assist Chambers.

423. The VPRS has experienced a significant increase in workload in the last two years due to: (a) an increase in the number of situations and cases and higher numbers of victims' applications being received; (b) the increased level of judicial activity affecting the participation of victims, including the likelihood of reparations proceedings in 2012, and new capabilities requested of VPRS by Chambers; and (c) changes to the business model resulting from experience in the field in working with intermediaries. The year-on-year increase in the number of applications for participation received by the section is shown below.

Table 100: Average monthly number of applications for participation received

2008	2009	2010	From January to May 2011
57	63	187	362

424. However, there has been no increase in VPRS staff at headquarters since 2006, in spite of the workload increasing many times over. This has put very high pressure on the section each year and makes it unfeasible to absorb the additional workload generated by the Kenya and Libya situations without additional staff. It should be noted in this regard that the situation in Libya comes on top of the field activities generated by trials relating to the DRC and CAR situations, which will continue and may involve proceedings relating to reparations in 2012. Reparations proceedings are expected to mean more intensive field activities of the section, including informing victims, enabling victims to make applications and preparing reports for Chambers upon request. The section is therefore not in a position to redeploy resources in order to meet the additional requirements of the new situation in Libya.

425. Furthermore, a new model on working with intermediaries in the field is being developed in the context of the Court-wide guidelines on intermediaries, which require a greater level of engagement with intermediaries assisting victims. The amended model is the most cost-efficient way of ensuring greater supervision of the work of intermediaries, since the alternative would require additional staff to be hired in order to take on work currently carried out by intermediaries.

426. The scale of these increases is such that they can no longer be absorbed within existing staff resources if the section is to be in a position to respond to demands of Chambers, maintain control in the field and respect the rights of victims. In preparing the budget submissions for 2012, the section has made every effort to minimize the amount of additional resources requested and to find efficiencies and alternative options wherever possible; nevertheless, additional resources will be required by the section to cope with the increase in workload due to the new cases in the situation in Kenya and, in particular, the new situation in Libya.

Staff resources

Situation-related resources

General temporary assistance

427. New. One GS-OL Field Assistant. This position is requested in order to establish a minimum capacity for the section's operations in relation to the Libya situation. The Field Assistant is to cover field activities from headquarters, including the following: identify victim communities; identify, support and train intermediaries; make available and arrange collection of standard forms; check applications received for completeness; prepare key

messages for victims and intermediaries; organize common legal representation and assist in the implementation of Chambers' orders in the field.

428. New. One P-2 Associate Legal Officer (Libya focal point). The additional staff person is needed in particular to cope with the additional work created by the Libya situation, and to perform the following tasks: carry out legal processing of applications and review redacted versions of applications; prepare individual assessments of applications and draft reports on applications for Chambers; respond to requests and orders of Chambers; liaise with field staff for follow-up of applications and organizing common legal representations, etc., and to ensure that they are informed of relevant judicial developments; provide input on policy issues including operating procedures, and carry out legal research and drafting.

429. New. One P-2 Associate Legal Officer (Processing). The section is required by Chambers to conduct an individualized legal analysis of each application for participation on the basis of instructions received from each Chamber. A shortfall in staff capacity to process all of the applications from victims received by the VPRS was already noted in the workload indicators for the 2011 budget. The impact of the shortfall in 2011 has required the section to prioritize the work according to the progress of judicial proceedings, and a backlog exists in some situations which has increased during the past year, since there has also been a further rise in the number of applications received each month. A further significant rise is expected for 2012 due to the increase in the number of active situations and cases, and in particular, the new cases in the situation in Kenya and the reparations proceedings that may arise in relation to the ongoing trials.

Table 101: Workload indicators for Associate Legal Officers

	<i>FTE required per situation</i>	<i>Number of situations</i>	<i>Number of current posts 2011</i>	<i>Shortfall</i>
Associate Legal Officer P-2, as focal point	1	6	2	4
Associate Legal Officer, P-1 or P-2, as floater for processing applications	0.5	6	0	3

430. New. One GS-OL Data Processing Assistant. One additional Data Processing Assistant is requested in order to cope with the increase in the number of victims' applications received. The main functions of data-processing staff at headquarters are as follows: registering and scanning applications for participation and reparations received from victims; entering data from the applications into a database, as well as data received separately; updating records with new information received; extracting information from the database for reports; preparing draft redactions; checking for quality and preparing documents for filing; maintaining physical records and other administrative tasks. Basically, the additional post is requested in order to ensure that the section does not fall even further behind as a result of the new situation in Libya.

431. One G-4 Field Assistant (Chad, six months continued). To support VPRS missions to the field.

Table 102: Workload indicators for data-processing staff

	<i>Number of applications dealt with per FTE per year</i>	<i>Number of applications expected to be received in 2012</i>	<i>Number of applications that can be processed with current capacity of 2 FTE</i>	<i>Shortfall in FTE</i>
Data Processing Assistant GS-OL, HQ	600	2,400	1,200	2

Consultants

432. Experience has shown that hiring consultants to carry out detailed mapping of victim communities in a new situation is necessary and cost-effective, enabling unique expertise to be tapped in a timely manner, on the basis of which the field activities of the section can be built. Resources are therefore required for mapping victim communities in Libya.

433. Furthermore, VPRS field staff interact with victims and intermediaries in a highly sensitive environment. Both existing and newly recruited field staff require ongoing training and support to enable them to manage their interaction with intermediaries and with victims, while maximizing the well-being of victims and themselves. These funds are also required for the purpose of bringing in specific expertise to assist the VPRS field staff in this way, and will be used in conjunction with the training budget described below.

Non-staff resources**Situation-related resources***Travel*

434. Recurrent. The increased request is to cover, in the additional situation in Libya, costs of travel of staff to and from the field, and on missions of field staff within the country. In general, the section makes stringent efforts to minimize travel to and from headquarters, and the vast majority of trips are by field staff conducting their activities within the country concerned. As in previous years, a visit by all field staff to headquarters once in the year is provided for, which is essential for annual planning and training as well as to enhance their understanding of the Court.

435. The travel budget includes an element for travel to locations in Europe, North America and Africa for the purposes of targeting the Darfur diaspora, as part of a joint project with PIDS to reach refugee communities from Darfur. It also includes a small travel element for the purposes of seminars and consultations with a view to strategy development and obtaining expertise.

Training

436. Recurrent. A small increase is proposed to cover the additional needs of the Libya situation. As noted in relation to consultants, VPRS field staff interact with victims and intermediaries in a highly sensitive environment and require ongoing training and support from qualified experts to enable them to manage their interaction with intermediaries and with victims, while maximizing the well-being of victims and themselves.

Contractual services

437. The development of the applications database within the ECOS system will be completed in 2012. Funds are required for the final stage of development, but at only half the level as for 2011.

438. Recurrent. For external printing of standard application forms, accompanying booklet and other informational materials specifically for enabling victims to apply to participate in proceedings and for reparations, to be made available in the field and to potential victim communities. A slight increase is included in light of the addition of Libya to the number of active situations and cases.

439. Recurrent. For activities of field staff when meeting victim-applicants and victims, and when providing support and training for intermediaries and legal representatives. Mainly to cover travel costs, cost of hiring meeting rooms, photocopying of documents, etc. The additional amount is requested in light of the high level of activity anticipated for Libya, which comes on top of continued judicial activities in relation to the other situations.

Supplies and materials

440. The increase relates to the new business model for working with intermediaries, as mentioned above, for the Libya situation. It is to provide protection kits to selected intermediaries in order to equip them to secure confidential documents and information, and to conduct their activities safely. Most intermediaries do not have such capacity, creating the risk of data relating to victims being compromised. Up to 10 intermediaries for the Libya situation are assumed. This represents an increase in budget over previous years, reflecting the outcome of a pilot study in Kenya in the context of the Court-wide strategy on intermediaries, with a view to implementing the Court's obligations by providing passive protection capacity to certain intermediaries in order to avoid putting victims and intermediaries engaging with the Court at risk.

Table 103: Sub-programme 3360: Proposed budget for 2012

Victims Participation and Reparations Section	Expenditure 2010 (thousands of euros)				Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011			
	Basic	Situation-related	Total	Cont. Fund	Total incl.CF	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%	
Professional staff	No breakdown available				630.7	185.9	816.6	674.7	215.0	889.7	73.1	9.0		
General Service staff					60.6	169.1	229.7	63.0	176.8	239.8	10.1	4.4		
<i>Subtotal staff</i>	638.9	503.6	1,142.5		1,142.5	691.3	355.0	1,046.3	737.7	391.8	1,129.5	83.2	8.0	
General temporary assistance					158.0	158.0	39.2	197.2		4.4	4.4	331.2	331.2	326.8 7,427.3
Consultants	3.6		3.6		3.6	8.0		8.0	10.0	17.5	27.5	19.5	243.8	
<i>Subtotal other staff</i>	3.6	158.0	161.6	39.2	200.8	8.0	4.4	12.4	10.0	348.7	358.7	346.3	2,792.7	
Travel	0.2	87.5	87.7	24.4	112.1	7.3	105.0	112.3	32.9	116.2	149.1	36.8	32.8	
Contractual services	3.7	58.3	62.0	86.3	148.3	103.4	53.7	157.1	52.0	105.2	157.2	0.1	0.1	
Training	4.2	10.0	14.2		14.2		5.0	5.0		18.5	18.5	13.5	270.0	
Supplies and materials							1.7	1.7		60.0	60.0	58.3	3,429.4	
<i>Subtotal non-staff</i>	8.1	155.8	163.9	110.7	274.6	110.7	165.4	276.1	84.9	299.9	384.8	108.7	39.4	
Total	650.6	817.4	1,468.0	149.9	1,617.9	810.0	524.8	1,334.8	832.6	1,040.4	1,873.0	538.2	40.3	
Distributed maintenance	15.6	25.4	41.0		41.0	22.1	28.8	50.9	24.9	28.4	53.3	2.4	4.8	

Table 104: Sub-programme 3360: Proposed staffing for 2012

Victims Participation and Reparations Section	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic					1	2	2	2	7		1	1	8
	Situation-related							2		2		5	5	7
	<i>Subtotal</i>					1	2	4	2	9		6	6	15
New	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total						1	2	4	2	9		6	6	15

4. Programme 3400: Public Information and Documentation Section

Introduction

441. The Public Information and Documentation Section (PIDS) contributes to raising awareness and promoting understanding of the Court's mandate and its work, primarily among communities affected by cases heard before it, as well as with global audiences, including journalists, civil society organizations, and legal and academic communities. The section is composed of the Outreach Unit, Public Affairs Unit, Protocol and Events Unit and the Library and Documentation Centre.

442. Within existing resources, outreach activities are carried out to ensure that communities affected by the commission of crimes under the Court's jurisdiction can understand and follow its judicial activities. To this end, as stated in 2006, in the Strategic Plan for Outreach of the International Criminal Court,⁴⁴ PIDS seeks to bridge the distance between the Court and these communities by establishing an effective system of two-way communication addressing their concerns and responding to their questions. However, with limited resources the Court has been unable to comply fully with the request of the Assembly "... to further develop and implement the Strategic Plan for Outreach in affected countries, including, where appropriate, by early outreach from the outset of the Court's involvement, including during the preliminary examination stage".⁴⁵ The section has narrowed the scope of its work to reaching out primarily to victims and affected communities, and has been using local media to enhance its impact in order to help the Registry in facilitating the exercise of victims' rights before the Court as provided by the Rome Statute and further Assembly resolutions: "... emphasizing the importance of outreach to victims and affected communities in order to give effect to the unique mandate of the Court towards victims".⁴⁶

443. The Office of the Head oversees all units and represents PIDS at public events. The Office will continue to contribute to implementing the Court's public information strategy by engaging with members of the legal and academic communities. To this end, activities are to be organized with the objective of increasing the number of African female lawyers practising before the Court and attracting Arab lawyers. In addition, the section will continue with its efforts to encourage universities to include international criminal law, and the International Criminal Court in particular, in their official curricula. Efforts will also be made to expand the ICC Moot Court competition through the organization of events conducted in French, Chinese, Arabic and Russian, involving academic institutions all over the world.

444. In 2012, outreach activities remain a priority for the section. In synchrony with the progress of the judicial proceedings, in the Democratic Republic of the Congo (DRC) activities in connection with the conclusion of the *Lubanga* and *Katanga/Ngudjolo* trials will be the priority, and the commencement of the *Mbarushimana* trial will require an increase of operations in the Kivu Provinces. In the Central African Republic (CAR), the level of operations will remain the same as in 2011. In connection with the situation in Uganda, with reduced judicial activity outreach activities will decrease and the section will keep a small presence to maintain existing networks and continue to support the production of interactive radio programmes. For the situation in Darfur, Sudan, the Outreach Unit will decrease operations in Chad, though it will continue to engage with refugees, exile communities, journalists and lawyers. Some of the existing resources initially allocated to the two latter situations will be redeployed to situation countries with cases at the trial stage and ongoing investigations. It should be noted, for instance, that three positions initially allocated to Uganda will be redeployed to Kenya and that almost 50 per cent of the operational budget required by PIDS to operate in that country will be covered by existing resources.

⁴⁴ ICC-ASP/5/12.

⁴⁵ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. I, part III, ICC-ASP/9/Res.3, para. 38.

⁴⁶ *Ibid.*, sixteenth preambular paragraph.

445. The Public Affairs Unit of the section is responsible for media relations, raising awareness and promoting understanding about the Court primarily among journalists and other global audiences. It is responsible for implementing part of the Court-wide public information strategy⁴⁷ as endorsed by the Assembly.⁴⁸ In addition to working with the media, this unit maintains the Court's website and is responsible for preparing and disseminating information materials, as well as the core legal texts.

446. To support outreach activities, as well as radio and television information needs, the section has a small team that produces the only audio-visual record for public release of the Court's hearings and other events taking place at the seat of the Court. During 2010, a total of 1,650 DVDs were prepared and distributed among journalists, members of legal and academic communities, and stakeholders at the seat of the Court and in the field. Overall, 70 radio and 70 television summaries of hearings were disseminated among international media. In addition, another 64 programmes were developed on Court events outside the Court. These productions are for public information purposes only and do not constitute archives. The Court Management System is responsible for video archives of hearings for legal purposes and does not support public information activities. Restrictions on the human resources available to the audio-visual team mean that PIDS will in future be unable to follow hearings on a daily basis, and therefore will not be able to continue producing weekly summaries of ongoing trials, as in 2010 and 2011.

447. The section will continue to host general and study visits at the seat of the Court through its Protocol and Events Unit. The latter is also responsible for assisting the Court in preparing high-profile visits and organizing events with the highest protocol standards. During 2010, the section welcomed 10,302 visitors, including 8,000 group information visitors and persons attending hearings. Also 65 in-depth study visits were organized and 7,500 Court information packages were distributed at the seat of the Court.

448. In addition, the Library and Documentation Centre is primarily focusing on serving the parties and participants in judicial proceedings, as well as independent Court-related bodies, ensuring that they can access the legal collection and documents in a timely manner, including through training staff. The Library is gradually starting to provide services to researchers and NGOs.

449. It should be noted that in 2010 the overall implementation rate of the budget allocated to PIDS was 107 per cent, including the Contingency Fund.

Objectives

1. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 4)
2. Ensure publicity of all proceedings for local and global audiences. (SO 7)
3. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

450. The following performance indicators and targets for 2012 have been included. It should be noted that the work will be done with the same level of resources as that allocated to the section in 2010.

⁴⁷ Report of the Court on the public information strategy 2011-2013 (ICC-ASP/9/29).

⁴⁸ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. I, part III, ICC-ASP/9/Res.3, para. 39.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Increased level of awareness and understanding of Court activities among affected communities. This year special efforts to engage women will continue.	- Number of activities engaging women.	20% more in the DRC and CAR and Sudan, compared to 2011.
	- Number of women directly engaged at interactive sessions.	For the Kenya situation, baseline data will be collated
	- Estimated population reached through radio.	Same as in 2011
	- Estimated population reached through television.	Same as in 2011
	- Number of radio listening clubs established by the Outreach Unit, where appropriate.	3 more in the DRC and in CAR
Objective 2	In countries concerned:	0 hours as weekly summaries of trials can no longer be produced
- Increased access to the Court's judicial proceedings for local and global audiences.	- Number of hours of radio broadcasts of audio summaries.	0 hours as weekly summaries of trials can no longer be produced
	- Number of hours of TV broadcasts of video summaries.	80% of respondents to surveys satisfied
	- Increased level of satisfaction by journalists covering the Court with the assistance of the PIDS Outreach Unit in the field.	Overall 30,000
	- Number of publications distributed.	10,000 more than in 2011
	- Increased number of visitors to the Court's website video-streaming service.	
Objective 3		
- Constantly increase global awareness of the Court through media and campaigns.	- Increased number of visitors attending briefings at the seat of the Court.	30% less than in 2011
	- Increased number of interviews given to the media by Court officials based in The Hague.	10% more than in 2011
	- Increased number of lawyers especially from Africa and the Arab world submitting applications to the list of counsel and list of assistants to counsel following the ICC-IBA campaign Calling African Lawyers.	5% more than in 2011
	- Increased number of universities participating in the ICC Moot Court competition	10% more than in 2011

Table 105: Workload statistics for the Public Information and Documentation Section with the same level of resources as in 2011

<i>Anticipated workload 2012</i>	<i>Number of staff 2009</i>	<i>Actual workload 2009</i>	<i>Number of staff 2010 handling the work.</i>	<i>Actual workload 2010</i>	<i>Estimated workload 2012</i>
Production of weekly summaries of hearings in cases at trial stage by the audio-visual team.	1	92	2	135	29 ⁴⁹
Organization of outreach sessions in Uganda.	4	162	4	136	10 ⁵⁰
Organization of outreach sessions in the DRC.	5	192	6	221	250
Organization of outreach sessions in connection with Darfur (Sudan).	1 ⁵¹	13	1	70	70
Organization of outreach sessions in Central African Republic.	2	89	3	98	98
Organization of information visits at the seat of the Court.	3	6,276	3	10,400	7,500
Assembling and dissemination of general information kits and campaign-related information packages.	3	7,278	3	7,500	6,500
Number of documents published on the Court website.	1	872	1	2,390	3,000
Number of press releases prepared and disseminated.	2	145	2	157	170
Number of ICC Weekly Updates prepared and disseminated.	2	10	2	44	44
Number of books loaned by the Library.	4	6,519	4	6,720	7,000
Number of training sessions on the use of the Library for internal staff members.	2	102	2	77	24

Staff resources

Basic resources

451. One P-2 Audio-visual Producer. The AV producer is in charge of designing and producing all Court audio-visual programs used for public information and outreach purposes worldwide (for international media as well as for situation-related countries). This position has existed since 5 May 2008 and was funded by general temporary assistance. At the expiration of the GTA in December 2010, a P-2 post from the General Services Section has been redeployed to PIDS in order to continue to fund this position.

Situation-related resources

452. One Field Outreach Coordinator for Kenya (P-2, redeployed from Uganda). As a result of judicial developments in the Kenya situation, the strategic plan for outreach requires a coordinator in the field to ensure that the Court's mandate and mode of operation are understood, and that Kenyans have access to and understanding of its judicial proceedings. Outreach efforts aim at fostering interaction between the Court and the groups most affected by the alleged crimes

⁴⁹ Due to restrictions on staff resources, PIDS will not be able to follow the trials on a daily basis and will therefore no longer be able to produce weekly summaries of trials. The number here reflects the number of AV programmes that it is possible to produce with fewer staff.

⁵⁰ The number of outreach sessions will decrease due to redeployment of three posts to other situation-related countries.

⁵¹ The Field Legal Outreach Coordinator for the situation in Sudan entered on duty on 2 November 2009.

453. Two Field Outreach Assistants for Kenya (GSOL, redeployed from Uganda). To organize outreach activities with communities, the media and legal and academic groups, the Outreach Coordinator requires the assistance of two locally recruited staff. Subject to possible security considerations, the persons appointed will be Kenyan nationals, or else they should be non-nationals, but residing in the country and with good knowledge of it.

454. One Field Outreach Assistant for the DRC (GSOL, redeployed from Chad). To organize outreach activities with communities, the media and legal and academic groups in the Kivus, the outreach team needs to be reinforced by an additional, locally recruited staff member. Subject to security considerations, this position can be based in the Kivus. It will be redeployed from Chad.

General temporary assistance

455. One Graphic Designer and Website Developer (GSOL, related to the situation in Libya). To handle graphic design and website development in Arabic.

456. One GS-OL Administrative Assistant (12 months, continued). To support outreach activities.

457. One GS-OL Audio-visual Production Assistant (12 months, continued). With a legal profile and public information expertise, this position is required to assist the production of radio and television programmes by following and videotaping the Court's proceedings (an average of 5 hours per day), writing daily summaries of judicial activities, preparing weekly highlights for the production of programmes, ensuring the distribution of productions to international and regional media and Court field offices, and responding to internal and public demands.

458. One P-2 Public Information and Outreach Coordinator for Libya (12 months). With the opening of an investigation in Libya, the public information strategy requires a coordinator to coordinate the implementation of the Court's public information strategy in the Arab World, and to develop and implement a situation-related strategic plan for Libya in order to develop short-term plans focused on making available clear information to worldwide Arab audiences about the role of the Court through the Court's communications platforms and international media. It is also proposed to proactively develop a network of Friends of the Court which can contribute to position the Court as an independent and non-political organization through op-eds and interviews regularly published in Arab and African regions. Engaging timely key bloggers and key opinion-makers in the Arab world, particularly in Egypt and in Tunisia, will be strategically a priority when trying to influence how the Court is perceived. The position will also develop medium/long-term plans focusing on engaging, in key countries, strategic groups such as journalists, the legal community, academia and NGOs.

Non-staff resources

Basic resources

Travel

459. Recurrent. Includes travel of the Chief of Section for consultation meetings with civil society organizations and the media, and to attend the Assembly session in New York together with the Head of the Outreach Unit, in order to present the annual report; and of the Spokesperson accompanying the Registrar and President twice a year. It also includes the trip of the Librarian to the United Nations Interagency Meeting on Knowledge Sharing and Information Management in New York.

Contractual services

460. Recurrent. Other costs include external printing, use of a professional photographer to update the pictures of newly elected senior officials, publication of ten op-eds in newspapers authored by the President, media monitoring and broadcasting services, awards and ceremonies for the international Moot Court competitions finals, and table-top flags to be used during welcoming ceremonies of new States Parties to the Rome Statute. Other contractual services include inter-library loans and bindings and contractual cataloguers to

assist with multilingual cataloguing of works in Asian, Arabic and Russian scripts. Costs also reflect an increase in external printing due to the need to update all information material following the election of the new Prosecutor and judges. There is also an increase in satellite costs for broadcasting services, which includes costs for global broadcasts for six press conferences; eight such broadcasting services have been requested by the Office of the Prosecutor for 2012.

Training

461. Recurrent. Training includes specialized training by the International Association of Law Librarians in Washington and the SirsiDynix International Administrators in London.

General operating expenses

462. Recurrent. Cost for software maintenance for the Library required for access to electronic databases for Registry and OTP, and subscriptions for Chambers.

Supplies and materials

463. Recurrent. Funds are required to procure books and serials for the Court's Library. This provision has been increased to fund price increases for databases and electronic supporting equipment and serial publications.

Situation-related resources

Travel

464. Recurrent. Travel is required for outreach activities in the six situations under investigation, including internal travel to remote areas where affected communities are concentrated. This item also includes travel for one field staff member per situation to attend briefing/training and planning sessions at headquarters, as well as trips for newly recruited staff to come to the headquarters for training on the Court and team-building exercises.

465. Overall, during 2010 the Outreach Programme engaged directly 52,957 people in the course of 525 outreach sessions held in remote areas with a high concentration of victims of the alleged crimes in situations brought before the Court. During the same period, estimated audiences of nearly 70 million people were regularly exposed to Court information through local radio and television programmes. The number of participants and activities organized per situation were: Uganda: 23,100 participants - 136 sessions; DRC: 21,122 participants – 221 sessions; Sudan: 1,899 participants – 70 sessions; and CAR: 6,836 participants – 98 sessions. The estimated audiences reached through the media in connection with each situation are as follows: Uganda: 8.5 million; DRC: 25 million; Sudan: 10 million; CAR: 1 million.

Contractual services

466. Recurrent. Resources include satellite costs for global broadcasts of four key hearings (based on the assumption that there will be two opening trials in the Kenyan situation and two trial verdicts in the DRC and the CAR situations). This item also includes distribution and broadcast of video and audio summaries of cases and key hearings of cases at the pre-trial stage, including initial appearances and confirmation of charges hearings, press conferences and the interactive programme *Ask the Court*, which provides answers by senior officials to questions asked by members of the communities in each situation-related country. Resources also include costs for organizing sessions for affected communities that interact with outreach teams during town/village meetings; and for holding information sessions with networks and coalitions of journalists, civil society organizations, women's groups, lawyers, schools and universities that help to enhance outreach and increase the impact of activities. At the end of each session, surveys are conducted and kept in an internal database for monitoring, reporting and planning purposes.

Supplies and materials

467. Recurrent. Resources to fund costs for the annual maintenance of current audio-visual equipment; the purchase of DVDs, tapes and discs, as well as new versions of editing software and the outsourcing of services to meet the information needs of external clients, the media and NGOs that cannot be provided with current in-house equipment.

Table 106: Programme 3400: Proposed budget for 2012

Public Information and Documentation Section	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Situation- Basic	Situation- related	Total	Situation- Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available					824.0	521.2	1,345.2	937.0	564.3	1,501.3	156.1	11.6
General Service staff						484.8	202.4	687.2	504.0	236.4	740.4	53.2	7.7
<i>Subtotal staff</i>	<i>1,094.8</i>	<i>733.3</i>	<i>1,828.1</i>		<i>1,828.1</i>	<i>1,308.8</i>	<i>723.6</i>	<i>2,032.4</i>	<i>1,441.0</i>	<i>800.7</i>	<i>2,241.7</i>	<i>209.3</i>	<i>10.3</i>
General temporary assistance	217.5	13.1	230.6	137.4	368.0	108.0	168.0	276.0		301.2	301.2	25.2	9.1
<i>Subtotal other staff</i>	<i>217.5</i>	<i>13.1</i>	<i>230.6</i>	<i>137.4</i>	<i>368.0</i>	<i>108.0</i>	<i>168.0</i>	<i>276.0</i>		<i>301.2</i>	<i>301.2</i>	<i>25.2</i>	<i>9.1</i>
Travel	19.3	131.4	150.7	20.8	171.5	28.7	56.6	85.3	44.7	79.9	124.6	39.3	46.1
Contractual services	233.5	501.0	734.5	201.6	936.1	246.0	598.9	844.9	394.0	679.8	1,073.8	228.9	27.1
Training	0.4		0.4		0.4	3.8		3.8	5.6		5.6	1.8	47.4
General operating expenses	54.3		54.3	2.8	57.1	82.0	13.5	95.5	97.0	13.5	110.5	15.0	15.7
Supplies and materials	148.1		148.1		148.1	123.5		123.5	150.5	20.0	170.5	47.0	38.1
<i>Subtotal non-staff</i>	<i>455.6</i>	<i>632.4</i>	<i>1,088.0</i>	<i>225.2</i>	<i>1,313.2</i>	<i>484.0</i>	<i>669.0</i>	<i>1,153.0</i>	<i>691.8</i>	<i>793.2</i>	<i>1,485.0</i>	<i>332.0</i>	<i>28.8</i>
Total	1,767.9	1,378.8	3,146.7	362.6	3,509.3	1,900.8	1,560.6	3,461.4	2,132.8	1,895.1	4,027.9	566.5	16.4
Distributed maintenance	35.6	48.0	83.6		83.6	44.1	69.9	114.0	52.9	69.1	122.0	8.0	7.0

Table 107: Programme 3400: Proposed staffing for 2012

Public Information and Documentation Section	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic				1	3	1	3		8		8	8	16
	Situation-related							6		6	3	8	11	17
	<i>Subtotal</i>					<i>1</i>	<i>3</i>	<i>1</i>	<i>9</i>	<i>14</i>	<i>3</i>	<i>16</i>	<i>19</i>	<i>33</i>
New	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic							1		1				1
	Situation-related													
	<i>Subtotal</i>							<i>1</i>		<i>1</i>				<i>1</i>
Total					1	3	1	10		15	3	16	19	34

D. Major Programme IV: Secretariat of the Assembly of States Parties

Introduction

468. The Secretariat provides the Assembly and its Bureau and subsidiary bodies with administrative and technical assistance in the discharge of their functions under the Statute. The conference-servicing functions of the Secretariat include the planning, preparation and coordination of the meetings of the Assembly and its subsidiary bodies, and receiving, translating, reproducing and distributing their documents, reports and decisions.

469. In addition, it provides substantive servicing of the Assembly and its subsidiary bodies. The substantive servicing functions include providing legal and substantive secretariat services such as the provision of documentation, reports and analytical summaries, and supplying advice within the Secretariat on legal and substantive issues relating to the work of the Assembly. Other functions include advising on the Financial Regulations and Rules and preparing draft resolutions on financial and budgetary needs.

470. After four years of reductions, the proposed budget for Major Programme IV foresees an increase for 2012, primarily to reflect the increased costs of holding the eleventh session of the Assembly in The Hague, as further explained in paragraph 475 below. The Secretariat will continue to strive to reduce its overall costs through further efficiency measures, especially in the area of translation and reproduction of documentation, and the flexibility granted by the Assembly in its use of GTA posts to service short-term needs.

Objectives

1. Organize quality conferences for the eleventh session of the Assembly in The Hague, and two sessions of the Committee on Budget and Finance in The Hague. In addition, the Secretariat will service meetings of a number of subsidiary bodies of the Assembly, in particular, meetings of The Hague Working Group of the Bureau, and the Oversight Committee for the Permanent Premises.
2. Enable the Assembly and its subsidiary organs to carry out their mandate more effectively by: providing them with quality servicing and support, such as planning and coordinating conference services; preparing, coordinating and submitting documentation; monitoring the compliance of various organs of the Court with regulations governing the timely preparation and submission of documents; identifying and acquiring additional resources to enable the Secretariat to carry out its mandate effectively and efficiently; and ensuring that States Parties have access to conference and documentation services in accordance with the Statute. The Secretariat is also entrusted with assisting the Assembly in attaining the objectives set out in its Plan of action.
3. Research and prepare analytical studies on the application and interpretation of the provisions of the Statute relating to the Assembly and its subsidiary bodies.
4. Enable effective dissemination of documentation and information to States Parties and other interested organizations via, inter alia, the Internet.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1 - Conference held as planned.	- Meetings run smoothly, end on time and adopt reports. - All agenda items are considered. - Participants are supported substantively and logistically at meetings, including with registration, provision of documentation and language services. - Session participants are satisfied with the arrangements and information provided.	n/a
Objective 2 - Quality edited and translated documents released for processing, production and distribution in a timely manner.	- States are provided and satisfied with quality conference services and with the editing, translation and timely issuance of documents, in the six official languages, which fully support them in their functions. - States are assisted as required, in particular with the provision of information and documentation regarding the Assembly and Court.	n/a

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 3 - Quality legal advice provided to the Assembly and its subsidiary bodies.	- States are provided with substantive legal services, especially in the form of documentation, which facilitate and support their work. - Members of the Assembly and relevant bodies are satisfied with the sessions.	n/a
Objective 4 - Effective dissemination of documentation and information to States Parties via, inter alia, the Internet.	- Website and Assembly, CBF and Oversight Committee Extranets are used frequently. - Information and documentation can be accessed without delays.	n/a

Staff resources

Basic resources

471. *One P-2 Associate Legal Officer* (conversion). The workload of substantive servicing of the Assembly and its subsidiary bodies has increased considerably since the Secretariat was established in 2004. There are new bodies, never envisioned in the original framework considered by the Preparatory Commission in 2003, and the number of meetings of these bodies has increased considerably beyond what had been expected. Such bodies include the Bureau, and its Hague and New York Working Groups, as well as the Oversight Committee on Permanent Premises. The length of the sessions of the Committee on Budget and Finance has also increased. Furthermore, the Assembly has also held resumed sessions that were not foreseen in the original configuration of the Secretariat. It should be noted that, although it is based in The Hague, the Secretariat provides substantive servicing of the Presidency of the Assembly, the Bureau and its New York Working Group, via the preparation of pre-session and post-session documentation. The conversion of an existing GTA P-2 Associate Legal Officer post to an established post would allow the Secretariat to provide better support to the Assembly and its subsidiary bodies.

472. *One GS-OL Web Developer Assistant* (new). The establishment of a Web Developer Assistant post, which has been sourced out of general temporary assistance for the past three years, would allow the Secretariat to continue to post documents, papers and publications on the web, and to maintain an Extranet for the Assembly, the Committee on Budget and Finance, and the Oversight Committee, as well as to ensure timely posting of information regarding elections, complementarity developments, press releases, etc.

473. *One P-2 Special Assistant to the President* (new). The increased activities of the President of the Assembly and the need to support a new President and a new Bureau for the triennium 2011-2014 require the establishment of a regular post in New York to support this key position, as the President cannot rely on the resources of his/her United Nations Mission. The new staff member would assist the President with, inter alia, coverage of Assembly-related issues at the United Nations Headquarters, continuous engagement with observer States to promote the universality of the Rome Statute, preparation of speaking notes, background papers, etc. Furthermore, the staff member would provide substantive servicing to the Bureau and the New York Working Group, as well as to sessions of the Assembly, under the direction of the Secretariat of the Assembly. Technical servicing of the Bureau and New York-based subsidiary bodies of the Assembly would continue to be undertaken by the Liaison Office, where the staff member could be co-located.

Table 108: Number of official meetings serviced by the Secretariat in 2010

Bureau	21
Hague Working Group	46
New York Working Group	17
Oversight Committee	32

474. The Secretariat of the Assembly of States Parties expects less documentation for the eleventh session of the Assembly. The preparation of the documentation will require the editing, translation and revision of official documents for the Assembly and the Committee

on Budget and Finance.⁵² The number of FTE for translation and editing per language is two (one translator and one reviser).⁵³ The Secretariat will continue its endeavour to increase efficiencies, inter alia through the outsourcing of translations.

Table 109: Workload indicators for the Secretariat of the Assembly of States Parties

<i>Item</i>	<i>Number of pages in 2010⁽¹⁾</i>	<i>Expected workload 2011</i>	<i>Expected % increase in 2011</i>	<i>Anticipated workload 2012</i>	<i>Expected % increase in 2012</i>	<i>Number of FTE⁽²⁾ 2011</i>	<i>Number of FTE⁽²⁾ 2012</i>
Official documents and papers (English) received for editing, translation and/or revision	3,307	3,200	-3.2	3,200	0.0	2	2
Official documents and papers edited, revised and/or translated into the five other official languages (Arabic, Chinese, French, Russian, Spanish) ⁽³⁾	7,510	5,200	-30.8	5,200	0.0	10	10
Other publications ⁽⁴⁾ (English) edited, translated and/or revised	184	184	0.0	184	0.0	-	-
Other publications ⁽⁴⁾ edited, revised and/or translated into three languages (Arabic, French, Spanish)	552	552	0.0	552	0.0	-	-
Total	11,553	9,136	-20.9	9,136	0.0	12	12

⁽¹⁾ Number of words per page is 300.

⁽²⁾ Translator and reviser per language - commencing full time from July until November/December each year. From January to June the Secretariat relies on outsourcing.

⁽³⁾ As of 2009 most of the documents are only translated into Arabic, French and Spanish.⁵⁴

⁽⁴⁾ In addition to the session documents and official records, a number of publications have been planned and produced: Plan of action seminar booklets; the Assembly Newsletter; and the Assembly Fact Sheet.

General temporary assistance

475. The decrease of 14 per cent in the proposed budget for GTA in comparison to the 2011 approved budget mainly results from the proposal to convert the post of Associate Legal Officer to an established one.

476. The Secretariat will require Special Assistants during the months leading up to and including the annual session of the Assembly. Special Assistants will assist the Director with all the logistical aspects of the meetings of the Assembly, including determining space requirements and equipment needs, registration of delegations and NGO representatives and communicating with delegates.

Temporary assistance for meetings

477. There is a decrease of 11 per cent in temporary assistance for meetings in comparison to the 2011 approved budget, resulting from efficiency measures adopted by the Secretariat. This temporary assistance is required for the registration of delegates, issuance of passes, photocopying of documents on a large scale and their distribution, etc., and generally facilitating delegates' attendance at the session in The Hague.

Non-staff resources

Basic resources

Travel

478. The changes to the travel budget reflect a decrease of 17 per cent in comparison to the 2011 approved budget because of reduced travel costs for Secretariat staff, since the eleventh session of the Assembly will take place in The Hague instead of New York.

⁵² *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. II, part B.2, para. 96.

⁵³ The timely issuance of official documentation by the Secretariat of the Assembly is contingent upon the staggered and orderly submission of draft documents by the Court in accordance with the annual timetable prepared by the Secretariat pursuant to the Manual of Procedures adopted by the Bureau of the Assembly.

⁵⁴ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. I, part III, ICC-ASP/7/Res.6 and ICC-ASP/7/Res.7.

Contractual services

479. The increase of €250,000 in contractual services mainly reflects the cost of organizing the eleventh session of the Assembly in The Hague instead of New York. The cost structure is different from the years when the Assembly sessions are held at United Nations Headquarters, where there is no need to pay for the rental of conference rooms, or for security, badges and other conference services.

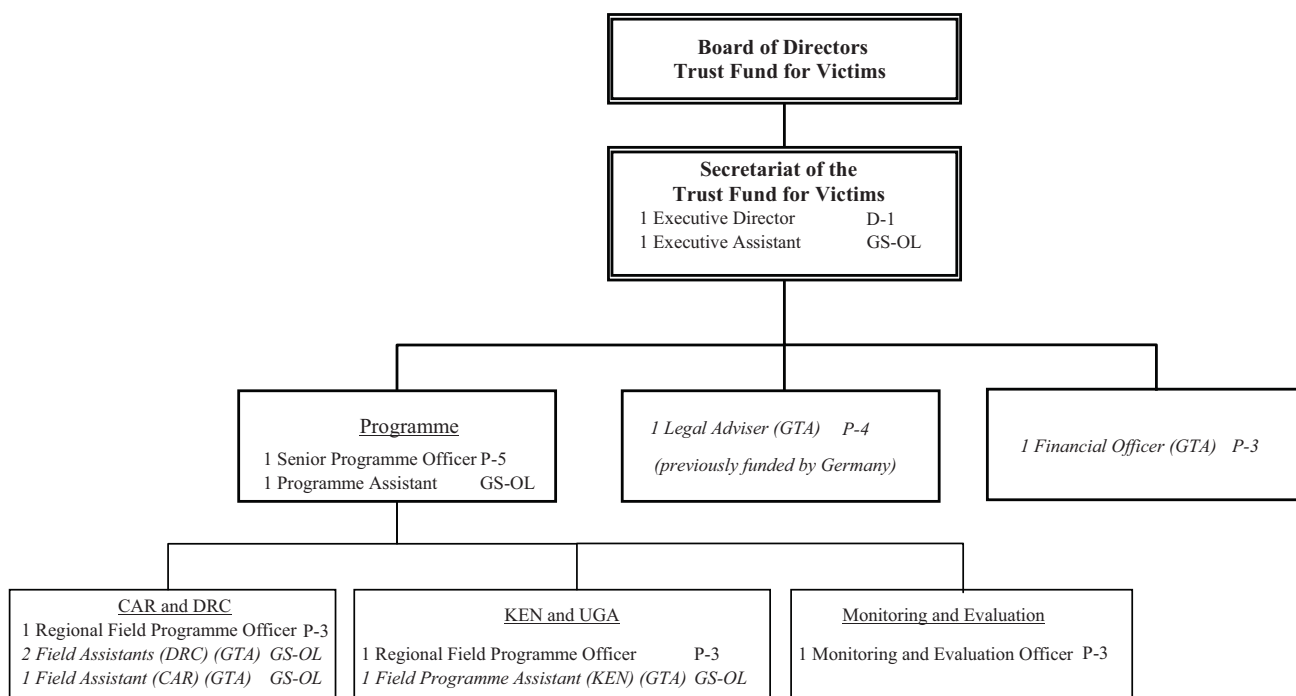
Table 110: Major Programme IV: Proposed budget for 2012

Secretariat of the Assembly of States Parties	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Professional staff	No breakdown available			568.0		568.0	775.3		775.3	207.3	36.5
General Service staff				275.4		275.4	354.2		354.2	78.8	28.6
<i>Subtotal staff</i>	<i>575.0</i>		<i>575.0</i>	<i>843.4</i>		<i>843.4</i>	<i>1,129.5</i>		<i>1,129.5</i>	<i>286.1</i>	<i>33.9</i>
General temporary assistance	507.0		507.0	424.0		424.0	376.4		376.4	-47.6	-11.2
Temporary assistance for meetings	656.1		656.1	625.0		625.0	557.2		557.2	-67.8	-10.8
Overtime	55.5		55.5	38.0		38.0	38.0		38.0		
Consultants	28.4		28.4								
<i>Subtotal other staff</i>	<i>1,247.0</i>		<i>1,247.0</i>	<i>1,087.0</i>		<i>1,087.0</i>	<i>971.6</i>		<i>971.6</i>	<i>-115.4</i>	<i>-10.6</i>
Travel	454.8		454.8	272.8		272.8	225.7		225.7	-47.1	-17.3
Hospitality	13.6		13.6	10.0		10.0	10.0		10.0		
Contractual services	1,223.7		1,223.7	443.0		443.0	693.0		693.0	250.0	56.4
Training				9.0		9.0	9.0		9.0		
General operating expenses	60.6		60.6	29.3		29.3	24.4		24.4	-4.9	-16.7
Supplies and materials	13.7		13.7	23.7		23.7	14.7		14.7	-9.0	-38.0
Equipment including furniture	0.9		0.9	10.0		10.0	5.0		5.0	-5.0	-50.0
<i>Subtotal non-staff</i>	<i>1,767.3</i>		<i>1,767.3</i>	<i>797.8</i>		<i>797.8</i>	<i>981.8</i>		<i>981.8</i>	<i>184.0</i>	<i>23.1</i>
Total	3,589.3		3,589.3	2,728.2		2,728.2	3,082.9		3,082.9	354.7	13.0
Distributed maintenance	20.0		20.0	24.8		24.8	28.0		28.0	3.2	12.9

Table 111: Major Programme IV: Proposed staffing for 2012

Secretariat of the Assembly of States Parties	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
Existing	Basic			1		2	1	1		5	2	2	4	9
	Situation-related													
	<i>Subtotal</i>			<i>1</i>		<i>2</i>	<i>1</i>	<i>1</i>		<i>5</i>	<i>2</i>	<i>2</i>	<i>4</i>	<i>9</i>
New/ Converted	Basic							2		2		1	1	3
	Situation-related													
	<i>Subtotal</i>							<i>2</i>		<i>2</i>		<i>1</i>	<i>1</i>	<i>3</i>
Redeployed/ Reclassified/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total				1		2	1	3		7	2	3	5	12

E. Major Programme VI: Secretariat of the Trust Fund for Victims



Introduction

480. The Trust Fund for Victims (“the Fund”) supports activities which address the harm resulting from the crimes under the jurisdiction of the Court by assisting victims to return to a dignified and contributory life within their communities. The Fund fulfils two mandates: (1) administering reparations ordered by the Court against a convicted person,⁵⁵ and (2) using other resources for the benefit of victims in accordance with the provisions of article 79 of the Rome Statute.⁵⁶ Both mandates provide support to victims of genocide, crimes against humanity and war crimes committed since 1 July 2002.⁵⁷

481. The main priorities of the Fund in 2012 include: improving resource-mobilization and fund-raising results, launching the SAP Grants Management system (which will assist in financial reporting), carrying out an assessment of the Kenya situation, initiating activities in the Central African Republic, evaluating and extending activities in the Democratic Republic of the Congo and northern Uganda as part of developing-country programme-framework strategies, analysing and disseminating results of the victim-impact study, and preparing for Court-ordered reparations.

482. It is envisaged that 2012 will be a vital year for managing the implementation of Court-ordered reparations. Bearing in mind that extending activities to new situations such as Kenya and the Central African Republic will increase the workload of a small Secretariat, support is needed to ensure additional capacity to manage and implement these new priorities, and to maintain programme impact and effectiveness in the DRC and northern Uganda.

483. The eighth meeting of the Board of Directors took place on 21-22 March 2011 in The Hague. The Directors reviewed and approved the proposed 2012 budget and the staffing structure of the Secretariat, including three new GTA positions (Legal Adviser, Financial Officer, and Field Programme Assistant in Kenya). In view of the expected workload in 2012 and the need to guarantee a minimum financial and legal capacity, the current seven established posts and three approved GTA posts, as well as three new requested GTA posts, are necessary in order for the Fund to function effectively.

⁵⁵ Rule 98 (2), (3) and (4) of the Rules of Procedure and Evidence.

⁵⁶ Rule 98 (5) of the Rules of Procedure and Evidence. For more information on the Fund’s legal basis, please see <http://trustfundforvictims.org/legal-basis>.

⁵⁷ As defined in articles 6, 7 and 8 of the Rome Statute.

Objectives

484. The Fund's overall objective is to respond to the priority needs of the most vulnerable victims and their families under the jurisdiction of the Court by providing rehabilitation assistance and/or reparations. The Fund's overall objective can also be aligned with the Court's strategic objectives, including:

1. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)
2. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- Individual and collective reparations paid when ordered by Chambers.	- Mechanism in place for timely delivery, control and reporting on reparation payments.	95% implementation rate
Objective 2		
- Improved resource base by way of increased voluntary contributions, more diversity in contributors and improved predictability of income.	- Fundraising strategy.	Substantial improvement in income (specific targets to be developed in fundraising strategy).
Objective 3		
- Increased awareness of the role and activities of the Trust Fund for Victims.	- Increased use of communication materials by media, partner organizations, intermediaries and other stakeholders.	Improved visibility in public domain and in affected communities.
Objective 4		
- Efficient administrative structure and operations in place in conformity with applicable regulations and rules.	- Satisfactory report from the External Auditor and Internal Auditor.	No remarks from the External Auditor or the Internal Auditor concerning the Secretariat's control and management practices.
Objective 5		
- Enhanced effectiveness of functions, including grants management, Chamber notifications, and tendering.	- Significant improvement in process and systems management for administering projects in coordination with Registry sections such as LASS, Procurement, and Budget and Finance.	Increased transparency and effectiveness of donor resources and grants management in accordance with the Court's Financial Regulations and Rules

Staff resources

Basic resources

Staffing

485. Recurrent.

General temporary assistance

486. One P-4 Legal Adviser (12 months, new). At present, the Secretariat of the Trust Fund for Victims does not have specific funding for a Legal Adviser in the regular budget. Legal expertise on non-administrative matters has been provided until now on a temporary basis. Since April 2010, the Trust Fund has secured earmarked funding through a German voluntary contribution to provide for in-house legal expertise at P-4 level, thus ensuring the appropriate professional background for performance of the varied and complex preparatory tasks involved in implementing Court-ordered reparations. The earmarked funding will expire at the end of December 2011. In view of the anticipated triggering of the Fund's mandate on reparations from 2011 onwards, the availability of appropriate in-house legal expertise will be of fundamental importance to respond to Chambers and satisfy

expectations about the development of the Fund as a credible international instrument of reparative justice.

487. One P-3 Financial Officer (12 months, new). During 2009, the Fund Secretariat operated with a P-5 Senior Administrative Officer, redeployed from the Court Registry for one year, to support financial management in the absence of the Executive Director. Since the recruitment of the Executive Director was finalized in September 2010, the Registrar extended the redeployment of the P-5 Senior Administrative Officer until that date. The position is now back in the Immediate Office of the Registrar. The need for in-house financial management capacity was recognized by the External Auditors in their most recent report submitted to the Assembly during its ninth session in December 2010.

Consultants

488. Provision of expert advice on a wide range of technical issues, including the Fund's visibility and strategic partnership development.

Situation-related resources

Staffing

489. Recurrent.

General temporary assistance

490. One GS-OL G-5 Field Programme Assistant (12 months, new). This incumbent will be based in Kenya to support the Trust Fund's activities in-country, together with the Regional Field Officer for Uganda and Kenya based in Kampala.

491. One GS-OL Field Assistant (CAR; 12 months, continued) in lieu of the approved P-3 Field Programme Officer (CAR). To ensure direct oversight and technical support for the Fund's projects in the Central African Republic, as requested by the Board of Directors.

492. Two GS-OL Field Assistants (DRC; 12 months, continued). To support the development and implementation of the Fund's projects in the DRC, with specific emphasis on project follow-up and logistical and administrative support.

Consultants

493. In addition, consultants will provide assistance to the Fund for conducting outreach activities with victims and affected communities as part of the implementation of reparations, external evaluation and audit of existing programmes, and conducting victim identification and assessments as ordered by the Court. Consultants will also be used to assist with implementation of any Court-ordered reparations, and to carry out external evaluation of general assistance programmes in the DRC, the CAR and northern Uganda.

Non-staff resources

Basic resources

Travel

494. Recurrent. For Board-member travel, fund-raising activities and meetings with donors and partners.

Hospitality

495. Recurrent. For events and receptions aimed at increasing the visibility of the Fund and for resource-mobilization initiatives.

Contractual services

496. Recurrent. Provision includes costs for the annual meeting of the Fund's Board of Directors, the external auditors' fee, and printing of communication materials for the Board of Directors, States Parties and other stakeholders and for the development of tools and media for outreach, visibility and partnership development.

General operating expenses

497. Recurrent. To cover communications and miscellaneous expenses.

Supplies and materials

498. Recurrent. For basic office supplies and other expendable office materials.

Situation-related resources*Travel*

499. Recurrent. For reparation-related travel and visiting projects and partners to support project monitoring, evaluation and reporting. Provision has increased due to greater resource mobilization activities, especially for reparations, and expansion of activities to the CAR and Kenya.

Contractual services

500. Recurrent. For the rental of vehicles in remote areas and external printing of materials for resource-mobilization activities and victim outreach. In addition, services will be needed for the SAP Grant Management support in 2012 and for the research contract for the second phase of the victim-impact survey in the field.

Training

501. Recurrent. For training of staff in programme and project reporting in the Management Information System (MIS).

General operating expenses

502. Recurrent. To cover logistical expenditures, as the Fund's staff are operating in remote, high-risk locations, where basic infrastructure is absent or deficient. The provision includes cost for supplies and materials for the Fund's new offices in the CAR and Kenya.

Table 112: Major Programme VI: Proposed budget for 2012

Secretariat of the Trust Fund for Victims	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Situation- related		Total	Situation- related		Total	Situation- related		Total	Amount	%
	Basic			Basic			Basic				
Professional staff	No breakdown available			159.0	422.4	581.4	167.1	440.3	607.4	26.0	4.5
General Service staff				60.6	60.6	121.2	63.0	63.0	126.0	4.8	4.0
<i>Subtotal staff</i>	<i>105.6</i>	<i>453.5</i>	<i>559.1</i>	<i>219.6</i>	<i>483.0</i>	<i>702.6</i>	<i>230.1</i>	<i>503.3</i>	<i>733.4</i>	<i>30.8</i>	<i>4.4</i>
General temporary assistance	34.6	78.0	112.6		61.2	61.2	244.8	75.6	320.4	259.2	423.5
Temporary assistance for meetings	3.0		3.0								
Overtime	0.4	0.8	1.2								
Consultants				20.5	8.0	28.5	40.0	90.0	130.0	101.5	356.1
<i>Subtotal other staff</i>	<i>38.0</i>	<i>78.8</i>	<i>116.8</i>	<i>20.5</i>	<i>69.2</i>	<i>89.7</i>	<i>284.8</i>	<i>165.6</i>	<i>450.4</i>	<i>360.7</i>	<i>402.1</i>
Travel	78.1	42.8	120.9	97.6	116.4	214.0	56.5	230.9	287.4	73.4	34.3
Hospitality	0.6		0.6	5.0		5.0	5.0		5.0		
Contractual services	89.0	33.9	122.9	83.5	62.0	145.5	116.0	82.0	198.0	52.5	36.1
Training		11.3	11.3	2.6	24.4	27.0	4.6	42.0	46.6	19.6	72.6
General operating expenses	1.0	10.0	11.0	4.9	13.7	18.6	5.0	17.0	22.0	3.4	18.3
Supplies and materials	1.3		1.3	2.8		2.8	3.0		3.0	0.2	7.1
Equipment including furniture		0.0	0.0				10.0		10.0	10.0	
<i>Subtotal non-staff</i>	<i>170.0</i>	<i>98.0</i>	<i>268.0</i>	<i>196.4</i>	<i>216.5</i>	<i>412.9</i>	<i>200.1</i>	<i>371.9</i>	<i>572.0</i>	<i>159.1</i>	<i>38.5</i>
Total	313.6	630.3	943.9	436.5	768.7	1,205.2	715.0	1,040.8	1,755.8	550.6	45.7
Distributed maintenance	4.5	14.1	18.6	5.5	20.6	26.1	6.2	20.3	26.5	0.4	1.7

Table 113: Major Programme VI: Proposed staffing for 2012

Secretariat of the Trust Fund for Victims										Total P- staff and above	GS-PL	GS-OL	Total GS- staff	Total staff
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1					
Existing	Basic			1							1	1	1	2
	Situation-related				1		3				4	1	1	5
	<i>Subtotal</i>			<i>1</i>	<i>1</i>		<i>3</i>				<i>5</i>	<i>2</i>	<i>2</i>	<i>7</i>
New	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Returned	Basic													
	Situation-related													
	<i>Subtotal</i>													
Total			1	1		3					5	2	2	7

F. Major Programme VII-1: Project Director's Office (permanent premises)

Introduction

503. The objective of the Project Director's Office (PDO) is to provide the Court with the necessary permanent premises. In 2009, the activities of the PDO started with the architectural design competition and selection. 2011 concerned the continuation of the design phase of the project, namely the final design phase and the initiation of the tendering for the general contractor. 2012 will focus on the continuation and conclusion of the tender for the general contractor and the contract award. Consequently, the project will move into the construction phase.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1	- Project performs in line with the agreed budget.	100%
- To provide the Court with the necessary permanent premises to meet the organization's strategic goals and objectives.	- Tender for general contractor finalized and contract awarded.	100%
	- Construction of the permanent premises started.	20%

Staff resources

Basic resources

General temporary assistance

504. One GS-OL Document Assistant (3 months, continued). To provide specialized support to prepare the paper documentation system for the execution phase of the project and provide filing and archiving assistance.

Non-staff resources

Basic resources

Travel

505. Recurrent. For meetings with Assembly members, the design firm, and material and service vendors.

Contractual services

506. Recurrent. Costs include external translation services, external printing of communication material, services related to organization of meetings/events.

Training

507. Recurrent. Costs include training in project management for building projects, as part of competency-related development needs, identified in performance appraisal.

General operating expenses

508. Recurrent. Cost related to exhibition of the design, transport costs related to external communication events.

Supplies and materials

509. Recurrent. Cost related to purchase of technical books, publications and subscriptions.

Equipment including furniture

510. Recurrent: For specialist project management software such as Autocad, Indesign, Vector works, Photoshop, etc.

Table 114: Major Programme VII-1: Proposed budget for 2012

Project Director's Office	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			274.8		274.8	287.8		287.8	13.0	4.7
General Service staff				60.6		60.6	63.0		63.0	2.4	4.0
<i>Subtotal staff</i>	<i>315.6</i>		<i>315.6</i>	<i>335.4</i>		<i>335.4</i>	<i>350.8</i>		<i>350.8</i>	<i>15.4</i>	<i>4.6</i>
General temporary assistance	26.4		26.4	16.8		16.8	17.4		17.4	0.6	3.6
<i>Subtotal other staff</i>	<i>26.4</i>		<i>26.4</i>	<i>16.8</i>		<i>16.8</i>	<i>17.4</i>		<i>17.4</i>	<i>0.6</i>	<i>3.6</i>
Travel	14.9		14.9	26.2		26.2	27.8		27.8	1.6	6.1
Hospitality	8.6		8.6	5.0		5.0	5.0		5.0		
Contractual services	9.4		9.4	81.0		81.0	110.0		110.0	29.0	35.8
Training				6.2		6.2	11.9		11.9	5.7	91.9
General operating expenses	20.0		20.0	9.7		9.7	10.0		10.0	0.3	3.1
Supplies and materials				1.9		1.9	3.5		3.5	1.6	84.2
Equipment including furniture	0.5		0.5	10.0		10.0	10.0		10.0		
<i>Subtotal non-staff</i>	<i>53.4</i>		<i>53.4</i>	<i>140.0</i>		<i>140.0</i>	<i>178.2</i>		<i>178.2</i>	<i>38.2</i>	<i>27.3</i>
Total	395.4		395.4	492.2		492.2	546.4		546.4	54.2	11.0
Distributed maintenance	6.7		6.7	8.3		8.3	9.3		9.3	1.0	12.5

Table 115: Major Programme VII-1: Proposed staffing for 2012

Project Director's Office		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic				1		1					2	1	1	3
	Situation-related														
	<i>Subtotal</i>				<i>1</i>		<i>1</i>					<i>2</i>	<i>1</i>	<i>1</i>	<i>3</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total				1		1					2	1	1	3	

G. Major Programme VII-2: Permanent Premises Project - Interest

Introduction

511. The new Major Programme VII-2 has been created in 2011 to report on the interest expected to be paid on loans received by the Court for the Permanent Premises Project. In 2012, the Court estimates it will have to access 16 million euros from the loan. Interest from this loan payment, calculated on a linear basis for the last six months of 2012, amounts to approximately 120,000 euros, which is due in January 2013. Although this amount will be accrued in 2012, it will only be included in the 2013 proposed budget.

H. Major Programme VII-5: Independent Oversight Mechanism

Introduction

512. The Independent Oversight Mechanism (IOM) was established by the Assembly in accordance with article 112, paragraph 4, of the Rome Statute to provide independent, effective and meaningful oversight of the Court. The envisaged scope of the IOM's activities includes investigation, evaluation and inspection; at present, it is only the professional investigative capacity that is being implemented. The additional elements of inspection and evaluation may be brought into operation at a later date, subject to a decision of the Assembly.⁵⁸

513. The investigative function of the IOM includes the receipt and investigation of reports of possible misconduct or serious misconduct, including unlawful acts, by elected officials, staff members and contractors/consultants. The IOM exercises operational independence under the authority of the President of the Assembly, and provides quarterly and annual reports on its activities to the Assembly. The operational mandate of the IOM was adopted at the Assembly's ninth session, and the investigative function is expected to be fully operational by 2012.⁵⁹

Objectives

514. The IOM's overall objective is to provide meaningful and effective oversight of the Court through the provision of an independent, professional and dedicated investigation service. This objective can be subdivided in terms of the one-to-three year strategic objectives of the Court:

1. Maintain and further develop the system in order to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute (SO 2); and
2. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2012</i>
Objective 1		
- To provide effective and efficient oversight of Court personnel whilst seeking to minimize security risks.	- Completion of investigation reports.	As the IOM is in start-up phase, it is not currently possible to estimate the level of activities
Objective 2		
- Ensure procedural fairness and transparency to guarantee rights in the execution of investigative function.	- Compliance with the IOM Manual of Procedures to ensure fairness throughout the investigative process.	As the IOM is in start-up phase, it is not currently possible to estimate the level of activities

⁵⁸ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. I, part II, ICC-ASP/8/Res.1.

⁵⁹ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. I, part III, ICC-ASP/9/Res.5.

Table 116: Major Programme VII-5: Proposed budget for 2012

Independent Oversight Mechanism	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			196.1		196.1	203.3		203.3	7.2	3.7
General Service staff											
<i>Subtotal staff</i>				<i>196.1</i>		<i>196.1</i>	<i>203.3</i>		<i>203.3</i>	<i>7.2</i>	<i>3.7</i>
General temporary assistance	104.2		104.2								
<i>Subtotal other staff</i>	<i>104.2</i>		<i>104.2</i>								
Travel	3.0		3.0	9.0		9.0	22.5		22.5	13.5	150.0
Contractual services							65.0		65.0	65.0	
General operating expenses				39.0		39.0				-39.0	-100.0
Supplies and materials				19.0		19.0	10.0		10.0	-9.0	-47.4
Equipment including furniture				40.0		40.0	20.0		20.0	-20.0	-50.0
<i>Subtotal non-staff</i>	<i>3.0</i>		<i>3.0</i>	<i>107.0</i>		<i>107.0</i>	<i>117.5</i>		<i>117.5</i>	<i>10.5</i>	<i>9.8</i>
Total	107.2		107.2	303.1		303.1	320.8		320.8	17.7	5.8
Distributed maintenance	4.5		4.5	5.5		5.5	6.2		6.2	0.7	13.2

Table 117: Major Programme VII-5: Proposed staffing for 2012

Independent Oversight Mechanism		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic						1		1		2				2
	Situation-related														
	<i>Subtotal</i>						<i>1</i>		<i>1</i>		<i>2</i>				<i>2</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1		1		2				2	

Annexes

Annex I

Draft resolution of the Assembly of States Parties on the proposed programme budget for 2012, the Working Capital Fund for 2012, scale of assessments for the apportionment of expenses of the International Criminal Court, financing appropriations for 2012 and the Contingency Fund

The Assembly of States Parties,

Having considered the proposed programme budget for 2012 of the International Criminal Court and the related conclusions and recommendations contained in the Report of the Committee on Budget and Finance on the work of its seventeenth session,

A. Programme budget for 2012

1. *Approves* appropriations totalling €117,733,000 for the following appropriation sections:

<i>Appropriation section</i>	<i>Thousands of euros</i>
Major Programme I - Judiciary	10,308.3
Major Programme II - Office of the Prosecutor	31,802.7
Major Programme III - Registry	69,916.1
Major Programme IV - Secretariat of the Assembly of States Parties	3,082.9
Major Programme VI - Secretariat of the Trust Fund for Victims	1,755.8
Major Programme VII-1 - Project Director's Office (permanent premises)	546.4
Major Programme VII-2 - Permanent Premises Project – Interest	0.0
Major Programme VII-5 - Independent Oversight Mechanism	320.8
Total	117,733.0

2. *Further approves* the following staffing tables for each of the above appropriation sections:

	<i>Judiciary</i>	<i>Office of the Prosecutor</i>	<i>Registry</i>	<i>Secretariat Assembly of States Parties</i>	<i>Secretariat Trust Fund for Victims</i>	<i>Project Director's Office</i>	<i>Independent Oversight Mechanism</i>	<i>Total</i>
USG		1						1
ASG		2	1					3
D-2								0
D-1		2	4	1	1	1		9
P-5	3	12	17		1			33
P-4	3	29	39	2		1	1	75
P-3	21	44	66	1	3			135
P-2	5	47	61	3			1	117
P-1		17	7					24
<i>Subtotal</i>	<i>32</i>	<i>154</i>	<i>195</i>	<i>7</i>	<i>5</i>	<i>2</i>	<i>2</i>	<i>397</i>

	<i>Judiciary</i>	<i>Office of the Prosecutor</i>	<i>Registry</i>	<i>Secretariat Assembly of States Parties</i>	<i>Secretariat Trust Fund for Victims</i>	<i>Project Director's Office</i>	<i>Independent Oversight Mechanism</i>	<i>Total</i>
GS-PL	1	1	17	2				21
GS-OL	15	63	267	3	2	1		351
<i>Subtotal</i>	<i>16</i>	<i>64</i>	<i>284</i>	<i>5</i>	<i>2</i>	<i>1</i>		<i>372</i>
Total	48	218	479	12	7	3	2	769

B. Working Capital Fund for 2012

The Assembly of States Parties,

Resolves that the Working Capital Fund for 2012 shall be established in the amount of €7,405,983, and *authorizes* the Registrar to make advances from the Fund in accordance with the relevant provisions of the Financial Regulations and Rules of the Court.

C. Scale of assessment for the apportionment of expenses of the International Criminal Court

The Assembly of States Parties,

Decides that, for 2012, the contributions of States Parties shall be assessed in accordance with an agreed scale of assessment, based on the scale adopted by the United Nations for its regular budget applied for 2012 and adjusted in accordance with the principles on which that scale is based.¹

Notes that, in addition, any maximum assessment rate for the largest contributors applicable for the United Nations regular budget will apply to the International Criminal Court's scale of assessments.

D. Financing appropriations for 2012

The Assembly of States Parties,

Resolves that, for 2012, budget appropriations amounting to €117,733,000 and the amount for the Working Capital Fund of €7,405,983, approved by the Assembly under part I, paragraph 1, and part II, respectively, of the present resolution, be financed in accordance with regulations 5.1, 5.2 and 6.6 of the Financial Regulations and Rules of the Court.

E. Contingency Fund

The Assembly of States Parties,

Recalling its resolutions ICC-ASP/3/Res. 4 establishing the Contingency Fund in the amount of €10,000,000 and ICC-ASP/7/Res. 4 that requested the Bureau to consider options for replenishing both the Contingency Fund and the Working Capital Fund,

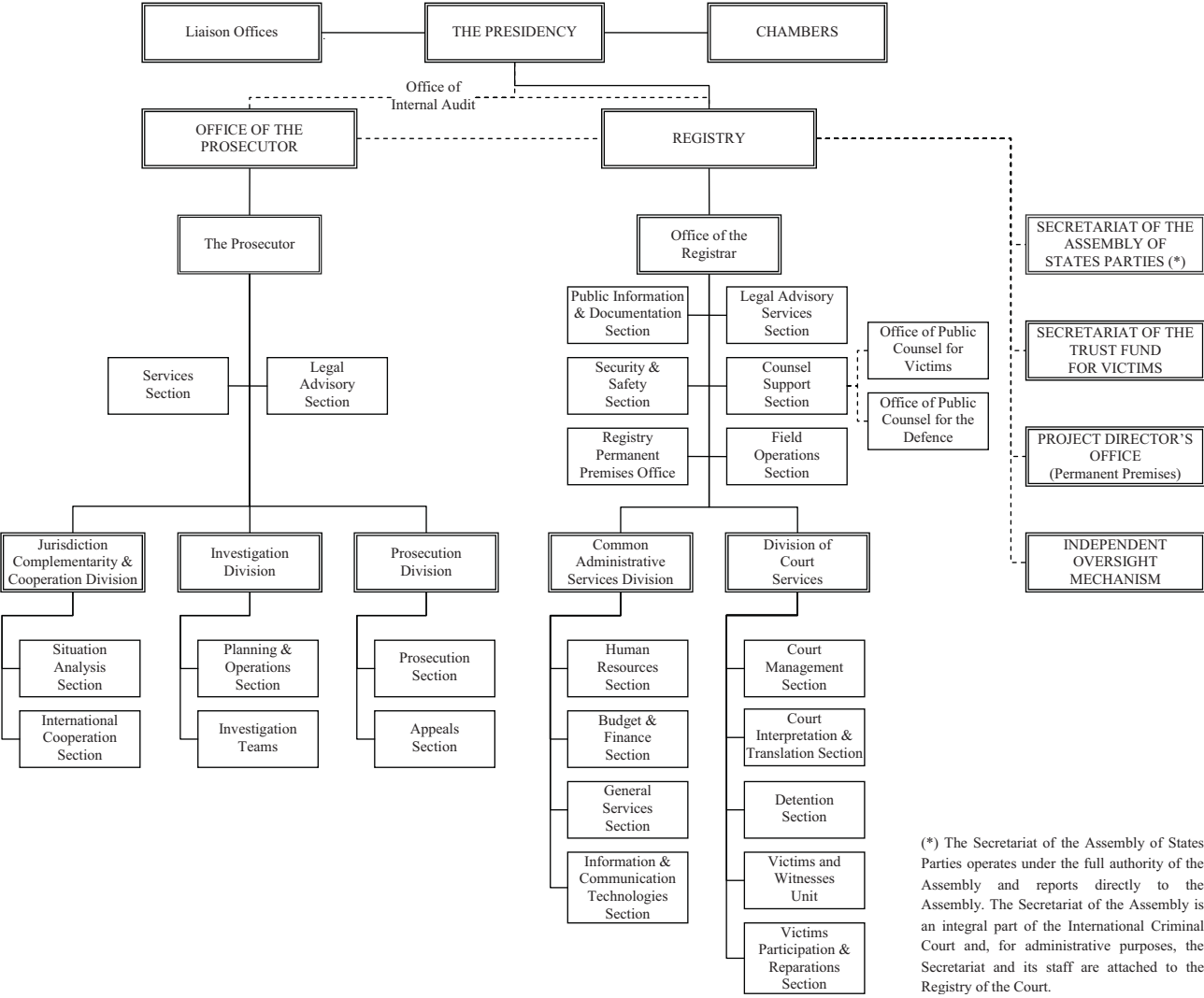
Taking note of the advice of the Committee on Budget and Finance in the reports on the work of its eleventh and thirteenth sessions,

- Decides* to maintain the Contingency Fund at its current level for 2012;
- Decides* that, should the Fund reach a level below €7 million by the end of the year, the Assembly should decide on its replenishment up to an amount it deems appropriate, but no less than €7 million;
- Requests* the Bureau to keep the €7 million threshold under review in light of further experience on the functioning of the Contingency Fund.

¹ Rome Statute of the International Criminal Court, article 117.

Annex II

Organizational structure of the Court



Annex III

Assumptions for the proposed programme budget for 2012

<i>Function</i>	<i>Total</i>
Number of court days in 12 months	215
Number of witnesses appearing in court	59
Number of expert witnesses giving evidence	2
Number of support persons to witnesses	5
Maximum duration of stay per witness	15
Number of accused in custody *	5
Number of defence teams **	7
Number of victims' representatives	12
Number of cells required	6
Number of field presences	5
Extension of judges (in months)	19

* Number of defendants: 7.

** Legal aid fees only. Including Bemba.

Annex IV

List of strategic goals and one-to-three years strategic objectives of the International Criminal Court

<i>Goal 1: A Model of International Criminal Justice</i>	<i>Goal 2: A Well-Recognized and Adequately Supported Institution</i>	<i>Goal 3: A Model of Public Administration</i>
Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants.	Further enhance awareness of, effect a correct understanding of, and increase support for the Court.	Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficient qualified and motivated staff within a caring environment and a non-bureaucratic culture.
1. Conduct 4 to 5 new investigations into cases, within existing or new situations, and at least 4 trials, subject to external cooperation received.	4. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities.	8. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks.
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute.	5. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences.	9. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties.
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity.	6. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence.	10. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality.
	7. Ensure publicity of all proceedings for local and global audiences.	11. Continue the development of a common ICC culture.

Annex V(a)**Proposed Court staffing in 2012 by major programme**

<i>Total Court</i>	<i>USG</i>	<i>ASG</i>	<i>D-2</i>	<i>D-1</i>	<i>P-5</i>	<i>P-4</i>	<i>P-3</i>	<i>P-2</i>	<i>P-1</i>	<i>Total P-staff and above</i>	<i>GS-PL</i>	<i>GS-OL</i>	<i>Total GS-staff</i>	<i>Total staff</i>
Major Programme I					3	3	21	5		32	1	15	16	48
Major Programme II	1	2		2	12	29	44	47	17	154	1	63	64	218
Major Programme III		1		4	17	39	66	61	7	195	17	267	284	479
Major Programme IV				1		2	1	3		7	2	3	5	12
Major Programme VI				1	1		3			5		2	2	7
Major Programme VII-1				1		1				2		1	1	3
Major Programme VII-5						1		1		2				2
Grand total	1	3	0	9	33	75	135	117	24	397	21	351	372	769

Annex V(b)**Changes to the staffing table**

<i>Number of posts</i>	<i>Level</i>	<i>From 2011 approved budget</i>	<i>To 2012 proposed budget</i>
Office of the Prosecutor			
Situation - related			
1	P-3	Jurisdiction, Complementarity and Cooperation Division	Planning and Operations Section
1	P-2	Planning and Operations Section	Jurisdiction, Complementarity and Cooperation Division
1	P-2	Planning and Operations Section	Prosecutions Division
2	P-4	Investigation Teams	Prosecutions Division
1	P-3	Investigation Teams	Prosecutions Division
6			
Registry			
Basic			
1	P-2	General Services Section	Outreach Unit
1			
Situation-related			
1	GS-OL	Security and Safety Section	Human Resources Section
1	GS-OL	Security and Safety Section	Budget and Finance Section
2			
3			
Total = 9			

Annex V(c)**List of reclassifications of General Service category posts 2011**

<i>Number of posts</i>	<i>Level</i>		<i>Section</i>	<i>Functional title</i>
	<i>Previous</i>	<i>New/Approved</i>		
Office of the Prosecutor				
1	G-4	G-5	Services Section	Finance and General Administration Assistant
1				
Registry				
3	G-4	G-5	Human Resources Section	Staffing Assistant
1	G-3	G-4	General Services Section	Facilities Services Clerk
1	G-2	G-3	General Services Section	Handyman
3	G-5	G-6	Budget and Finance Section	Senior Budget and Finance Assistant
1	G-4	G-5	Court Interpretation and Translation Section	Administrative Assistant (Interpretation)
1	G-4	G-5	Court Interpretation and Translation Section	Administrative Assistant (Field Interpretation)
3	G-4	G-5	Victims Participation and Reparations Section	Field Assistant
13				
Total = 14				

Annex V(d)**Posts converted from GTA**

<i>Number of posts</i>	<i>Level</i>	<i>Sub-programme/Section</i>	<i>Post title</i>
Secretariat of Assembly of States Parties			
Basic			
1	P-2	Secretariat of Assembly of States Parties	Associate Legal Officer
1			
Total = 1			

Annex V(e)**Salary and entitlements for 2012 – judges (thousands of euros)**

<i>Presidency</i>	<i>Costs</i>
Special allowance President and Vice-Presidents	28.0
Chambers: 18 judges	
Standard salary costs	2,930.0
<i>Judges' pension (pending Allianz quotation)</i>	1,724.3
Common costs	125.0
<i>Subtotal Chambers</i>	4,779.3
Additional requirements 2012	
Provision for costs relating to end of term and newly elected judges	304.6
<i>Subtotal additional requirements</i>	304.6
Total Judiciary	5,111.9

Annex V(f)

Standard salary costs for 2012 - Professional and General Service staff at headquarters (thousands of euros)

<i>Post level</i>	<i>Net salary</i>	<i>Common staff costs</i>	<i>Representation allowance</i>	<i>Total</i>
	[1]	[2]	[3]	[1]+[2]+[3]=[4]
USG	164.4	78.1	4.0	246.5
ASG	143.7	68.3	3.0	215.0
D-1	125.9	59.8		185.7
P-5	109.0	51.8		160.7
P-4	90.9	43.2		134.1
P-3	75.2	35.7		110.9
P-2	62.2	29.6		91.8
P-1	62.2	29.6		91.8
GS-PL	59.6	28.3		87.9
GS-OL	47.5	22.6		70.0

Delayed recruitment factors:

- (a) Existing Professional and General Service posts in MP II: 8%
- (b) Existing Professional and General Service posts in all other MPs: 10%

<i>Post level</i>	<i>Delayed recruitment factors</i>		
	<i>(0%)</i>	<i>(8%)</i>	<i>(10%)</i>
USG	246.5	226.8	221.9
ASG	215.0	197.8	193.5
D-1	185.7	170.8	167.1
P-5	160.7	147.9	144.7
P-4	134.1	123.4	120.7
P-3	110.9	102.0	99.8
P-2	91.8	84.5	82.6
P-1	91.8	84.5	82.6
GS-PL	87.9	80.8	79.1
GS-OL	70.0	64.4	63.0

Annex VI

Summary table by object of expenditure

Total ICC	Expenditure 2010 (thousands of euros)					Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)			Resource growth 2012 vs 2011	
	Basic	Situation- related	Total*	Cont. Fund	Total incl.CF**	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Judges	6,021.2		6,021.2		6,021.2	5,757.2		5,757.2	5,111.9		5,111.9	-645.3	-11.2
Professional staff	No breakdown available					18,765.1	20,906.5	39,671.6	19,912.0	21,896.4	41,808.4	2,136.8	5.4
General Service staff						10,688.4	9,489.1	20,177.5	11,262.6	9,742.4	21,005.0	827.5	4.1
<i>Subtotal staff</i>	<i>27,047.4</i>	<i>28,698.9</i>	<i>55,746.3</i>		<i>55,746.3</i>	<i>29,453.5</i>	<i>30,395.6</i>	<i>59,849.1</i>	<i>31,174.6</i>	<i>31,638.8</i>	<i>62,813.4</i>	<i>2,964.3</i>	<i>5.0</i>
General temporary assistance	3,393.8	5,478.9	8,872.7	2,537.5	11,410.2	2,215.7	6,734.4	8,950.1	2,604.1	10,908.0	13,512.1	4,562.0	51.0
Temporary assistance for meetings	944.5	72.9	1,017.4	1,158.1	2,175.5	1,060.7	292.5	1,353.2	589.2	429.9	1,019.1	-334.1	-24.7
Overtime	296.9	60.2	357.1	3.6	360.7	288.9	155.3	444.2	297.4	120.8	418.2	-26.0	-5.9
Consultants	151.6	329.0	480.6	232.4	713.0	178.8	303.7	482.5	415.3	421.2	836.5	354.0	73.4
<i>Subtotal other staff</i>	<i>4,786.8</i>	<i>5,941.0</i>	<i>10,727.8</i>	<i>3,931.6</i>	<i>14,659.4</i>	<i>3,744.1</i>	<i>7,485.9</i>	<i>11,230.0</i>	<i>3,906.0</i>	<i>11,879.9</i>	<i>15,785.9</i>	<i>4,555.9</i>	<i>40.6</i>
Travel	1,189.1	3,350.2	4,539.3	158.5	4,697.8	1,095.4	3,506.4	4,601.8	1,177.9	4,309.6	5,487.5	885.7	19.2
Hospitality	46.8		46.8		46.8	57.0		57.0	57.0		57.0		
Contractual services	2,951.1	1,218.6	4,169.7	452.2	4,621.9	1,925.2	1,591.8	3,517.0	2,500.4	1,732.8	4,233.2	716.2	20.4
Training	446.9	403.8	850.7		850.7	466.5	483.3	949.8	678.3	333.2	1,011.5	61.7	6.5
Counsel for Defence		2,896.0	2,896.0		2,896.0		1,099.4	1,099.4		3,583.2	3,583.2	2,483.8	225.9
Counsel for Victims		1,235.0	1,235.0		1,235.0		1,611.8	1,611.8		3,990.5	3,990.5	2,378.7	147.6
General operating expenses	5,629.5	5,472.7	11,102.2	190.9	11,293.1	6,438.2	6,205.7	12,643.9	6,201.7	6,385.4	12,587.1	-56.8	-0.4
Supplies and materials	622.4	435.7	1,058.1		1,058.1	860.0	420.5	1,280.5	882.3	434.5	1,316.8	36.3	2.8
Equipment including furniture	369.9	655.2	1,025.1	406.2	1,431.3	607.5	402.9	1,010.4	1,725.0	30.0	1,755.0	744.6	73.7
<i>Subtotal non-staff</i>	<i>11,255.7</i>	<i>15,667.2</i>	<i>26,922.9</i>	<i>1,207.8</i>	<i>28,130.7</i>	<i>11,449.8</i>	<i>15,321.8</i>	<i>26,771.6</i>	<i>13,222.6</i>	<i>20,799.2</i>	<i>34,021.8</i>	<i>7,250.2</i>	<i>27.1</i>
Total	49,111.1	50,307.1	99,418.2	5,139.4	104,557.6	50,404.6	53,203.3	103,607.9	53,415.1	64,317.9	117,733.0	14,125.1	13.6

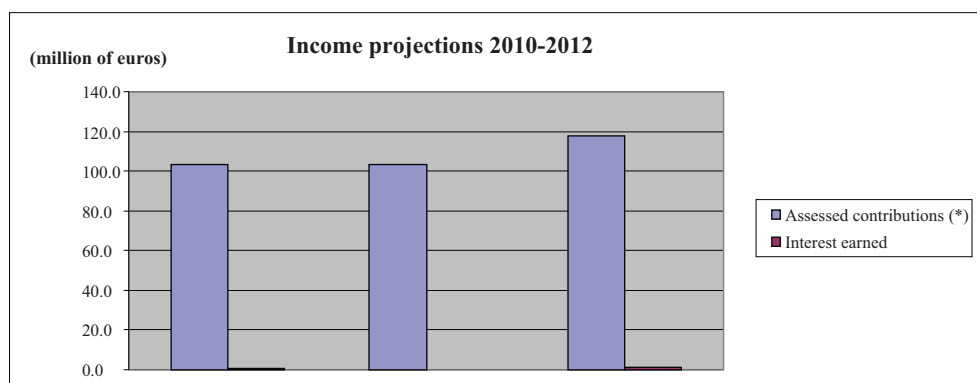
* Including expenditure for Review Conference, as approved in 2010 budget.

** Not including expenditure for the African Union Liaison Office.

Annex VII

Income projections 2010-2012 (millions of euros)

Description	2010	2011	2012
Assessed contributions	103.6	103.6	117.7
Interest earned	0.4	0.2	0.5
Total	104.0	103.8	118.2



Annex VIII

2012 estimated income statements

Internship and Visiting Professionals Programme

<i>Item</i>	<i>Euros</i>
Estimated income 2012	
Donor contributions	1,179,839
<i>Subtotal income</i>	<i>1,179,839</i>
Estimated expenditure 2012	
Staffing cost	140,000
Interns and visiting professionals	616,350
Travel	180,000
General operating expenses	99,528
Supplies and materials	66,775
Administration costs	77,186
<i>Subtotal expenditure</i>	<i>1,179,839</i>
Net income 2012	0

Least-Developed Countries Trust Fund

<i>Item</i>	<i>Euros</i>
Estimated income 2012	
Donor contributions	60,000
<i>Subtotal income</i>	<i>60,000</i>
Estimated expenditure 2012	
Travel	52,200
Administration costs	7,800
<i>Subtotal expenditure</i>	<i>60,000</i>
Net income 2012	0

Annex IX

Proposed budget for 2012 for the African Union Liaison Office (AULO)

In line with resolution ICC-ASP/9/Res.4, section IX,¹ no resources have been allocated to the African Union Liaison Office in the 2012 programme budget. In the event that the African Union should agree to the Court's request to open a liaison office in Addis Ababa, Ethiopia, the Court will notify the Committee on Budget and Finance of the requirement for access to the Contingency Fund up to the amount in the Court's proposed budget for 2011 of €420,900 for the purposes of proceeding with the establishment of the AULO.

African Union Liaison Office	Expenditure 2010 (thousands of euros)			Approved Budget 2011 (thousands of euros)			Proposed Budget 2012 (thousands of euros)		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
<i>Judges</i>	3.6		3.6						
Professional staff							165.4		165.4
General Service staff							63.0		63.0
<i>Subtotal staff</i>	23.5		23.5				228.4		228.4
General temporary assistance	0.1		0.1				69.9		69.9
Temporary assistance for meetings									
Overtime									
Consultants									
<i>Subtotal other staff</i>	0.1		0.1				69.9		69.9
Travel	11.5		11.5				15.2		15.2
Hospitality							1.0		1.0
Contractual services							15.6		15.6
Training									
General operating expenses							49.6		49.6
Supplies and materials							5.0		5.0
Equipment including furniture							47.7		47.7
<i>Subtotal non-staff</i>	11.5		11.5				134.1		134.1
Total	38.7		38.7				432.4		432.4

¹ Official Records ... Ninth session ... 2010 (ICC-ASP/9/20), vol. I, part III, ICC-ASP/9/Res.4.

Annex X

Rent and maintenance of interim premises, July to December 2012

The host State's agreement to provide rent-free interim premises to the Court at The Hague ends on 30 June 2012.¹ Thus, from 1 July 2012 the Court will itself have to bear the rental and maintenance costs of the interim premises.

The table below shows the rent and maintenance costs to be paid by the host State in 2011. Pending final negotiations, and assuming that costs remain unchanged, the cost of six months' rental and maintenance that the Court will have to bear for the second half of 2012 will be €3,112,500.

This can be divided into:

Rent..... €2,762,500

Maintenance €350,000

The figure for maintenance does not include user-maintenance costs, which are budgeted annually under Sub-programme 3250 (General Services Section), under the head of general operating expenses.

Rent 2011	<i>2011</i>
Rent ARC-A	2,450,000
Rent ARC-C	980,000
Rent HAAGSE VESTE	1,675,000
Rent REGULUSWEG	13,000
Rent SATURNUSSTRAAT CAR PARK	330,000
Rent MAANWEG UNNUMBERED	32,500
Rent WEGASTRAAT SATELLITE-VAN PARKING LOT	44,500
<i>Subtotal rent</i>	<i>5,525,000</i>
Maintenance	
ARC Based on experience/forecast	400,000
HAAGSE VESTE Based on new maintenance contracts	300,000
<i>Subtotal maintenance</i>	<i>700,000</i>
Total in 2011	6,225,000
Total estimate for months July to Dec. 2012, based on 2011 figures	3,112,500

¹ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), part B.1, para. 105; and *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), part B.2, paras. 138-139.

Annex XI

The functions of the Senior Executive Officer within the Immediate Office of the Registrar

Background

1. In 2004 a Budget and Control Section for the Court was created and a P-5 Chief of Section was competitively recruited. The section was responsible for budget preparation, and exercised a monitoring and controlling function for the whole Court.
2. In 2006, the section was reorganized to become the Office of the Controller under the direct supervision of the Registrar, in line with the recommendation of the Committee on Budget and Finance (“the Committee”) at its third session in August 2004, “to ensure a closer relationship with him [the Registrar], given the importance of the planning, budgeting and control function”.¹
3. In 2008, the Office of the Controller was merged with the Budget and Finance Section and posts in the Office were redeployed to the Common Administrative Services Division (CASD), mainly to the Budget and Finance and Human Resources Sections. As a result, there were two P-5 posts in the reorganized Budget and Finance Section.
4. The report of the External Auditor, issued the same year in July 2008, recommended providing the Secretariat of the Trust Fund for Victims (“the Secretariat”) with adequate administrative resources.² Furthermore, the Director of Internal Audit stated in her audit report,³ issued in August 2008, that the Secretariat’s administrative management required a permanent high-level post.
5. Subsequently, at its eleventh session in September 2008, the Committee proposed that the Assembly approve the recommendations contained in the External Auditor’s report and that the Court ensure their full implementation.⁴ The Committee further recommended that one of the two P-5 posts in the reorganized Budget and Finance Section be redeployed from the Registry to the Secretariat.⁵
6. In November 2008, the Assembly approved a one-year redeployment of the P-5 from the Registry to the Secretariat for the year 2009.⁶
7. Accordingly, the P-5 was assigned to the Secretariat to carry out budgetary, controlling, financial and administrative functions during 2009.
8. Subsequently, the Assembly approved in the Court’s programme budget for 2010 the return of the P-5 post to the Immediate Office of the Registry.⁷
9. Considering the continuing need for administrative and financial expertise within the Secretariat, in particular due to the then three vacant posts of the Executive Director, the Monitoring and Evaluation Officer and the Executive Assistant, and in line with the recommendations made by the External Auditor and the Committee for the years 2009 and 2010, the Registrar maintained her active support to the Secretariat in 2010 and tasked the P-5 Senior Executive Officer with assisting the Secretariat and the Fund until the new Executive Director took up his duties.⁸ The Registrar’s decision was also supported by and

¹ *Official Records ... Third session ... 2004* (ICC-ASP/3/25), part II, A.8.b, para. 81.

² *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol.II., part C.2, inter alia paras. 6 and 16, and recommendations 2 and 3.

³ Administrative functioning of the Secretariat of the Trust Fund for Victims, OIA 07.08.

⁴ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. II.B.2, para. 19.

⁵ *Ibid.*, para. 83.

⁶ *Ibid.*, vol. I, part II.E.2, para.23.

⁷ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol.II. part A, para. 383 and annex V(b).

⁸ Furthermore, the recent External Auditor’s report entitled: “The audit of the financial statements of the Trust Fund for Victims”, issued in July 2010 (ICC-ASP/9/14), confirmed the Fund’s continued need for adequate financial management and oversight. The External Auditor wrote: “The Fund has been operating with a P-5 Administrative Officer to cover the financial operations ... We understand that with the appointment of a new Director the administrative post will no longer exist within the Secretariat of the Trust Fund and return to the Immediate Office of the Registrar, with current administrative and financial responsibilities transferring to the new Director and Executive Assistant. It will be important to ensure that the necessary level of financial expertise is not lost with the removal of the P-5 administrative role, and that the new Director will have sufficient resources available to cover the necessary financial and administrative functions. The expected growth in voluntary

in line with resolution ICC-ASP/3/Res.7 of September 2004, in which the Assembly requested the Registrar to “provide such assistance as is necessary for the proper functioning of the Board and the Secretariat.”⁹

10. Since 2008, when the current Registrar took up office in April, and the Office of the Controller was merged with the Budget and Finance Section, the Court has continued growing, with a significant increase in staff. Furthermore, all sections have intensified their operations, particularly since the start of the first trials in early 2009; and important decisions with administrative implications were taken, such as the Assembly’s resolution ICC-ASP/7/Res.1 on permanent premises of 21 November 2008.¹⁰

11. This increase in staff, operations and budget particularly affects the Registry, which is “responsible for the non-judicial aspects of administration and servicing of the Court, without prejudice to the functions and powers of the Prosecutor in accordance with article 42” (article 43 of the Rome Statute).

12. As a result, resource monitoring and planning within the areas of the Registrar’s responsibility have become ever more complex. To remain effective and address this challenge, the Registrar has identified a continuing need for high-level expertise within her Immediate Office. Learning from the experience of comparable international institutions, she has come to the conclusion that an added layer of independent oversight will strengthen the financial governance of the Court.

13. She has therefore tasked the P-5 Senior Executive Officer with developing – together with other members of the Court - an activity-based cost distribution model (ABCD) to identify case- and phase-related costs (examples would be the costs of the *Abakaer Nourain/Jerbo Jamus* case in the pre-trial phase; the *Bemba* case in the trial phase; the *Lubanga* case in the appeals phase). To begin with, the Senior Executive Officer is currently building the ABCD model for the Office of the Registrar and the other offices administratively linked to the Registry.

14. The Registrar’s use of the P-5 Senior Executive Officer thus addresses the possible risks identified in an internal audit on budget control of March 2010,¹¹ which criticized a lack of organ/divisional-level financial overview to facilitate better financial planning and resources allocation and a lack of ongoing oversight and accountability mechanism to challenge programme managers on how they use the approved budgetary resources in meeting their objectives.

15. The Registrar also seeks to address other administrative challenges, in particular those mentioned in the internal audit report of March 2010,¹² which recommends, inter alia, introducing regular budgetary review meetings at the division and organ level. Such review meetings would provide a platform for more accountability and closer oversight of programme activities. The Registrar endorses this recommendation and proposes that the Senior Executive Officer supports her in the preparation and evaluation of mid- and year-end performance reviews.

16. Furthermore, the Senior Executive Officer has been assigned to ensure the implementation of the internal and external auditors’ recommendations made since 2008; to validate the implementation of these audit recommendations for which the Registry has direct responsibility; and to report on their status to the Registrar, the Deputy Registrar, the Office of Internal Audit and the Audit Committee.

17. Lastly, the Court’s Coordination Council decided in January 2011 to designate the Senior Executive Officer as overall manager of the Court’s trust funds and special accounts, including responsibility for any required adjustments of the Court’s SAP system. Currently, the Senior Executive Officer is designing and testing an improved budgetary control system for trust and special funds in SAP to strengthen control over extrabudgetary funds and facilitate financial reporting.

contributions once the full online donation facility is functional, and the expectations that Court reparations will be ordered in the near future, will increase the need to ensure adequate financial management and oversight.” See also the Committee’s recommendations in the *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. II.B.2, paras. 83 and 103, and in the *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II.B.2, para. 106.

⁹ *Official Records ... Third session ... 2004* (ICC-ASP/3/25), part III, ICC-ASP/3/Res.7, para. 3.

¹⁰ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. I, part III, ICC-ASP/7/Res.1.

¹¹ Audit on Budget Control, Audit Project number OIA.03-A.09 of 8 March 2010, page 20.

¹² *Ibid.*, p. 21.

Annex XII

Introduction to programme and sub-programme functions

- A. Major Programme I: Judiciary
 - 1. Programme 1100: Presidency
 - (a) Administrative function: administration of the Court and coordination among the organs.
 - (b) Judicial function: support to Chambers, enforcement of sentences and other functions conferred upon the Presidency in accordance with the Statute and subsidiary texts.
 - (c) External relations function: broaden the understanding of the work of the Court, and coordinate the Court's external communication activities.
 - 2. Programme 1200: Chambers
 - (a) Pre-trial Division
 - (b) Trial Division
 - (c) Appeals Division
 - 3. Programme 1300: Liaison Offices
 - (a) New York Liaison Office: Enhance interaction and facilitate cooperation between the Court and the United Nations.
- B. Major Programme II: Office of the Prosecutor
 - 1. Programme 2100: The Prosecutor
 - (a) Sub-programme 2110: Immediate Office of the Prosecutor / Legal Advisory Section
 - (i) Strategic function: directs strategies underpinning the Prosecutorial Strategy; evaluation of legal standards and policy; human resource deployment strategy.
 - (ii) Advice function: legal advice to the operational divisions of the Office and coordination of the legal academic network.
 - (b) Sub-programme 2120: Services Section
 - (i) General Administration Unit: budgetary and financial matters, human resources administration and operational support to joint teams and divisions.
 - (ii) Language Services Unit: translation and field interpretation services pertaining to OTP operations.
 - (iii) Knowledge-Base Unit: OTP-specific technology-based services.
 - (iv) Information and Evidence Unit: physical evidence, potential trial exhibits and referrals management.
 - 2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division
 - (a) Situation Analysis
 - (i) Operational function: analysis of situations of interest (new and existing) in terms of interests of justice, complementarity and admissibility issues; analyses of incoming communications and referrals under articles 15 and 53 of the Statute. Provides a dedicated situation analysis capacity to the joint teams for each situation.
 - (ii) Advice function: prepares substantive reports and recommendations for Executive Committee.

- (b) International Cooperation
 - (i) External relations function: international network building, cooperation agreements negotiations and situation-related cooperation. Coordinates requests for assistance.
 - (ii) Judicial Cooperation: coordinates judicial assistance.
 - (iii) International Cooperation and Arrest: implements international cooperation strategies, promotes national efforts and general cooperation on arrest issues.
 - (iv) Provides dedicated international cooperation advisory capacity to each of the joint teams.
 - 3. Programme 2300: Investigation Division
 - (a) Sub-programme 2320: Planning and Operations Section
 - (i) Operational and Investigative Support Unit: support and monitor field operations and security procedures. Provide forensic expertise and working and non-working-language transcriptions and metadata entry resources.
 - (ii) Gender and Children Unit: advice and support in relation to victim/witness issues.
 - (iii) Investigative Strategies and Analysis Unit: develops investigative strategies, conducts crime analysis. Develops investigative and analytical operating standards and further develops networks with national agencies and law enforcement bodies.
 - (b) Sub-programme 2330: Investigation Teams
 - (i) Operational function: comprises the investigative and field operations capacity of the situation-specific joint teams responsible for evidence collection in the field and implementing the investigative strategies and plans.
 - (ii) Dedicated resources collaborate with the Trial Team during the trial and appeals phases.
 - 4. Programme 2400: Prosecution Division
 - (a) Prosecution
 - (i) Judicial function: litigates cases before the Pre-Trial and Trial Divisions, drafts documents containing charges under article 61, paragraph (3) (a), of the Statute and prepares legal submissions.
 - (ii) Advice function: provides legal guidance to the joint teams in developing investigative strategies and case preparation.
 - (b) Appeals
 - (i) Judicial function: litigates in appellate proceedings; prepares legal submissions concerning interlocutory and final appeals and presents oral arguments on appeal.
 - (ii) Advice function: provides legal advice to the Office on appeals and potential appeals.
- C. Major Programme III: Registry
- 1. Programme 3100: Office of the Registrar
 - (a) Sub-programme 3110: Immediate Office of the Registrar
 - (i) Advice function: legal advice to the Registrar and other Court organs. Prepares, negotiates and reviews legal instruments and internal policies and guidelines.
 - (ii) External relations function: liaises with host State on implementation of Headquarters Agreement. Monitors States Parties' cooperation and enactment of legislation.

- (b) Sub-programme 3120: Office of Internal Audit
 - (i) Operational function: determines if financial transactions are being used economically, efficiently, effectively and in compliance with the applicable legislative authority, regulations and rules.
- (c) Sub-programme 3130: Legal Advisory Services Section
 - (i) Operational function: ensure unified and coordinated approach to legal issues common to all organs of the Court; protect the legal interests of the Court and its staff and minimize legal exposure; negotiate and prepare legal instruments including contracts, international agreements and memoranda of understanding; draft and review internal policies and guidelines; represent the Court in legal proceedings.
 - (ii) Advice function: provide legal advice to the Registrar and the sections and/or units of the Registry, and other officials of the Court, on questions regarding the general legal framework which governs the work of the Court relevant to the duties of the Registrar.
- (d) Sub-programme 3140: Security and Safety Section
 - (i) Operational function: responsible for the Court's physical security.
 - (ii) Advice function: general safety advice.
- (e) Sub-programme 3160: Registry Permanent Premises Office
 - (i) Operational function: ensuring that the Court's requirements are met, including quality, schedule and financial control.
 - (ii) Advice function: architectural, functional and technical aspects of new premises.
- (f) Sub-programme 3180: Field Operations Section
 - (i) Operational function: coordinates field offices' activities and monitors their management.
 - (ii) Advice function: advice on field operations' activities.
- (g) Sub-programme 3190: Counsel Support Section
 - (i) Operational function: assists persons seeking legal assistance and defence teams and legal teams for victims, ensures independence of defence teams and legal teams for victims and communicates with other organs and interlocutors outside the Court.
- (h) Sub-programme 3191: Office of Public Counsel for the Defence
 - (i) Operational function: provides support and assistance to defendants and defence teams, and to Chambers.
 - (ii) Office independent of the Registrar.
- (i) Sub-programme 3192: Office of Public Counsel for Victims
 - (i) Operational function: provides support and assistance to the victims and legal representatives of the victims.
 - (ii) Functions independently of the Registrar.

2. Programme 3200: Common Administrative Services Division
 - (a) Sub-programme 3210: Office of the Director
 - (b) Sub-programme 3220: Human Resources Section
 - (i) Recruitment Unit
 - (ii) Staff Administration and Monitoring Unit
 - (iii) Training and Development Unit
 - (iv) Health and Welfare Unit
 - (c) Sub-programme 3240: Budget and Finance Section
 - (i) Accounts Unit: financial management
 - (ii) Payroll Unit
 - (iii) Disbursements Unit
 - (iv) Treasury Unit
 - (v) Contributions Unit
 - (vi) Budget Unit: budget preparation and management
 - (d) Sub-programme 3250: General Services Section
 - (i) Travel Unit
 - (ii) Facilities Management Unit
 - (iii) Logistics and Transportation Unit
 - (iv) Procurement Unit
 - (e) Sub-programme 3260: Information and Communication Technologies Section
 - (i) Operations Unit: provides hardware, software, applications and communications infrastructure. Ensures customer support.
 - (ii) Information Service Unit: develops and supports information systems and applications.
3. Programme 3300: Division of Court Services
 - (a) Sub-programme 3310: Office of the Director
 - (b) Sub-programme 3320: Court Management Section
 - (i) Operational function: organizes court hearings, provides fully operational courtrooms. Supports video links. Manages court hearing information.
 - (c) Sub-programme 3330: Detention Section
 - (i) Operational function: responsible for safe, secure and humane custody of persons detained under the authority of the Court.
 - (d) Sub-programme 3340: Court Interpretation and Translation Section
 - (i) Operational function: responsible for translation and interpretation for Court hearings and other activities, and for field missions of Registry, Chambers and Presidency.
 - (e) Sub-programme 3350: Victims and Witnesses Unit
 - (i) Operational function: facilitates interaction of victims and witnesses with the Court.
 - (ii) Advice function: advice to the Court on appropriate protective measures, security arrangements, counselling and assistance.

- (f) Sub-programme 3360: Victims Participation and Reparations Section
 - (i) Operational function: assists victims and groups of victims. Raises awareness of victims regarding their rights under the Rome Statute. Liaises with the Secretariat of the Trust Fund for Victims regarding the implementation of orders relating to reparation.
- 4. Programme 3400: Public Information and Documentation Section
 - (a) Library and Documentation Centre
 - (i) Operational function: print, non-print and electronic legal information resources.
 - (b) Public Information
 - (i) Operational function: publicizes activities of the Court. Promotes better understanding of the Court's principles. Maintains dialogue with communities where the Court is active.
- D. Major Programme IV: Secretariat of the Assembly of States Parties
 - (a) Operational function: provides administrative and technical assistance and legal and substantive secretariat services to the Assembly of States Parties, its Bureau and subsidiary bodies.
 - (b) Advice function: assists and prepares texts and statements on financial and budgetary matters.
- E. Major Programme VI: Secretariat of the Trust Fund for Victims
 - (a) Operational function: provides assistance to the Board of Directors of the Trust Fund for Victims.
 - (b) Office under full authority of the Board of Directors and attached to the Registry of the Court for administrative purposes.
- F. Major Programme VII-1: Project Director's Office (permanent premises)
 - (a) Operational function: provides the best possible long-term accommodation for the Court at the lowest possible costs.
- G. Major Programme VII-5: Independent Oversight Mechanism
 - (a) Operational function: provides independent, effective and meaningful oversight through investigations, evaluations and inspections.

Annex XIII

Glossary of budgetary terms

Appropriation	Amount voted by the Assembly of States Parties for specified purposes for a financial period, against which obligations may be incurred for those purposes and up to the amounts so voted.
Appropriation section	Largest subdivision of the budget of an organization within which transfers may be made without prior approval by the Assembly of States Parties.
Basic costs	Costs which are required to set up and sustain the Court as an organization with a basic capacity to be ready to react to situations before an investigation is opened. Basic costs include the judges, the elected officials with their support, the essential services for maintaining the Court's basic administrative functions and its premises, and the necessary capacity to perform initial analysis, investigative, prosecutorial and judicial functions before the opening of an investigation.
Budget	A plan in financial terms for the carrying out of a programme of activities for a specific period.
Budgetary control	The control or management of an organization in accordance with an approved budget for the purpose of keeping expenditures within the limitations of available appropriations and available revenues.
Common staff costs	Costs, other than salary costs, arising from conditions of employment of the staff.
Contingency Fund	A fund providing for unforeseen expenses.
Extrabudgetary resources	All resources, other than those of the regular budget, administered by the organization.
Financial year	The period from 1 January to 31 December inclusive.
Major Programme	Major function of an organization for which one or more objectives may be set.
New requirement	New items incorporated in the budget due to new activities or an increase in existing activities.
Objective	A desired state to be reached or maintained through one or more activities.
Post	An authorization to employ a person, or a succession of persons, for the performance of work required by the organization.
Previous commitment	Commitment where the full impact of a decision with financial implications is not realized until the second or subsequent year.
Programme	A set of activities directed towards the attainment of one or more defined objectives. In the programme structure, the next lower subdivision of a major programme contributing to the objective or objectives of that major programme.
Programme budget	A budget which focuses upon the work to be undertaken and the objectives sought through that work: it emphasizes the ends to be achieved and translates them into the costs required for their implementation; decisions relate both to resource levels and to results to be achieved.
Programme structure	A hierarchical arrangement of programmes (e.g. major programmes, programmes, sub-programmes and programme elements).

Results-based budgeting	A budget process in which: (a) organizational units formulate budgets around a set of pre-defined objectives and expected results; (b) expected results justify the resource requirements which are derived from and linked to outputs required to achieve such results; and (c) actual performance in achieving expected results is measured by performance indicators.
Standard costs	Amounts used for budgeting and budgetary control purposes, representing either target or estimated average unit costs.
Situation-related costs	Costs generated by activities when a decision to open an investigation into a situation has been made (either by the Prosecutor under article 53, or by the Pre-Trial Chamber under article 15, paragraph 4, of the Rome Statute).
Sub-programme	In the programme structure, the next lower subdivision of a programme, contributing to the objective or objectives of that programme.
Temporary posts	Posts of limited duration approved by the appropriate authority within the budgetary provisions therefor.
Trust fund	Account established with specific terms of reference and under specific agreements to record receipts and expenditure of voluntary contributions for the purpose of financing wholly or in part the cost of activities consistent with the organization's aims and policies.
Working Capital Fund	A fund established by the appropriate legislative organ to finance budgetary appropriations pending receipt of States Parties' contributions and for such other purposes as may be authorized.
Zero growth	As defined by the Committee on Budget and Finance, the numerical value of the item or budget does not change. Inflation is not added. This is sometimes known as zero nominal growth.
Zero growth in real terms	Approved budget for current year increased only due to inflation or other price increases for next year, the underlying factors having remained constant.